

# Robust Estimation of Dynamic Models with Irregularly Spaced Data:



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Dalhousie University  
Canada

State-Space Models for  
Movement

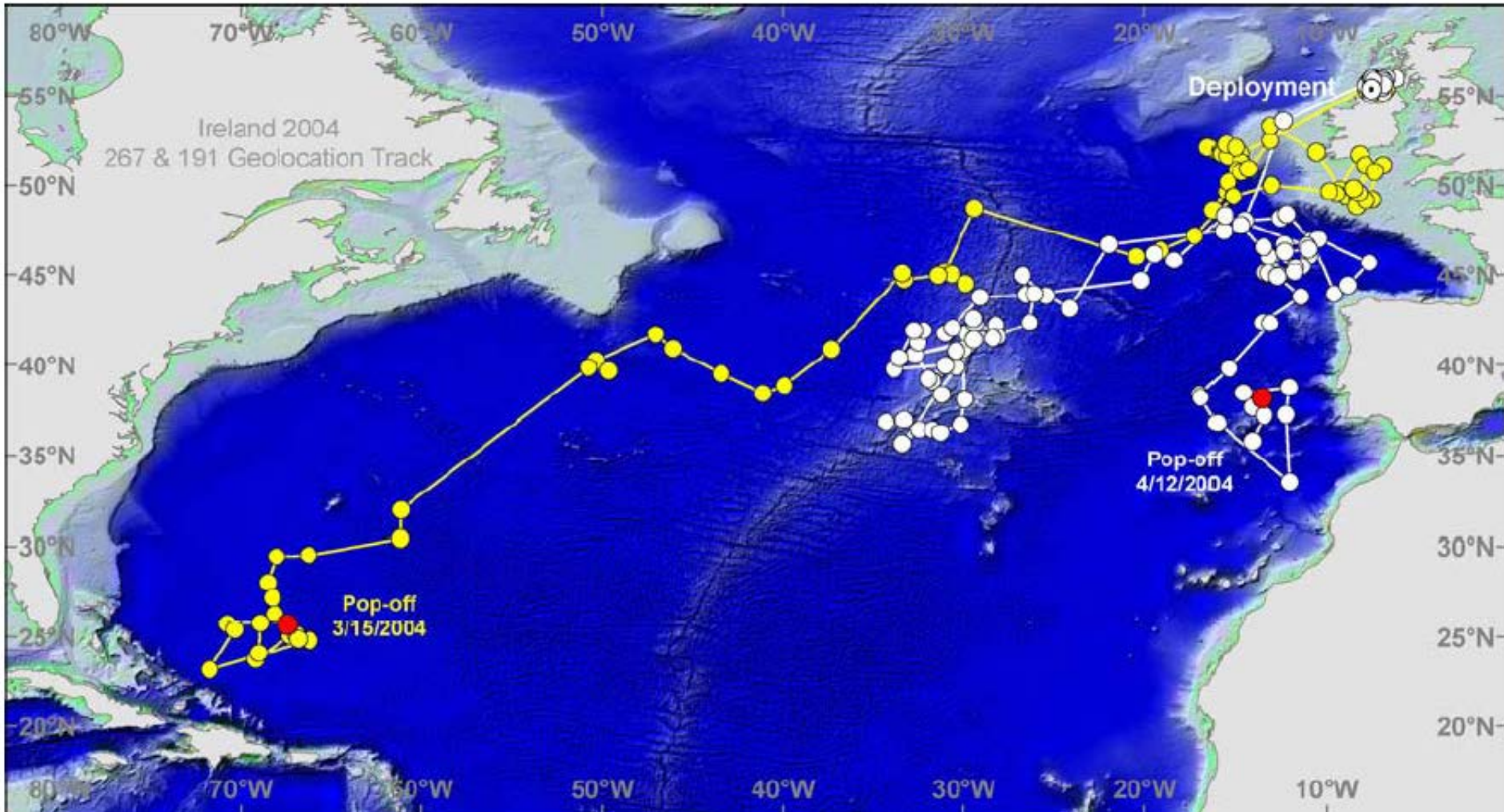
Foraging Behavior

Irregularly Spaced Environmental Data

Community Dynamics

# Limitations of Traditional Methods for Time-Series data:

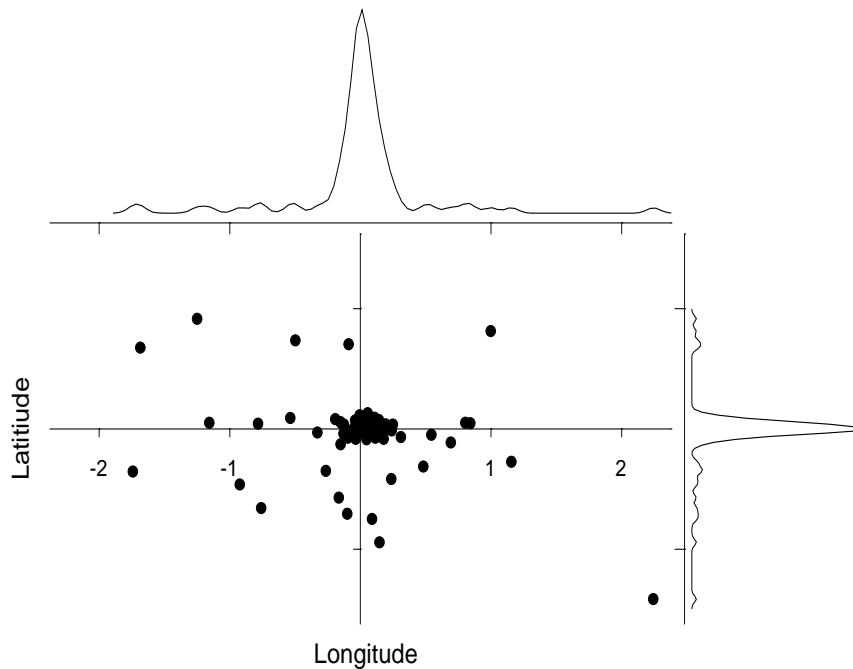
ASSUMPTION: Data Must be Regularly Spaced with No Missing Values  
REALITY :MOST Movement DATA HAS MISSING VALUES



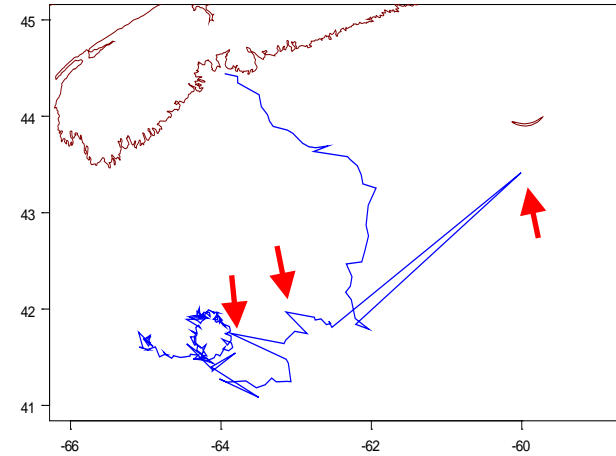
# ASSUMPTION: ERRORS ARE GAUSSIAN REALITY : ERRORS HAVE LONG TAILS

## ➤ Estimation error

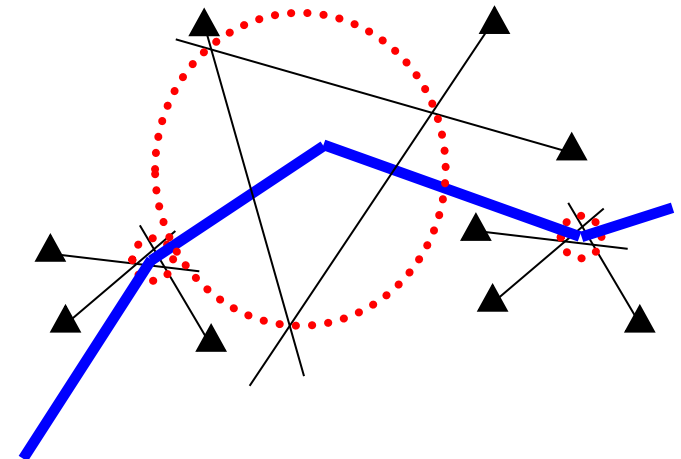
- Data observed with error
- Errors can be non-Gaussian



## Satellite telemetry

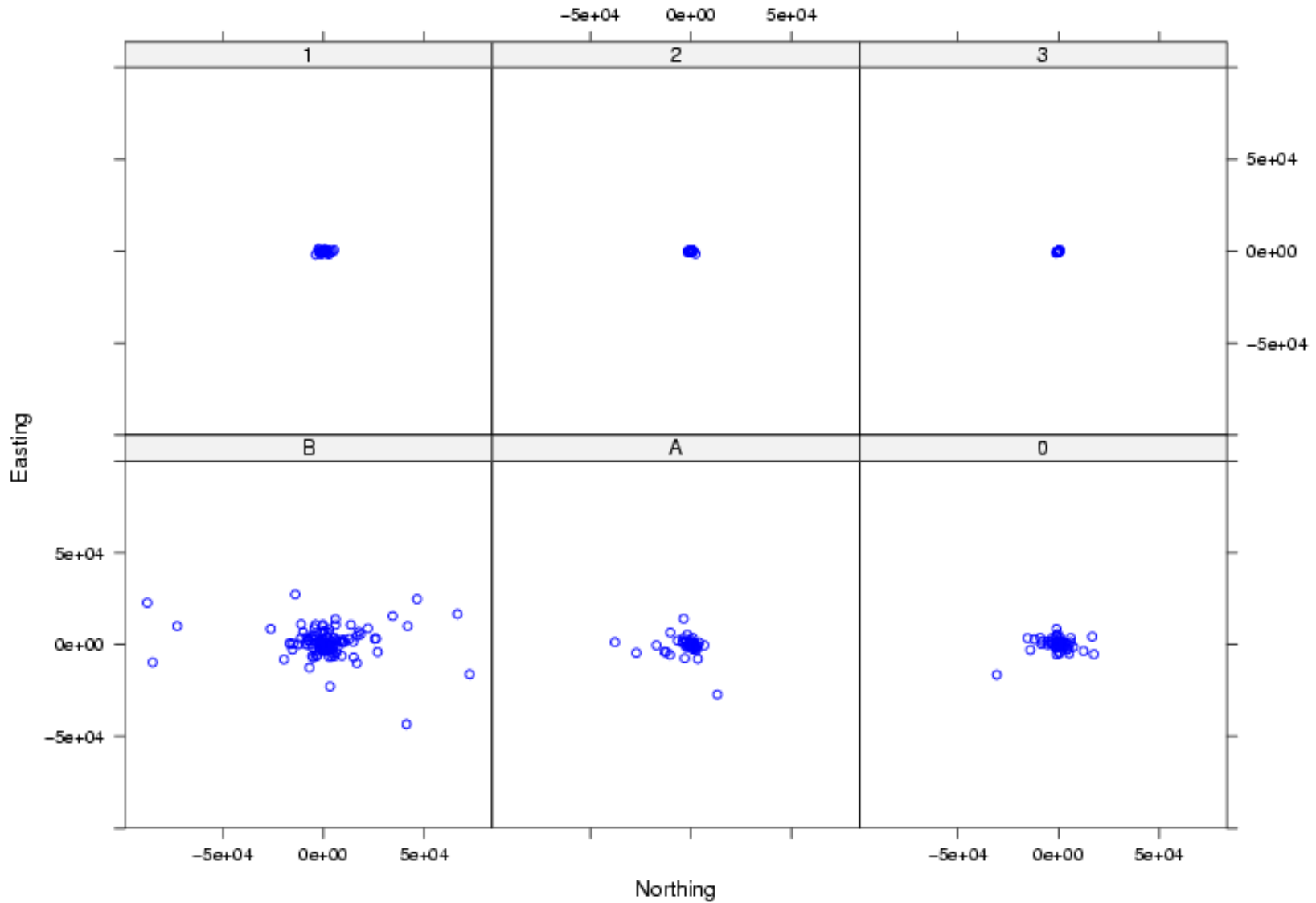


## Radio telemetry

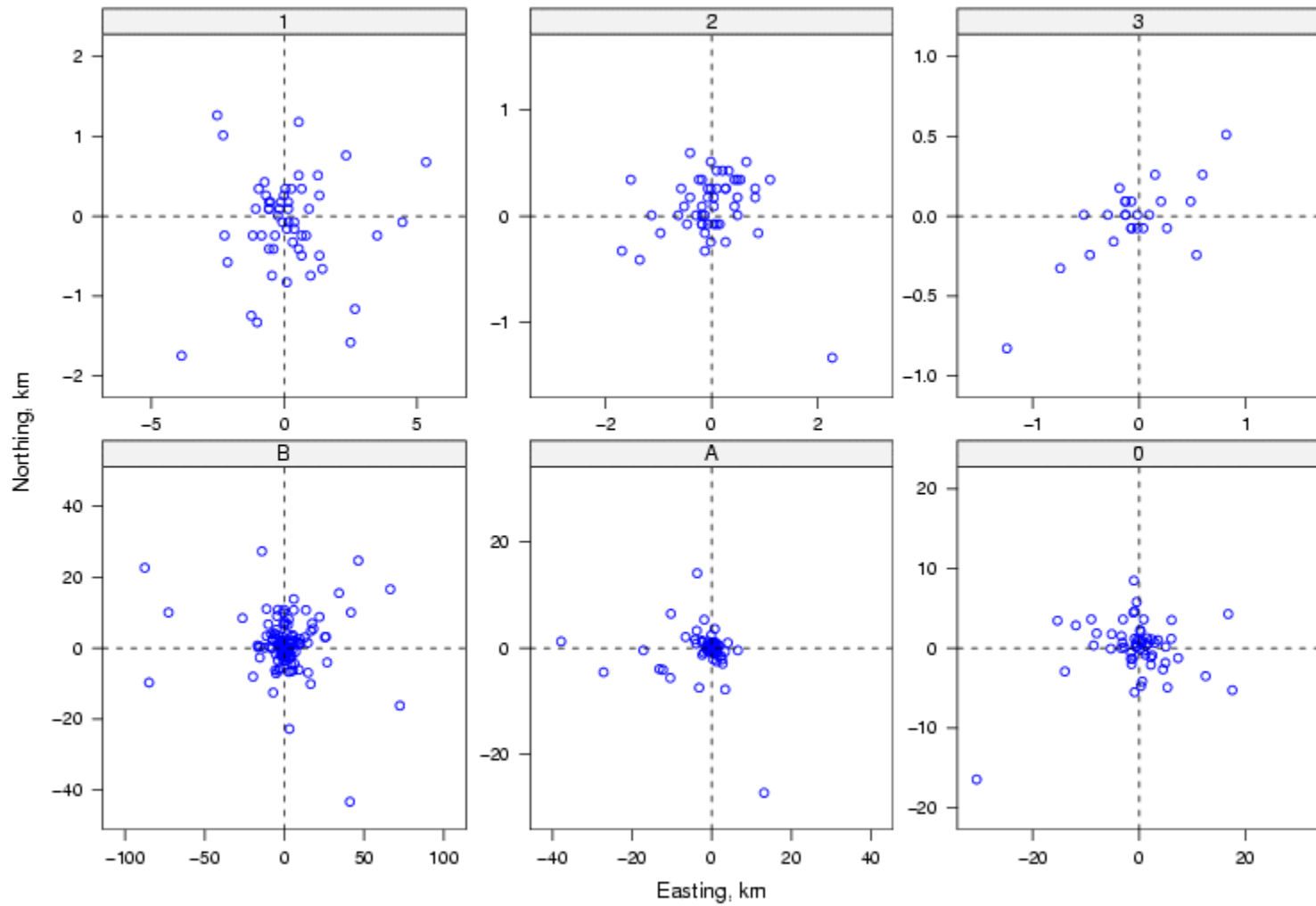




# Argos location errors

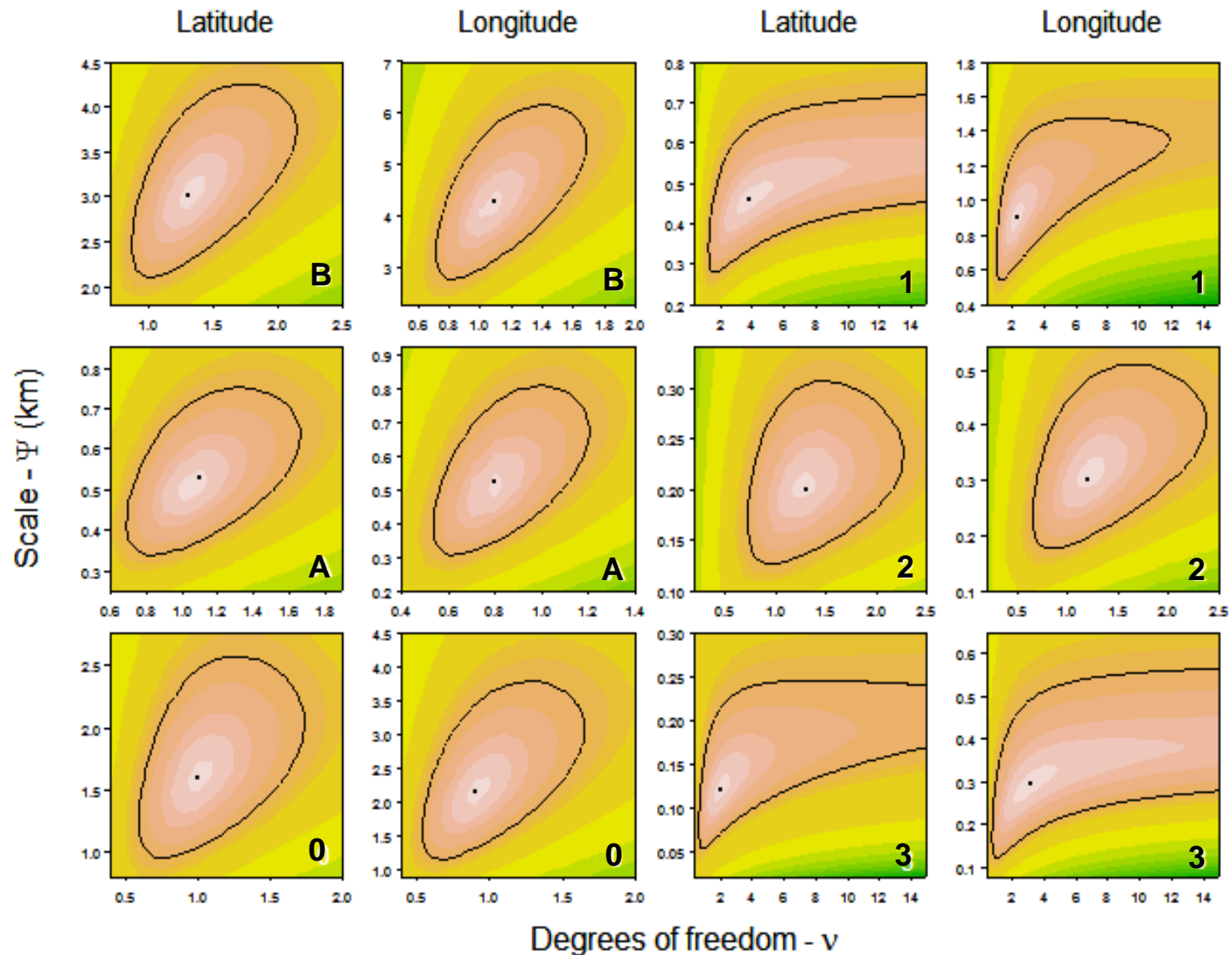


# Argos location errors



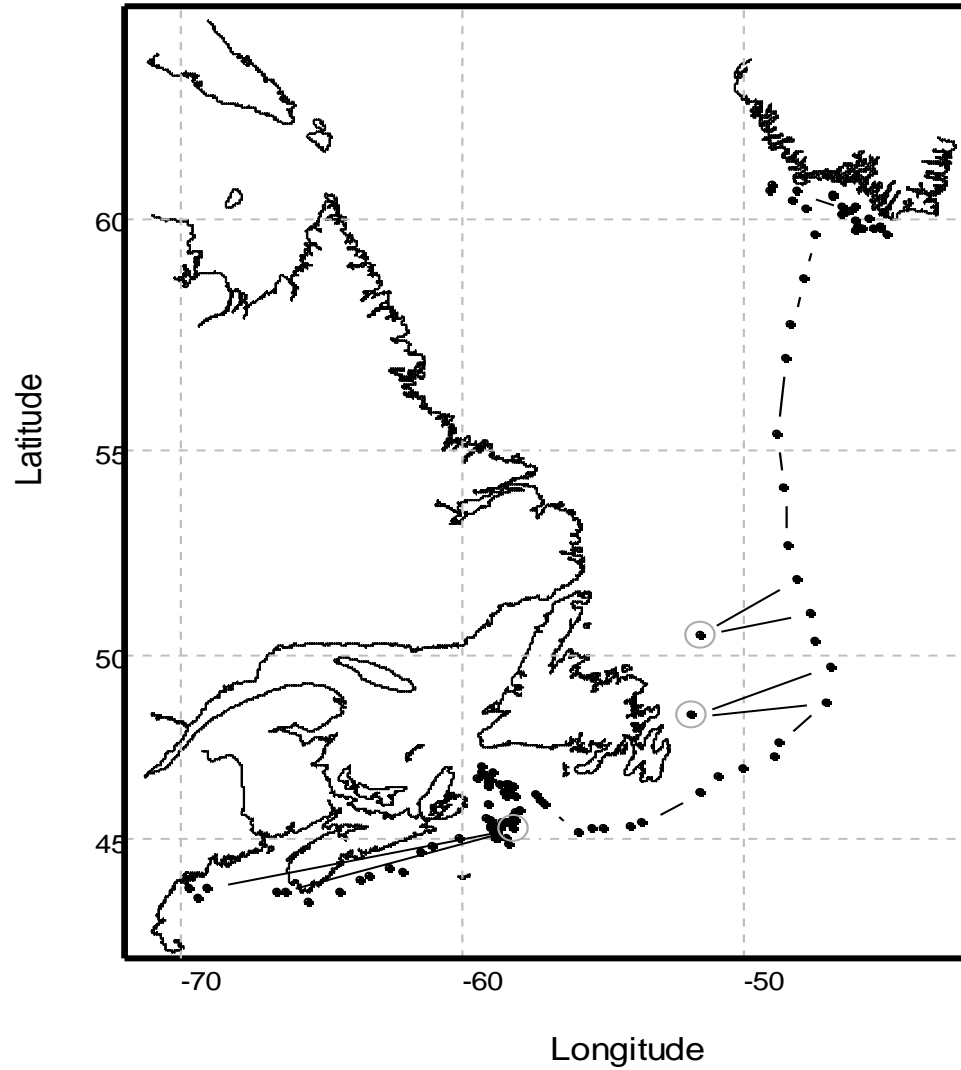
3 locations more than 100 km away removed

# *Likelihood Contours for $t$ -distribution parameters*



ASSUPTION: BEHAVIOUR IS STATIONARY

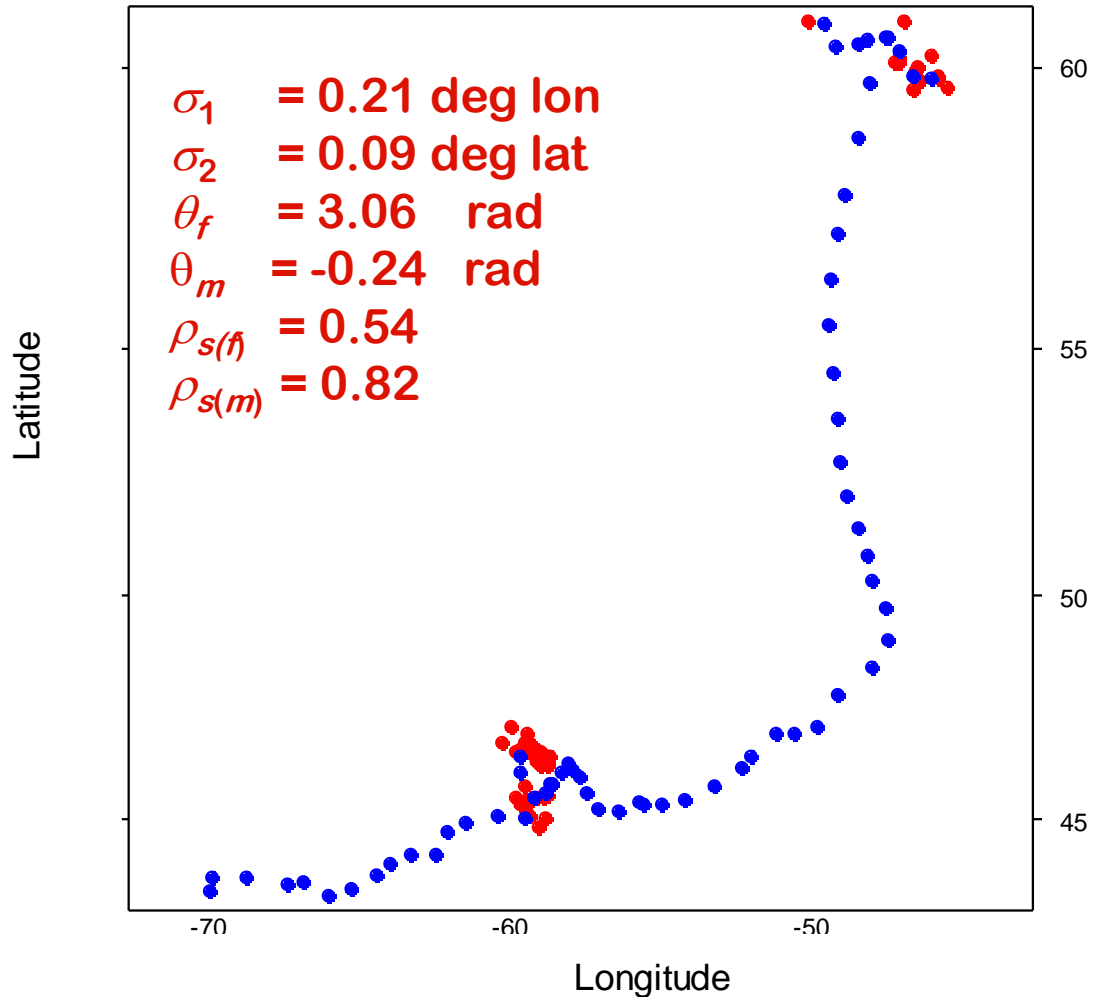
REALITY : BEHAVIOUR CHANGES WITH TIME



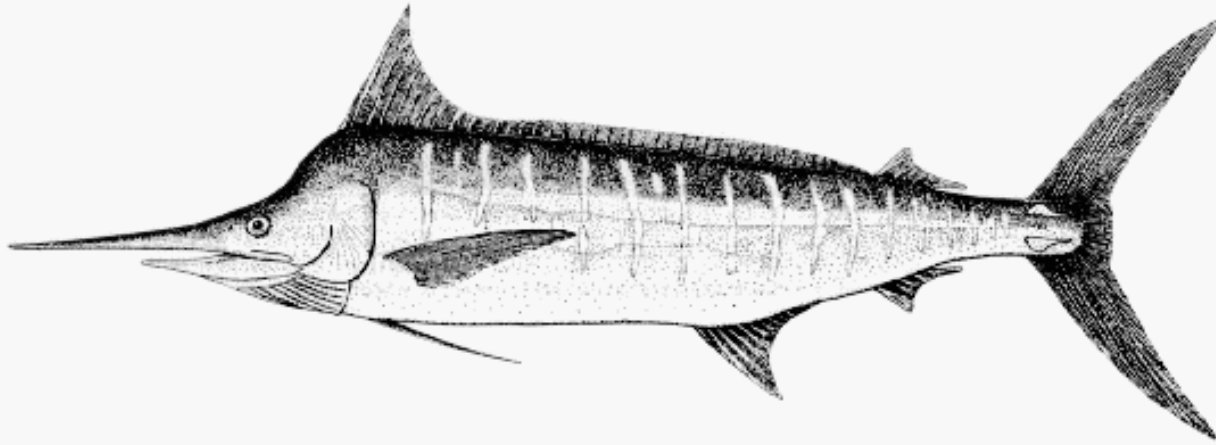


# A Switching SSM

Switching model, estimates switches b/w 2 behavioural modes



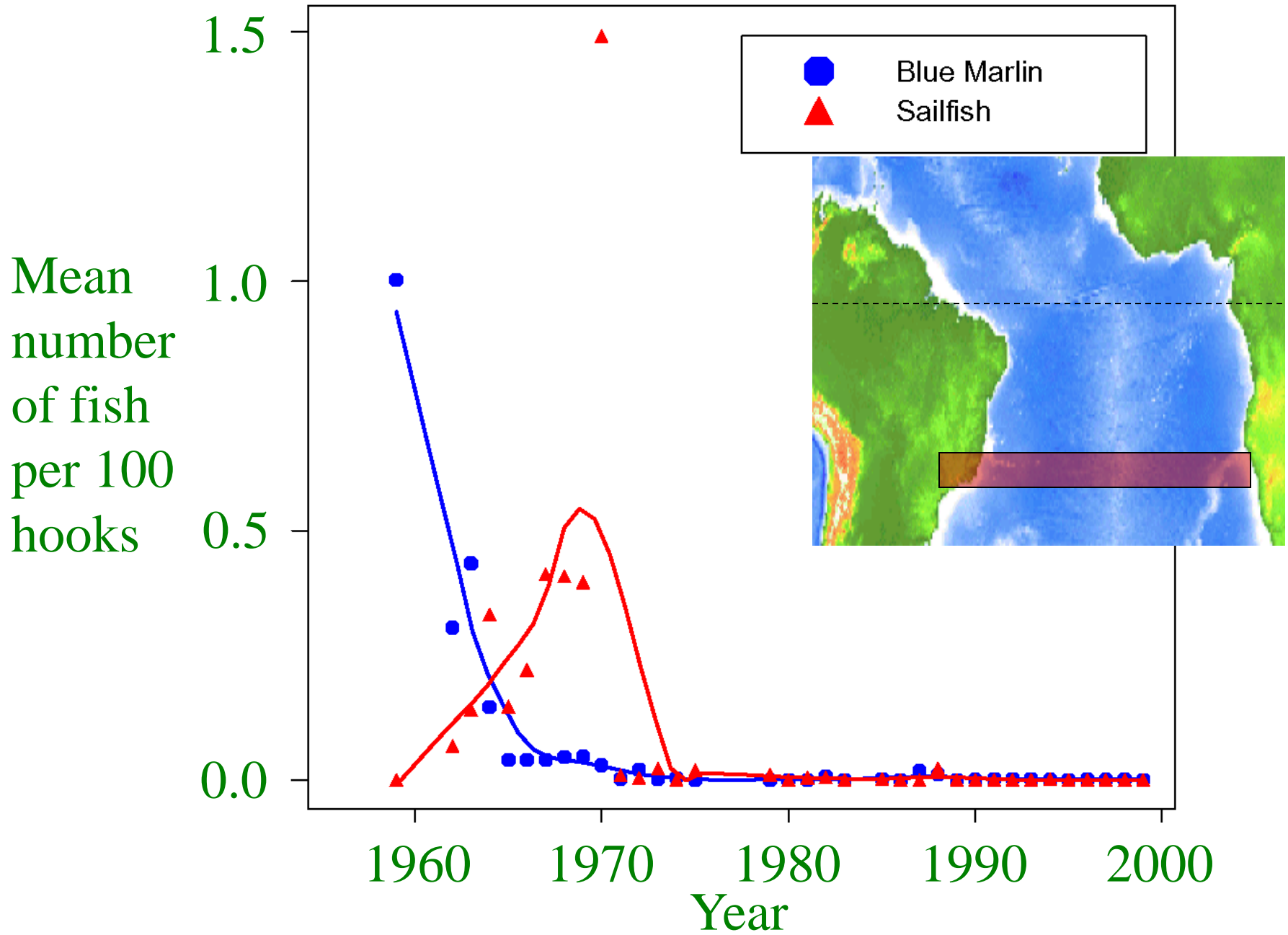
ASSUMPTION: THE WORLD IS LINEAR  
REALITY : NONLINEARITY



Blue marlin  
(*Makaira nigricans*)

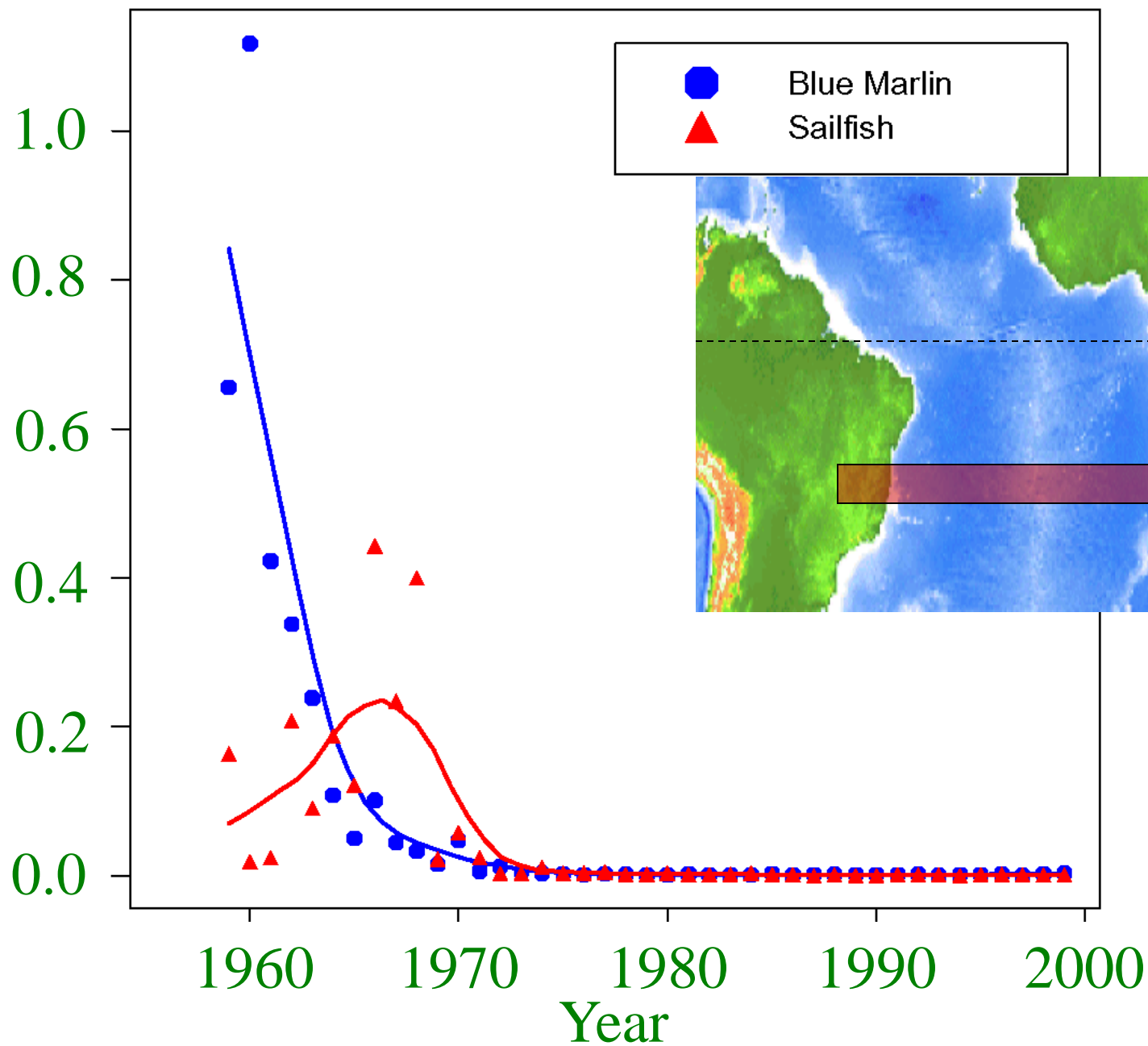


Sailfish  
(*Istiophorus albicans*)

