

## First Draft for UNEP/IOI Proposal to UNFIP and United Nations Foundation, Inc.

*The purpose of the project is to strengthening and deepen regional cooperation on the basis of existing UNEP Regional Seas Conventions and agreements, through relating actions to national and local interests in support of the implementation of international conventions and using networks of existing intergovernmental and nongovernmental institutions, in particular, UNEP's Regional Seas Coordinating Units and the Operational Centres of the IOI. Initial focus should be on Caribbean, Western Indian Ocean, South East Atlantic and Central Pacific. The duration of the project should be three years.*

*The overall objectives are to help implementation of the web of new ocean-related conventions, agreements and programmes in an integrated and systemic manner, enhance capacity building and self-reliance in "coastal communities," and alleviate poverty. Specific aims are to contribute to the realisation of Sustainable Development through Integrated Coastal Management, promote new approaches to the joint development and "transfer" of environmentally and socially sustainable technologies; enhance employment in coastal areas; abate marine/coastal degradation and pollution through implementation of elements of the GPA-LBA; address ecosystem and marine biological diversity preservation, focussing on sensitive and life-supporting ecosystems such as coral reefs, seagrass beds and mangroves; and increase public awareness about the role of the marine and coastal environment for sustainable development within the framework of the pertinent international agreements, in particular UNCLOS and relevant UNCED parts.*

*Activities will address the needs of poor coastal communities and involve them, together with local NGOs. They will also relate to local and national authorities so as to harmonize activities and results with national planning and interests. The IOI Operational Centres in India, South Africa, Senegal, Cosa Rica and Fiji have experience with this kind of work. The corporate profile of IOI is presented in Annex 1. UNEP/IOI cooperation will also involve UNEP's GPA-LBA Office in The Hague, Netherlands, and its CBD Secretariat in Montreal, Canada. UNEP/IOI cooperation is based on long-standing cooperation and existing agreements between UNEP and IOI. Activities with regard to marine biological diversity will also address the issue of biological diversity in international waters; and it will involve the implementation of the CBD Action Plan of 1998 and the related Jakarta Mandate.*

*Regional activities will aim at coordinating and harmonising local activities with evolving regional action plans so as to generate linkages and mutual reinforcement between local/national and regional activities, which will stimulate further cooperative efforts. The establishment of the Mediterranean Commission on Sustainable Development within the framework of the Mediterranean Regional Seas Programme is a signpost in this direction. The possibilities of adapting this institution to the needs of other Regional Seas Programmes and of its further development should be discussed. More effective linkages between local/national and regional activities will also stimulate harmonisation of national legal instruments and guidelines with the international conventions and agreements.*

*Activities will include:*

- local community work in cooperation with local NGOs;
- awareness enhancing campaigns;
- national seminars and dialogues;
- cooperation in the development of training programmes
- regional seminars for exchange of experiences, coordination, harmonization;
- interregional exchanges and dialogues



## Draft Concept Paper for Project Proposal

March-  
April 1999

Requesting agency: UNEP, in partnership with the International Ocean Institute

Geographic Location: Nairobi, Kenya, and Malta

Proposed Duration: 3 years (1999) 2000-2002

Description of Proposal:

1. The purpose of the project is to strengthen and deepen regional and national cooperation on the basis of existing UNEP Regional Seas Conventions and Action Plans, through relating their activities to national and local interests in support of the implementation of international conventions. Existing networks of intergovernmental and nongovernmental institutions, in particular UNEP Regional Seas Coordinating Units and the IOI Operational Centres will be used. UNEP/IOI cooperation will also involve UNEP's GPA-LBA Office in the Hague, Netherlands, and its CBD Secretariat in Montreal, Canada. Initial focus will be on Africa, including the Nairobi and Abidjan Conventions. UNEP-IOI partnership is a response to the challenge of integrating governmental and nongovernmental activities and strengthening the participation of "civil society" in intergovernmental fora. The establishment of the Mediterranean CSD is a signpost in this direction. The added value obtained through this cooperation and partnership is that it will enhance horizontal and vertical integration, the democratization of international relations, grass-roots involvement, and improved understanding and efficiency.
2. The over-all objective is to advance the implementation of the new ocean-related conventions, agreements and programmes in an integrated and systematic manner. Capacity-building, self-reliance and alleviation of poverty in coastal communities are instrumental for the attainment of this objective. Specific objectives are:
  - . improving the practice of integrated coastal management. IOI's special expertise is in strengthening the component of risk assessment and management and disaster prevention, preparedness, and mitigation, as integral parts of integrated coastal management
  - . designing and applying new approaches to joint development and "transfer" of environmentally and socially sustainable technologies to respond to the needs of all post Rio Conventions, Agreements and Programmes, including the GPA-LBA.
3. Activities will be carried out at the local, national, and regional level.