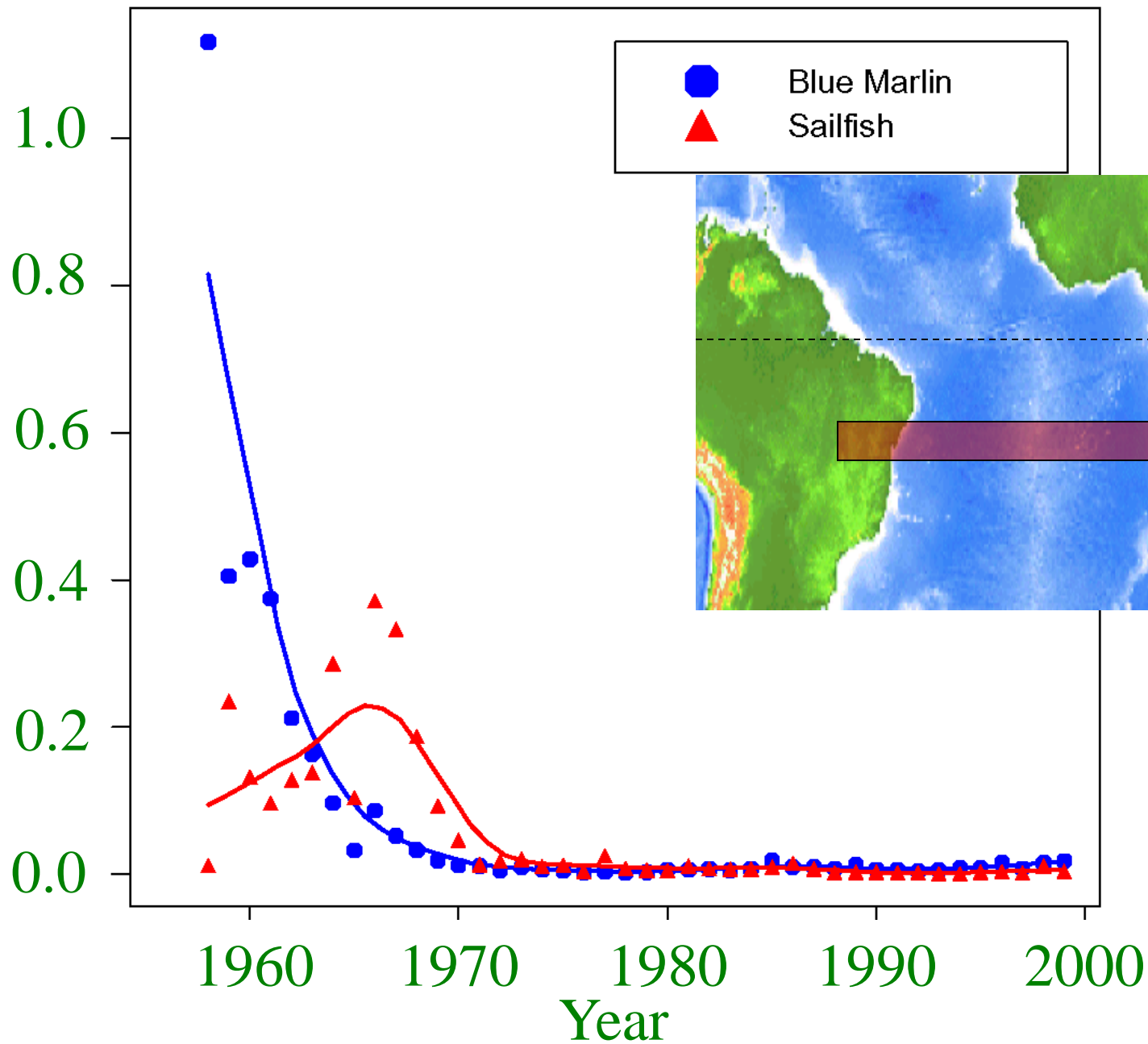




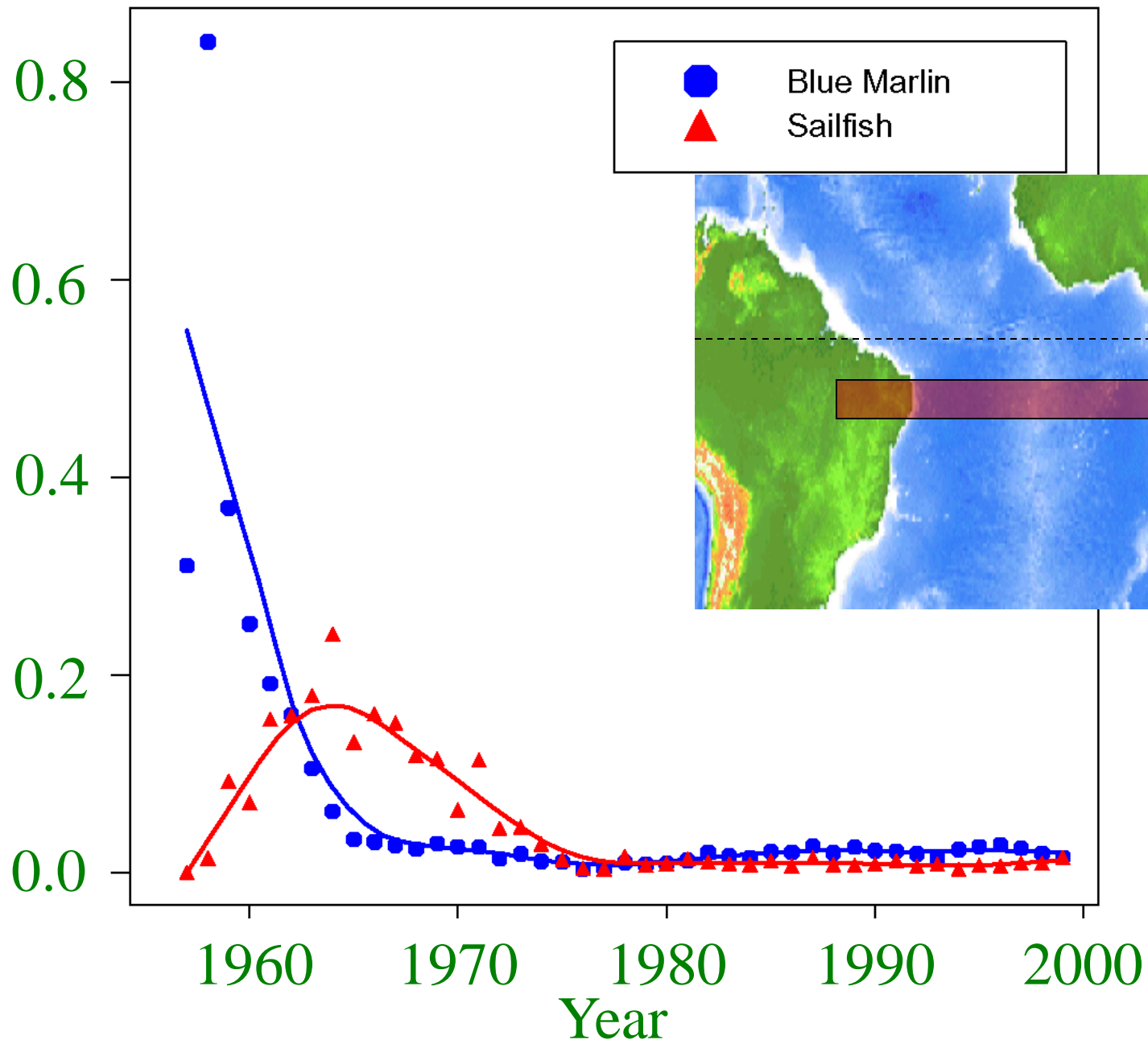
Mean  
number  
of fish  
per 100  
hooks



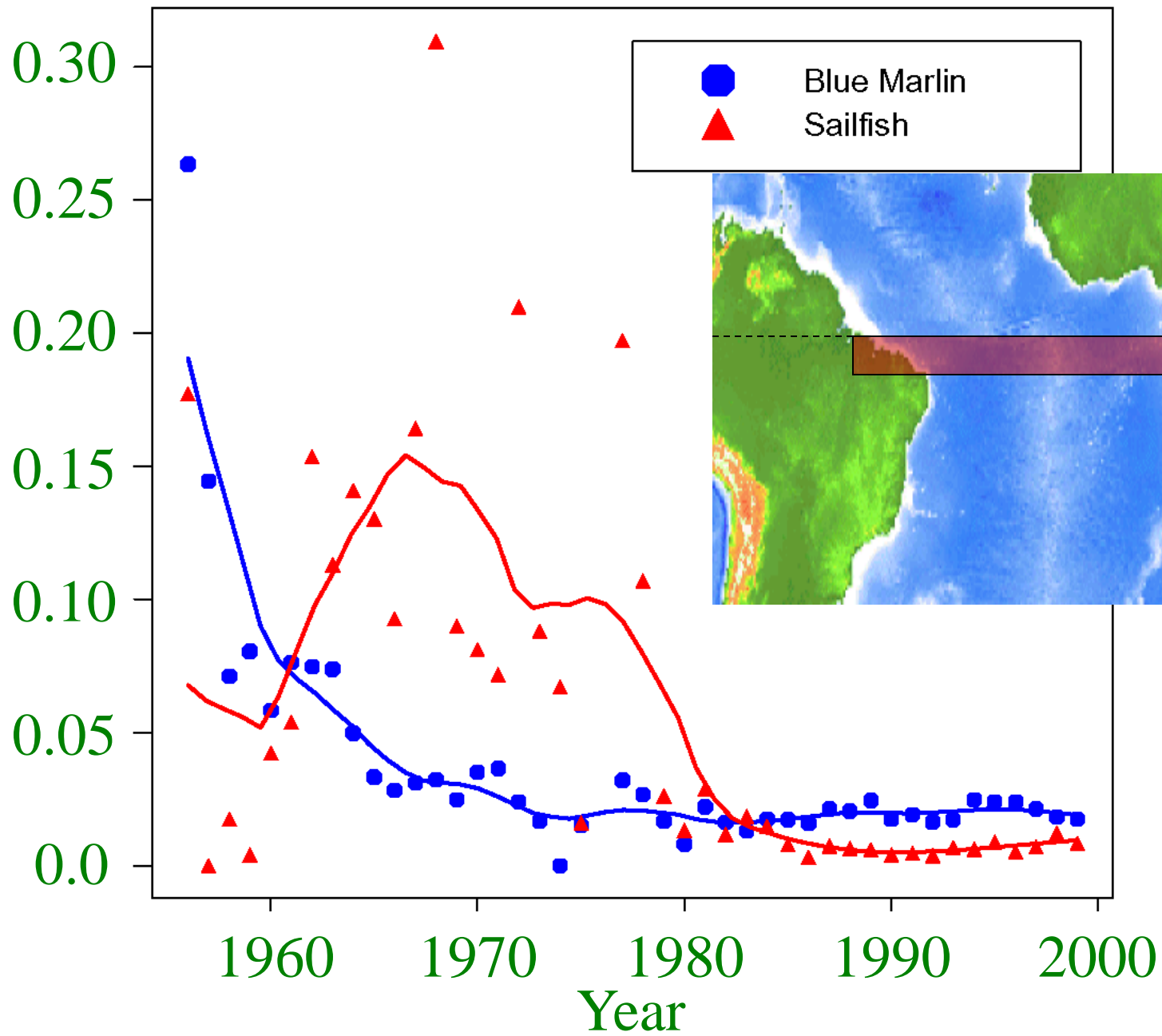
Mean  
number  
of fish  
per 100  
hooks



Mean  
number  
of fish  
per 100  
hooks

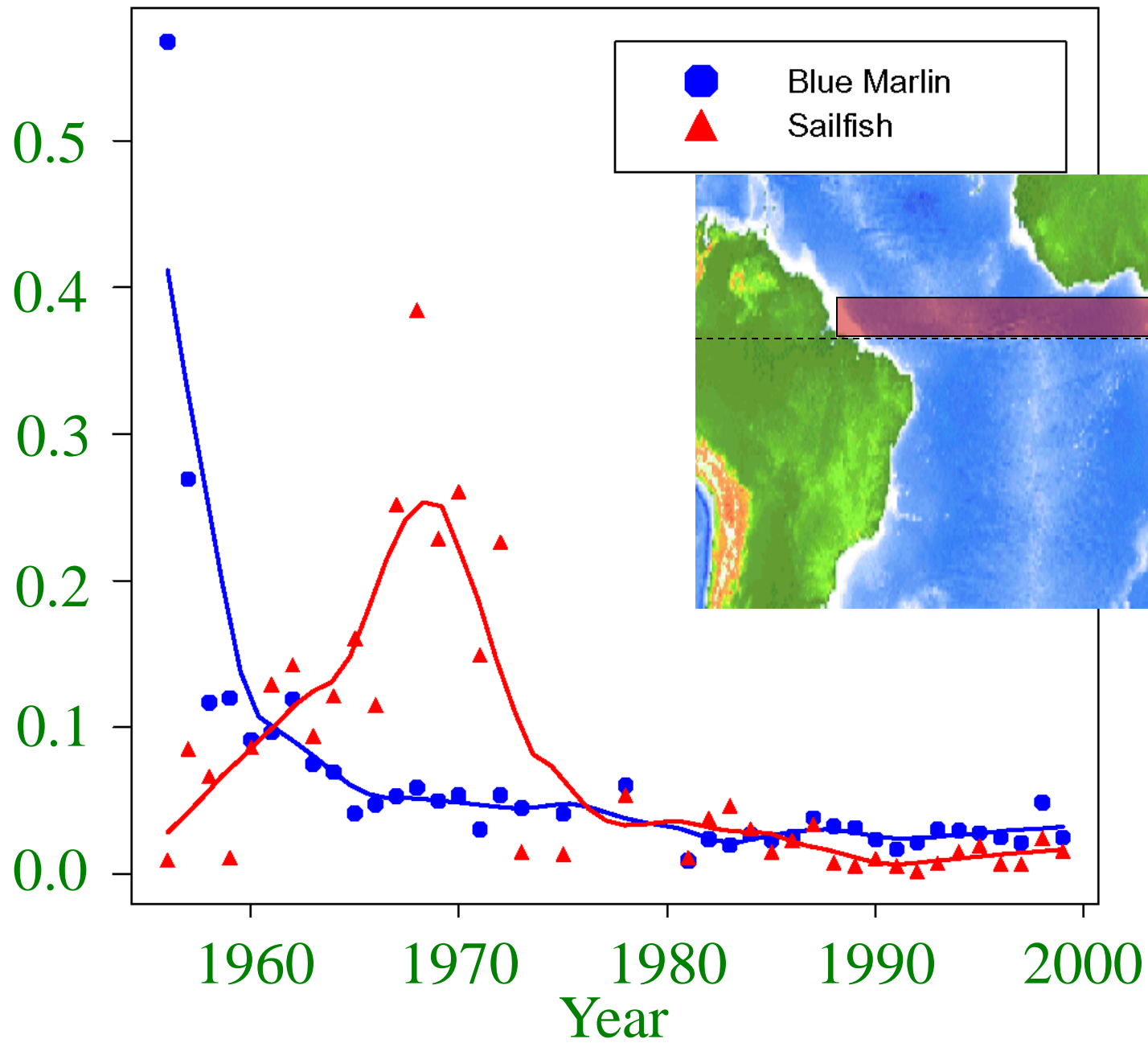


Mean  
number  
of fish  
per 100  
hooks



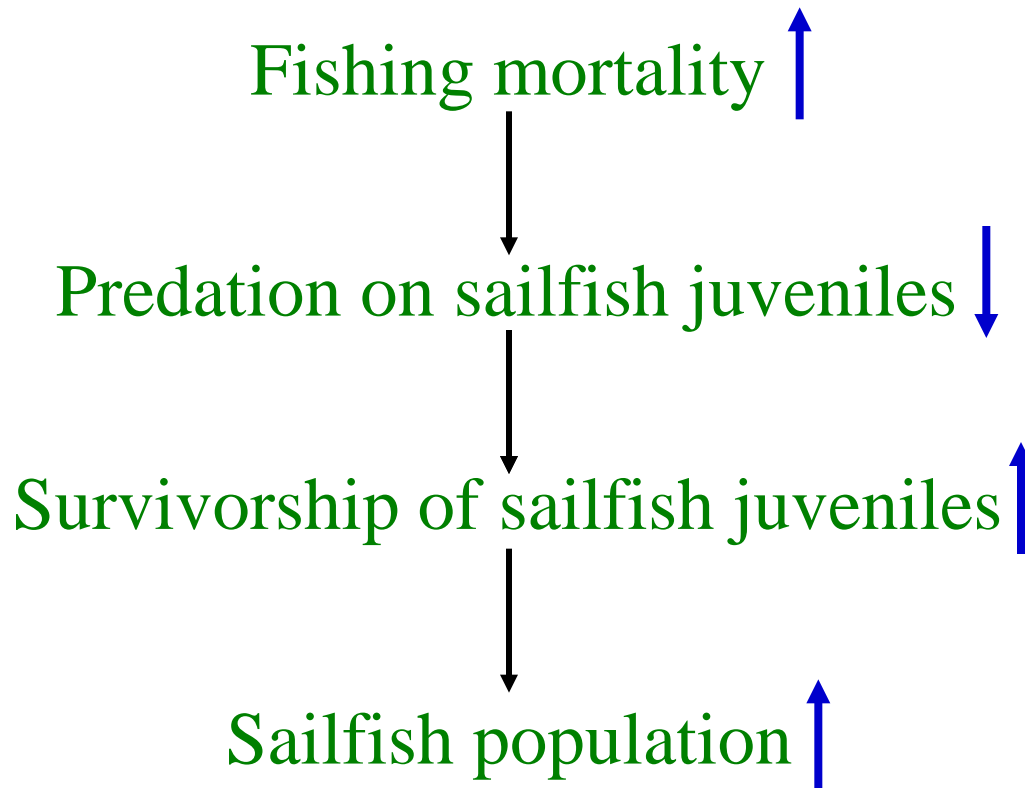


Mean  
number  
of fish  
per 100  
hooks



Can we explain such widespread patterns  
(seen across the world's largest ecosystem)  
using a single equation?

# One hypothesis:



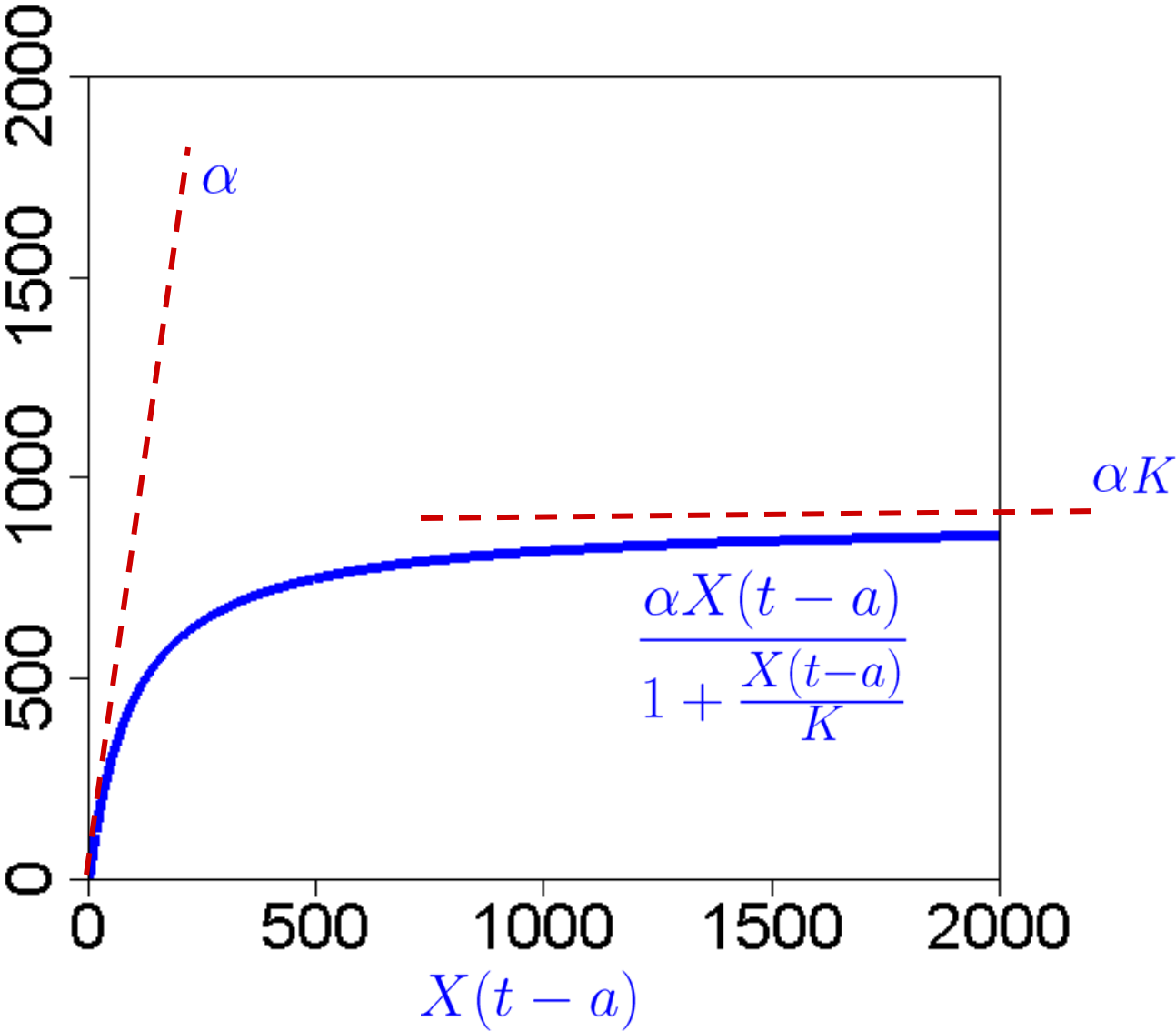
$X(t)$  – number of mature fish at the start of year  $t$

If fish spawn once then die (e.g. salmon)

$$X(t + 1) = \frac{\alpha X(t - a)}{1 + \frac{X(t - a)}{K}}$$

$a$  – age at maturity (fish spawn the year after they reach age  $a$ )

Mature fish  
at time  $t+1$ ,  
 $X(t+1)$



Now assume proportion  $p$  of adults survive from year to year and continue to spawn:

$$X(t+1) = pX(t) + \frac{\alpha X(t-a)}{1 + \frac{X(t-a)}{K}}$$

Now introduce fishing:

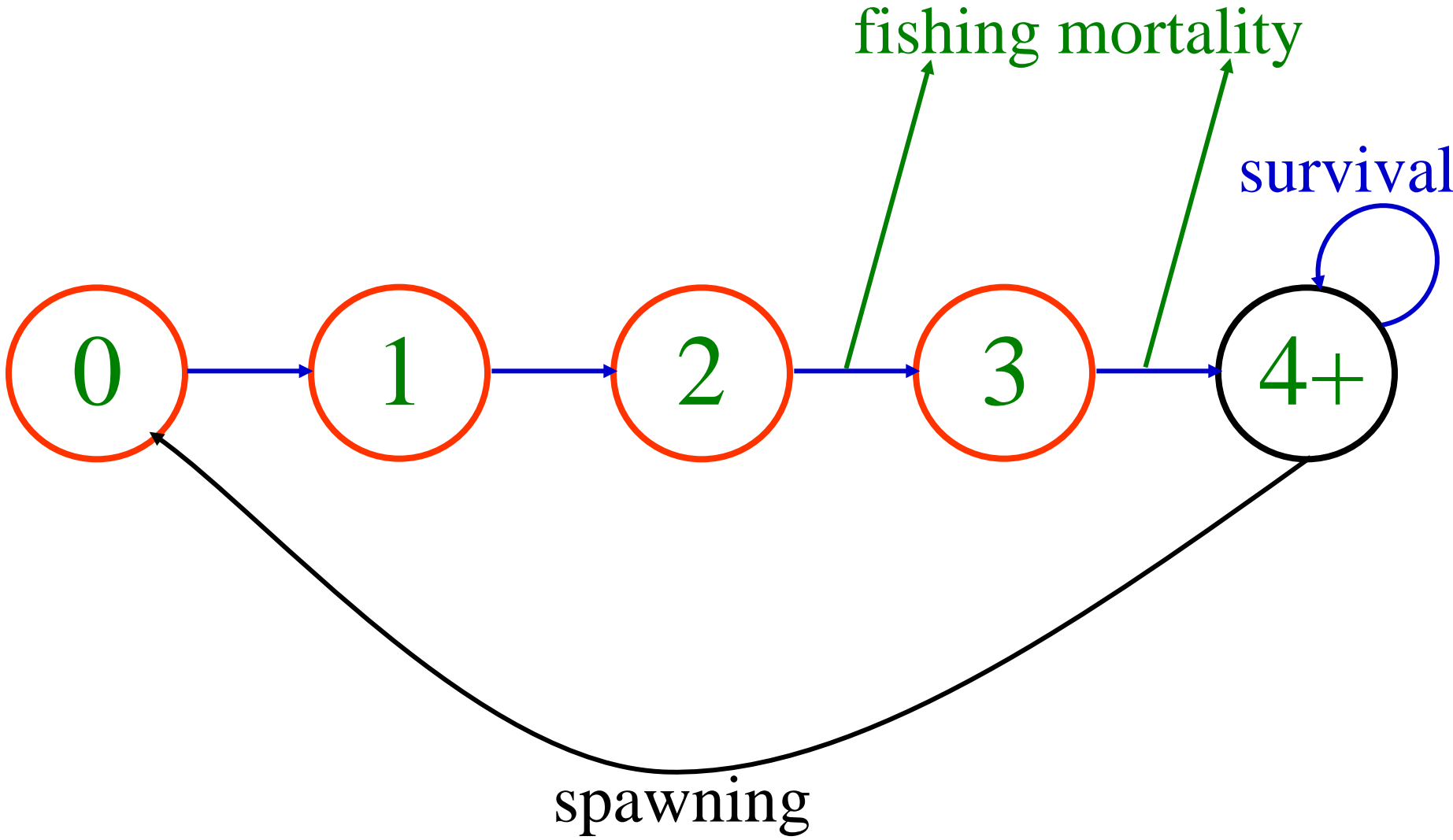
$$X(t+1) = e^{-qF} pX(t) + e^{-cqF} \frac{\alpha X(t-a)}{1 + \frac{X(t-a)}{K}}$$

proportions that survive fishing effort  $F$

$q$  – species-specific susceptibility to fishing

$c$  – number of years fished before reaching maturity

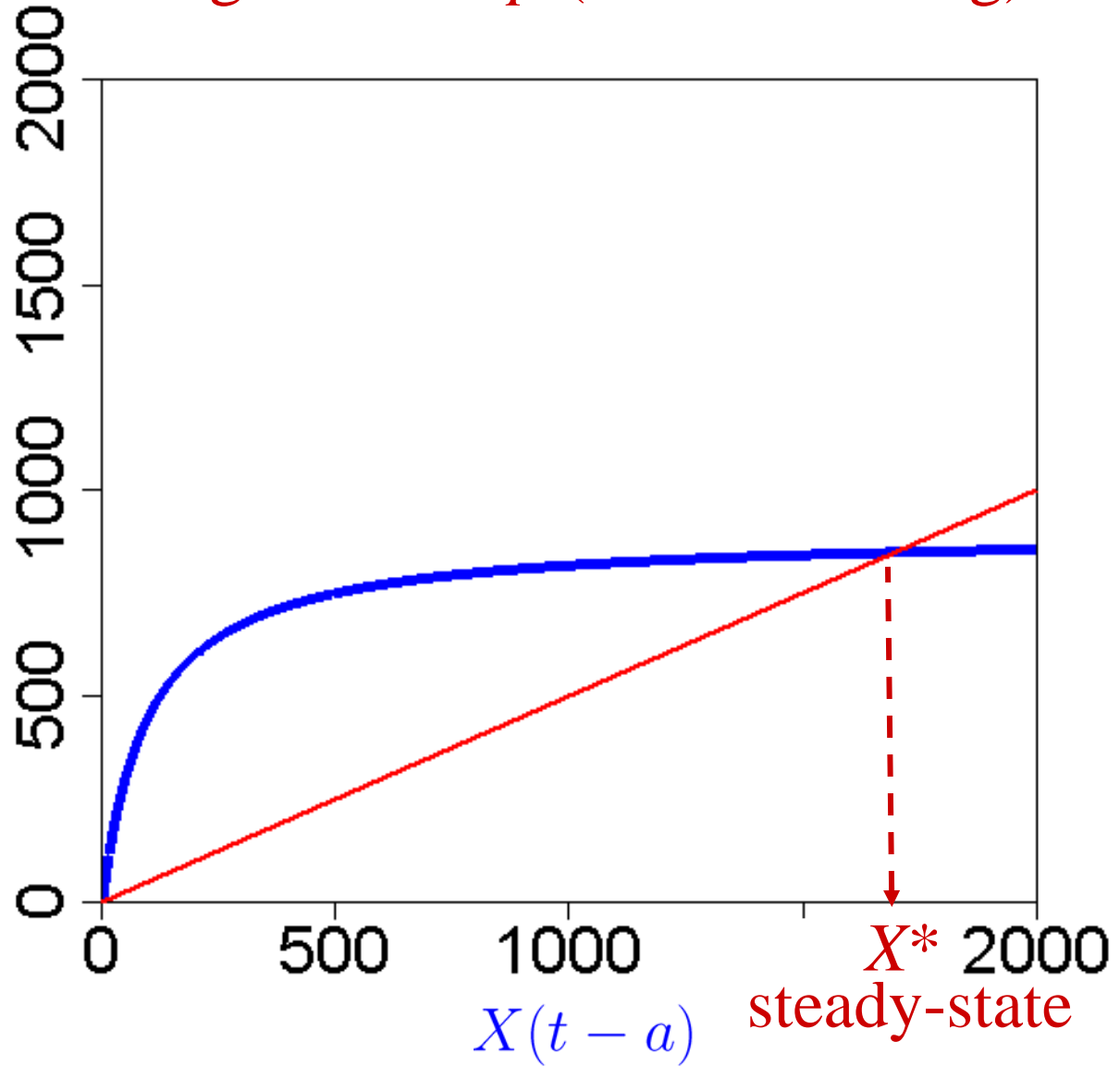
Life cycle (blue marlin):





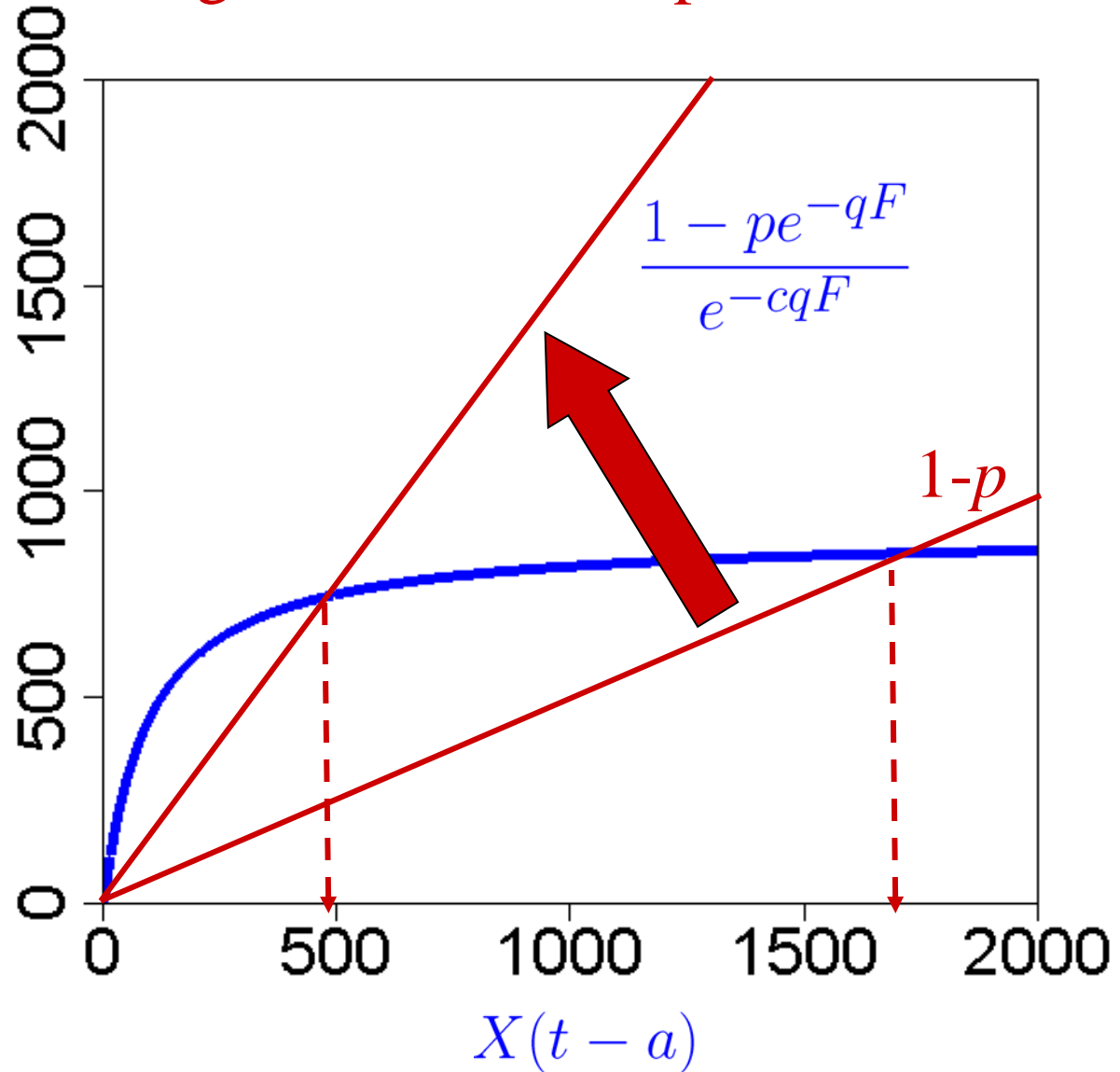
Replacement line has gradient  $1-p$  (with no fishing)

Mature fish  
at time  $t+1$ ,  
from  $X(t-a)$   
spawners

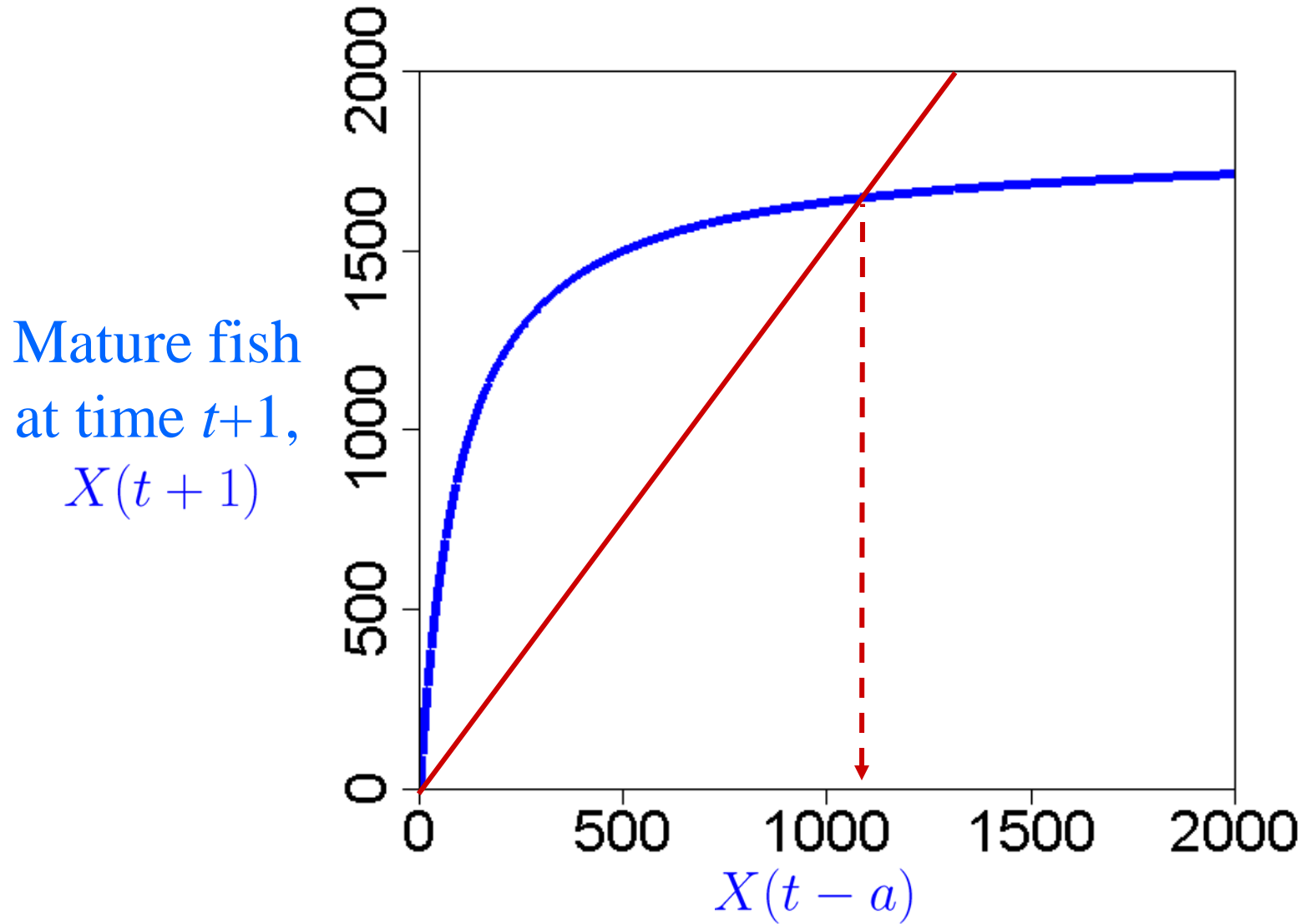


Fishing increases the gradient of the replacement line...

Mature fish  
at time  $t+1$ ,  
from  $X(t-a)$   
spawners



...and increased survivorship gives higher  $\alpha$ , raising SR curve.



Now assume proportion  $p$  of adults survive from year to year and continue to spawn:

$$X(t+1) = pX(t) + \frac{\alpha X(t-a)}{1 + \frac{X(t-a)}{K}}$$

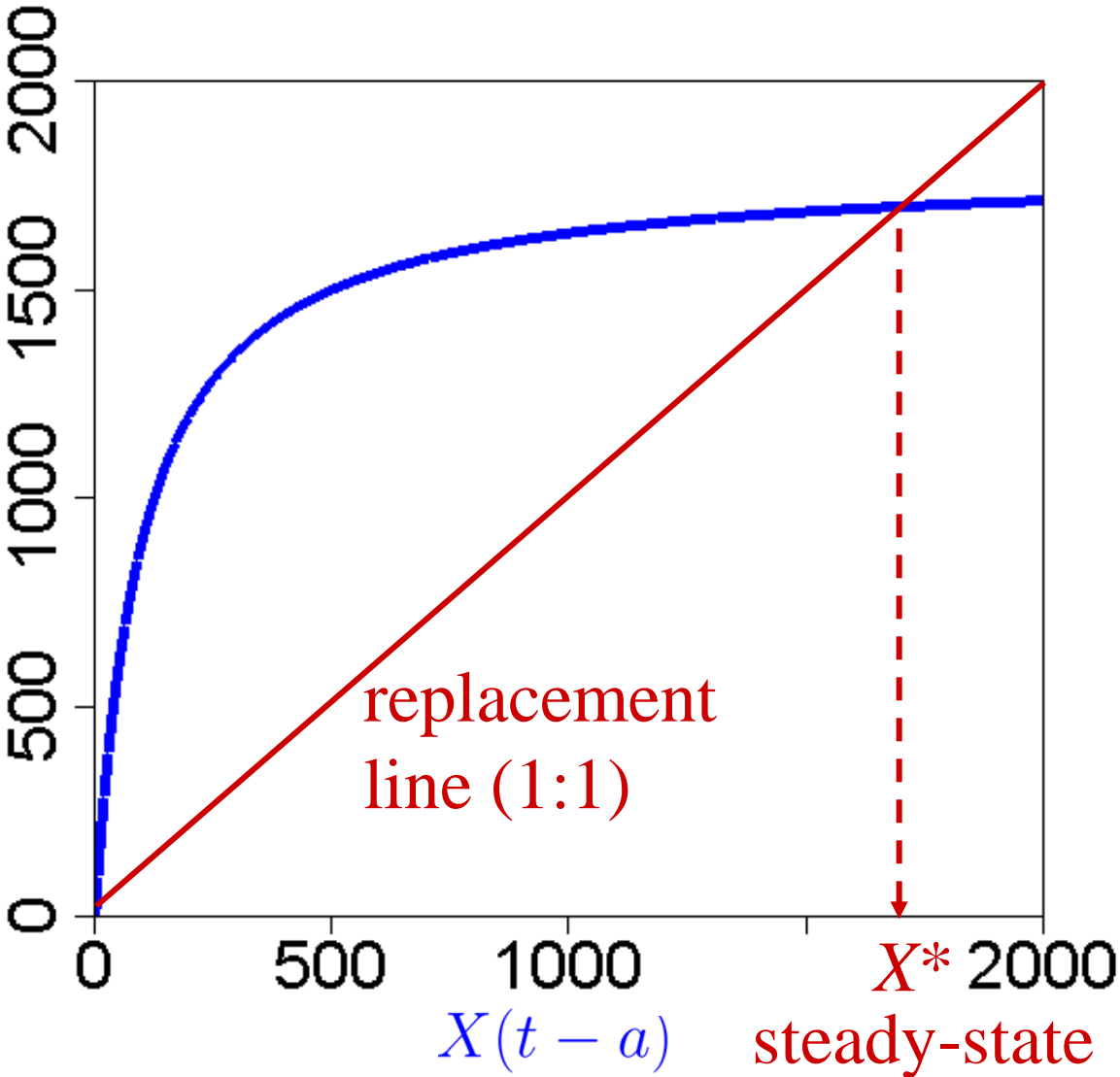
Total future individuals from  $X(t-a)$  is

$$\begin{aligned} & \text{average number of times} \\ & \text{each fish will spawn} \times \frac{\alpha X(t-a)}{1 + \frac{X(t-a)}{K}} \\ & = \sum_{j=0}^{\infty} p^j \times \frac{\alpha X(t-a)}{1 + \frac{X(t-a)}{K}} \\ & = \frac{1}{1-p} \times \frac{\alpha X(t-a)}{1 + \frac{X(t-a)}{K}} \end{aligned}$$

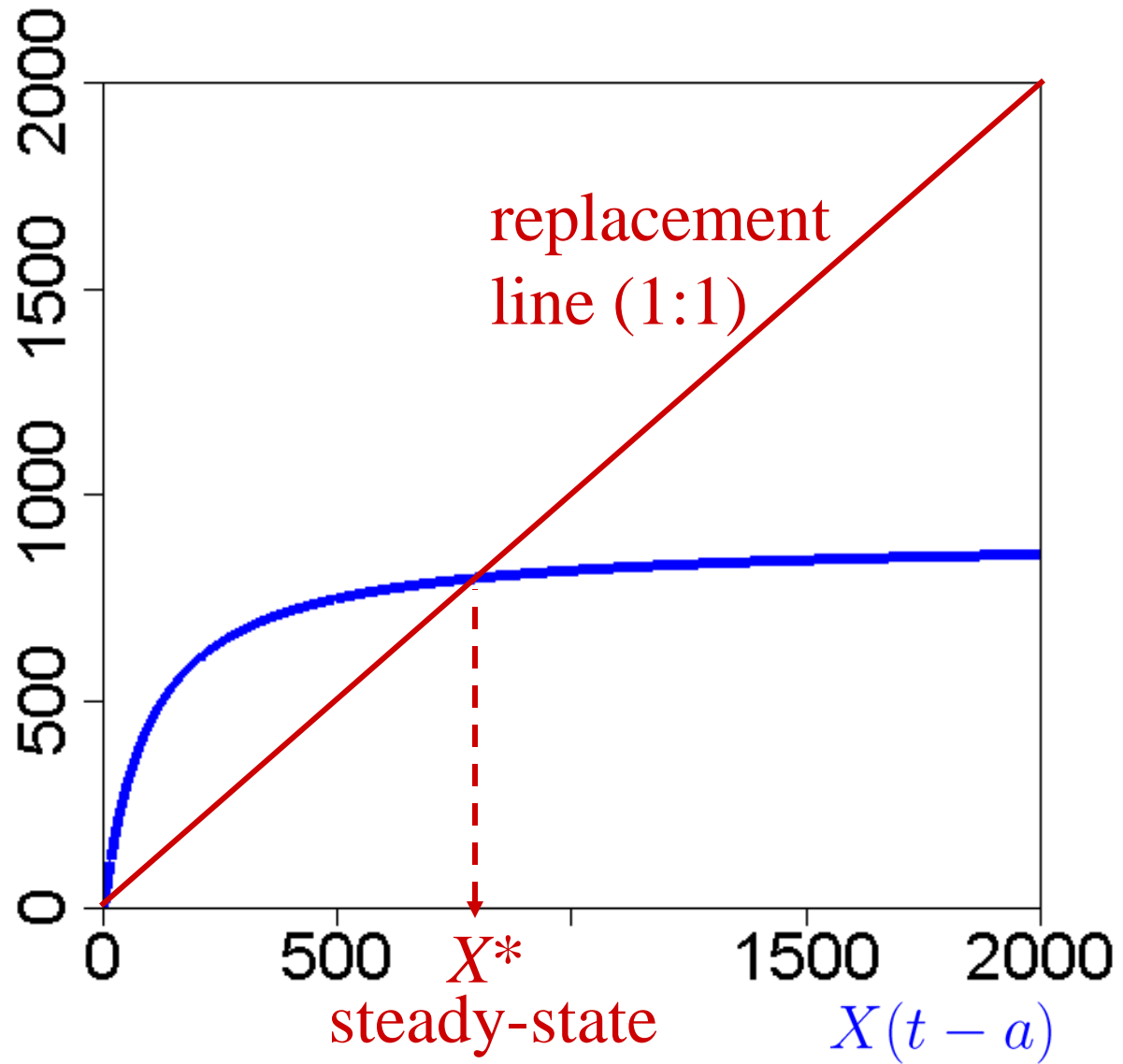
Now assume proportion  $p$  of adults survive from year to year and continue to spawn:

$$X(t+1) = pX(t) + \frac{\alpha X(t-a)}{1 + \frac{X(t-a)}{K}}$$

Mature fish  
at time  $t+1$ ,  
 $X(t+1)$

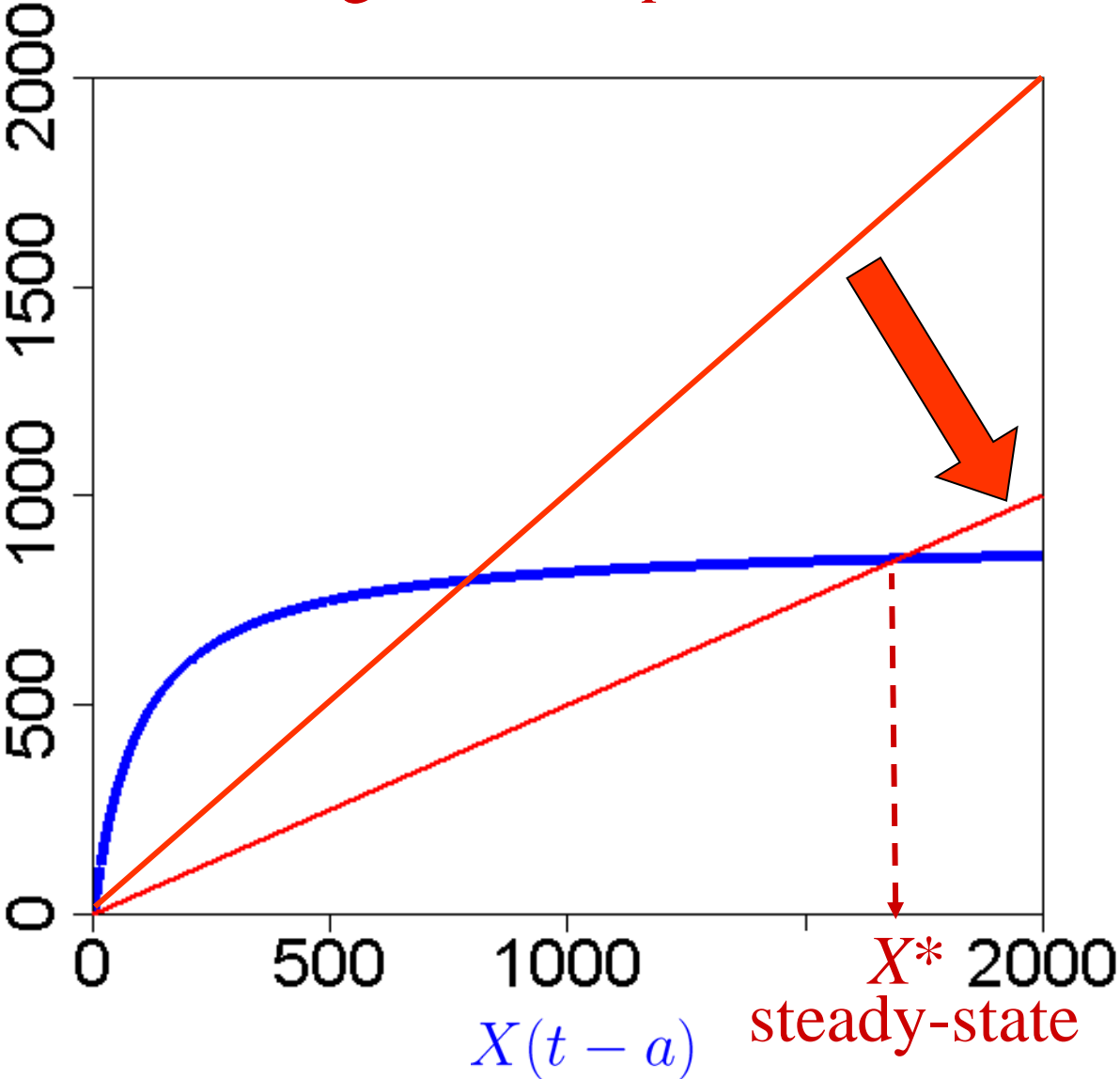


Mature fish  
at time  $t+1$ ,  
 $X(t+1)$



Replacement line now has gradient  $1-p = 0.5$

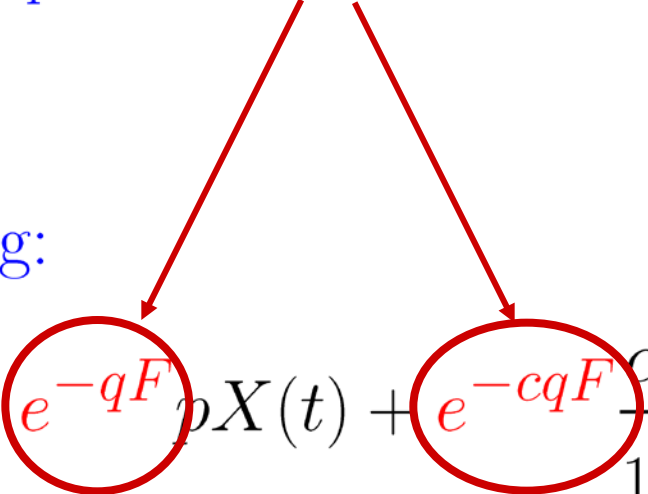
Mature fish  
at time  $t+1$ ,  
from  $X(t-a)$   
spawners





proportions that survive fishing effort  $F$

Now introduce fishing:

$$X(t+1) = e^{-qF} pX(t) + e^{-cqF} \frac{\alpha X(t-a)}{1 + \frac{X(t-a)}{K}}$$


proportions that survive fishing effort  $F$

Now introduce fishing:

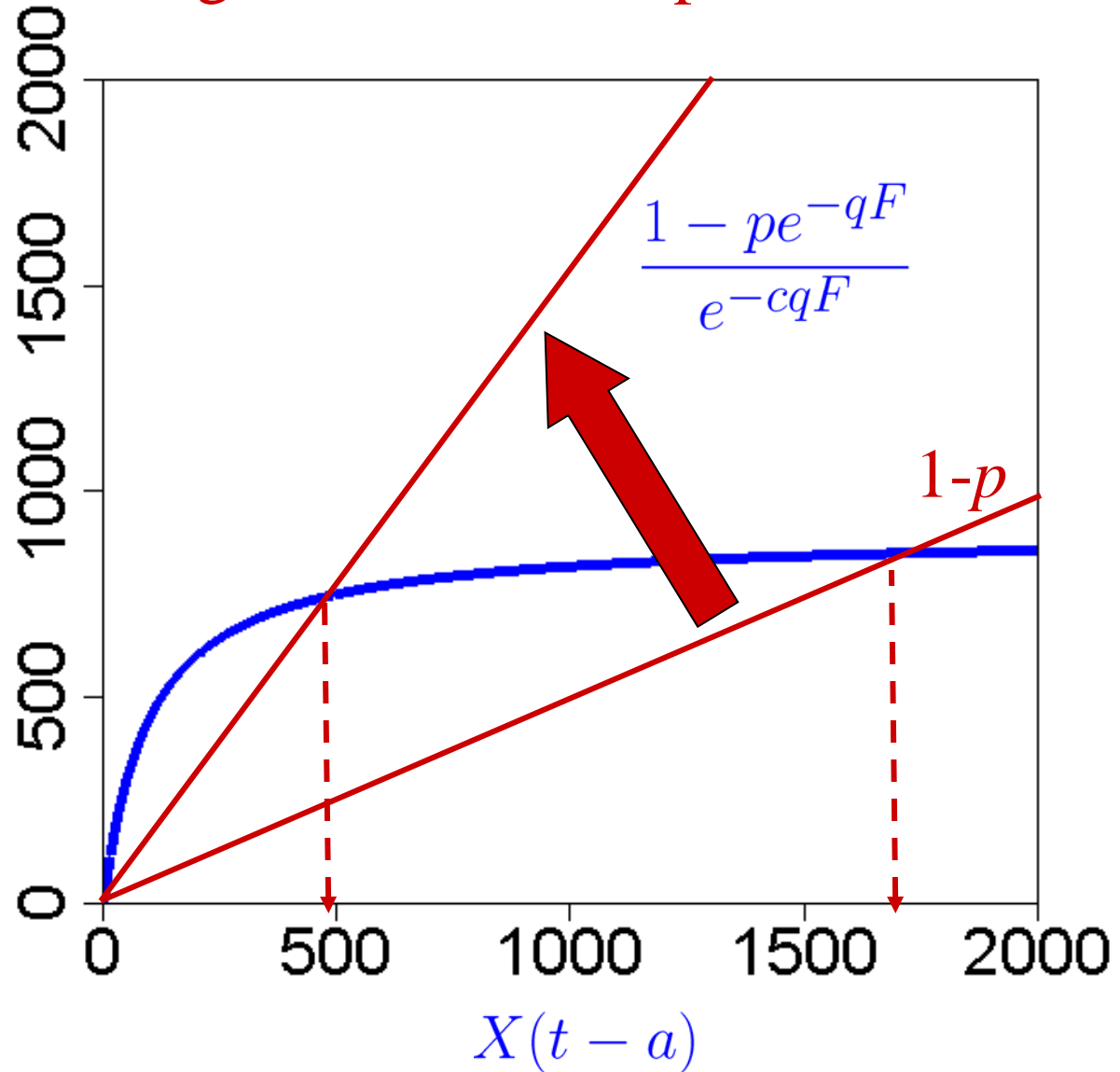
$$X(t + 1) = e^{-qF} pX(t) + e^{-cqF} \frac{\alpha X(t - a)}{1 + \frac{X(t-a)}{K}}$$

$q$  – species-specific susceptibility to fishing

$c$  – number of years fished before reaching maturity

# Fishing increases the gradient of the replacement line

Mature fish  
at time  $t+1$ ,  
from  $X(t-a)$   
spawners

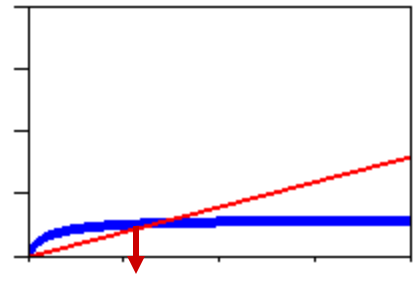
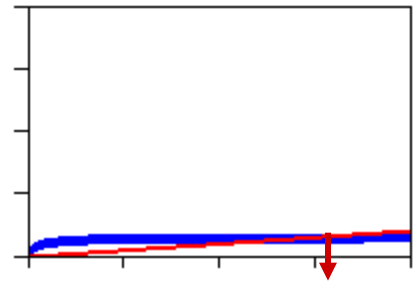


Fishing effort,  $F = 0$

Max. recruits

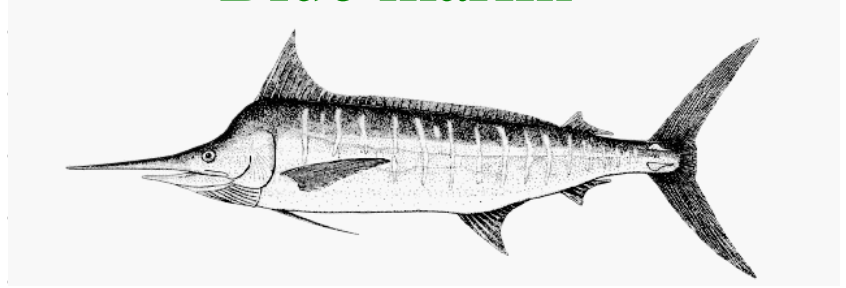
per spawner,  $\alpha = 3$

Mature fish  
at time  $t+1$



$X(t - a)$

Blue marlin



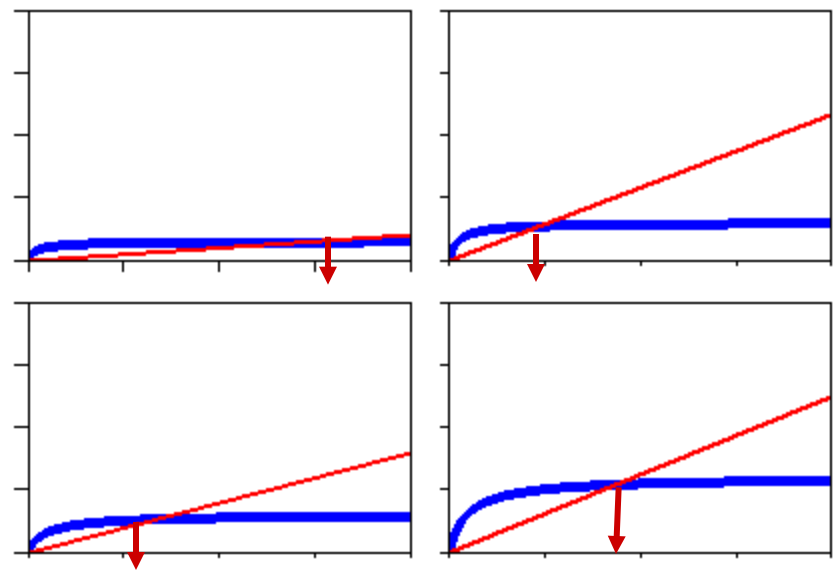
Sailfish



Replacement curve steeper  
as shorter lived species

Fishing effort,  $F =$       0                      0.2  
 Max. recruits  
 per spawner,  $\alpha =$     3                      6

Mature fish  
 at time  $t+1$



Blue marlin

Sailfish

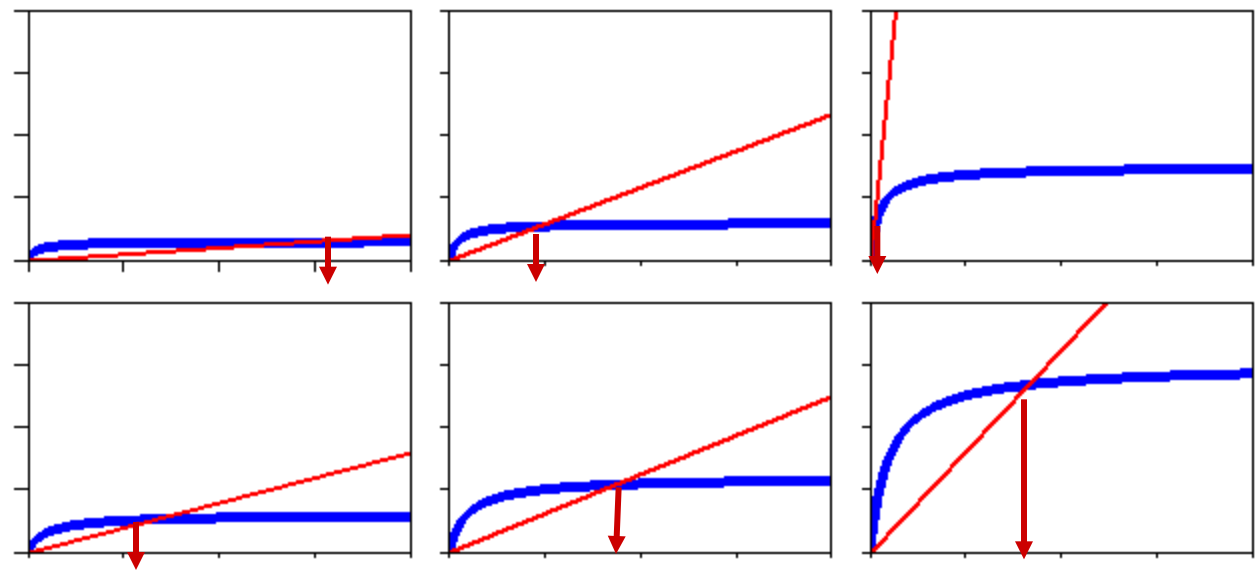
$X(t - a)$

Fishing effort,  $F =$       0                      0.2                      0.8

Max. recruits  
per spawner,  $\alpha =$     3                      6                      15

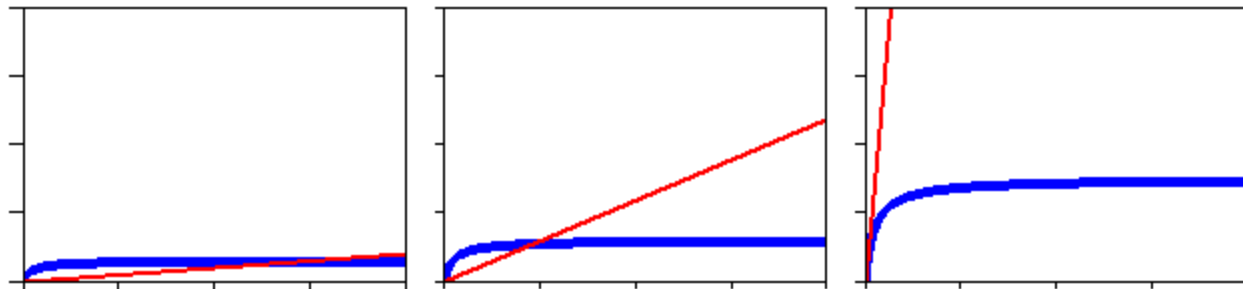
Blue marlin  
Mature fish  
at time  $t+1$

Sailfish

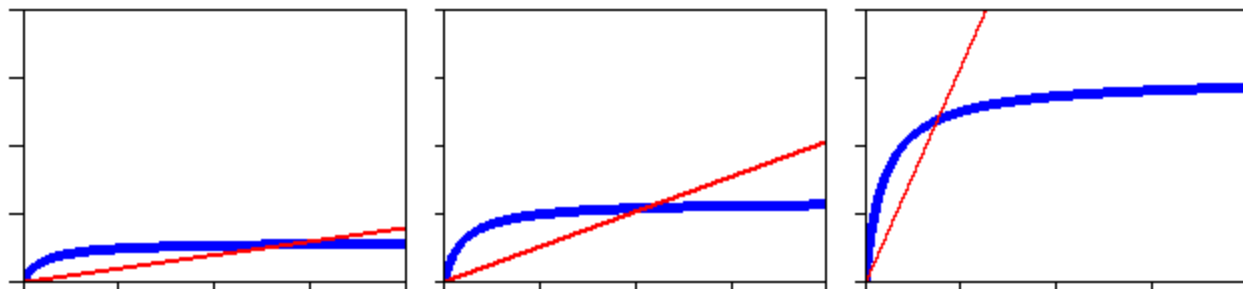


$X(t - a)$

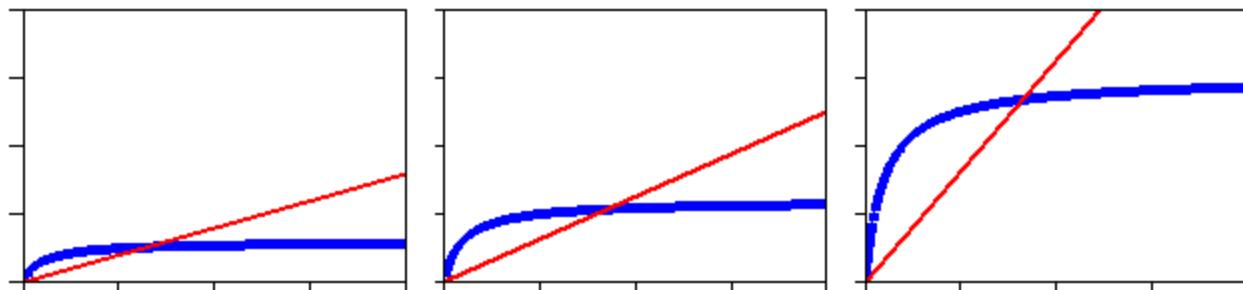
Blue marlin



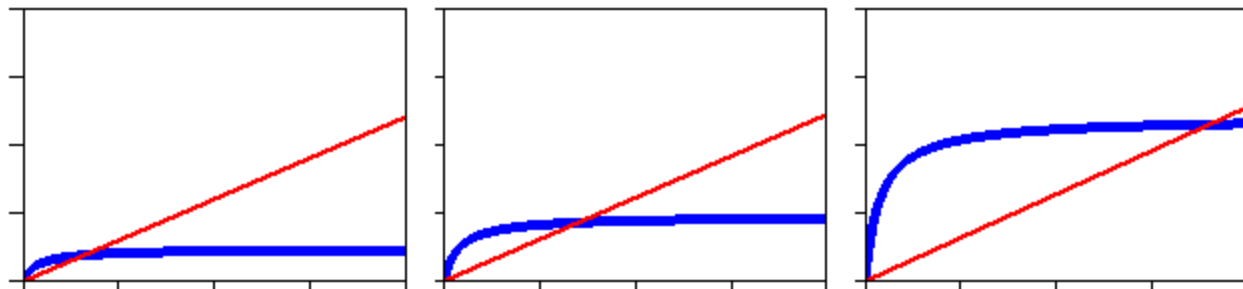
Albacore



Sailfish



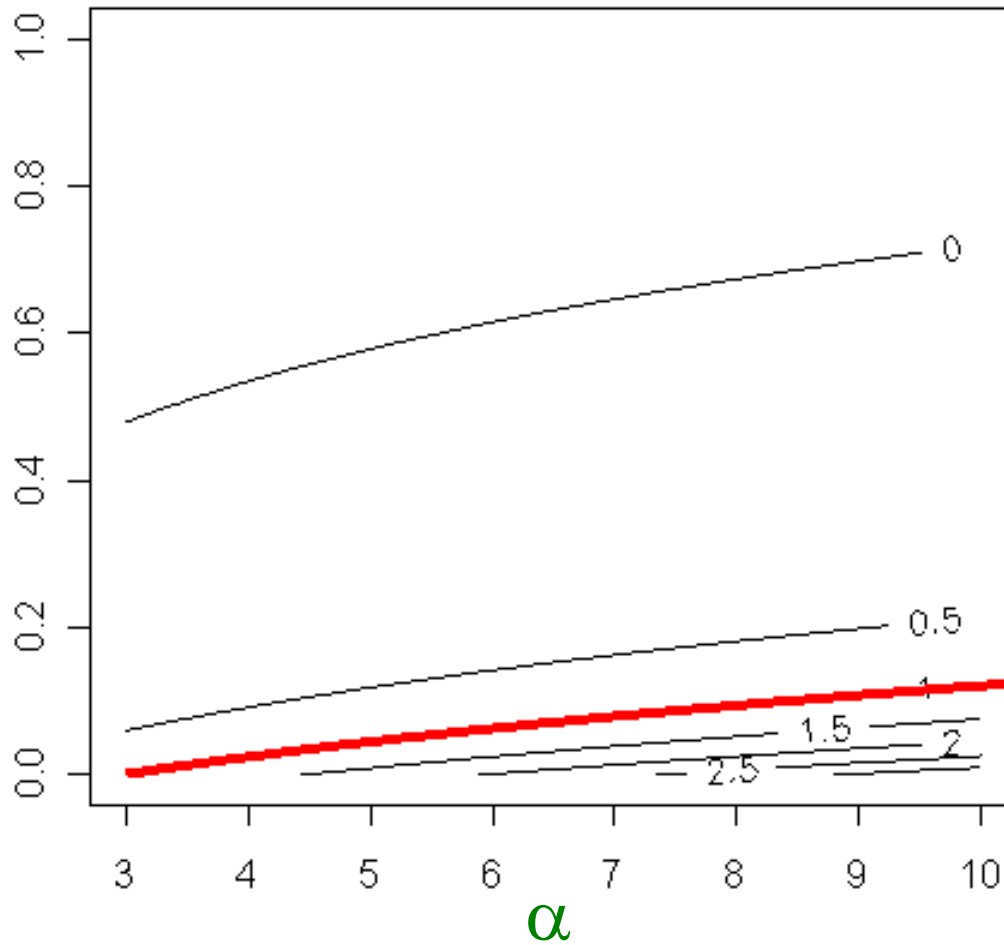
Pomfrets



Plot contours of the ratio  $R$  of the steady state with fishing to the unfished steady state:

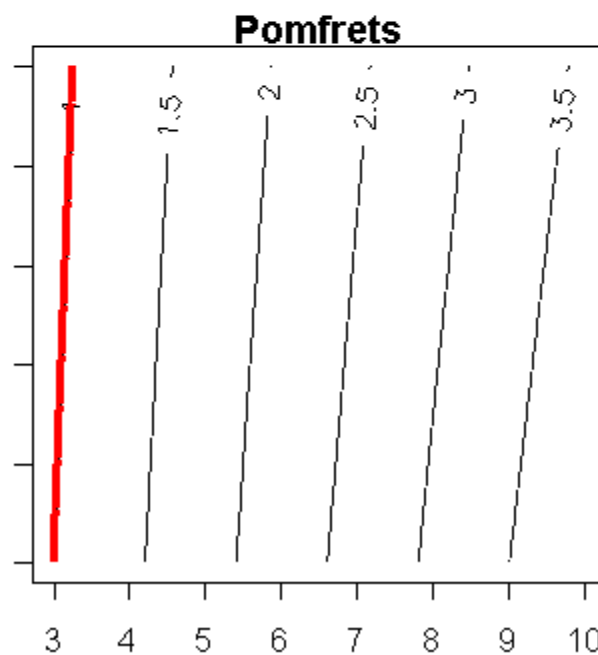
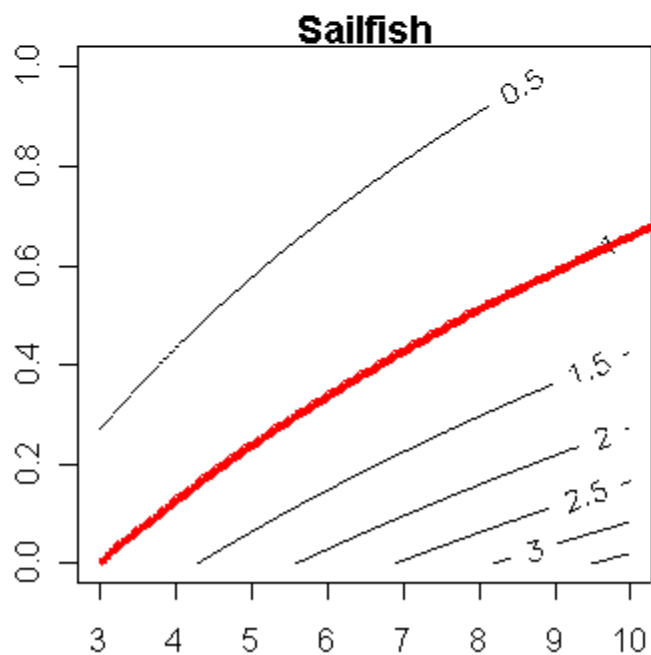
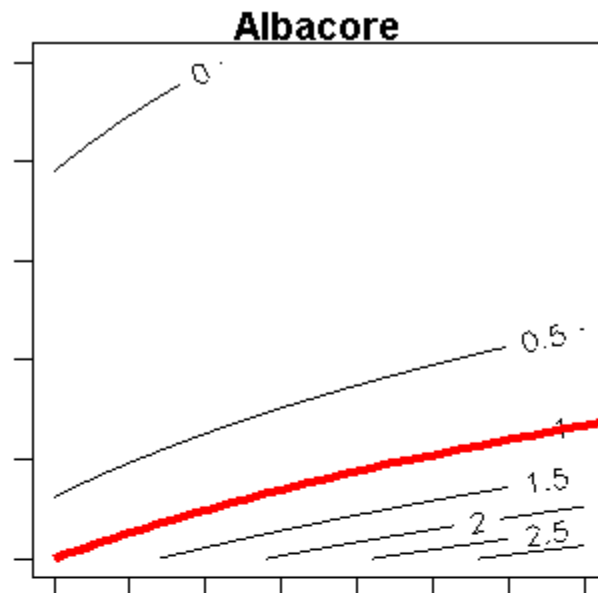
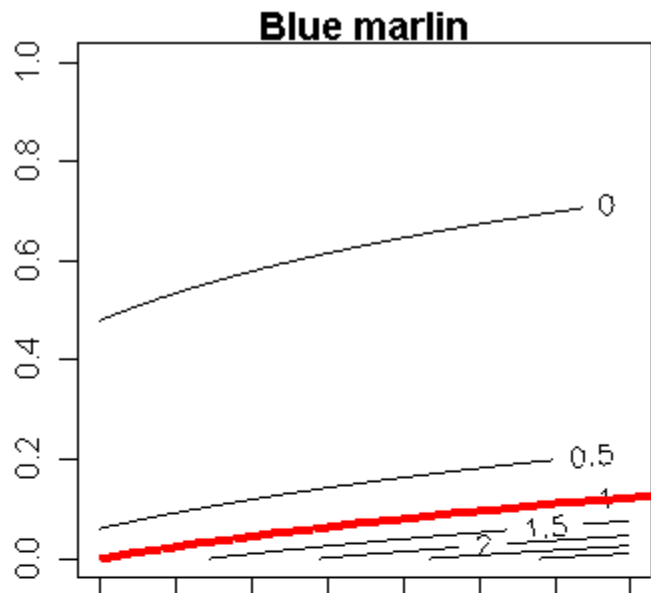
### Blue marlin