- . At the local level, the Indian coastal villages project will be broadened and transferred to other parts of the world. This will be responsibility of the IOI Operational Centres but UNEP personnel should be invited to discuss problems and solutions directly with the village communities, so as to form linkages.
- . At the national level, IOI Operational Centres will continue their policy studies and consultations to improve linkages between central governments and local communities in implementing post-Rio Conventions, Agreements, and Programmes, through co-management arrangements. National leadership seminars will be organized. UNEP should participate in such events.
- . At the regional level, a series of leadership seminars will be organized to discuss, in particular
  - . the practice of integrated coastal management
  - . ways to improve the implementation of the GPA-LBA;
  - . The establishment of regional CSDs;
  - . Technology cooperation and transfer.

The first of such regional leadership seminars will take place in East Africa and will cover the Western Indian Ocean. It will be organized in cooperation between the IOI and the UNEP. RCU in Seychelles, and possibly a regional NGO, WIOMSA.

4. **Expected Results**

established community groups, linked with authorities and with trained persons; identified root causes hampering sustainable development, identified risk sources, and increased public awareness; tested eco-friendly technologies and strengthened local implementation of agreements, e.g. GPA-LBA; established links between local-national and regional actions, enhanced regional and inter-sectoral cooperation, coordination.

**Potential Partners**

1. Governmental and intergovernmental level: UNEP, IOC, UNIDO, OAU; national authorities.
2. Nongovernmental level: IOI (H.Q. and Operational Centres); IUCN; ICCOPS; local NGOs and communities, e.g. WIOMSA.

**Costs**

1. Total cost: US\$ 900,000.
2. Funding by UNF/UNFIP: US\$ 600,000; other donors, \$ 300,000.



**Second UNEP/UNF Screening  
Committee Meeting  
22-23 February 1999  
Nairobi**

**REPORT OF THE MEETING**

The following members of the Committee attended:

Gerda Merckx (Chair)  
Paul Akiwumi (Secretary)  
Yinka Adebayo  
Marion Cheatle  
Ananda Dias  
Hiremagalur Gopalan  
Masa Nagai

P. Akiwumi presented to the meeting the documents for discussion.

The meeting adopted the Provisional Agenda as presented in Annex 1.

**Agenda Item I: Review of Project Concepts**

The following project concepts were reviewed:

**1 World Commission on Dams and the United Nations Environment Programme.**

- The project does not address environmental aspects with regard to dams – the project should clearly focus on this issue.
- The project should elaborate more clearly how it relates to the post – Kyoto context.
- The project should bring out more specifically how it addresses aspects of Agenda 21.
- Considerable reviews have already been done on dams by a number of UN agencies: e.g. Economic Commissions, and the World Bank which undertook a review last year – what makes this project different?
- The project seems to support a process.
- End products of the project should be clearly outlined as well as their use, and replicability.
  
- The Committee recommended that the project be redrafted into a one page project concept proposal as per the UNFIP format, on the basis of the above points raised, and resubmitted, on the 8<sup>th</sup> March 1999.
- The Committee endorsed the project concept and recommended that it be submitted to UNFIP for consideration once the comments had been incorporated.



## 2 International Ocean Institute (IOI) -UNEP Cooperation Regional Seas

- The project has no clear focus.
- The added value of the project is not clear.
- The project tries to deal with too many issues.
  
- The Committee recommended that the project be redrafted and resubmitted for consideration at its next meeting, April 1999.