Fishing effort





Fishing effort



$\alpha$

# State-Space models (SSMs)

- Time series models
- Infer unobservable (true) states from data observed with error
- Separate process noise from estimation error
- Extremely flexible framework
  - Accommodates many model structures

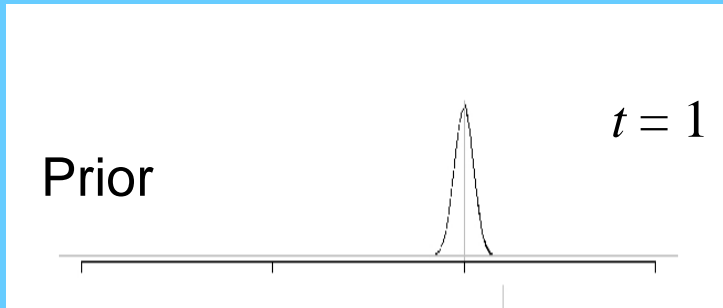
# SSMs in more detail

- Measurement eqn.
  - Relates ‘true’ locations to observed via error function

$$y_t = h_t(\alpha_t, \varepsilon_t)$$

- Transition eqn.
  - Describes movement process

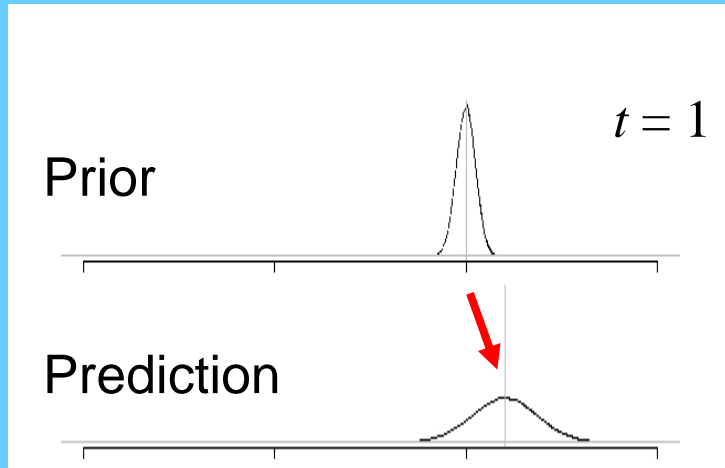
$$\alpha_t = f_t(\alpha_{t-1}, \eta_t; \gamma)$$



$$p(\alpha_1 | \alpha_0)$$

1<sup>st</sup> location = release point

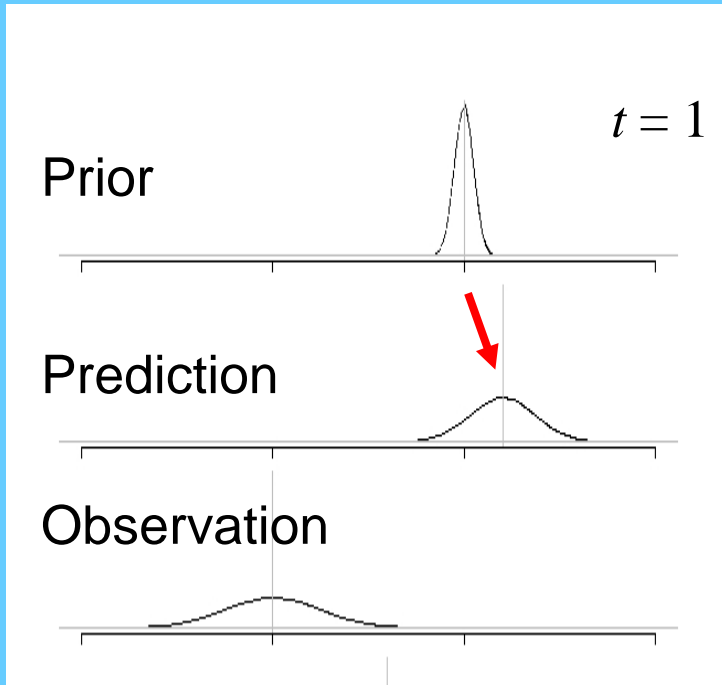
*eg* release location estimated with GPS



Apply dynamics (transition eqn)

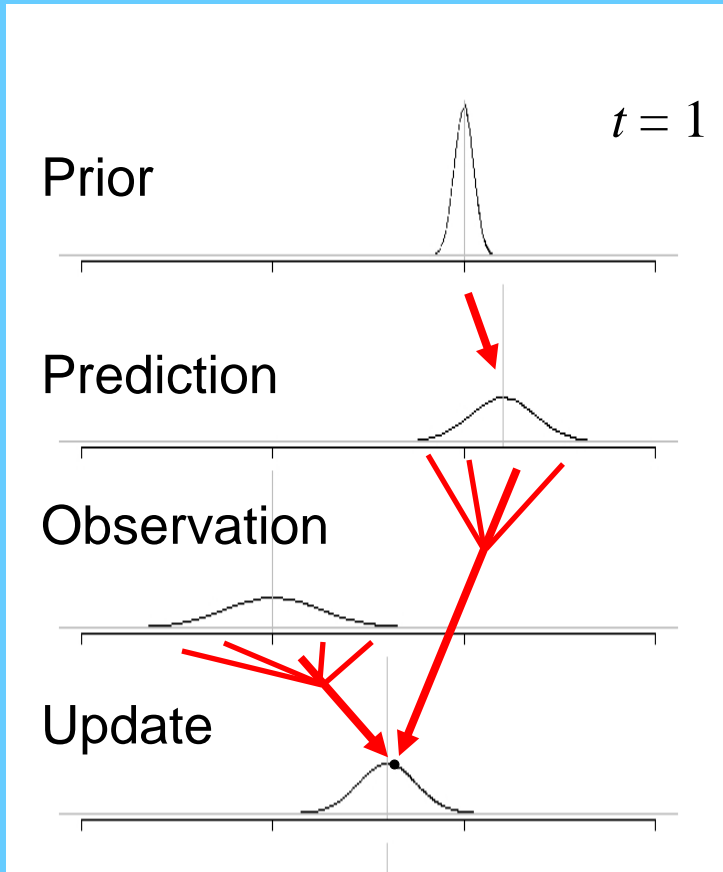
$$p(\alpha_t | Y_{t-1}; \gamma) =$$

$$\int p_\alpha(\alpha_t | \alpha_{t-1}; \gamma) p(\alpha_{t-1} | Y_{t-1}; \gamma) d\alpha_{t-1}$$



Observe a location with error;  $\sigma$

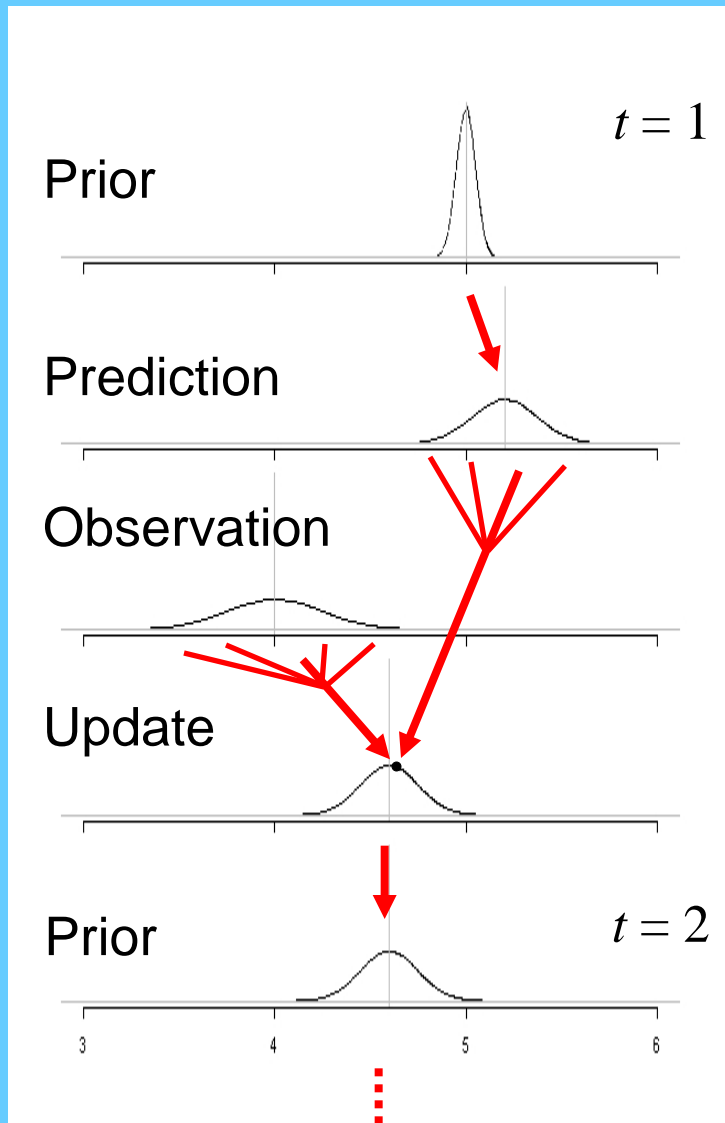
$$p_y(y_t | \alpha_t) = \frac{1}{\sigma\sqrt{2\pi}} e^{-\frac{1}{2}[(y_t - \mu)/\sigma]^2}$$



Integrate over predicted & observed densities:  
Bayes Rule

$$p(\alpha_t | Y_t; \gamma) =$$

$$\frac{p_y(y_t | \alpha_t) p(\alpha_t | Y_{t-1}; \gamma)}{\int p_y(y_t | \alpha_t) p(\alpha_t | Y_{t-1}; \gamma) d\alpha_t}$$



Updated prediction becomes prior for next time step

$$p(\alpha_{t-1} | Y_{t-1}; \gamma)$$



# Estimate biology ( $\gamma$ )

- Innovation for likelihood function
- Allows estimation of  $\gamma$
- Denominator of Bayes Rule

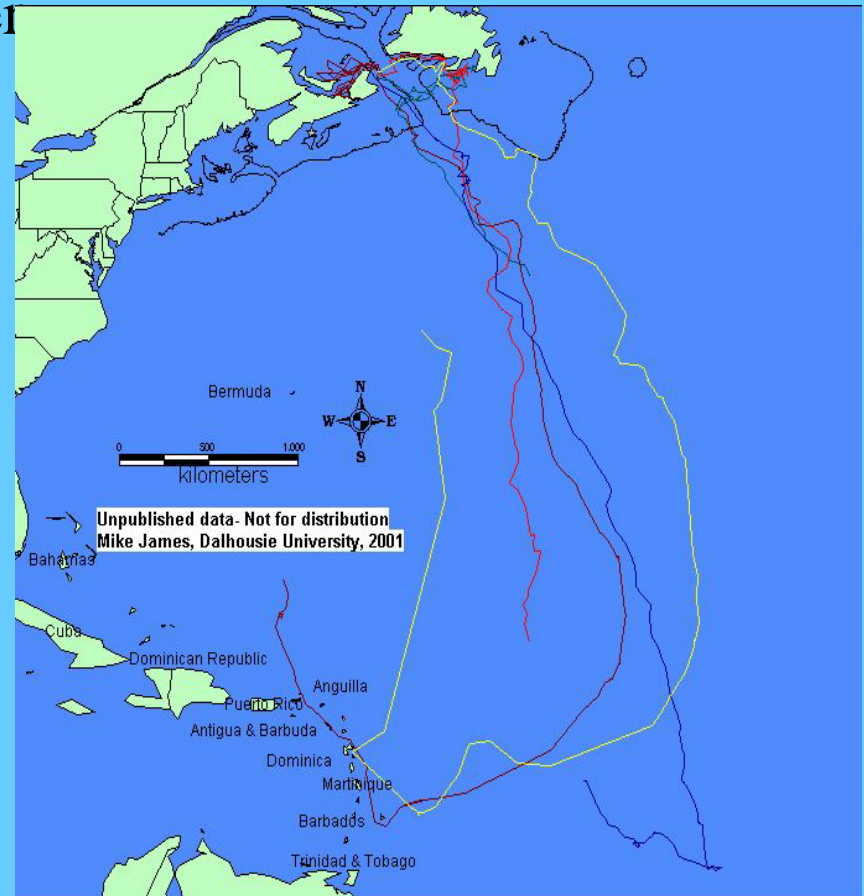
$$L(\gamma; \mathbf{Y}_T) = p(\mathbf{Y}_T; \gamma) = \prod_{t=1}^T p(y_t | \mathbf{Y}_{t-1}; \gamma) =$$

$$\prod_{t=1}^T \int p_y(y_t | \alpha_t) p(\alpha_t | \mathbf{Y}_{t-1}; \gamma) d\alpha_t$$

# Meta-analysis is required

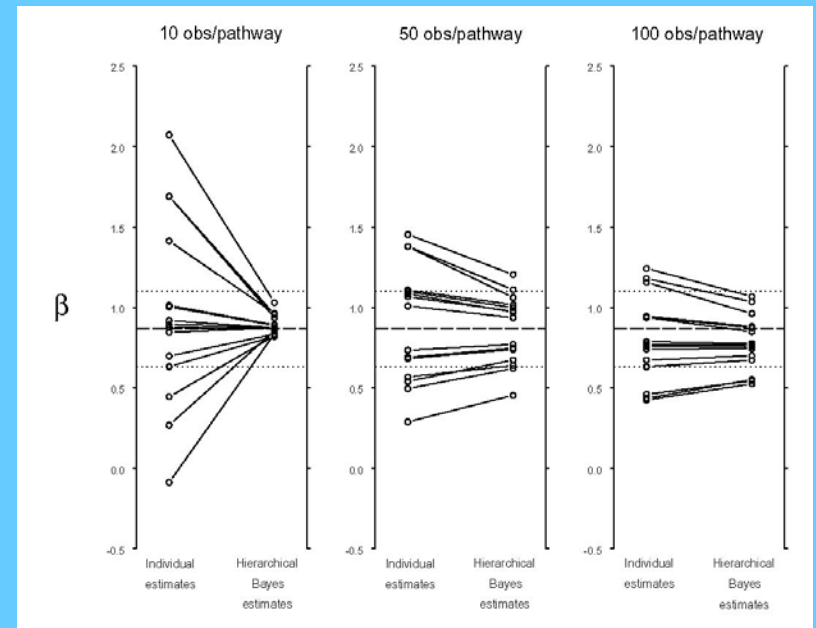
- Information combined over multiple pathways
- Biological parameters,  $\gamma$ , random variables

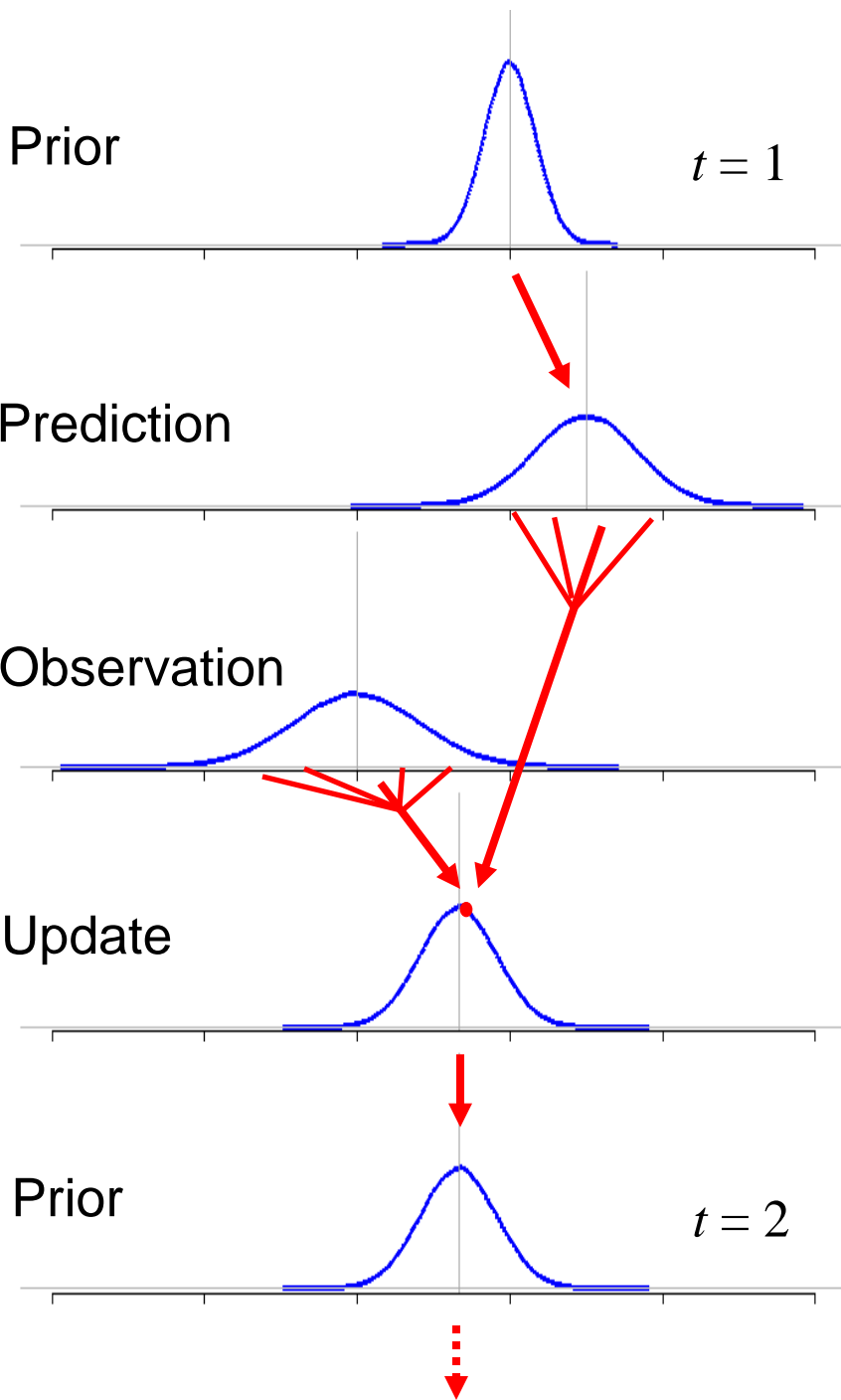
$$\gamma_i \sim N(\mu, \sigma^2)$$



# Meta-analysis is required

- Optimal parameter estimation for data-poor paths
- Individual variation inferred





**1<sup>st</sup> location = release point**

***eg.* release location estimated with GPS**

**Apply dynamics (transition eqn)**

**Observe a location with error**

**Integrate over predicted & observed densities (Bayes Rule)**

**Updated prediction becomes prior for next time step**

# Software

WinBUGS: Bayesian Analysis Using Gibbs Sampling

## Bayes Rule

$$p(\mathbf{x}_t | Y_t; \gamma) = \frac{p_y(\mathbf{y}_t | \mathbf{x}_t) p(\mathbf{x}_t | Y_{t-1}; \gamma)}{\int p_y(\mathbf{y}_t | \mathbf{x}_t) p(\mathbf{x}_t | Y_{t-1}; \gamma) d\mathbf{x}_t}$$

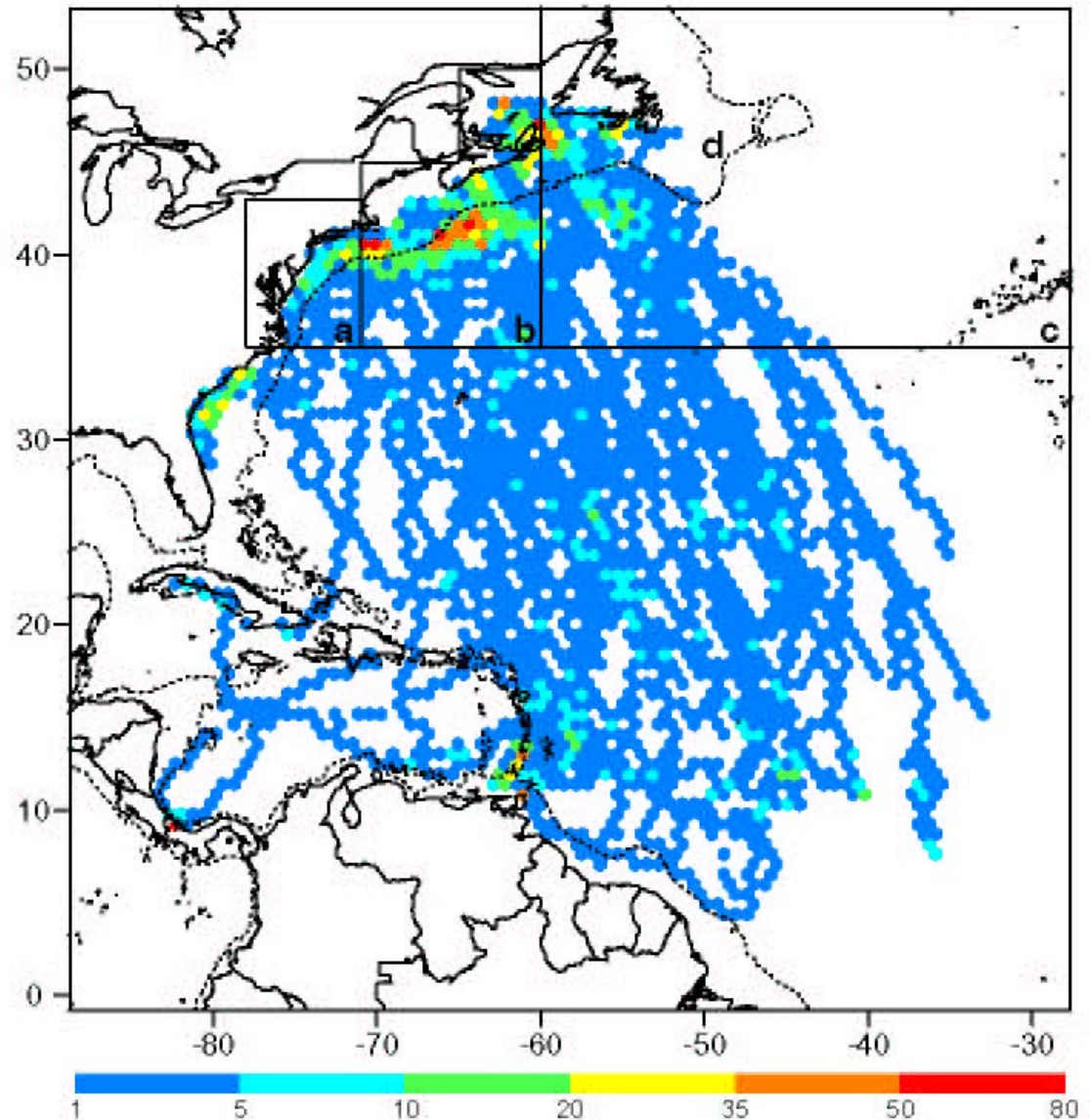
<http://www.mrc-bsu.cam.ac.uk/bugs/>

Mike James  
Andrea Ottensmeyer



# Identification of high-use areas and threats to leatherback sea turtles in northern waters

James, Ottensmeyer and Myers  
Ecology Letters (2005)

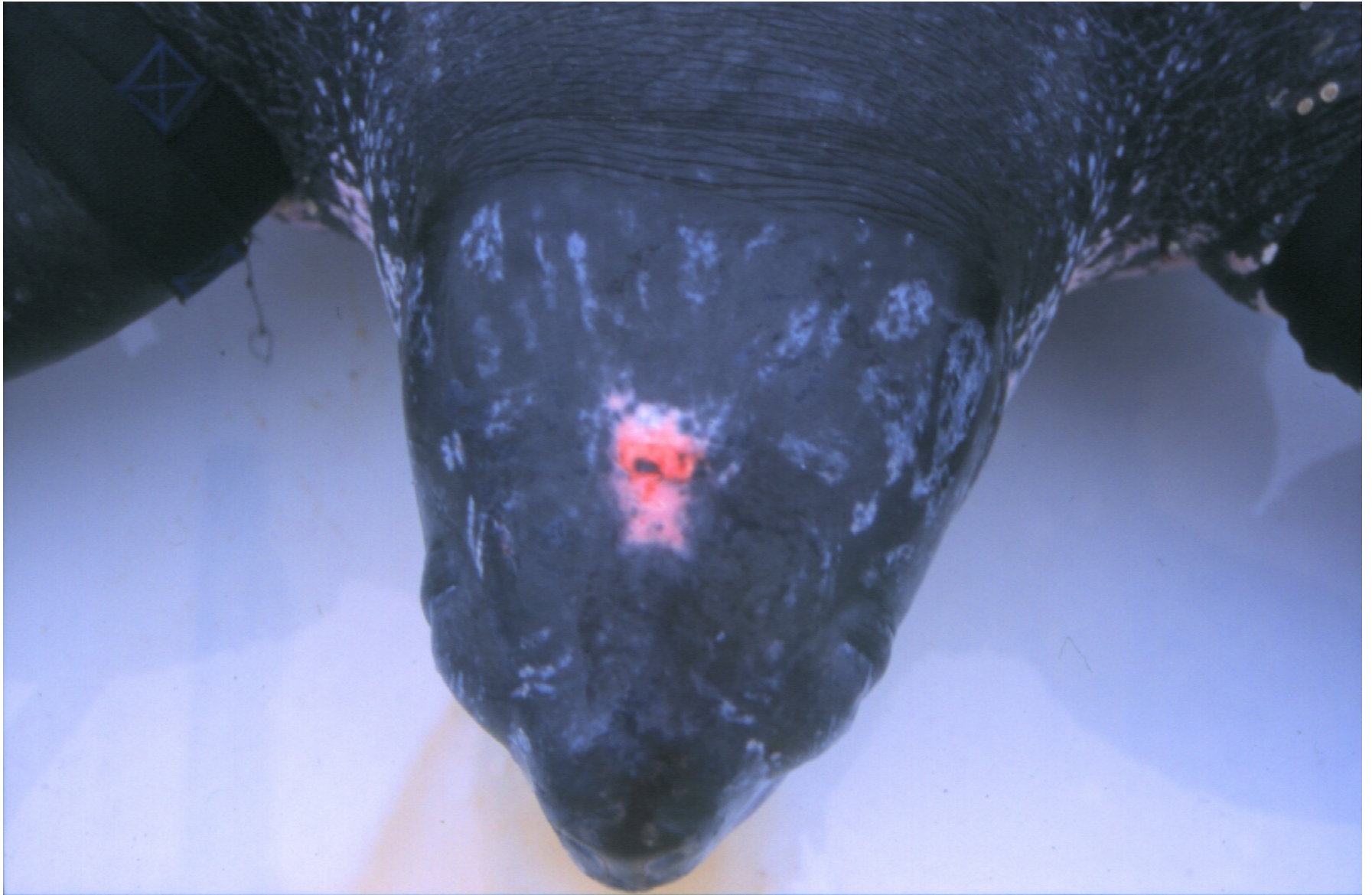


What you can do if you  
take Stats 2050



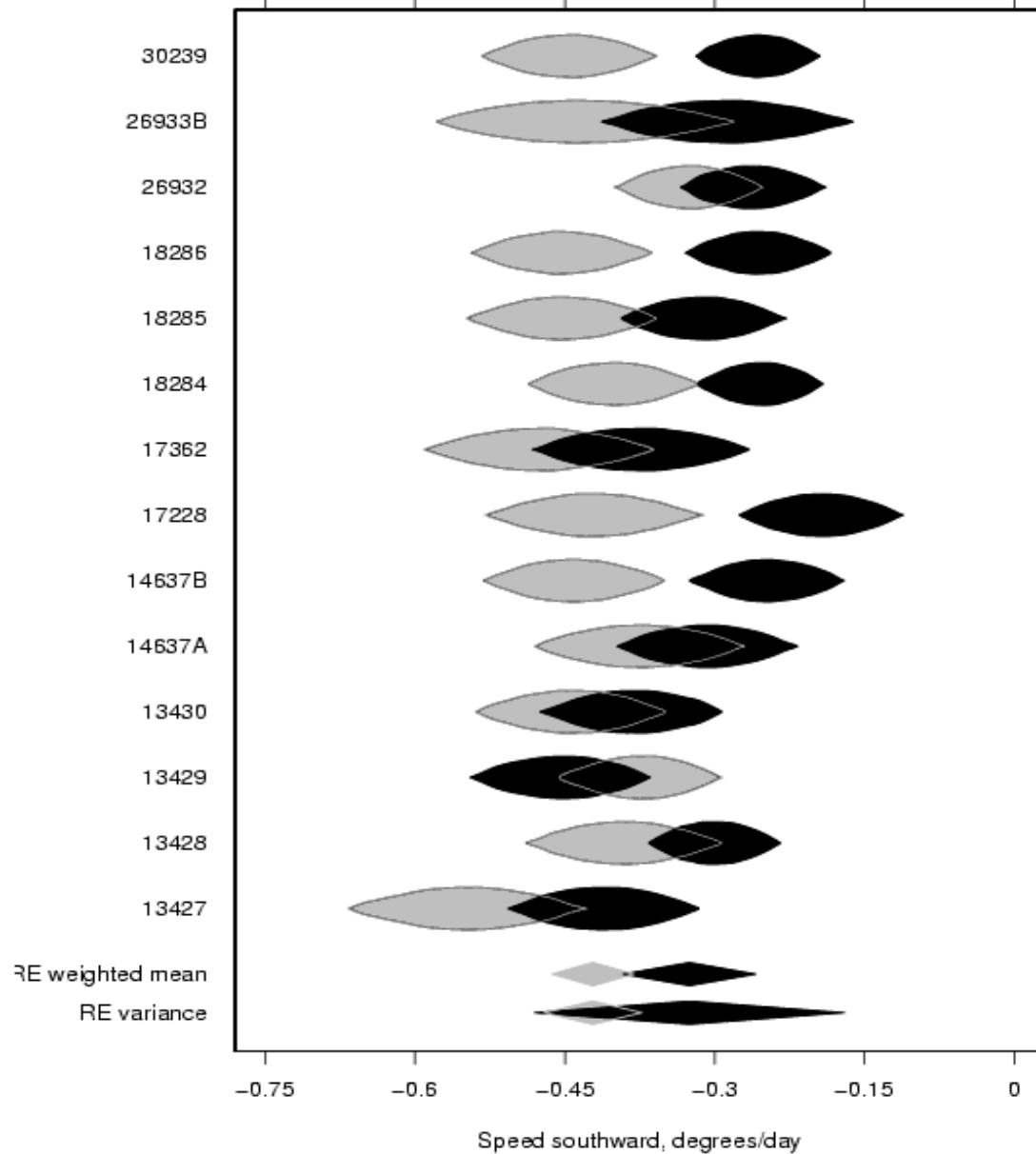


Leatherback turtles are unique in that they expose their pineal spot to sunlight.





# Turtles make more progress south during the day







# Robust Estimation

- Does it make sense to have an error distribution with infinite variance?
- The estimated t-distributions sometimes have degrees of freedom less than 2, i.e. infinite variance.



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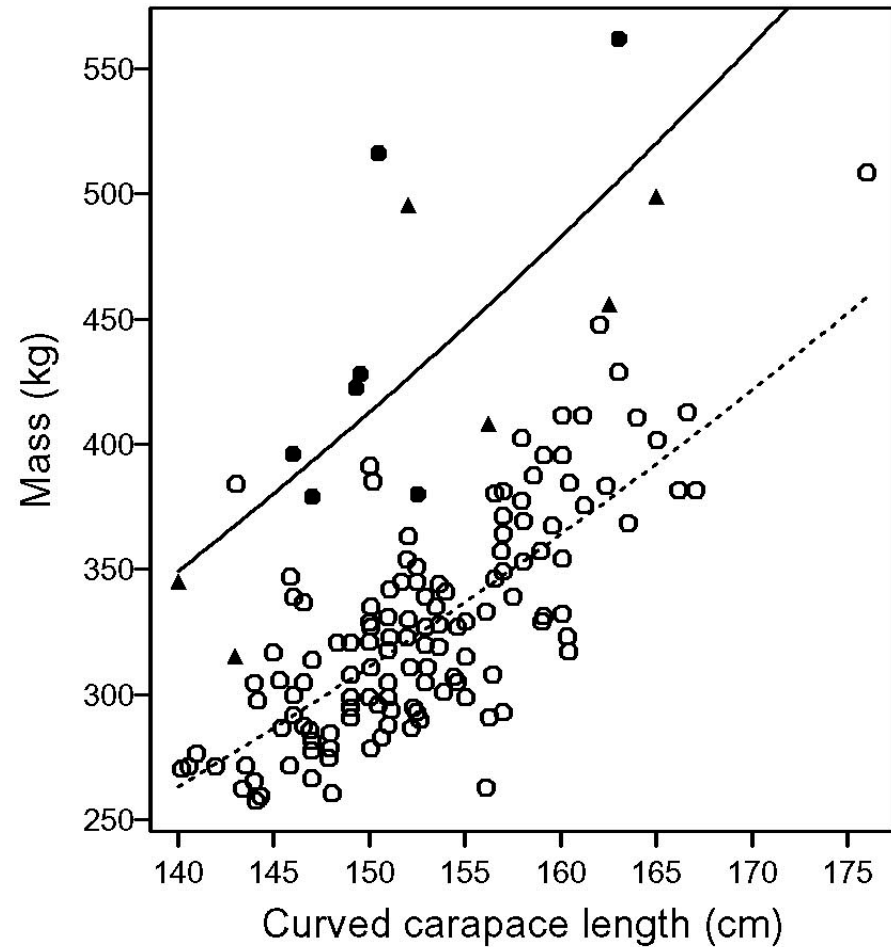


Photo by Matthew Godfrey





# Weights in Canadian waters



Turtles are  
33% heavier in Canadian coastal  
areas versus on the nesting  
beach

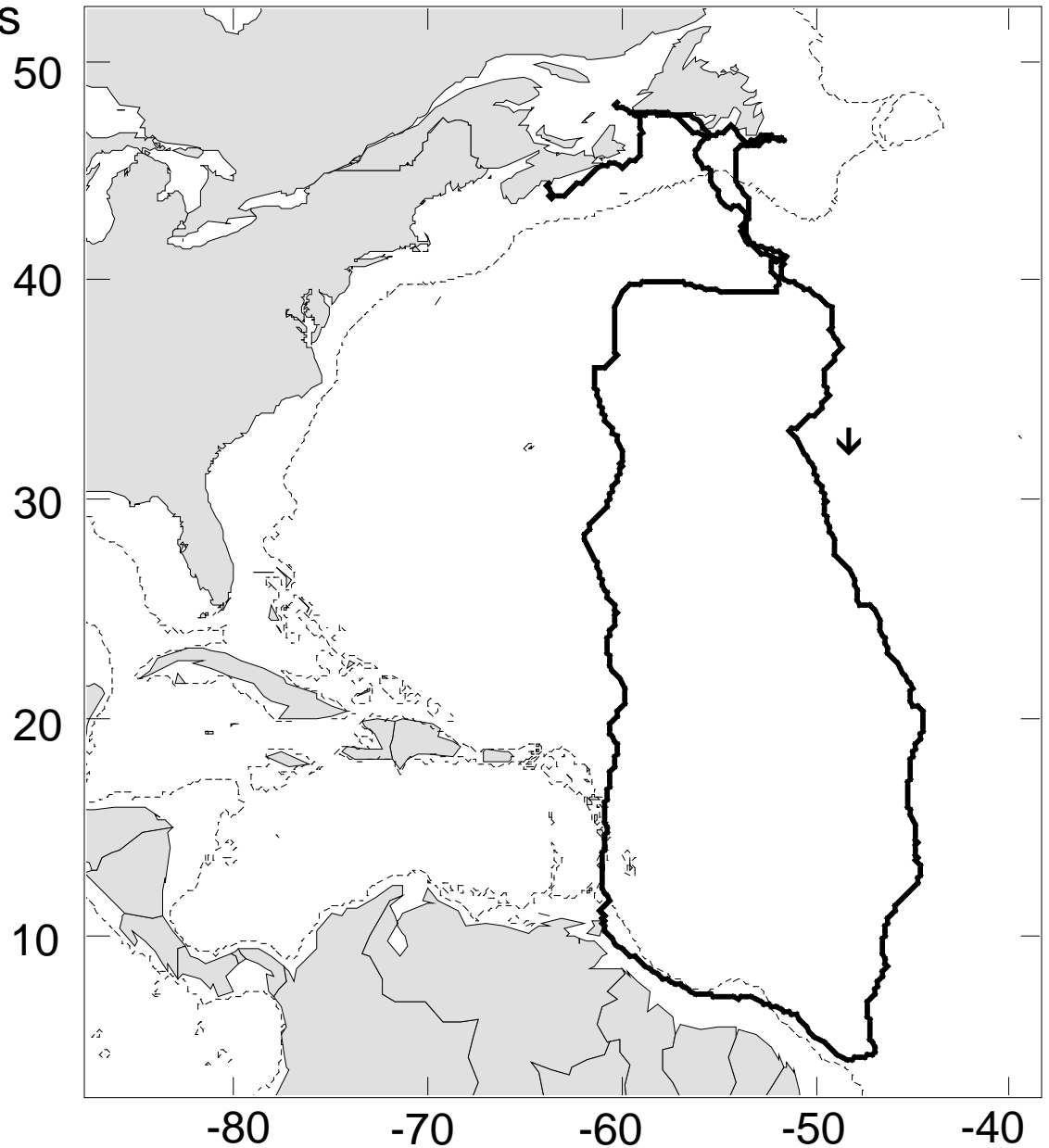


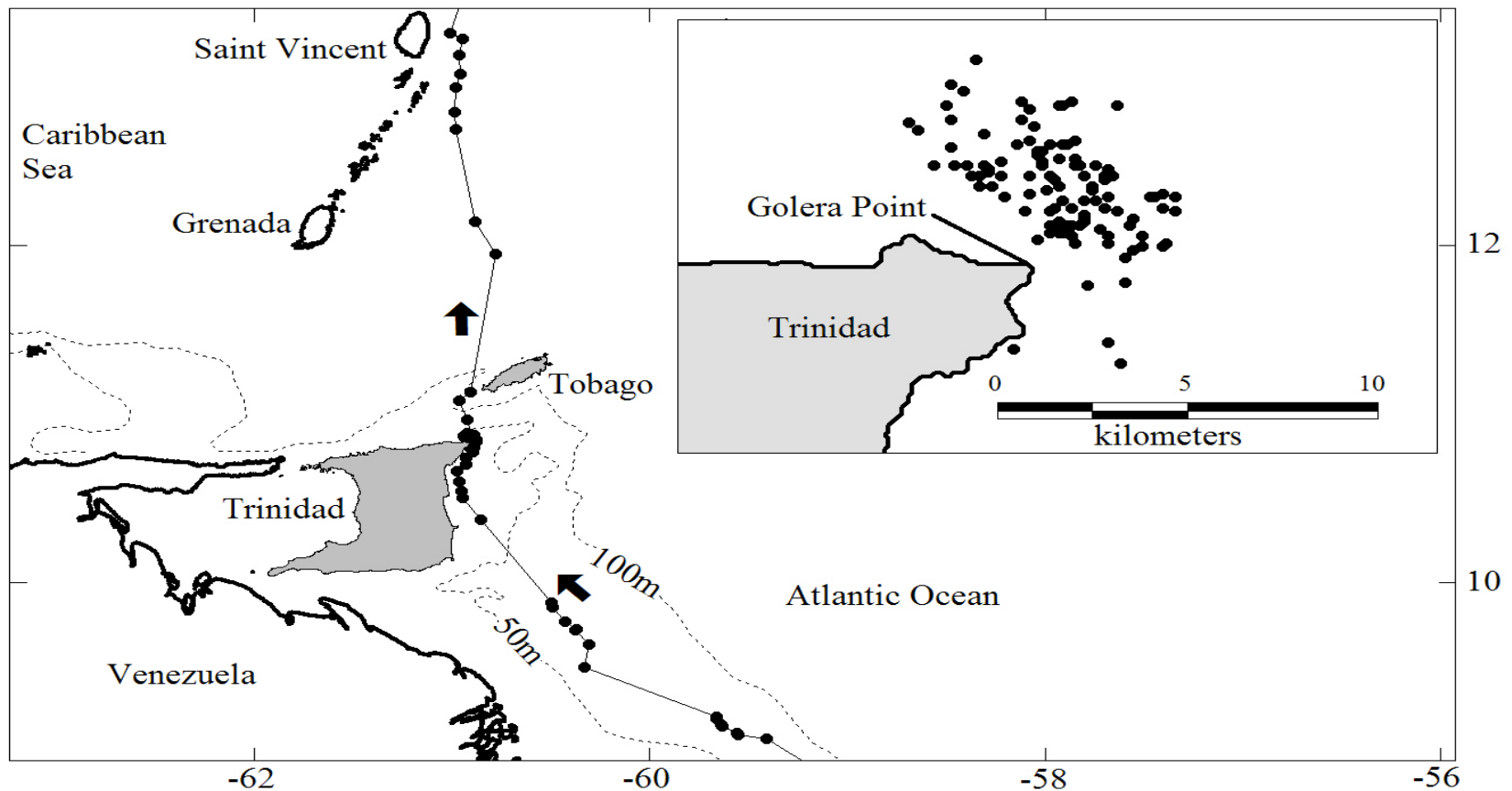
Nesting female morphometrics: St. Croix, U.S.V.I.  
Boulon et al. 1996. *Chelonian Conserv, Biol.* 2:141-147.  
Lines fit by constant slope analysis of covariance after log transformation.

## Male leatherback movements

- not previously described
- annual migratory cycle that includes movement between temperate foraging areas and tropical breeding areas

James, Eckert and Myers  
Marine Biology (*in press*)





Male residency in nearshore waters off large nesting colonies

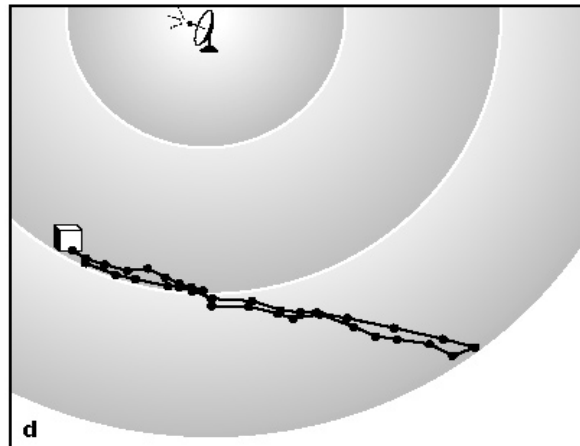
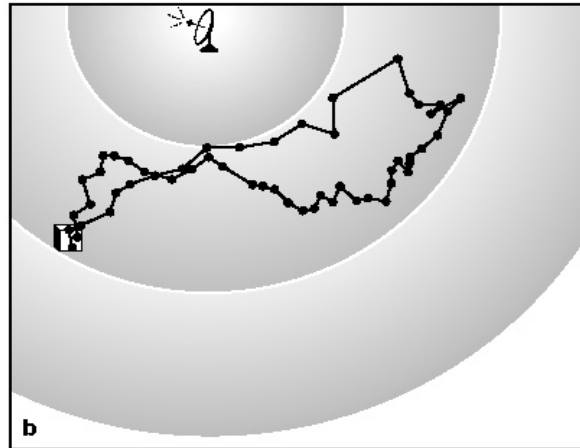
- Location and timing of mating activity not previously known
- long-term tracking (e.g. 20 months +) reveals fidelity for breeding areas

# Meta-analytic State Space Movement Models

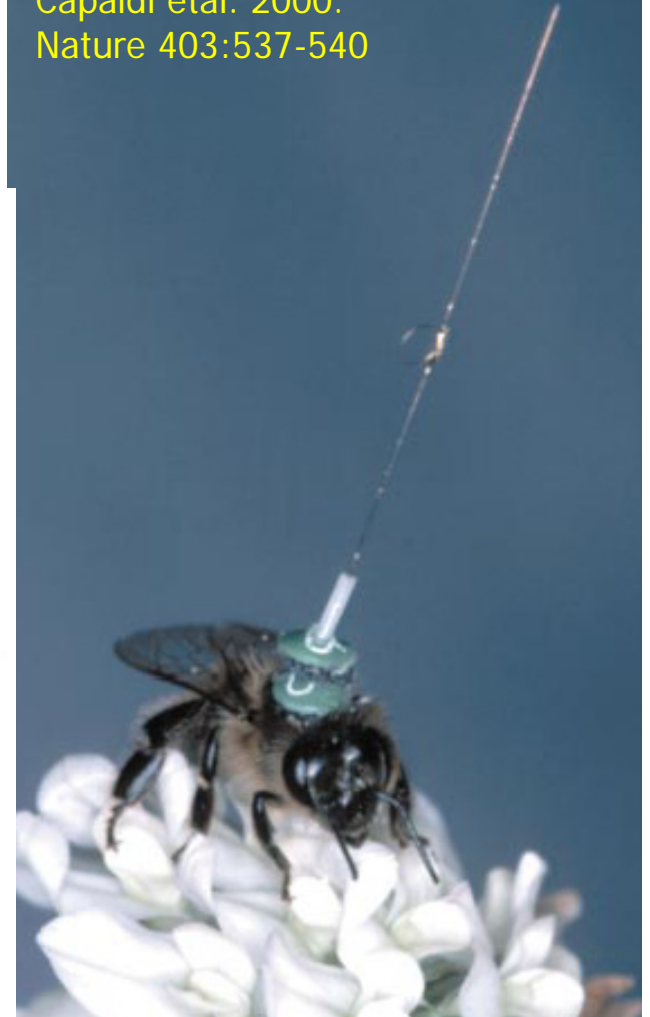
Ian Jonsen

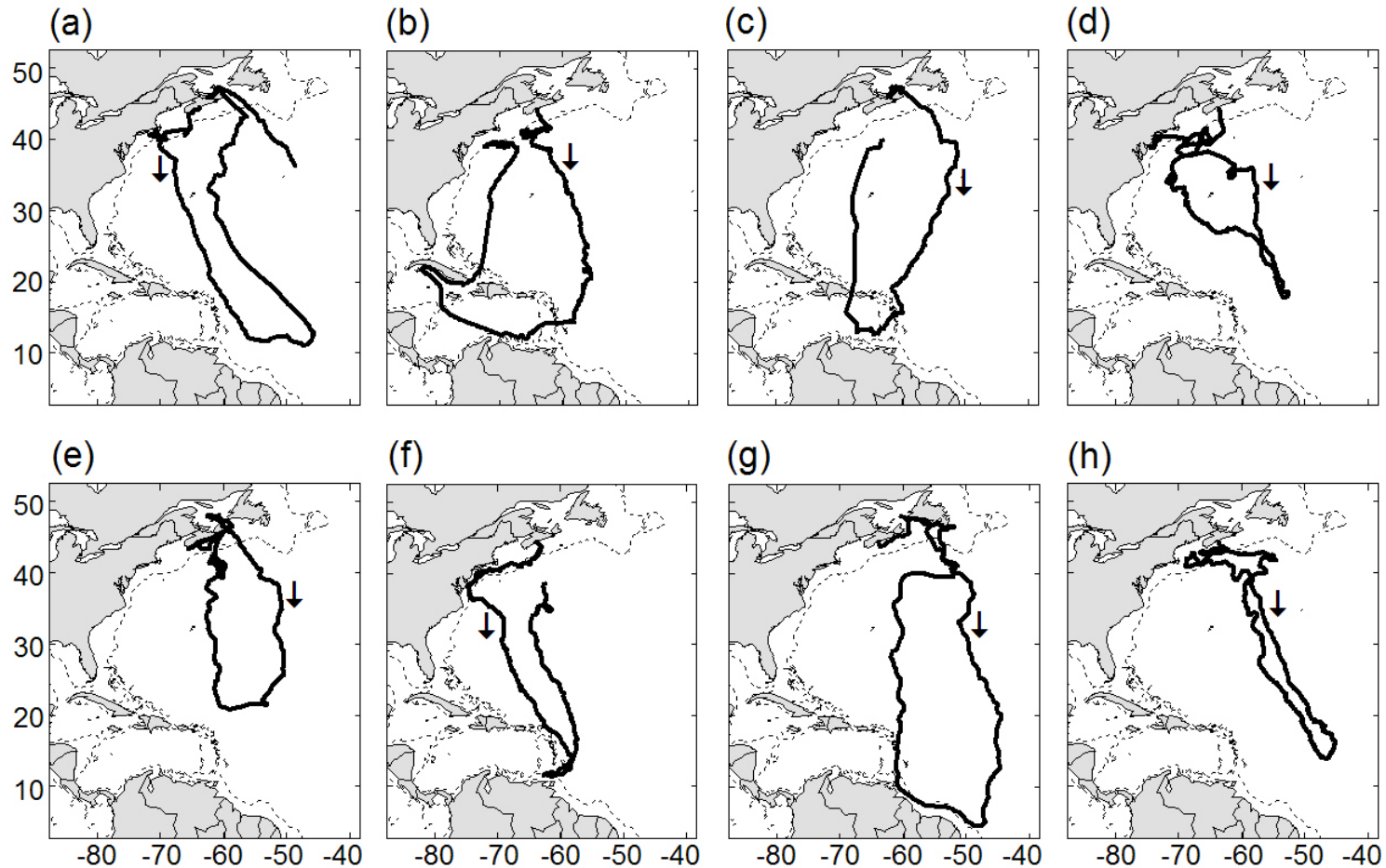
Joanna Mills

Greg Breed



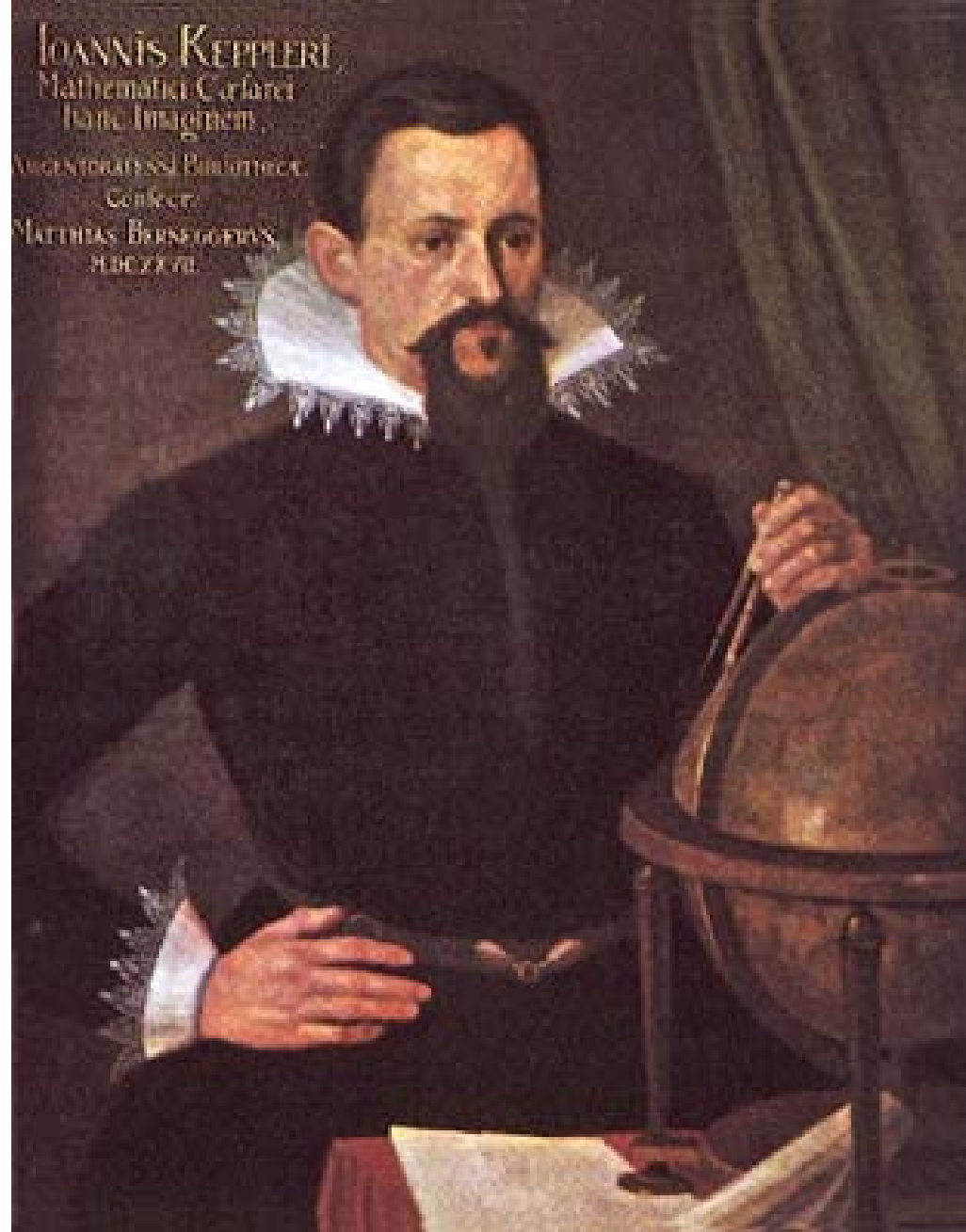
Capaldi et al. 2000.  
Nature 403:537-540



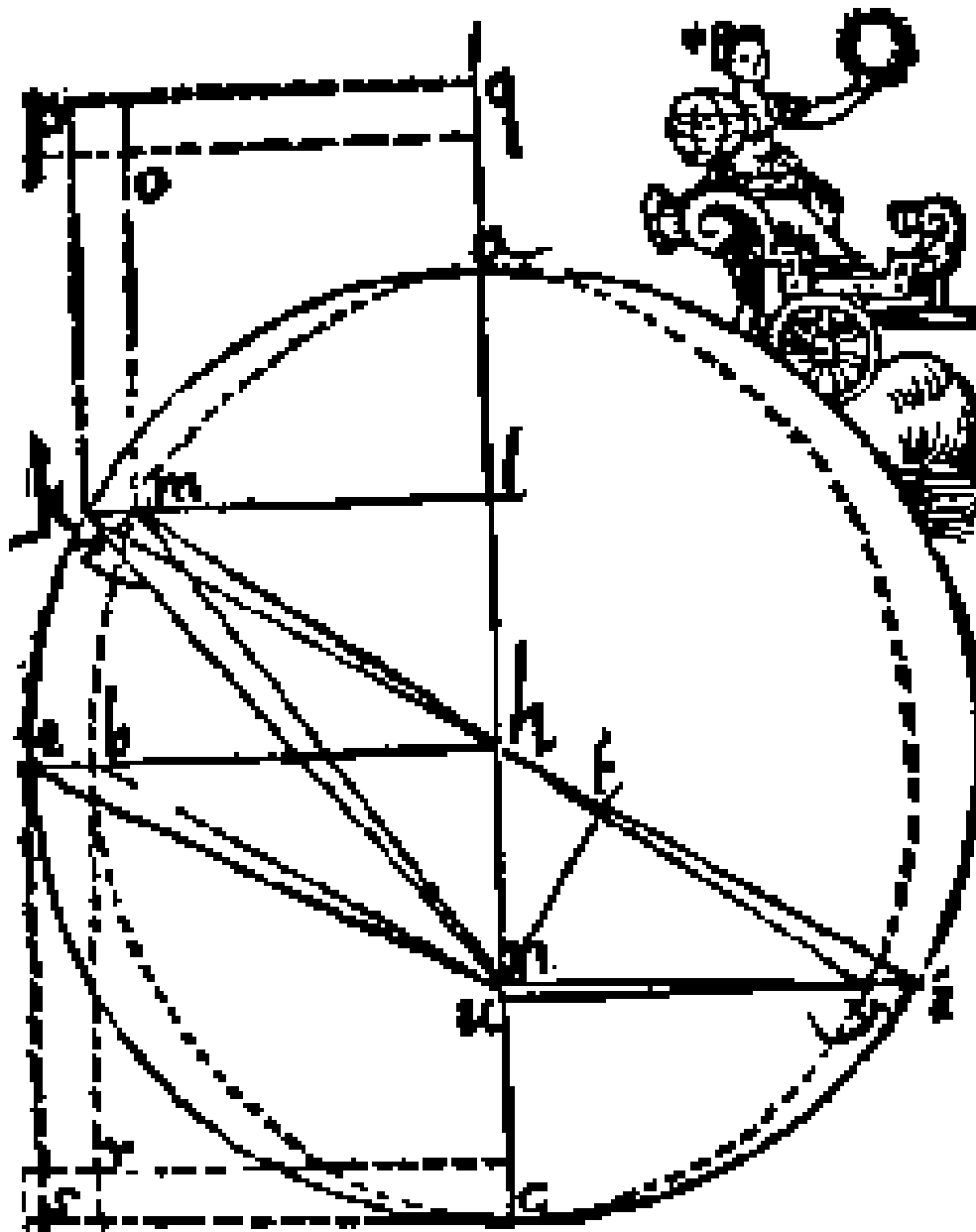


- First documented return migrations to foraging areas
- return migrations to Canada/Northeastern U.S. are annual
- similar migratory cycle for sub-adults and females in their interesting years
- modified cycle for mature males and nesting females (nearshore phase in tropical waters)

Why studying trajectories is an important thing to do.



Imperial Mathematician

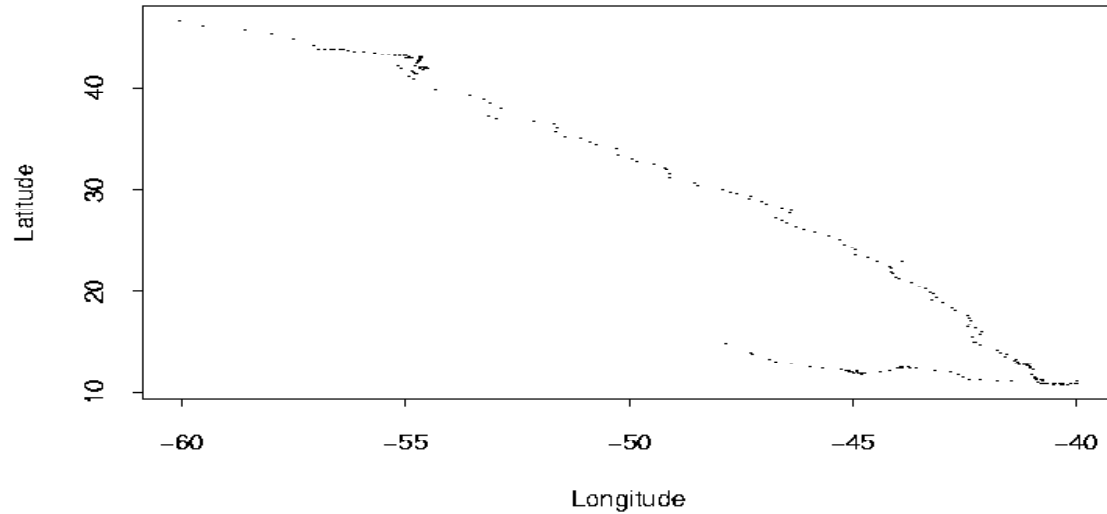


Kepler's elliptical orbit for Mars.

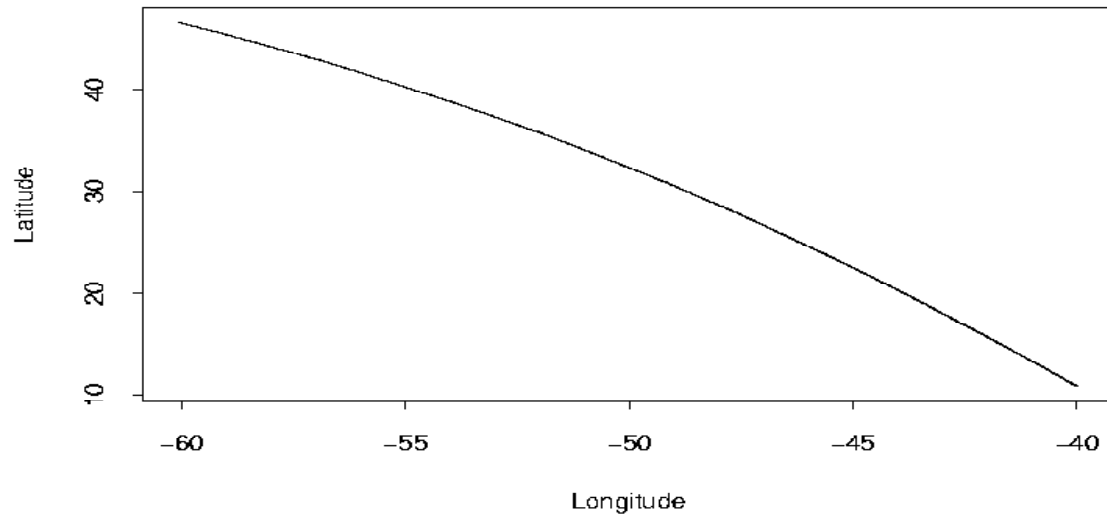


# Do animals follow Great Circle Routes for long distance migration?

Regularized Track of Turtle 18284

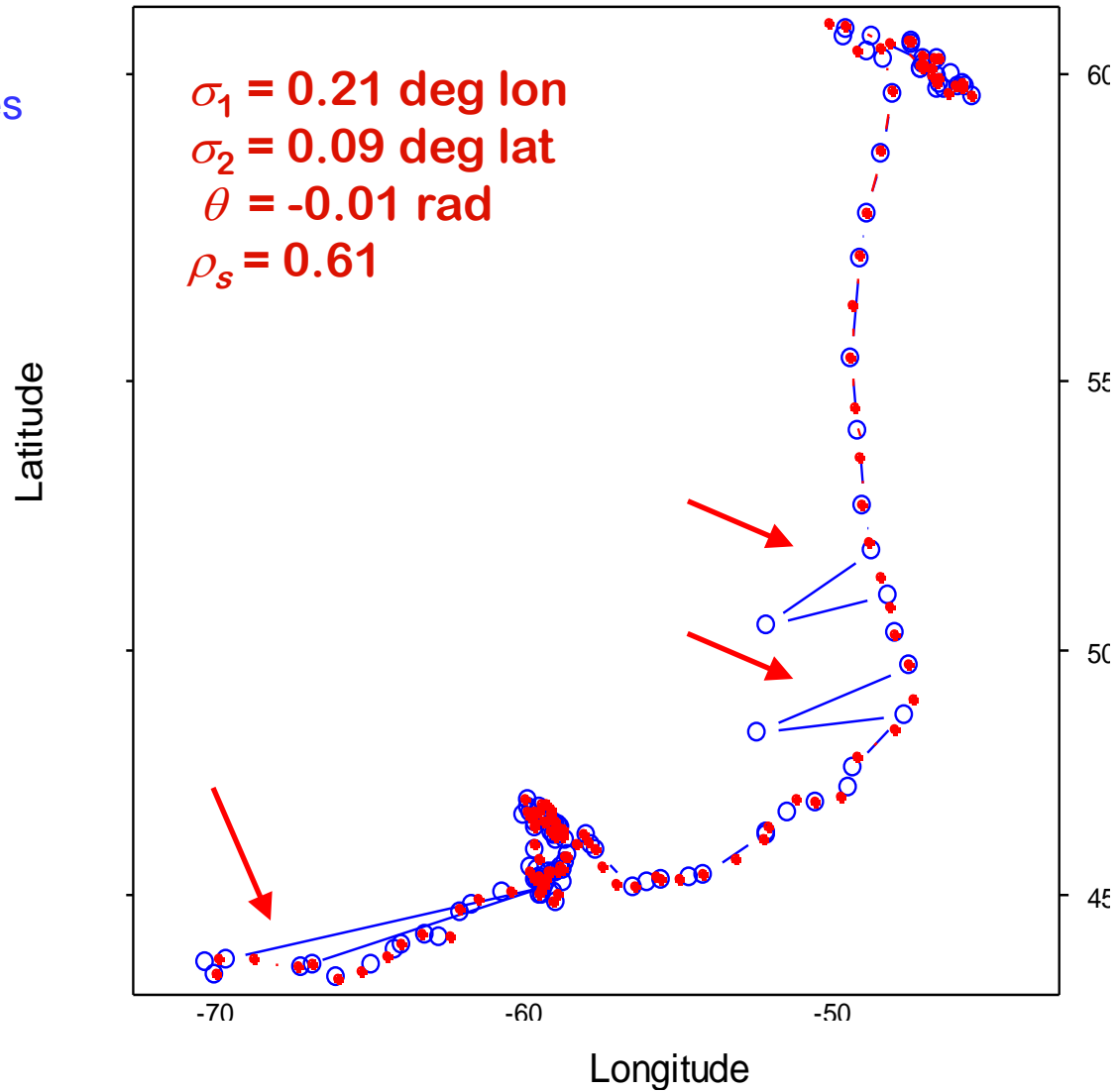


Corresponding GC Route



# Filtered Data

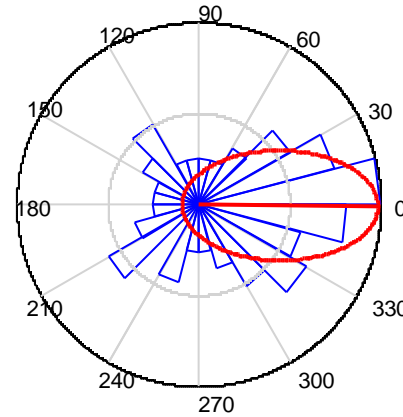
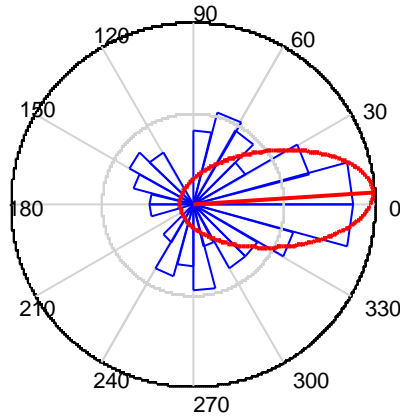
- Raw data
- State estimates



# Derived Variables

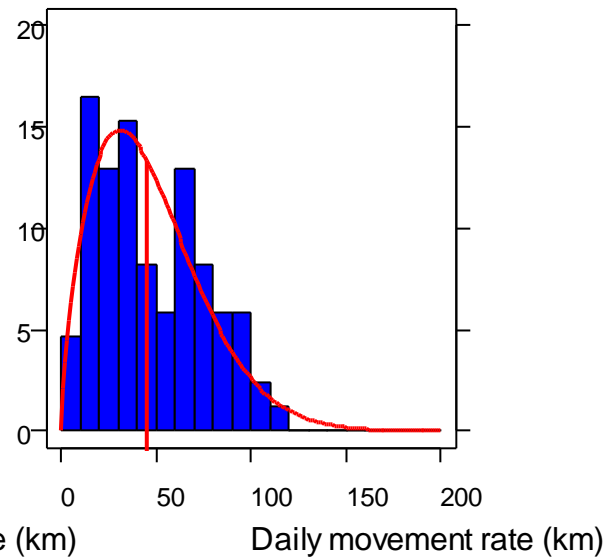
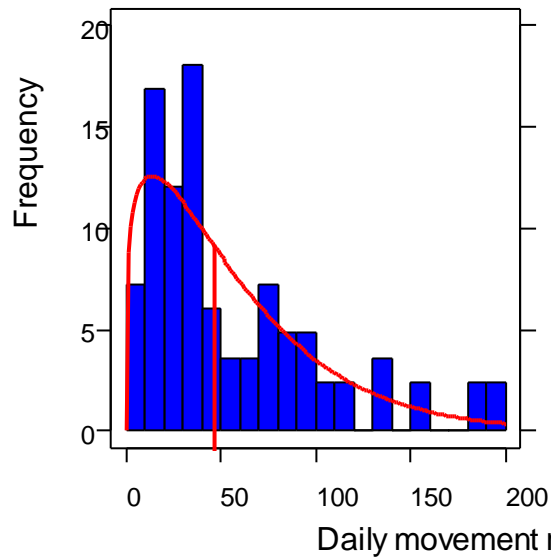
Regularized data

State-space estima

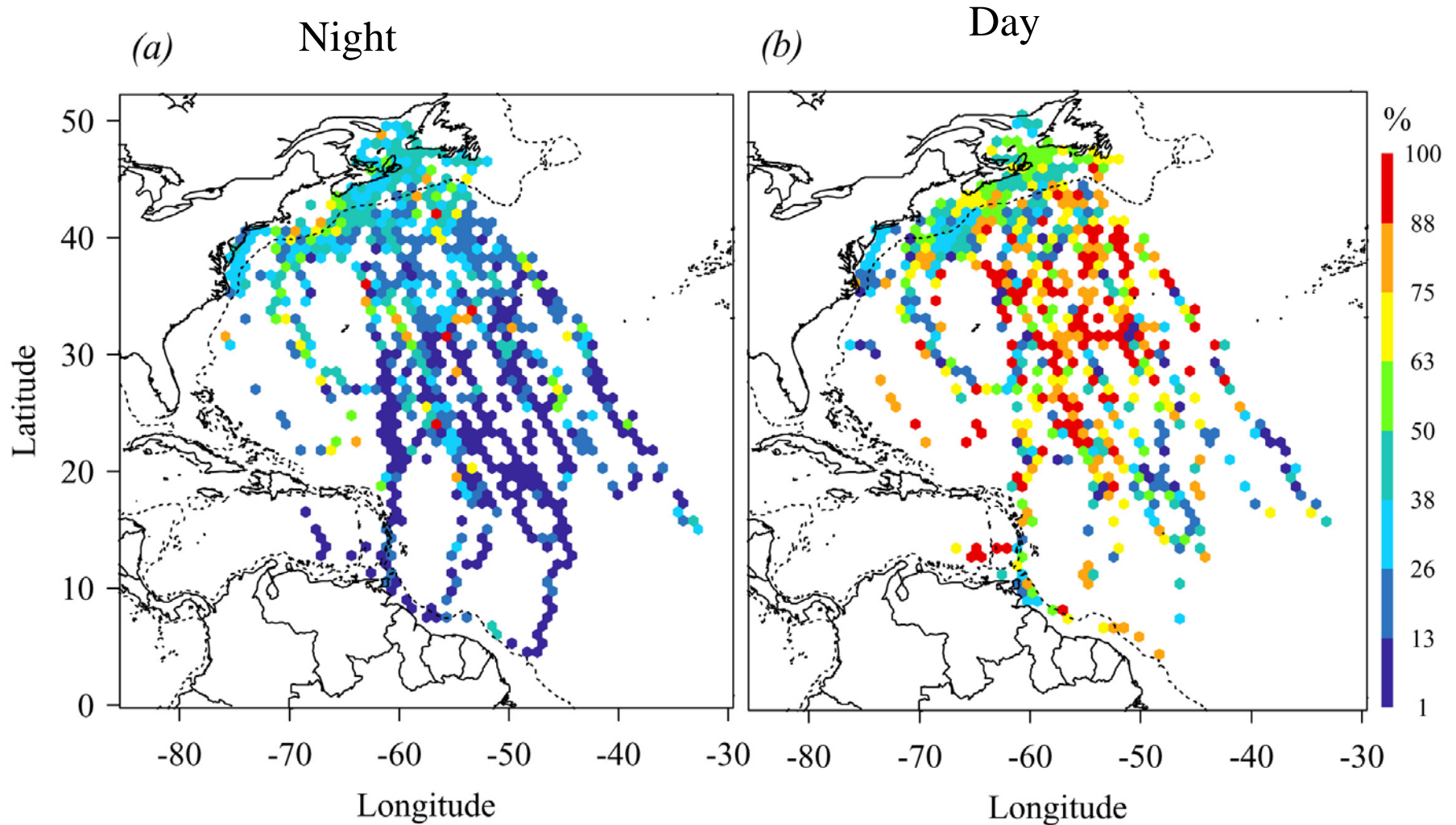


Turning angles

Turning angles



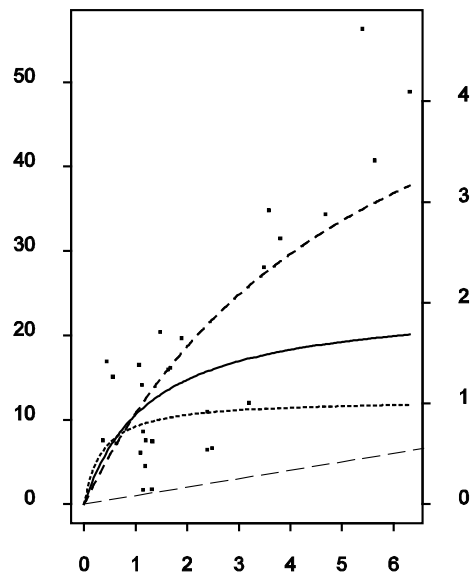
# Turtles are close to the surface during the day during migration