## 3 Early Warning Indicators for Freshwater Environmental Conflicts.

- The Committee endorsed the project concept for submission to UNFIP with the following amendments:
  
- The project concept should focus on:
  - i) Determining the relevant environmental parameters that lead to possible transboundary disputes.
  - ii) Accessing the trends in the build-up to transboundary water disputes.
  - iii) Developing and designing a methodology to identify possible areas of transboundary water disputes and possible policy options.
  
- The role of the partners need to be identified.
- The revised project is to be resubmitted on the 8<sup>th</sup> March 1999.

## 4 Monitoring La Nina (ENSO Cold Phase) Conditions over Eastern and Southern Africa: Early Warning of Impacts 1999-2000.

- The Committee recommended that the project be redrafted taking into account the following observations:
  - There is a need to hold consultations with the following, to ensure there is no duplication of effort and to ensure maximum impact:
    - the National Centre for Atmospheric Research
    - WMO-UNDP Drought Monitoring Centre in Eastern and Southern Africa.
    - Mr. A. Alusa UNEP Division of Environmental Conventions.
  
  - Determine the value added and define clearly the innovativeness of the project.
  
  - The redrafted project concept to be resubmitted no later than the 23 March 1999, for consideration at the next Screening Committee meeting, April 1999.



Draft Concept Paper  
for  
Project Proposal

Requesting agency: UNEP, in partnership with the International Ocean Institute

Geographic Location: Nairobi, Kenya and Malta

Proposed Duration: 3 years, (1999) 2000-2002

Description of Proposal:

1. The purpose of the project is to strengthen and deepen regional cooperation on the basis of existing UNEP Regional Seas Conventions and agreements, through relating actions to national and local interests in support of the implementation of international conventions and using networks of existing intergovernmental and nongovernmental institutions, in particular, UNEP's Regional Seas Coordinating Units and the Operational Centres of the IOI. Initial focus should be on Africa, including the Western Indian Ocean and the Central- and South-Eastern Atlantic and the Caribbean. These regions cover the Nairobi, Addijan and Cartagena Conventions of the Regional Seas.
2. The overall objectives are to help implementation of the web of new ocean-related conventions, agreements and programmes in an integrated and systematic manner, enhance capacity building and self-reliance in "coastal communities," and alleviate poverty. Specific aims are to contribute to the realisation of Sustainable Development through Integrated Coastal Management, promote new approaches to the joint development and "transfer" of environmentally and socially sustainable technologies; enhance employment in coastal areas; abate marine/coastal degradation and pollution through implementation of elements of the GPA-LBA; address ecosystem and marine biological diversity preservation, focussing on sensitive and life-supporting ecosystems such as coral reefs, seagrass beds and mangroves; and increase public awareness about the role of the marine and coastal environment for sustainable development within the framework of the pertinent international agreements, in particular UNCLOS and relevant UNCED parts. Especially with respect to Africa: analyse and address some of the economic, social and cultural root causes hindering the development and protection of coastal areas and resources; help identify areas of environmental degradation of (sub)-regional significance which need to be addressed at regional level; and revitalize accordingly the action plans of the Regional Seas Conventions of Nairobi and Abidjan.
3. Activities will address the needs of poor coastal communities and involve them, together with local NGOs. They will also relate to local and national authorities so



as to harmonize activities and results with national planning and interests. The IOI Operational Centres in India, South Africa, Senegal, Costa Rica and Fiji have experience with this kind of work. UNEP/IOI cooperation will also involve UNEP's GPA-LBA Office in The Hague, Netherlands, and its CBD Secretariat in Montreal, Canada. UNEP/IOI cooperation is based on long-standing cooperation and existing agreements between UNEP and IOI. Activities with regard to marine biological diversity will also address the issue of biological diversity in international waters; and it will involve the implementation of the CBD Action Plan of 1998 and the related Jakarta Mandate.