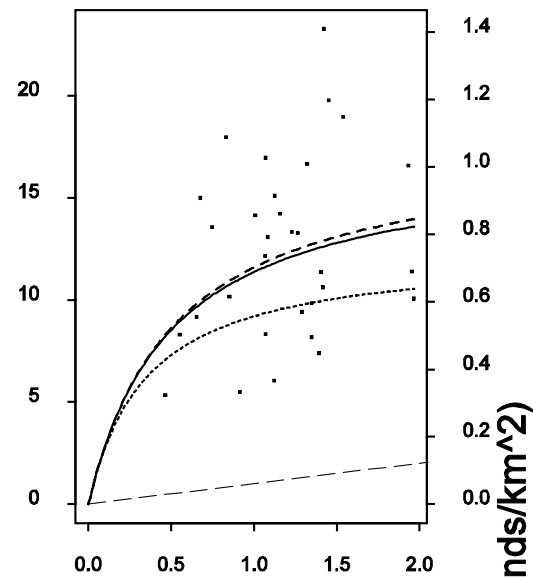
# Meta-analysis of everything

- Dan Ricard – Meta-analysis of diffusion from MPA's
- Scott Sherrill-Mix – Meta-analysis of fisher's behaviour when populations change
- Andy Edwards and Coilin Minto – Meta-analysis of species interactions
- Julia Baum – Carrying capacity and recovery

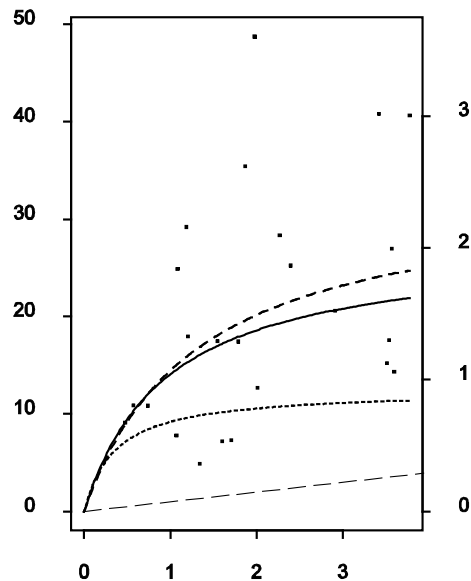
Labrador and N.E. Newfoundland



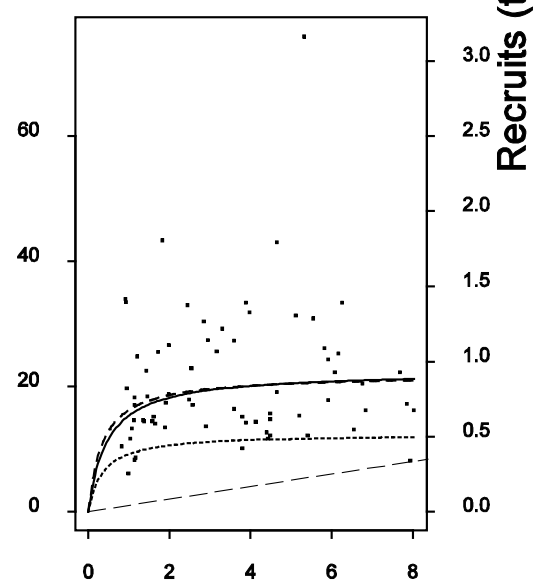
St. Pierre Bank



Central Baltic



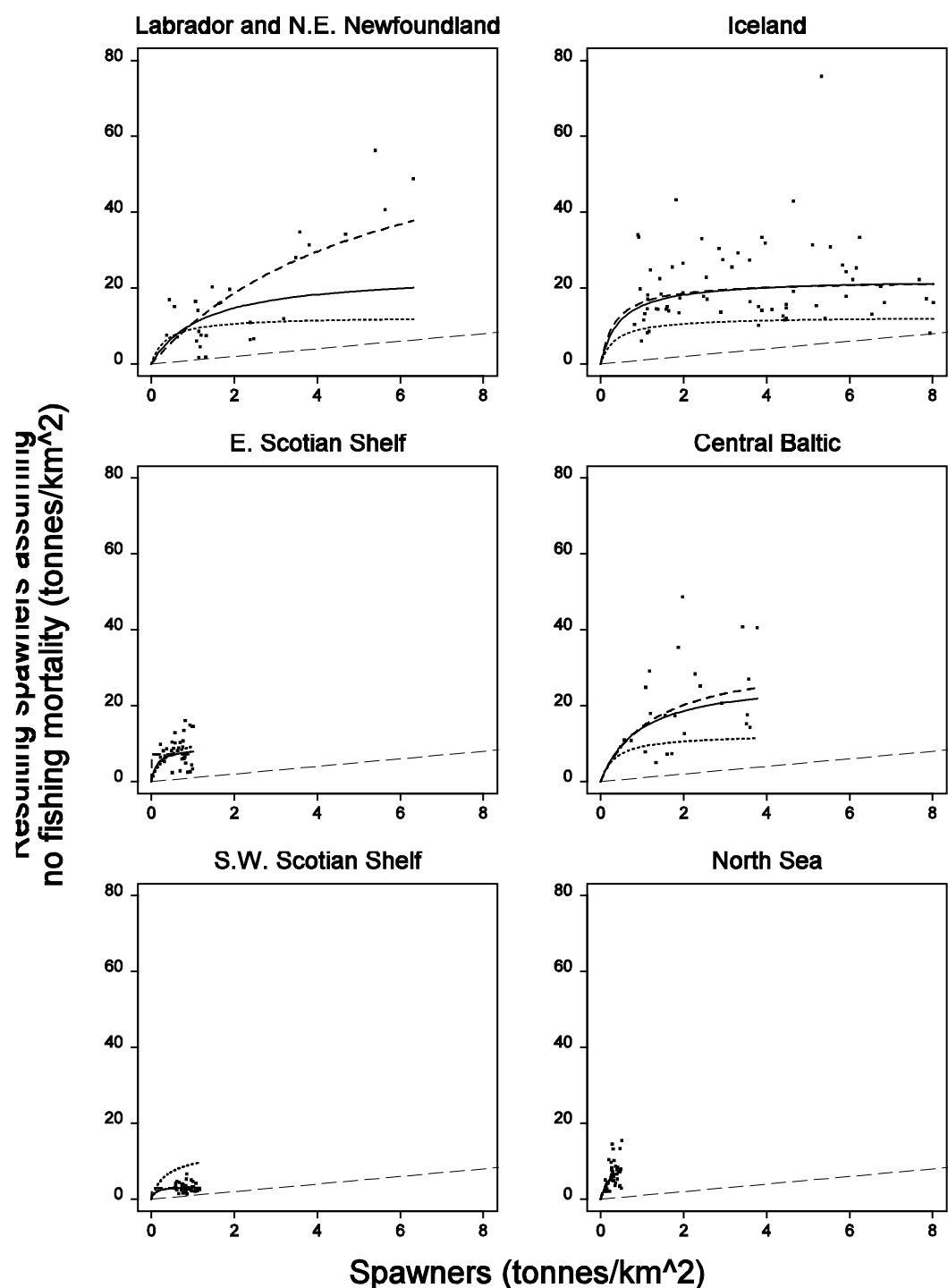
Iceland



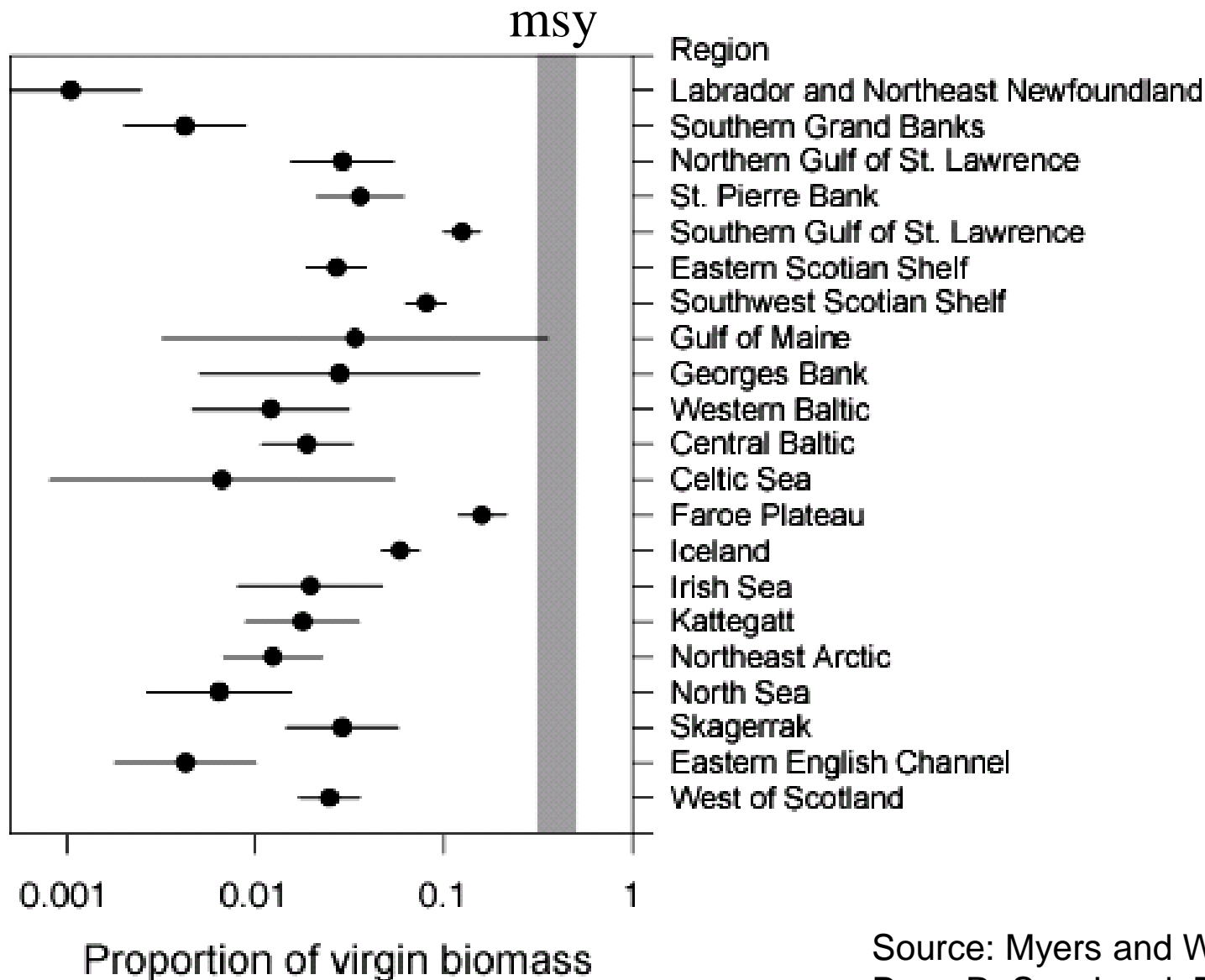
Resulting spawners assuming  
no fishing mortality (tonnes/km<sup>2</sup>)

Recruits (thousands/km<sup>2</sup>)

Spawners (tonnes/km<sup>2</sup>)

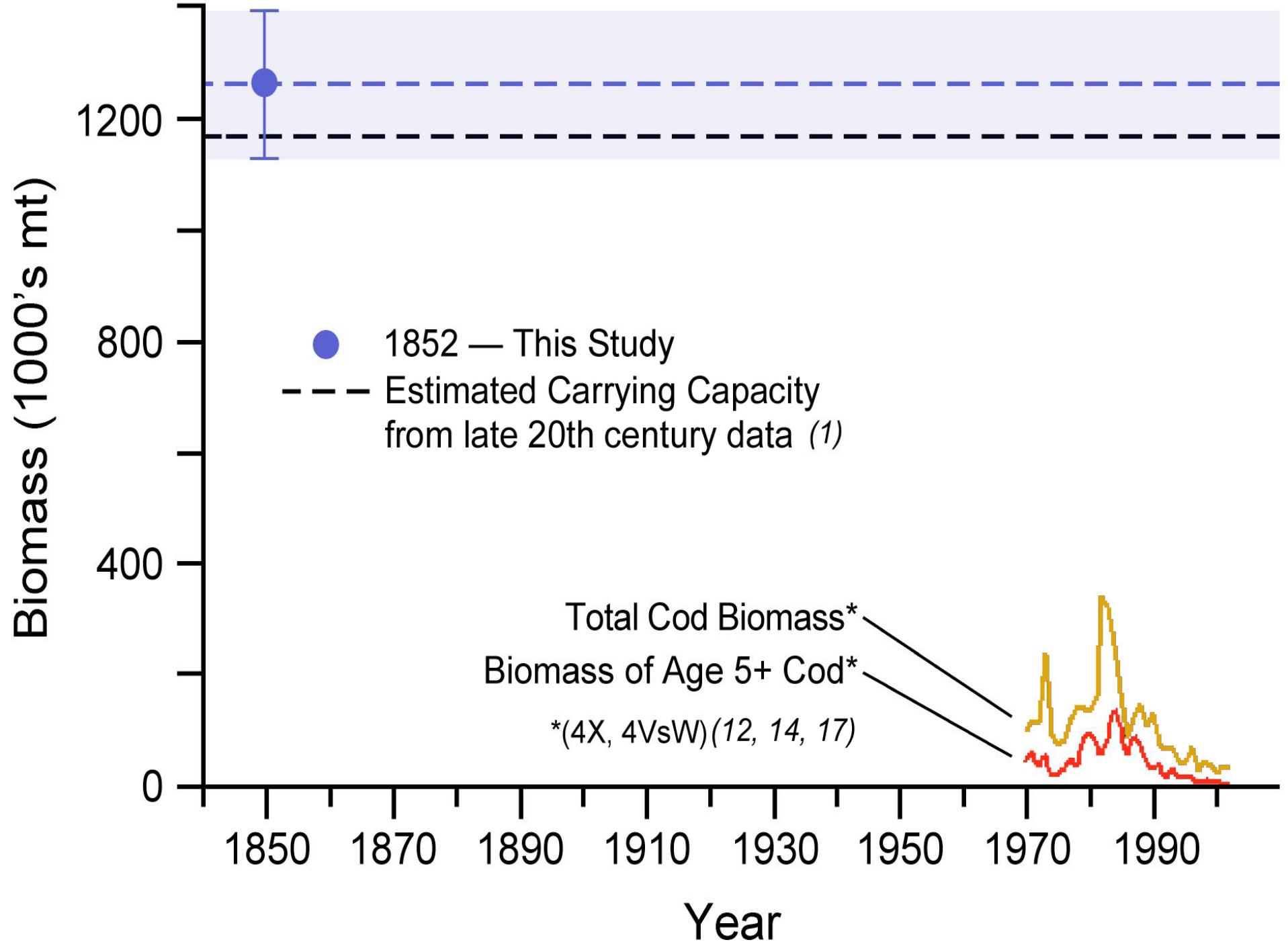


# There is much less than 10% of cod left -



Source: Myers and Worm 2005.  
Proc. R. Soc. Lond. B



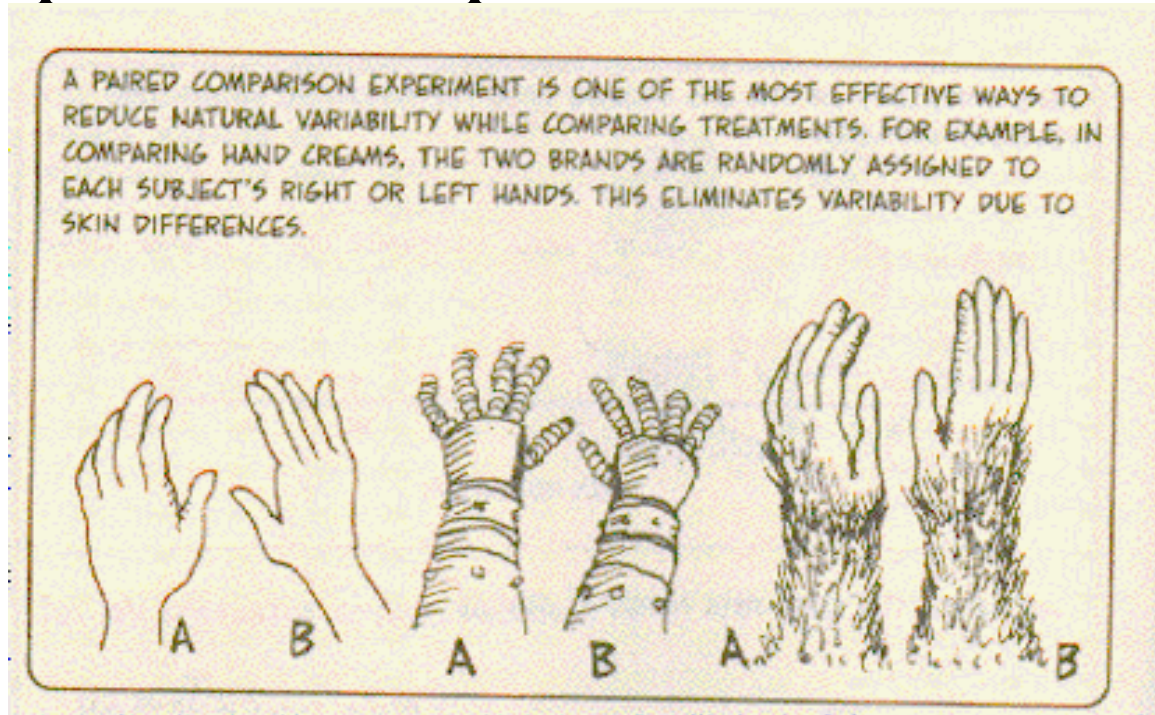


Can wild salmonid populations survive salmon aquaculture?

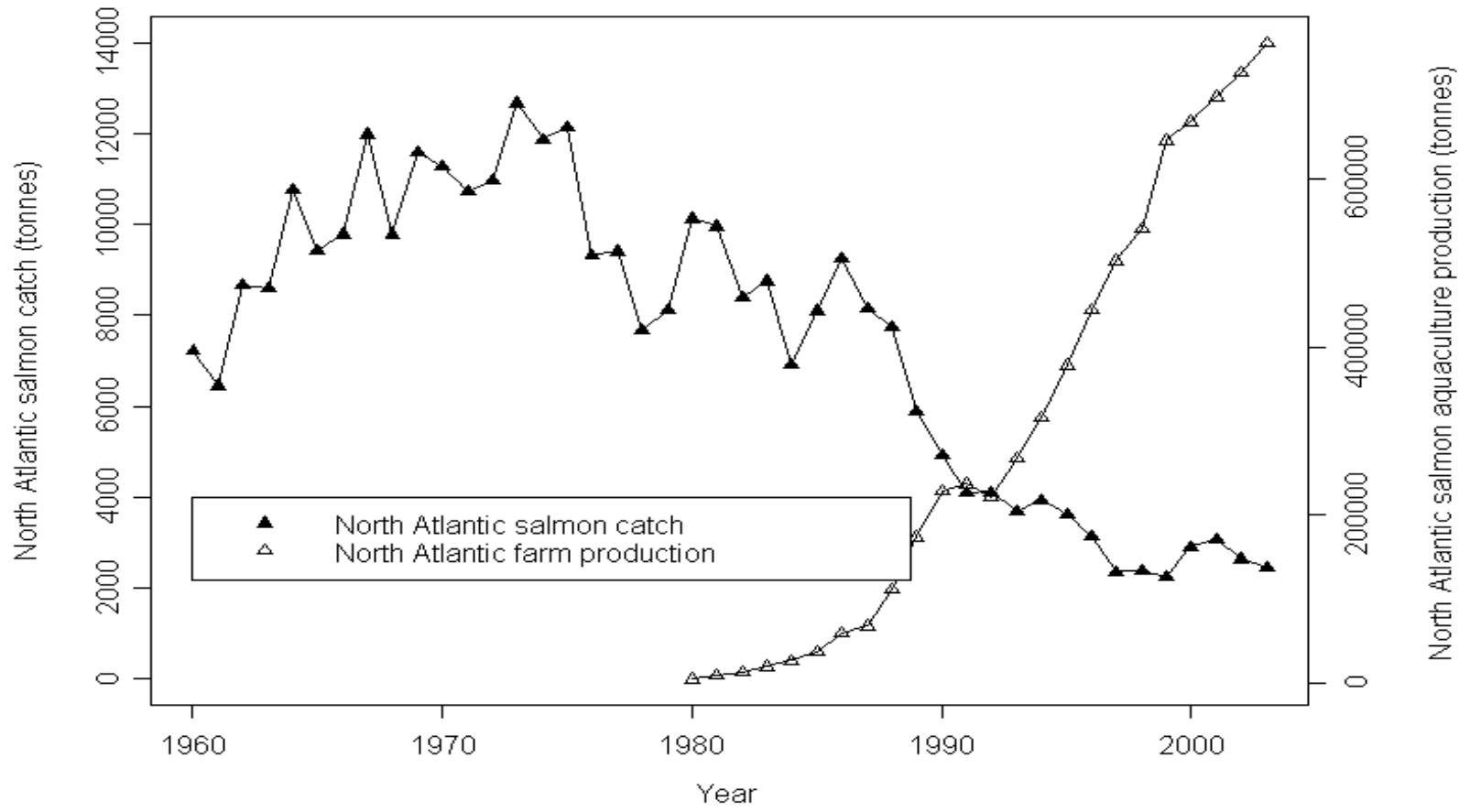


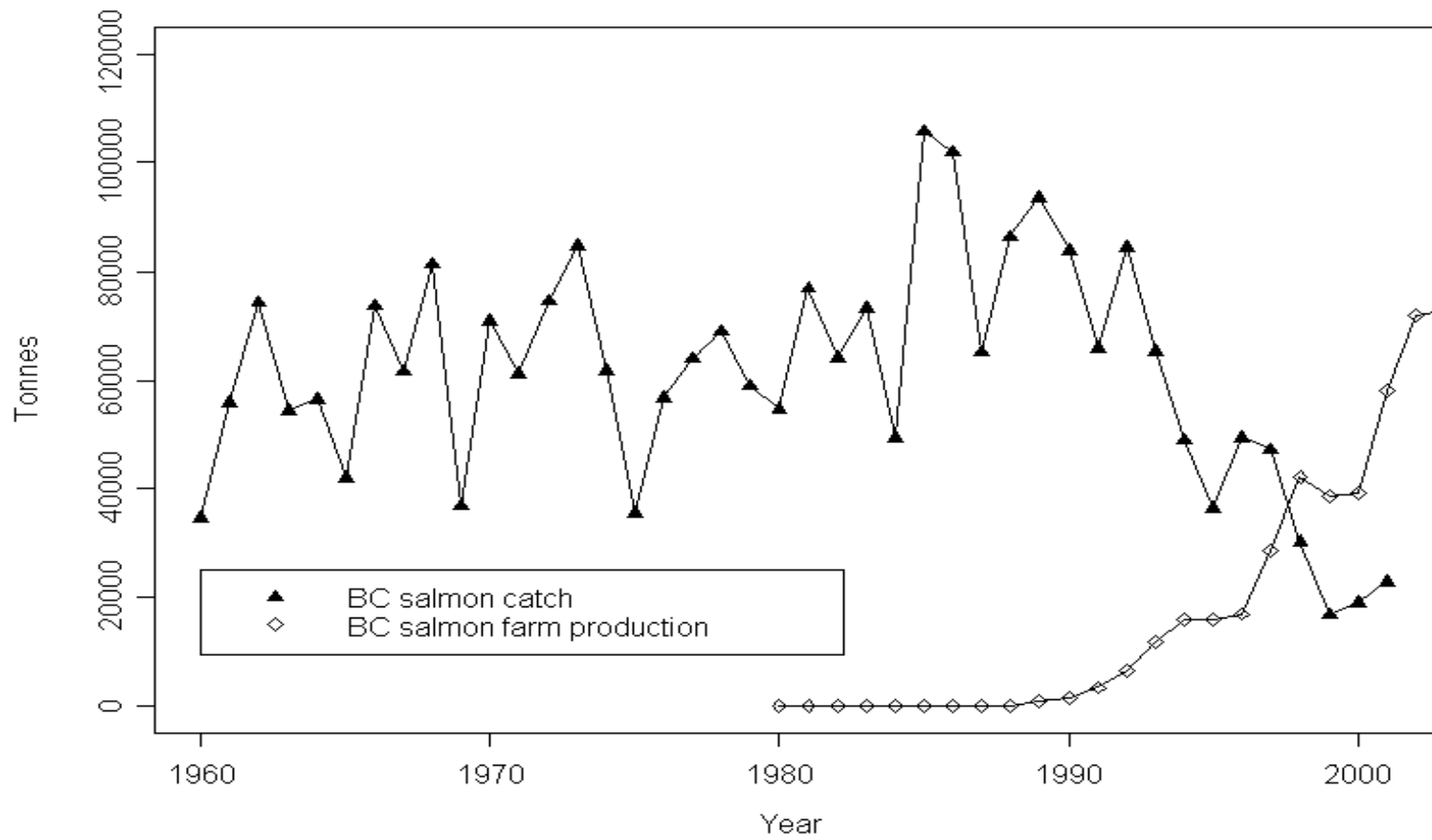
Jennifer Ford

# Use paired comparisons.



Source: Cartoon Guide to Statistics, Larry Gonick & Woolcott Smith





## Hatcheries and Endangered Salmon

Ransom A. Myers,<sup>1</sup> Simon A. Levin,<sup>2</sup> Russell Lande,<sup>3</sup>  
Frances C. James,<sup>4</sup> William W. Murdoch,<sup>5</sup> Robert T. Paine<sup>6</sup>

The role of hatcheries in restoring threatened and endangered populations of salmon to sustainable levels is one of the most controversial issues in applied ecology (1). The central issue has been whether such hatcheries can work, or whether, instead, they may actually harm wild populations (2, 3). A new and overriding issue, however, has arisen because of a recent judicial decision.

On 10 September 2001, U.S. District Court Judge Michael Hogan revoked the listing, by the National Marine Fisheries Service (NMFS), of all Oregon coast coho salmon under the Endangered Species Act (4). He ruled that, if hatchery fish were included in the same distinct population segment as the wild fish with which they are genetically associated, then they must be listed together. This approach could have devastating consequences: Wild salmon could decline or go extinct while only hatchery fish persist. Petitions are now pending to delist 15 other evolutionarily significant units (ESUs) (5).

An ESU is defined as a genetically distinct segment of a species, with an evolutionary history and future largely separate from other ESUs (6). For taxonomic purposes, one could use genetic similarity to classify hatchery fish as part of the ESU from which they were derived. However, for assessing ESU extinction risk and/or

potential listing under the Endangered Species Act, including hatchery fish in an ESU confounds risk of extinction in the wild with ease of captive propagation and ignores important biological differences between wild and hatchery fish.

We define "hatchery fish" as fish fertilized and/or grown artificially in a production or conservation hatchery. Inevitably, hatchery brood stock show domestication effects, genetic adaptations to hatchery environments that are generally maladaptive in the wild. Hatchery fish usually have poor survival in the wild and altered morphology, migration, and feeding behavior (7). On release, hatchery fish, which are typically larger, compete with wild fish (1). Their high local abundance may mask habitat degradation, enhance predator populations, and al-

low fishery exploitation to increase, with concomitant mortality of wild fish (1, 8). The absence of imprinting to the natal stream leads to greater straying rates, and that spreads genes not adapted locally (1). Also, hybrids have poor viability, which may take two generations to be detected (9).

Interagency draft criteria (10) describe hatchery fish most appropriate for inclusion in an ESU as those founded within two generations or those that had regular infusions of fish from the wild population. However, fish grown in hatcheries for even two generations may not assist population recovery; their rate of survival in the wild is much lower than that of wild fish (11). Regularly infusing hatchery stocks with natural fish may also be a drain on the natural system. Hence, even these hatchery fish should not be included in an ESU, even if they are indistinguishable at the quasi-neutral molecular genetic loci typically used to identify an ESU.

Much evidence exists that hatcheries cannot maintain wild salmon populations indefinitely (7). In the inner Bay of Fundy in

Eastern Canada, hatchery supplementation of Atlantic salmon occurred for more than a century (12). Despite the longevity of this program, it failed to maintain viable natural populations. Hatcheries effectively disguised long-term problems, which probably contributed to the near extirpation of native Atlantic salmon. Moreover, as recommended by the World Conservation Union (IUCN), long-term reliance on artificial propagation is imprudent, because of the impossibility of its maintenance in perpetuity (13).

Although their effectiveness has not been shown (14), conservation hatcheries may play a role in future salmon recovery. However, to avoid the dysgenic effects of domestication, even conservation hatcheries should be strictly temporary and should not prevent protection of wild populations under the Endangered Species Act.

To address one of the subsidiary lawsuits, NMFS has pledged to complete a review of eight ESUs by 31 March 2004. NMFS should continue to pursue its current recovery goal of establishing self-sustaining, naturally spawning populations. The danger of including hatchery fish as part of any ESU is that it opens the legal door to the possibility of maintaining a stock solely through hatcheries. However, hatcheries generally reduce current fitness and inhibit future adaptation of natural populations. Hence, the legal definition of an ESU must be unambiguous and must reinforce what is known biologically. Hatchery fish should not be included as part of an ESU.

### References and Notes

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- IUCN, 2002, [www.iucn.org/themes/ssc/pubs/policy/essituen.htm](http://www.iucn.org/themes/ssc/pubs/policy/essituen.htm).
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- We thank R. S. Waples for explaining aspects of the problem and C. A. Ottenmeyer for assistance.



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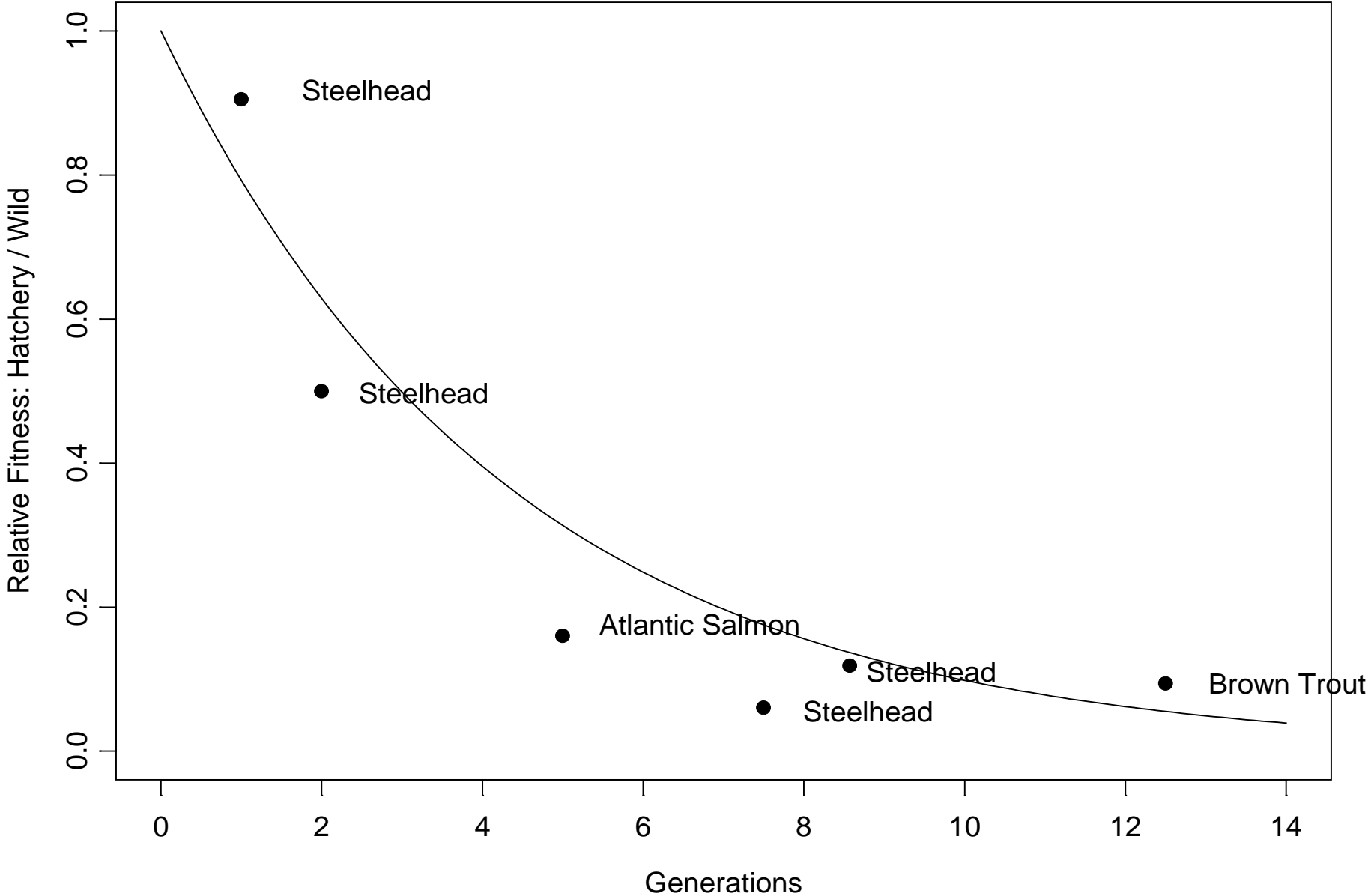
# POLICY FORUM

ECOLOGY

## Hatcheries and Endangered Salmon

Ransom A. Myers,<sup>1</sup> Simon A. Levin,<sup>2</sup> Russell Lande,<sup>3</sup>  
Frances C. James,<sup>4</sup> William W. Murdoch,<sup>5</sup> Robert T. Paine<sup>6</sup>

There is always a rapid loss of fitness in the wild with hatcheries; after a few generations hatchery salmon may be useless for recovery.





# Sharks

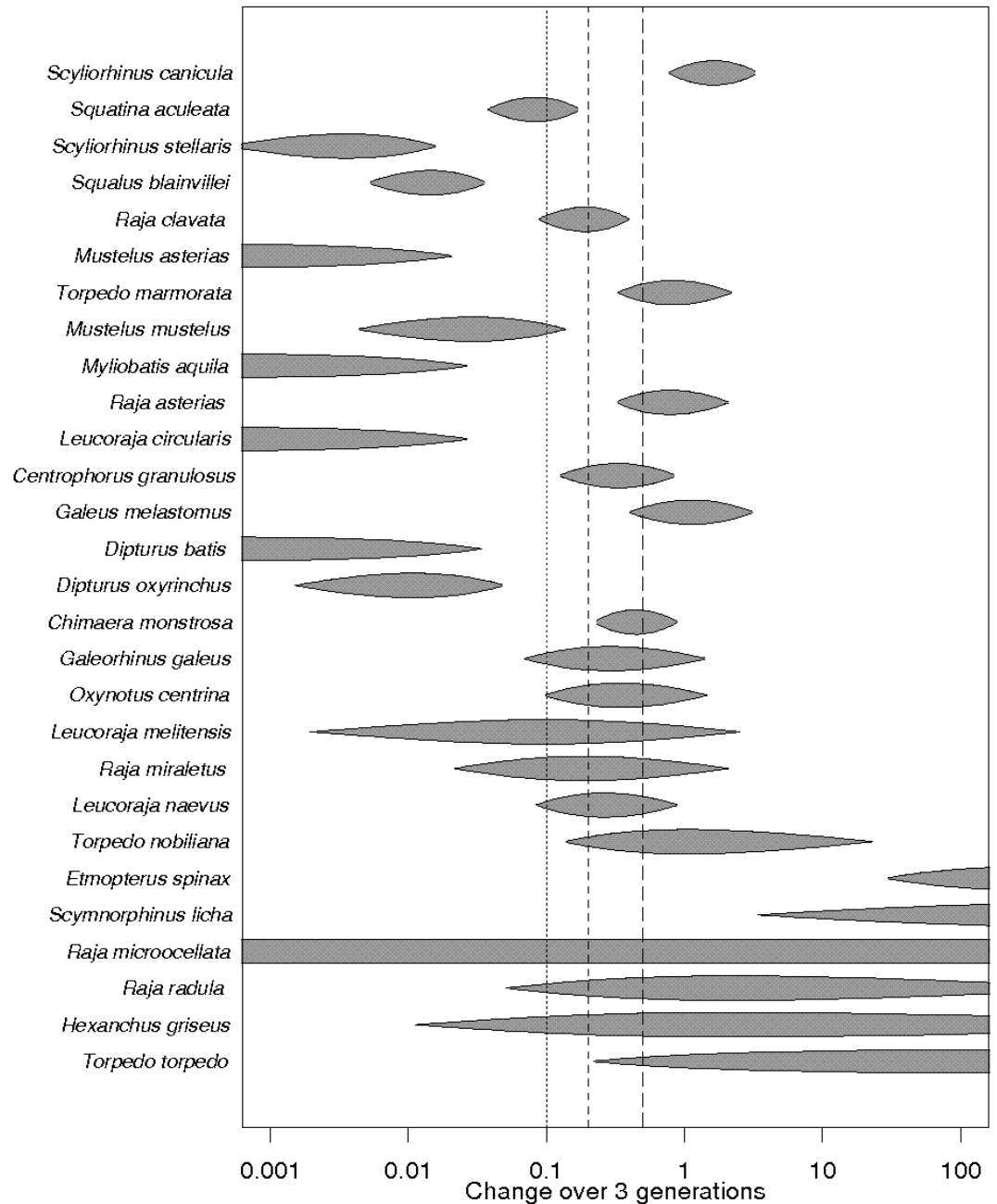
- Christine Ward-Page – Reef sharks
- Luis Lucifora and Travis Shepherd – world
- Anna Massa – Argentina
- Mike Stokesbury – Greenland shark
- Peter Ward – Central Pacific
- Julia Baum – Gulf of Mexico
- Gretchen Fitzgerald – Pelagic species
- Veronica Garcia – deepwater species

# How do we Estimate of Trends Using Crazy Data:

There are few guidelines for students dealing with real data

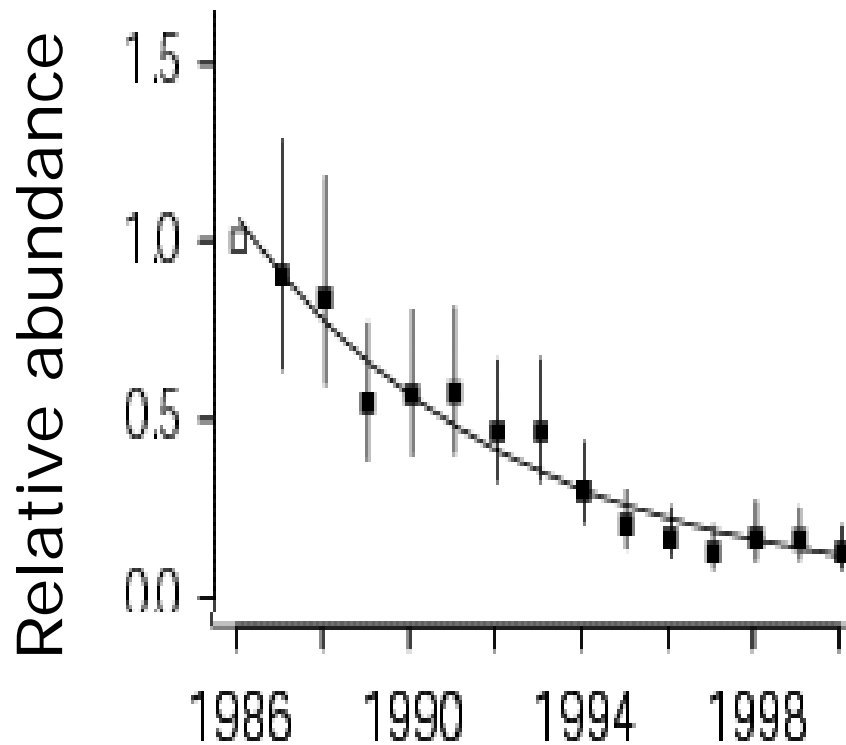
- Joanna Flemming
- Dan Kehler
- Eva Cantonni
- Leah Gerber
- Wade Blanchard

Analysis of old survey data from the Gulf of Lion (where we only have partial data, i.e. the number of positive counts) show that 12 species of sharks and rays meet the IUCN criterion for endangered.



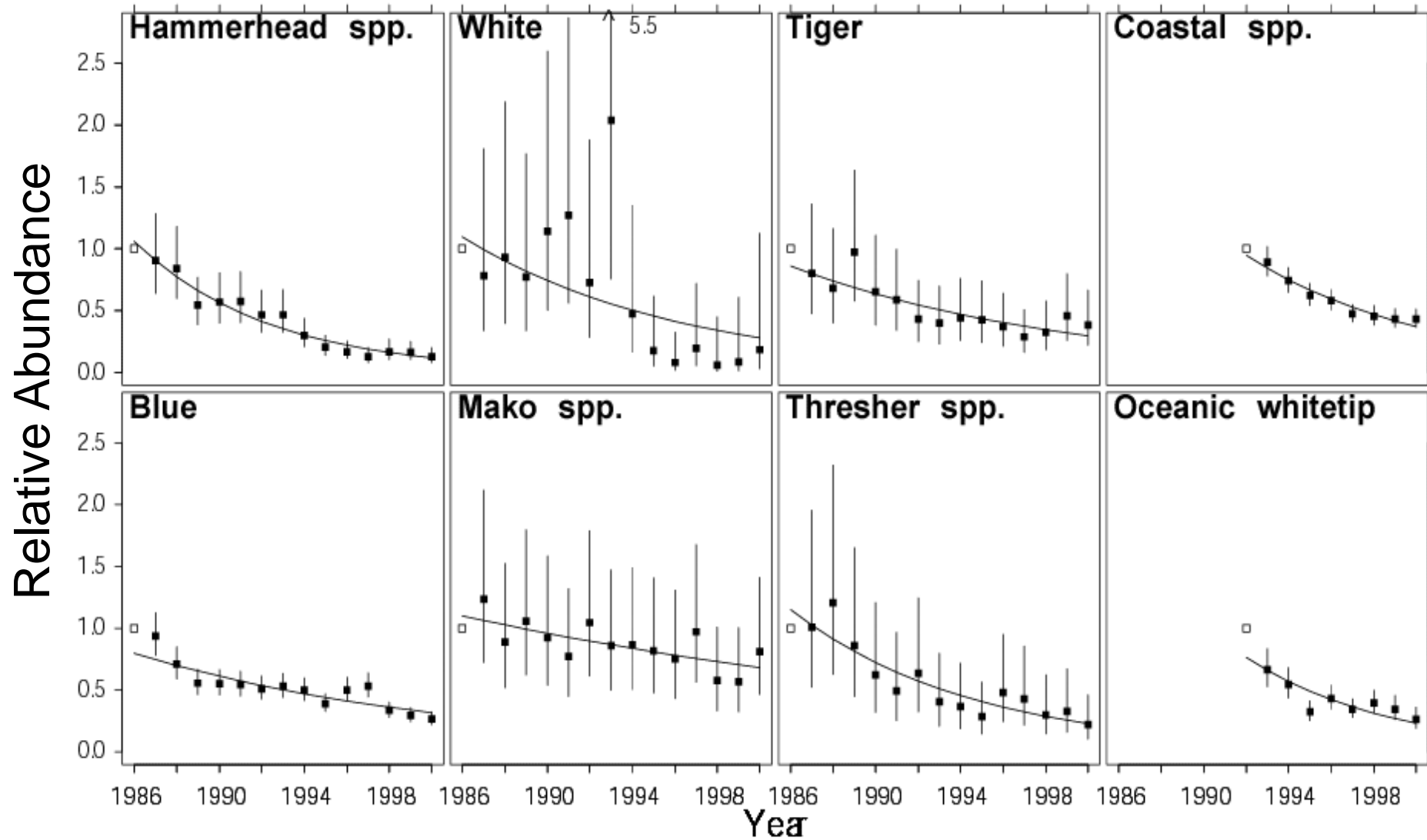
# Hammerhead sharks

*Sphyrna lewini*

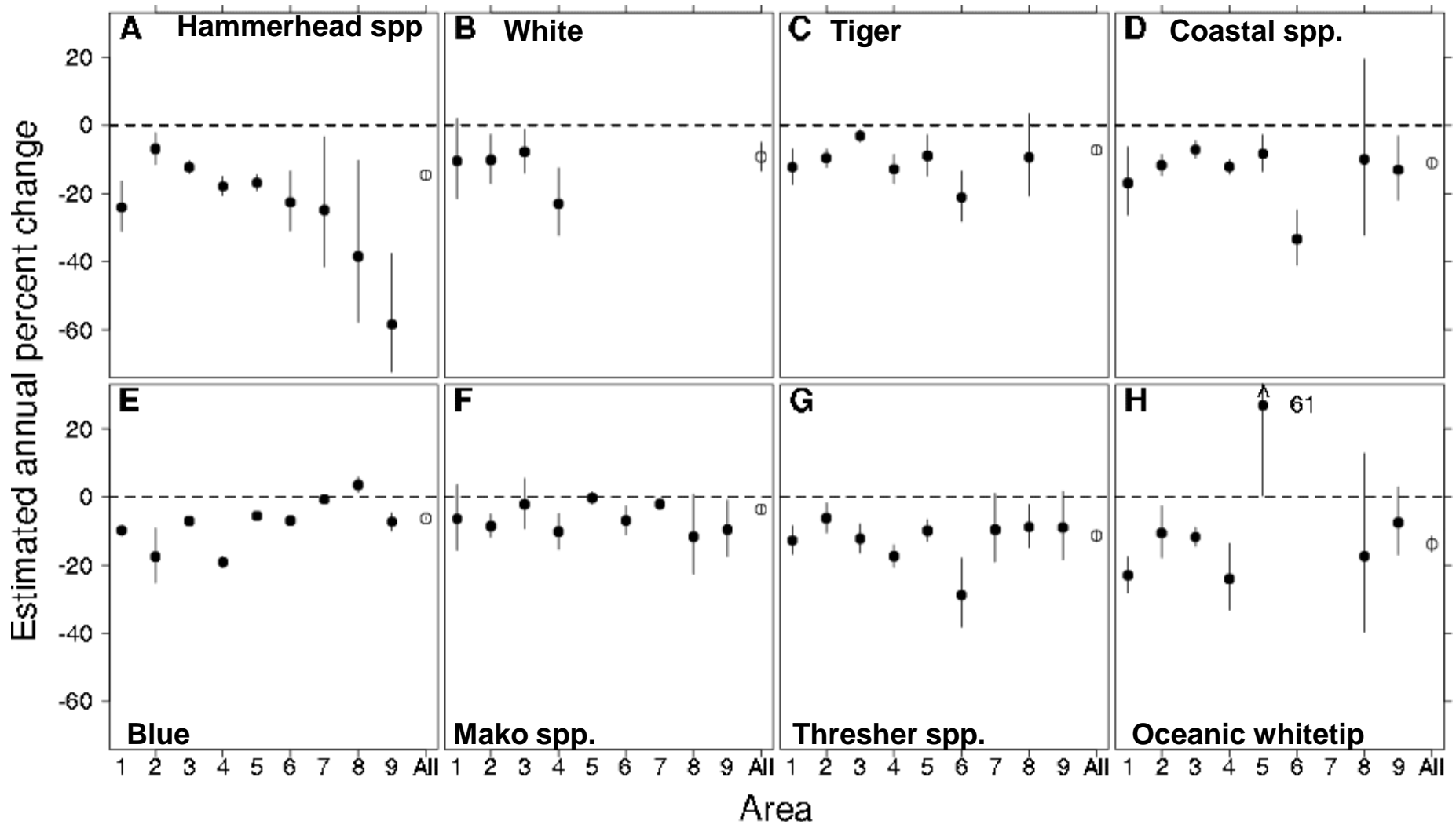


Science. Jan. 2003. J.K. Baum, R.A. Myers, D.G. Kehler, B. Worm, S.J. Harley, P.A. Doherty

# Results



- 1 Caribbean
- 2 Gulf of Mexico
- 3 Florida
- 4 S Atlantic Bight
- 5 Mid Atlantic Bight
- 6 NE Coastal
- 7 NE Distant
- 8 Sargasso
- 9 S America



# Data Analysis

---

- Assume catch follows negative binomial distribution
- Analyse positives only → zero-truncated distribution

$$f(y_T) = \frac{\Gamma(y + \theta)^{y_T}}{\Gamma(y)} \left( \frac{\mu}{\theta + \mu} \right)^{y_T} \left( \frac{\theta}{\theta + \mu} \right)^\theta$$

---

$$1 - \left( \frac{\theta}{\theta + \mu} \right)^\theta$$

# Robustness Analyses

---

*Assume reporting rate has stayed constant for:*

- full dataset
- for a subset of vessels: recorded species at least once  
recorded species at least once in a  
given year

Negative binomial models

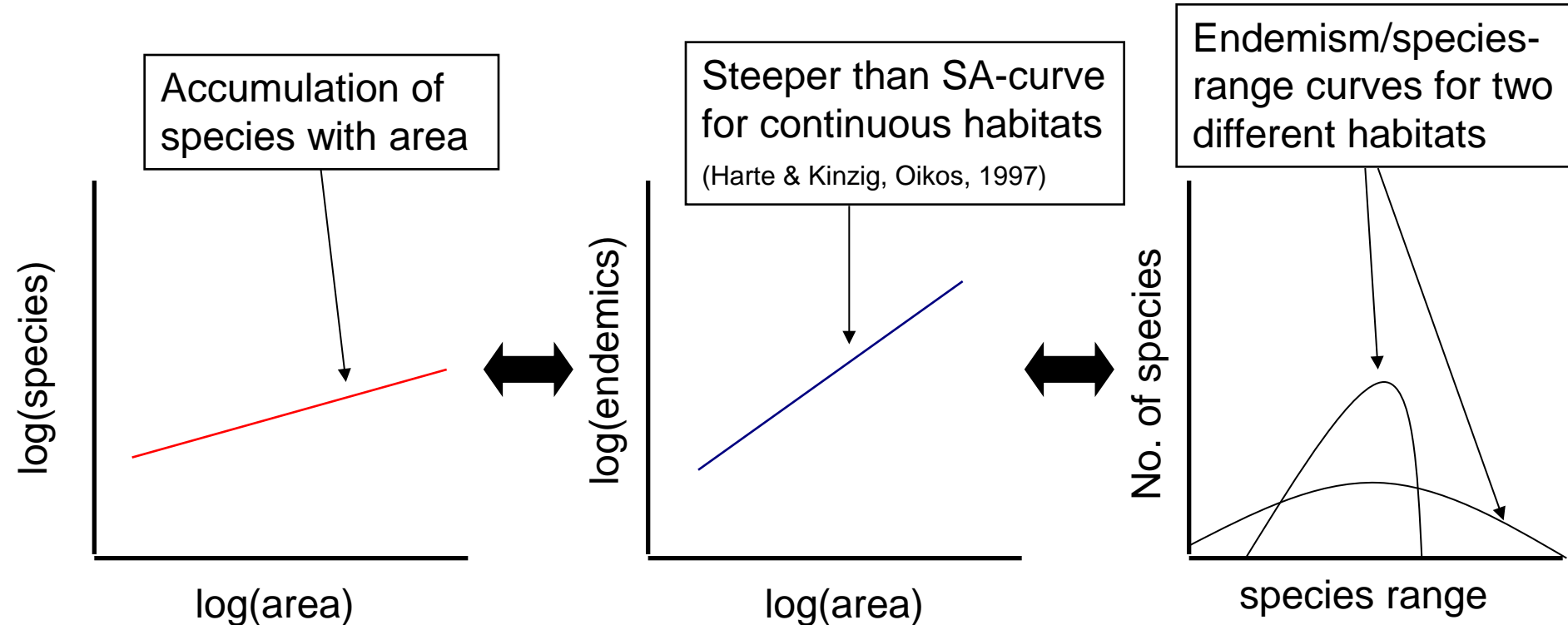
Delta-lognormal models

- proportion of positives modelled separately from positives
- standardized CPUE is the product of the two





# Area, species range, and endemism



**Endemism** is explicitly defined by spatial scale. Is there a way to link all these diagrams, and can we create a unifying theoretical model?

Can we compare the patterns of **endemism** between habitats and assess their differential vulnerability?

# Susanna Fuller - Deep water sponge conservation

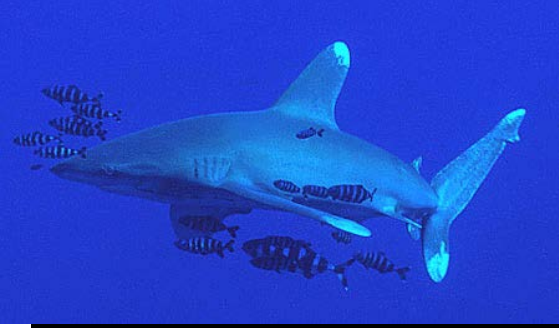


# Florence Berreville – Inverse Modeling



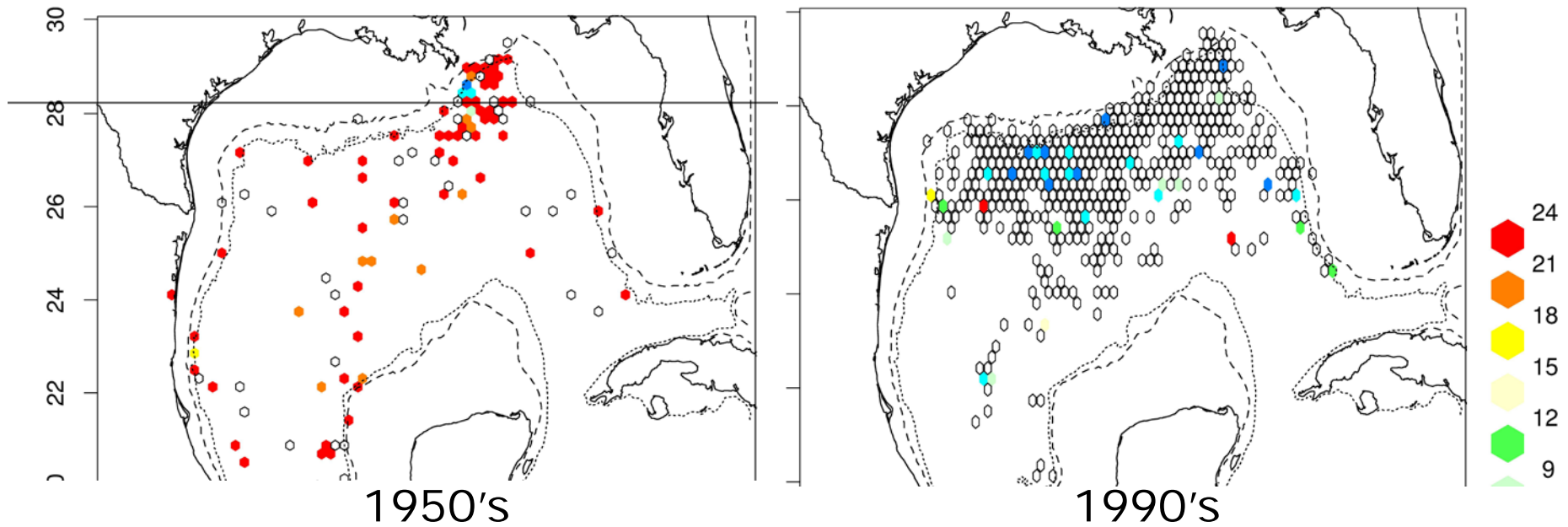
What was the most common large animal (>50 Kg) in the world? (perhaps this one was)





# Loss of sharks in the Gulf of Mexico

300 fold decline – no one noticed



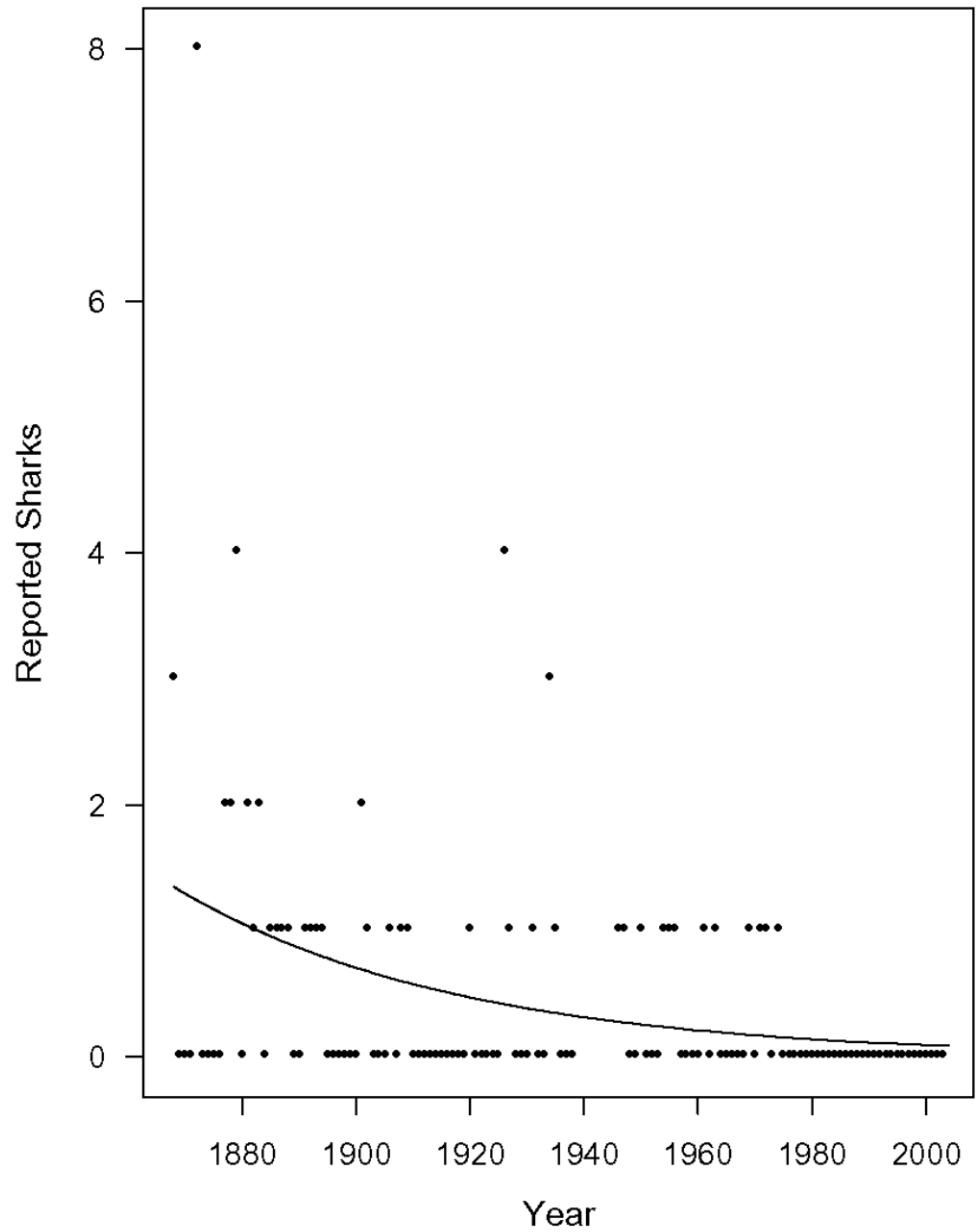
Oceanic Whitetip captures per 10,000 hooks

Circumstantial  
evidence of oceanic  
whitetip sharks being  
common in the Gulf  
of Mexico



Fitting a simple model to crazy data can yield reliable, and very powerful conclusions

Newspaper reports of sharks in Croatia





With training, “experts” can ignore the most obvious of data:

1872 - Man's head and leg and dolphin in stomach

1872 – 8 Great White Sharks reported caught

1888 - Woman's body and lamb in stomach

1894 - Preserved at Zagreb Nat. Hist. Mus.

1926 - Woman's shoes, laundry in stomach

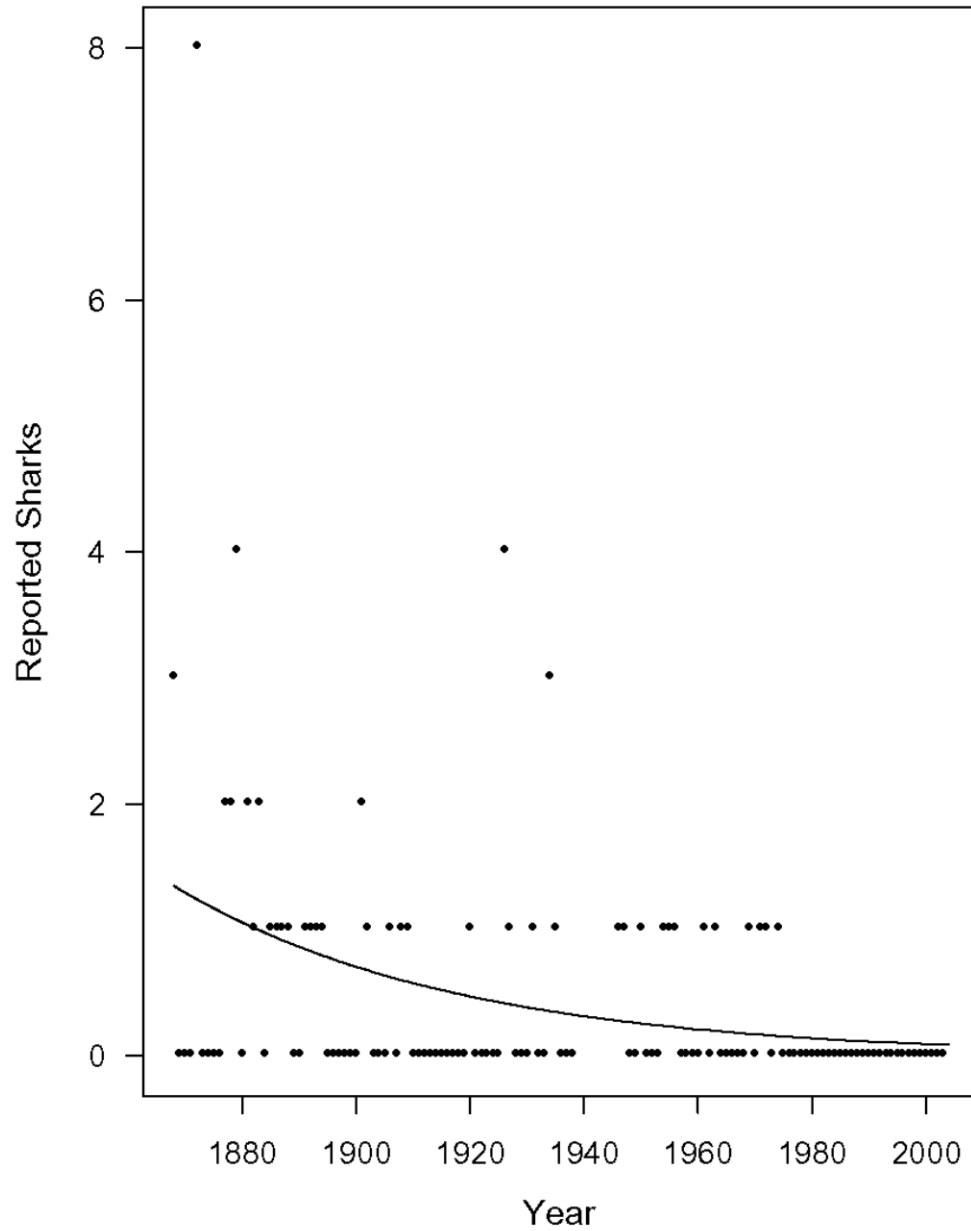
1946 - Pig of 10 kg in stomach

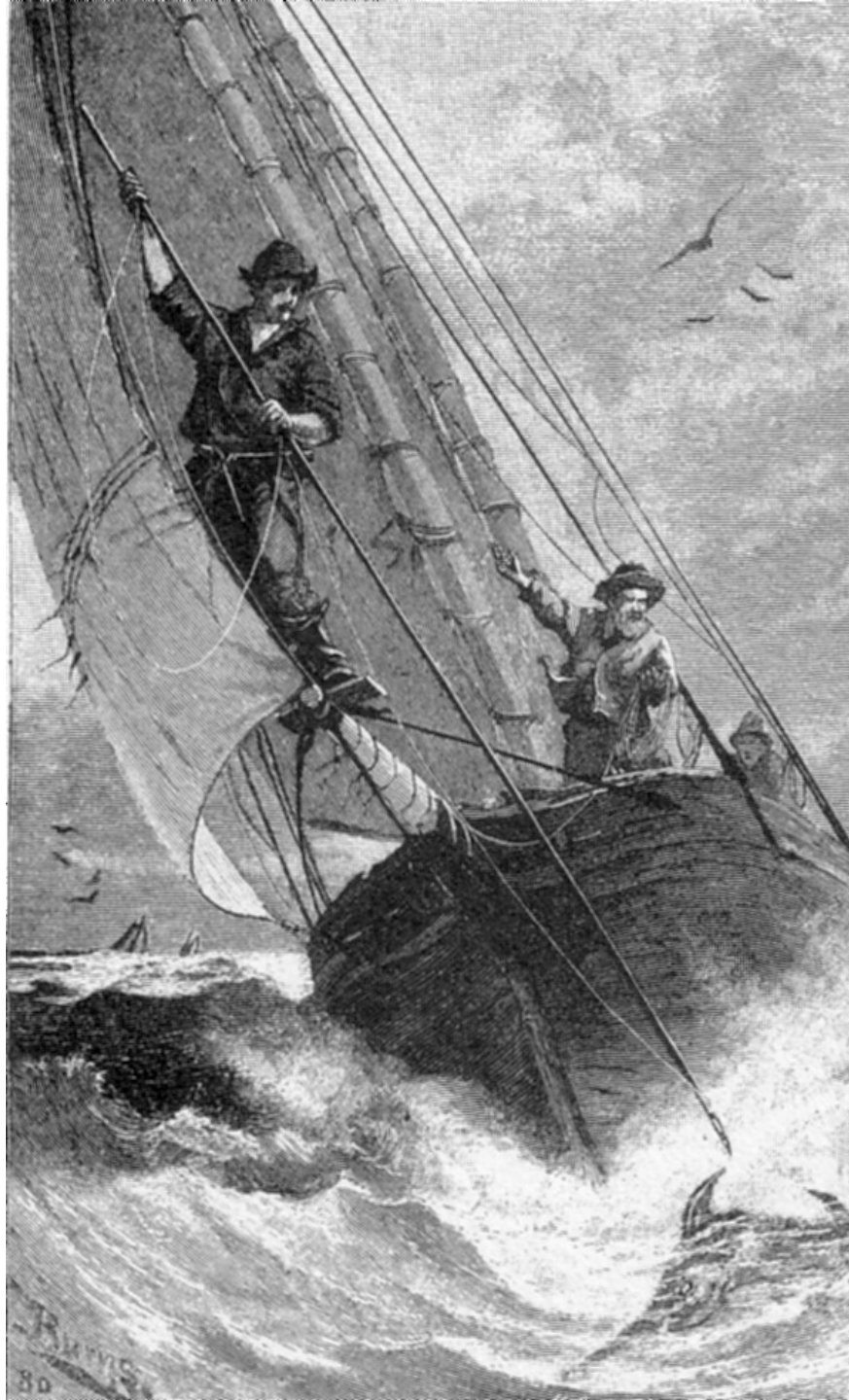
1950 - Encounter during eating a dead calf

1954 - Attack on boat

1975+ -**No sightings.**

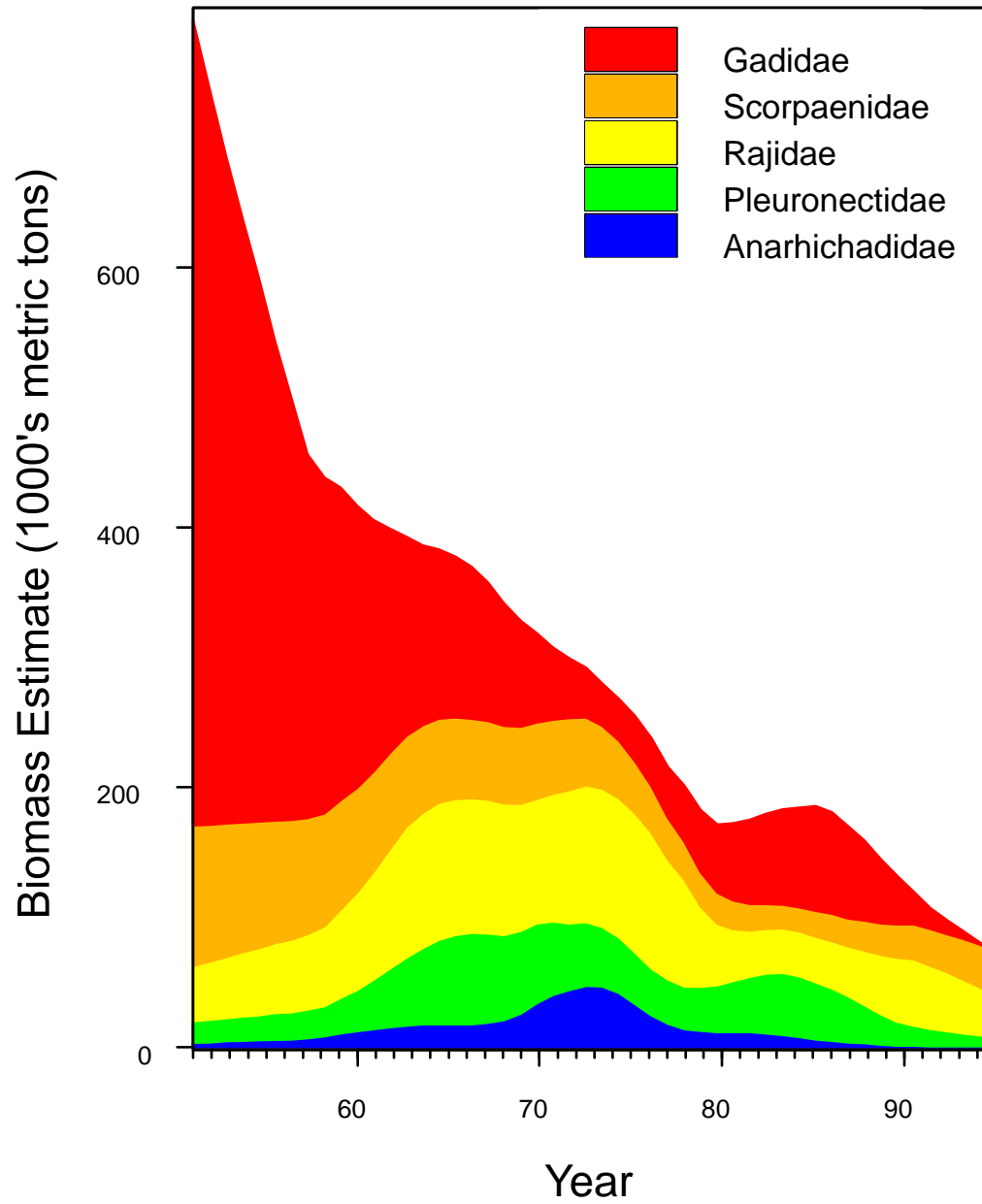
# Newspaper reports of sharks in Croatia