4. Regional activities will aim at coordinating and harmonizing local activities with evolving regional action plans so as to generate linkages and mutual reinforcement between local/national and regional actions, which will stimulate further cooperative efforts. The establishment of the Mediterranean Commission on Sustainable Development within the framework of the Mediterranean Regional Seas Programme is a signpost in this direction. The possibilities of adapting this institution so as to meet the needs of other Regional Seas Programmes and of its further development will be analysed, with specific reference to Africa where a regional CSD has been established. More effective linkages between local/national and regional activities will also stimulate harmonisation of national legal instruments and guidelines with the international conventions and agreements.
5. Project Implementation Strategy elements: Start with local community work in Africa in cooperation with local NGOs; awareness enhancing campaigns; national and regional seminars, dialogues, for generating cooperation in training, horizontal and vertical linkages, exchange experiences, coordination, harmonisations; inter-regional exchanges; synthesis; analysis of root causes hindering development; generation of co-management and micro-credit activities.

#### Potential Partners

1. Governmental and Intergovernmental level: UNEP, IOC, ISBA, OAU, OAS, CIDA, SIDA; National Authorities.
2. Nongovernmental level: IOI (H.Q. and Operational Centres); IUCN; ICCOBS; Local NGOs and Communities, e.g. WIOMSA.

#### Costs

1. Total cost: USD 900,000.
2. Funding by UNF/UNFIF: USD 600,000; remaining from other Donors/Organisations.

Attachment: Corporate profile of IOI.



Date: Wed, 17 Feb 1999 18:08:54 +0300  
From: Halifa.Drammeh@unep.org  
To: ioimla@kemmunet.net.mt  
Cc: ioihfx@dal.ca  
Subject: Your message of 16 February 1999

Dear Dr. Kullenberg,

This is simply to acknowledge with appreciation receipt of your e-mail of yesterday. With regard to the one-pager which, as you mentioned, has already been sent to the Foundation by Prof. Borgese, I think that should suffice for now as they would certainly provide us with their reactions.

I got a message this morning from her requesting that I forward any suggestions we may wish to see incorporated. The essential point is to include the main thrust of the Cape Town Declaration (i.e. undertake activities geared towards the updating of the Nairobi and Abidjan Conventions and to revitalise the Regional Seas Programme in Africa) in the subsequent versions of the current proposal which already provides a general framework. I therefore, hope we can add in the section of the proposal relating to regional activities that attention will be accorded to the request of African Governments for the updating and revitalisation of the Regional Seas Programme in Africa, notably the Nairobi and Abidjan Conventions. The activities to be undertaken will also enable us to look into options for strengthening the co-ordination and financing mechanisms of these two Conventions which certainly require significant remedial measures. I did mention to Prof. Borgese that we will very much appreciate IOI's involvement in this process of revitalisation of the Regional Seas Programme in Africa to which Dr. Toepfer wishes to accord a high degree of attention.

I am copying this communication to Prof. Borgese as the above paragraph is also a response to her yesterday's message.

Best wishes and kind regards.

Halifa Drammeh

c.c. Prof. Mann Borgese  
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Proposal

**Subject: Proposal****Date:** Thu, 18 Feb 1999 15:21:20 -0100**From:** International Ocean Institute <ioimla@kemmnet.net.mt>**Organization:** International Ocean Institute**To:** halifa.drammeh@unep.org**CC:** eborgese@is.dal.ca ✓

COPY

Dear Dr. Drammeh,

Thank you very much for your message of 17 February. Having talked with Prof. Mann Borgese, I have now prepared a composite version of the proposal which includes specific reference to Africa, the Nairobi and Abidjan Conventions and actions identified in the Cape Town Declaration (attached as Proposal.doc). However, I do not refer to that Declaration, since we are not referring to any declaration or specific report. We only refer to the Conventions. I think this is most appropriate.

According to the rules, as far as I am aware, the proposal concept paper must be sent from UNEP as the United Nations body leading the proposed project. We (IOI) cannot submit it. Hence I hope you may now transmit it. If it then goes further after an initial screening process, then we jointly have to prepare a more detailed proposal. The initial submission of the concept should, however, only be about 1 or so pages.

Please keep me informed about the actions at your end.


Your cooperation and understanding is much appreciated and I look forward to continuation.

Best regards,

Yours sincerely,

Dr. Gunnar Kullenberg  
Executive Director

N.B. The attachment to the proposal will be sent to you separately by fax. ✓ (4 pages)

 Proposal.doc	Name: Proposal.doc Type: Microsoft Word Document (application/msword) Encoding: base64
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