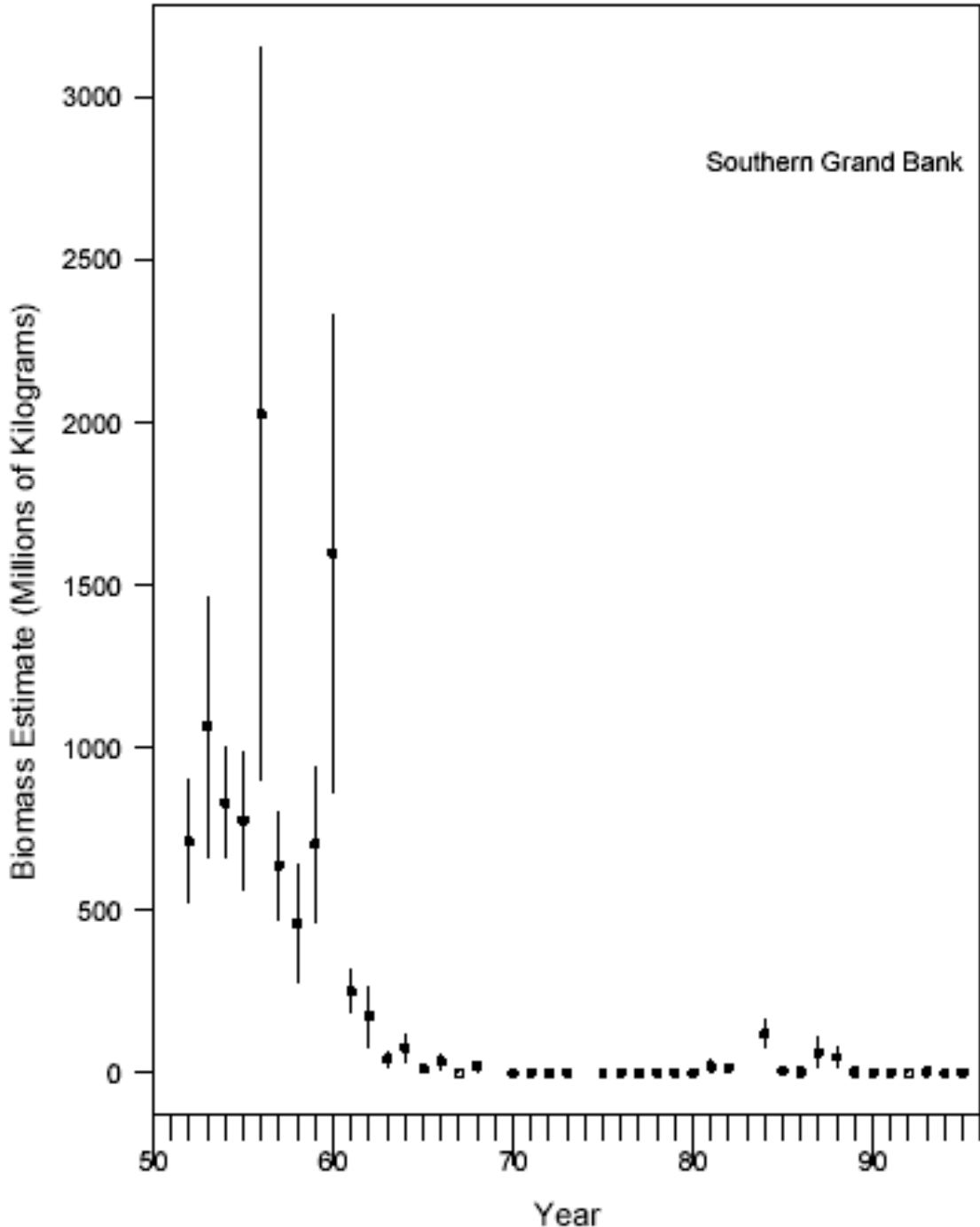






# Community Changes on St. Pierre Bank





Southern Grand Bank

Loss of haddock on the Grand Banks – data from research surveys

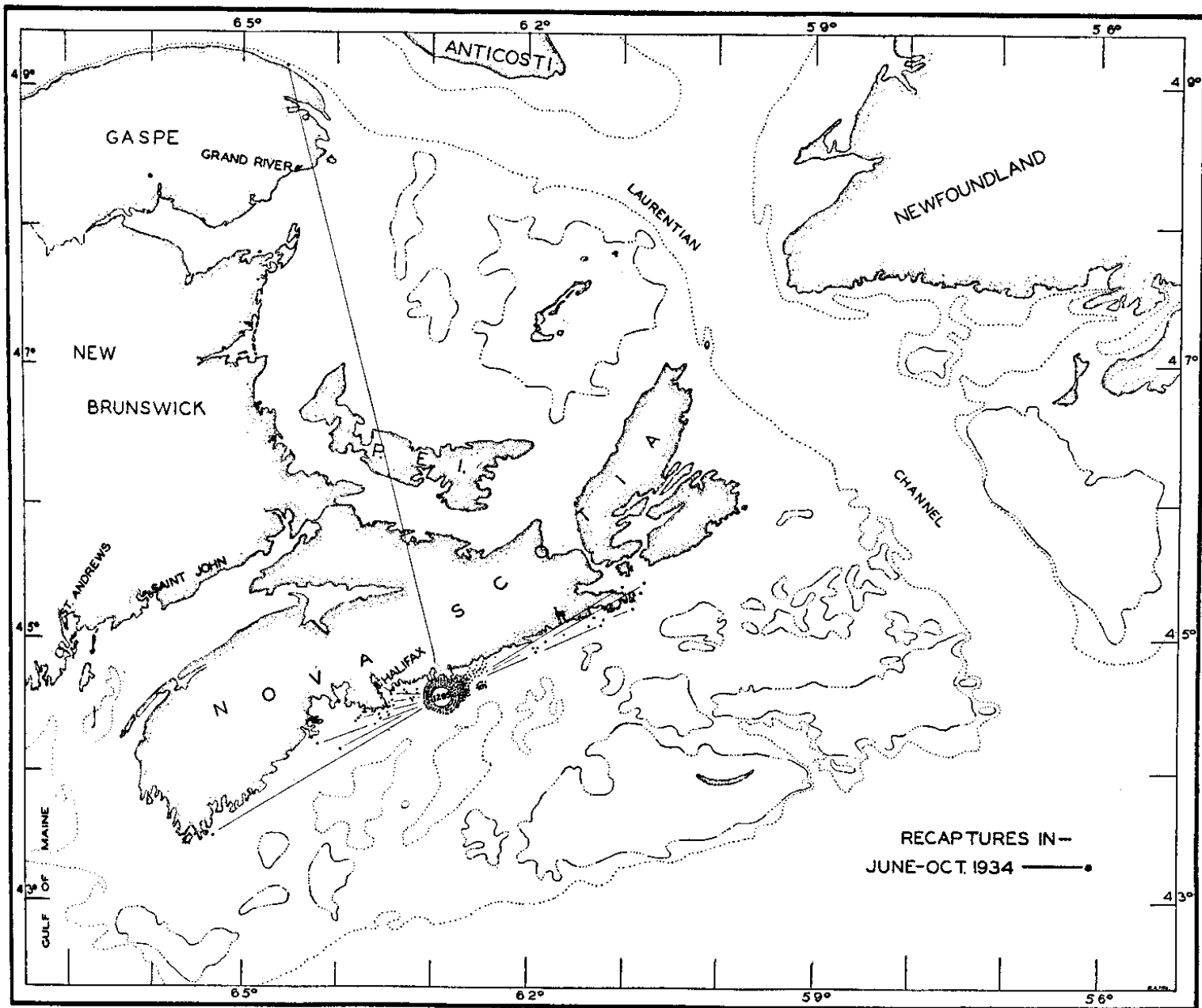


FIG. 21.—Recaptures to October, 1934, of cod tagged in the Jeddore Rock to Egg Island area, N.S., in May, 1934.

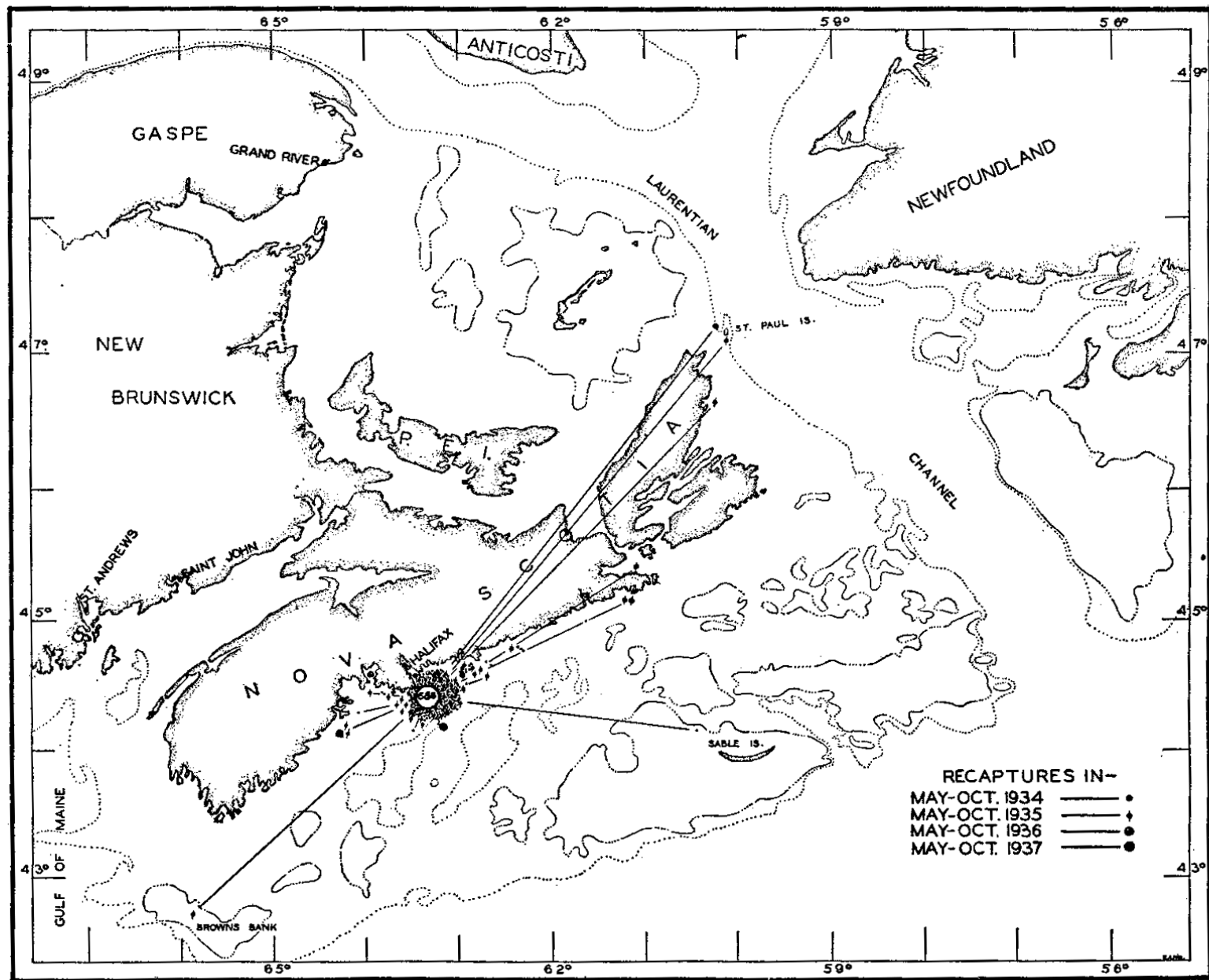


FIG. 18.—Recaptures in May to October, 1934, 1935, 1936 and 1937, of cod tagged near Halifax in June, 1934.



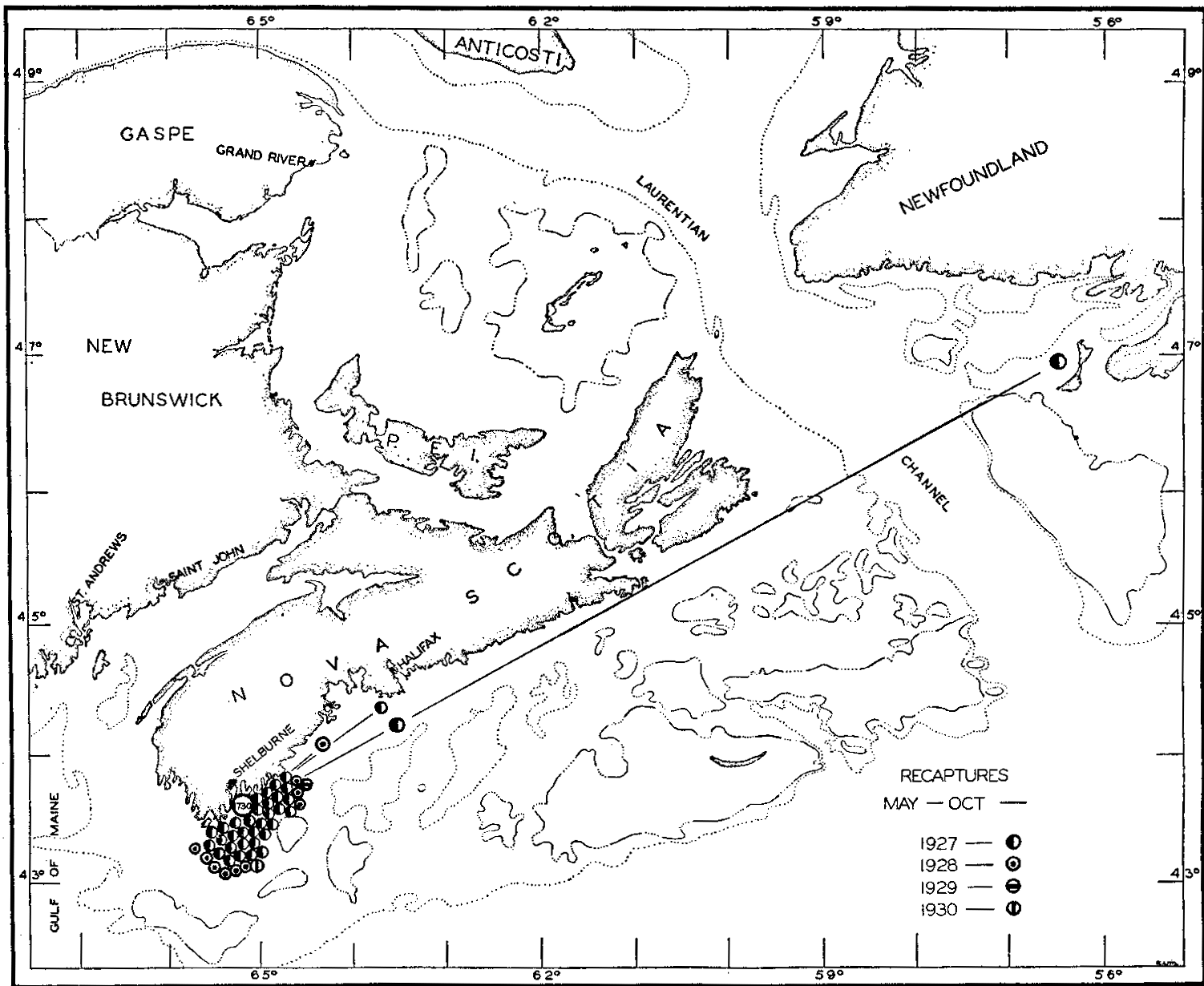
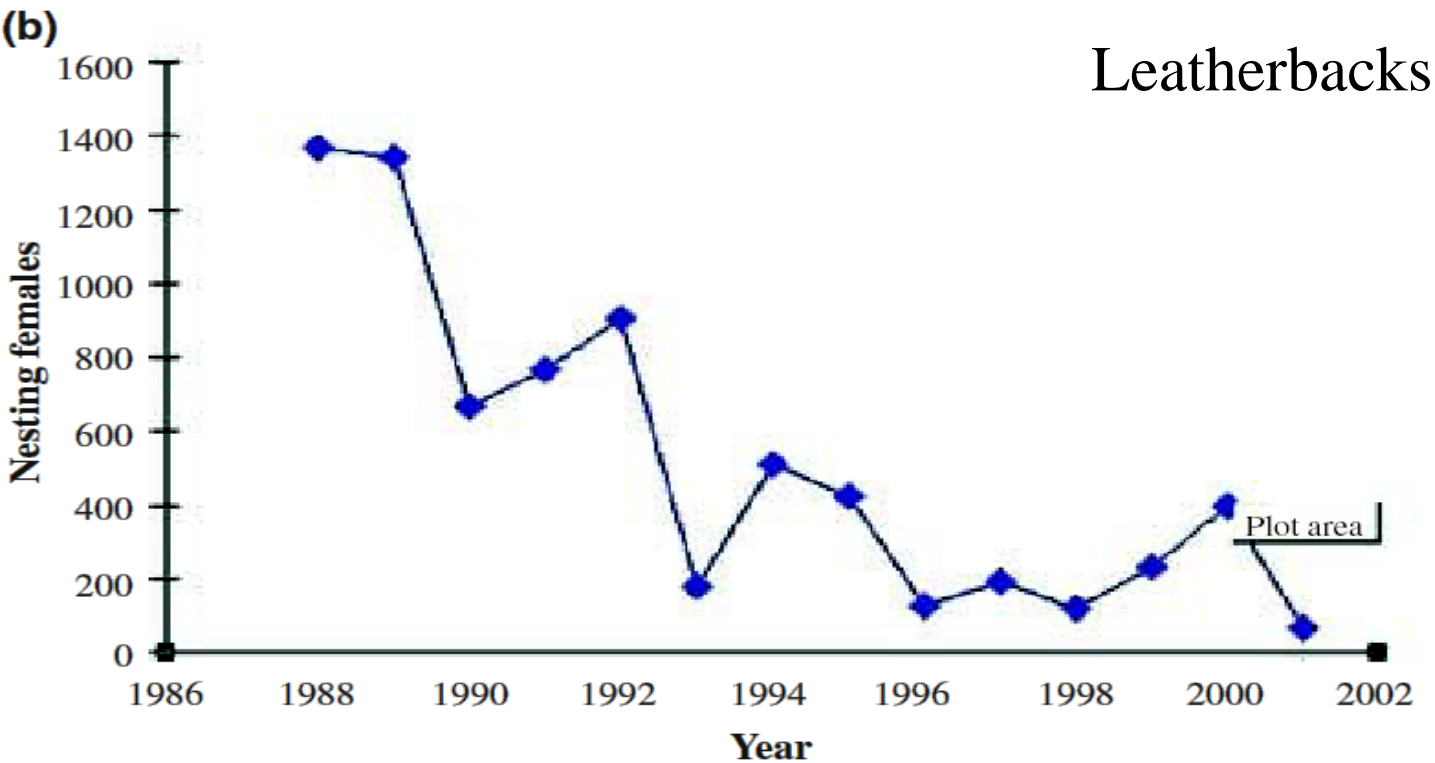
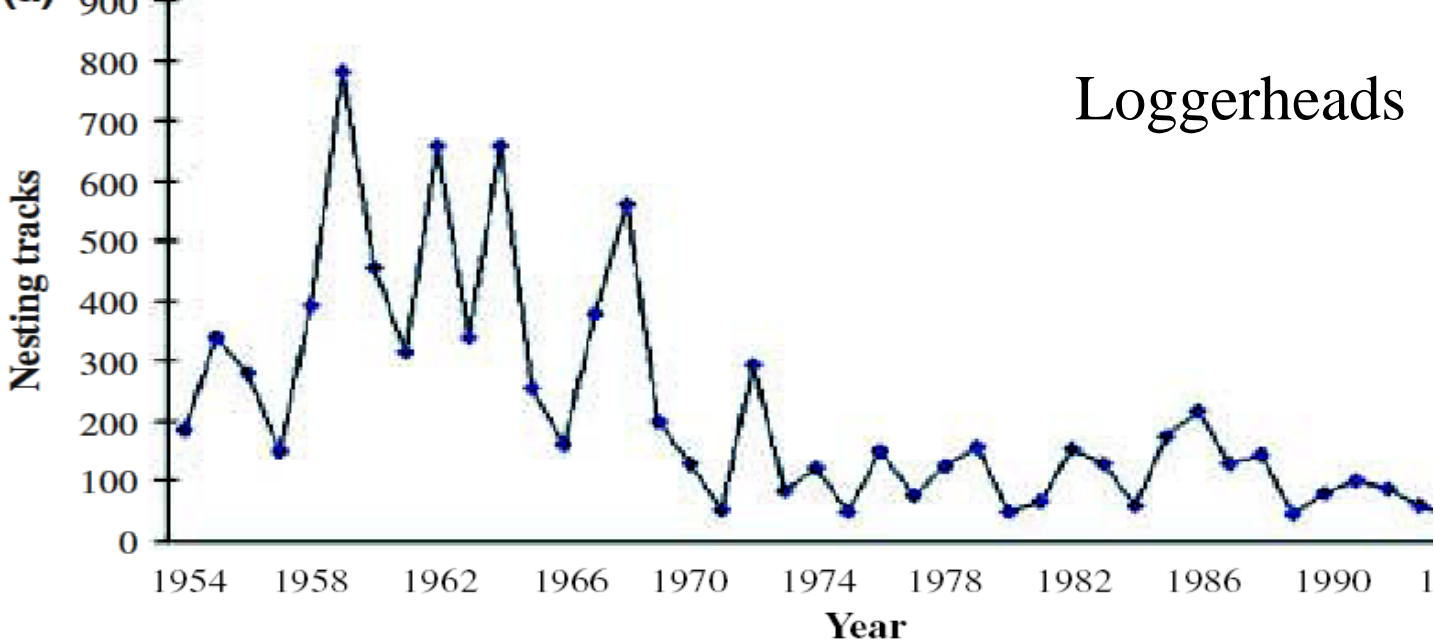


FIG. 15.—Recaptures during "summers" of 1927, 1928, 1929 and 1930 of cod tagged off Shelburne, N.S., during September and the first day of October, 1926.

**Hippocratic Oath:**

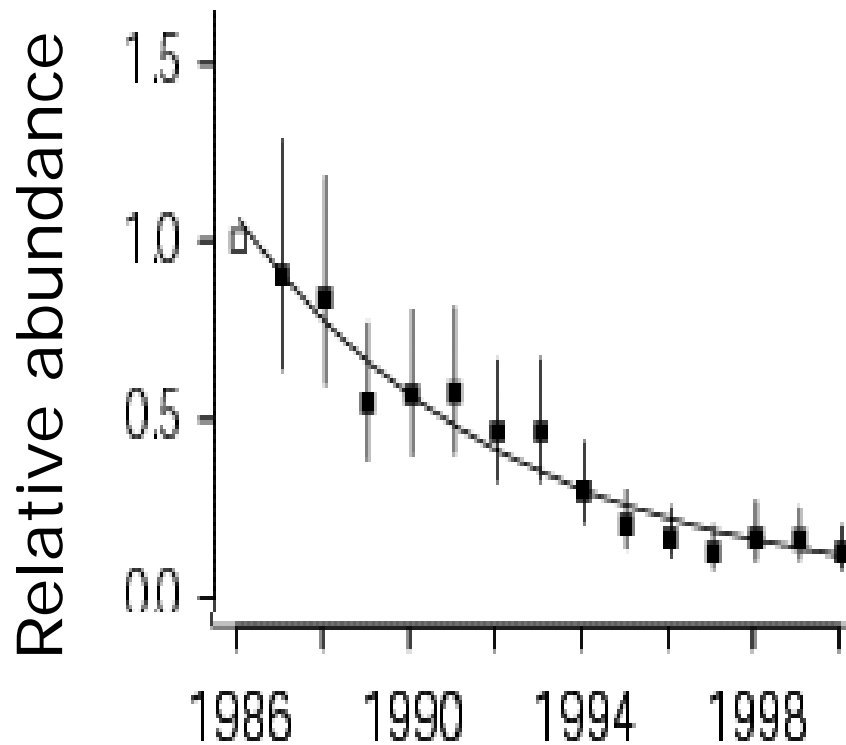
**Modified for Fisheries Biologist by RAM:**

➤ **"First, don't drive  
any population or  
species extinct".**



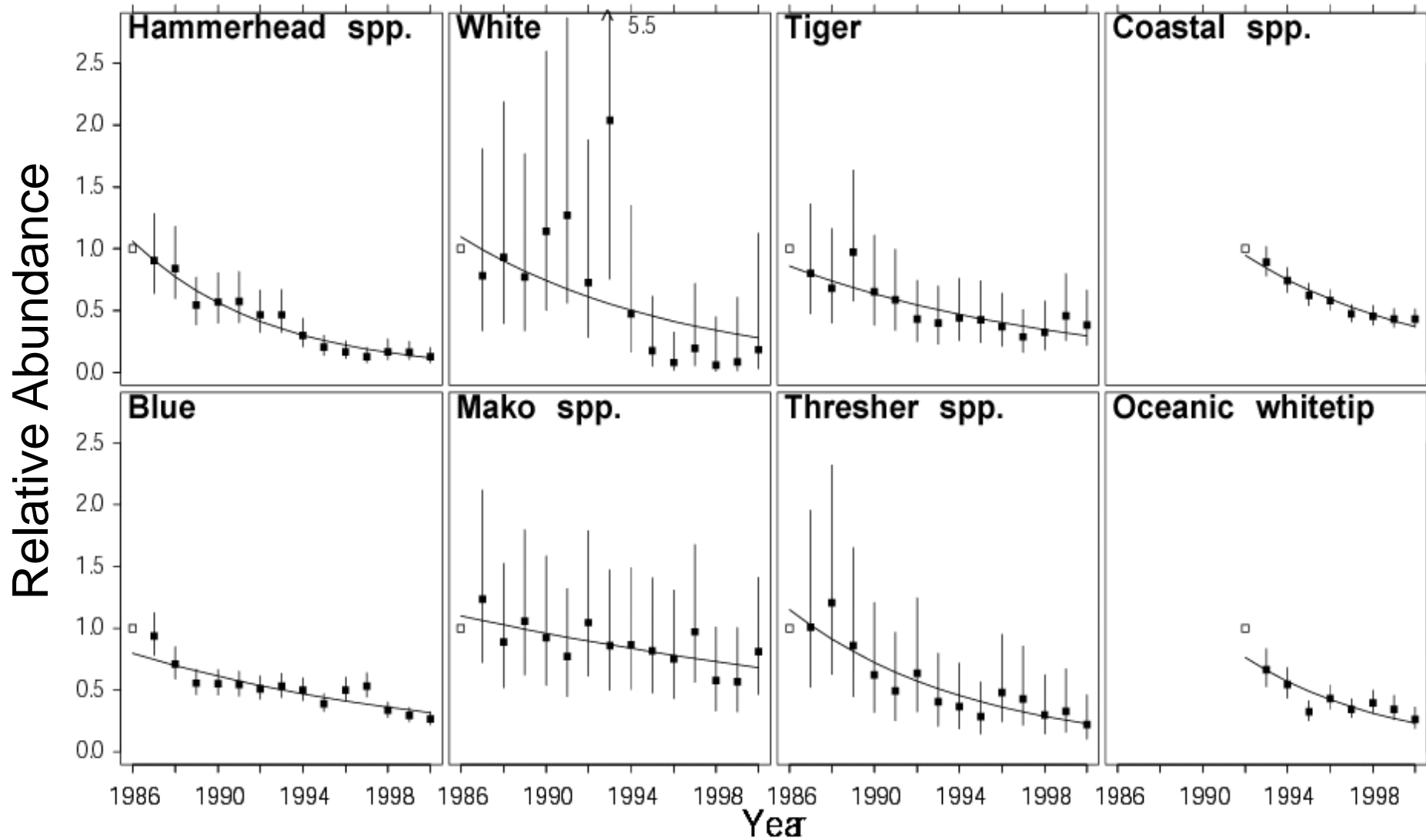
# Hammerhead sharks

*Sphyrna lewini*

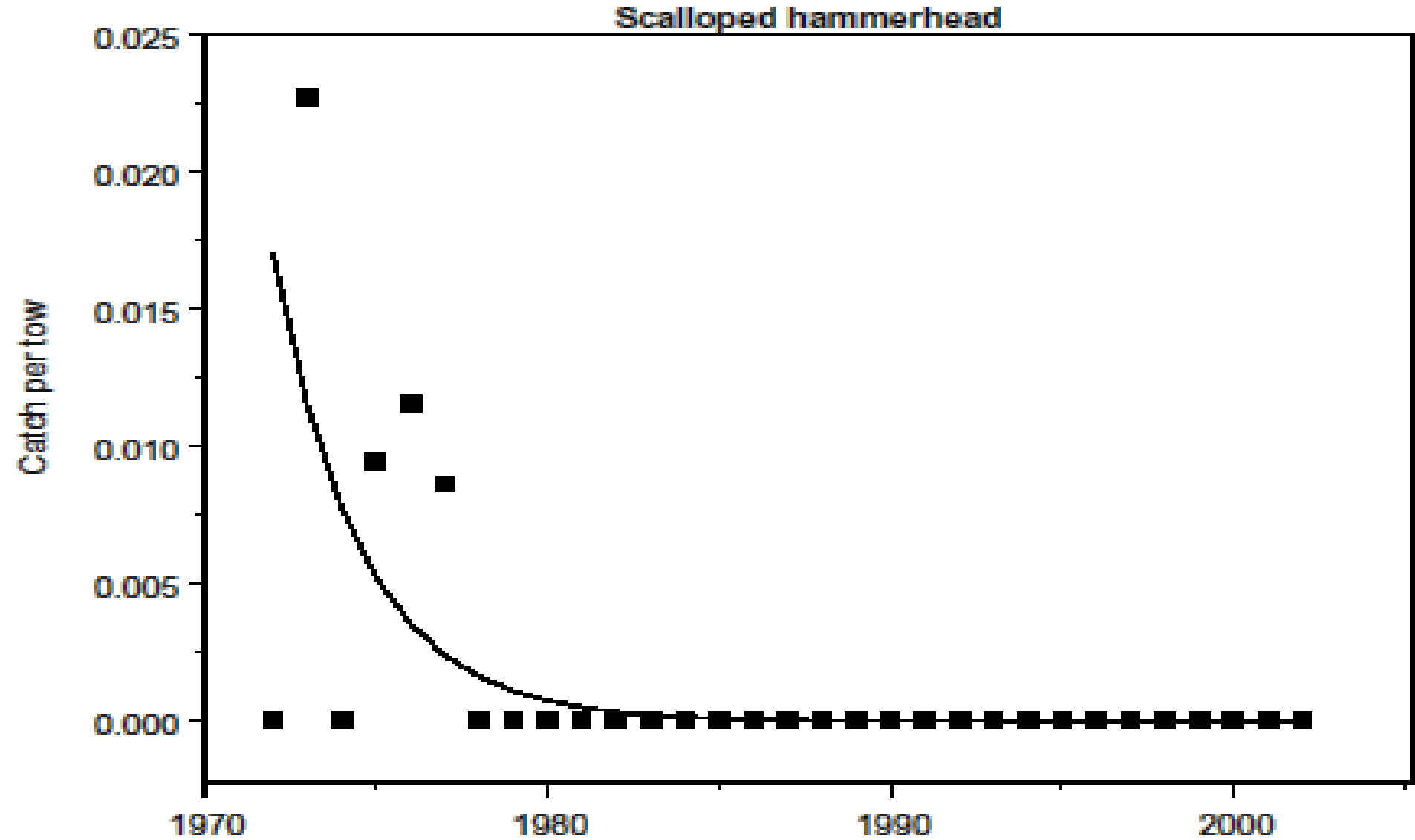


Science. Jan. 2003. J.K. Baum, R.A. Myers, D.G. Kehler, B. Worm, S.J. Harley, P.A. Doherty

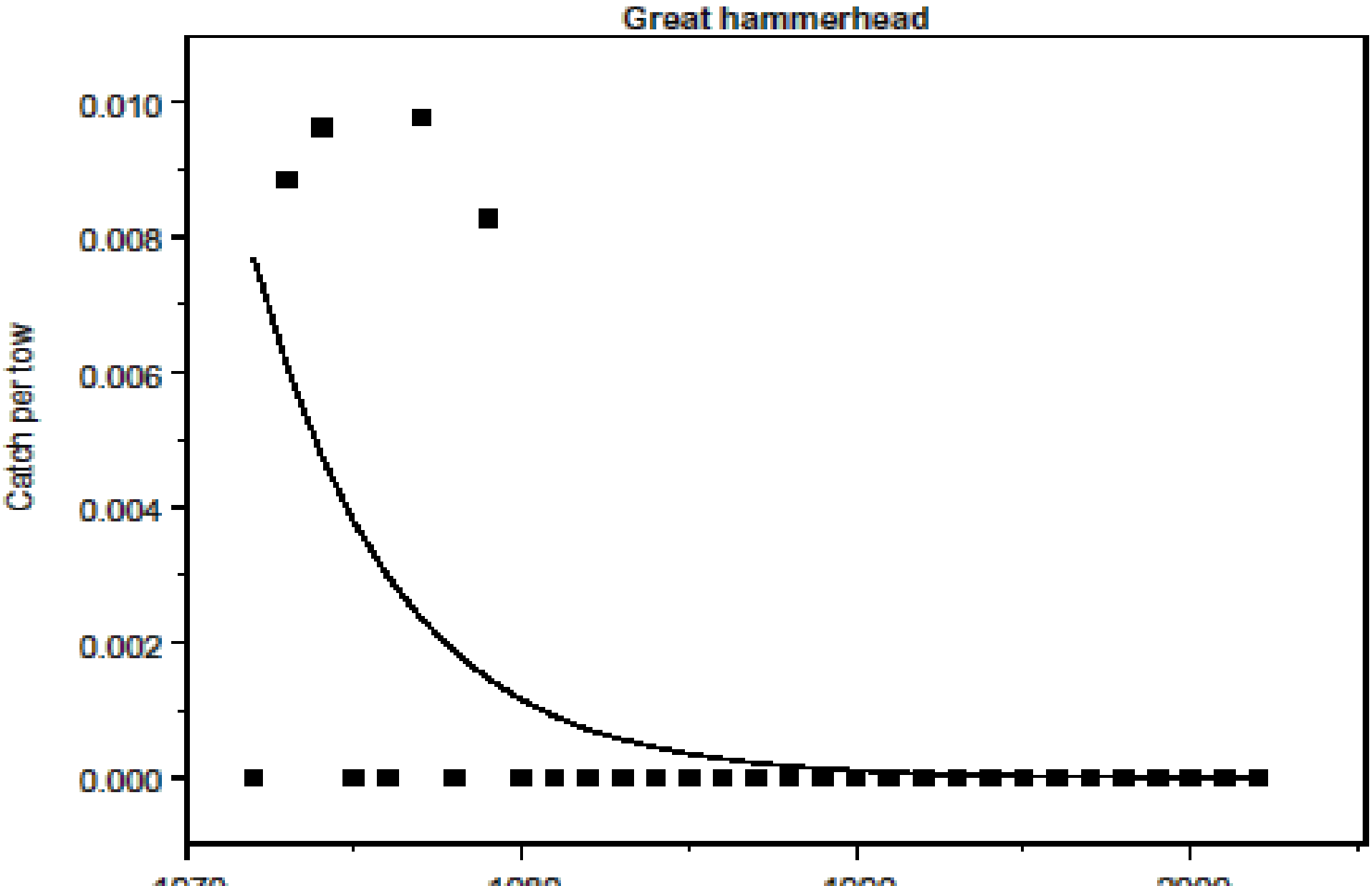
# Results



# Same results for trawl surveys in Gulf of Mexico



# Same results for trawl surveys in Gulf of Mexico

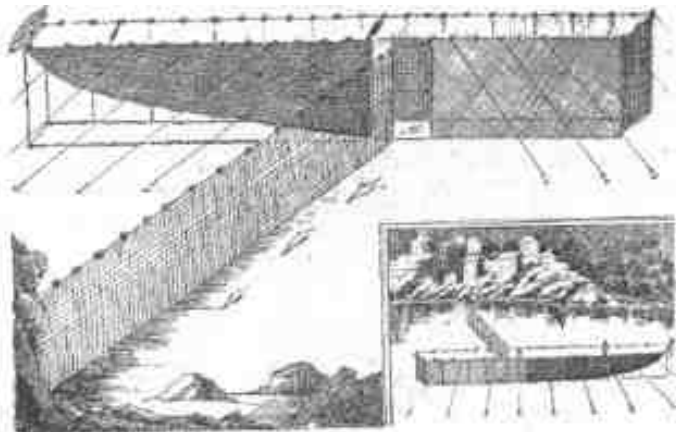


# Decline of Mediterranean Sharks

By catch associated with a Tuna Trap

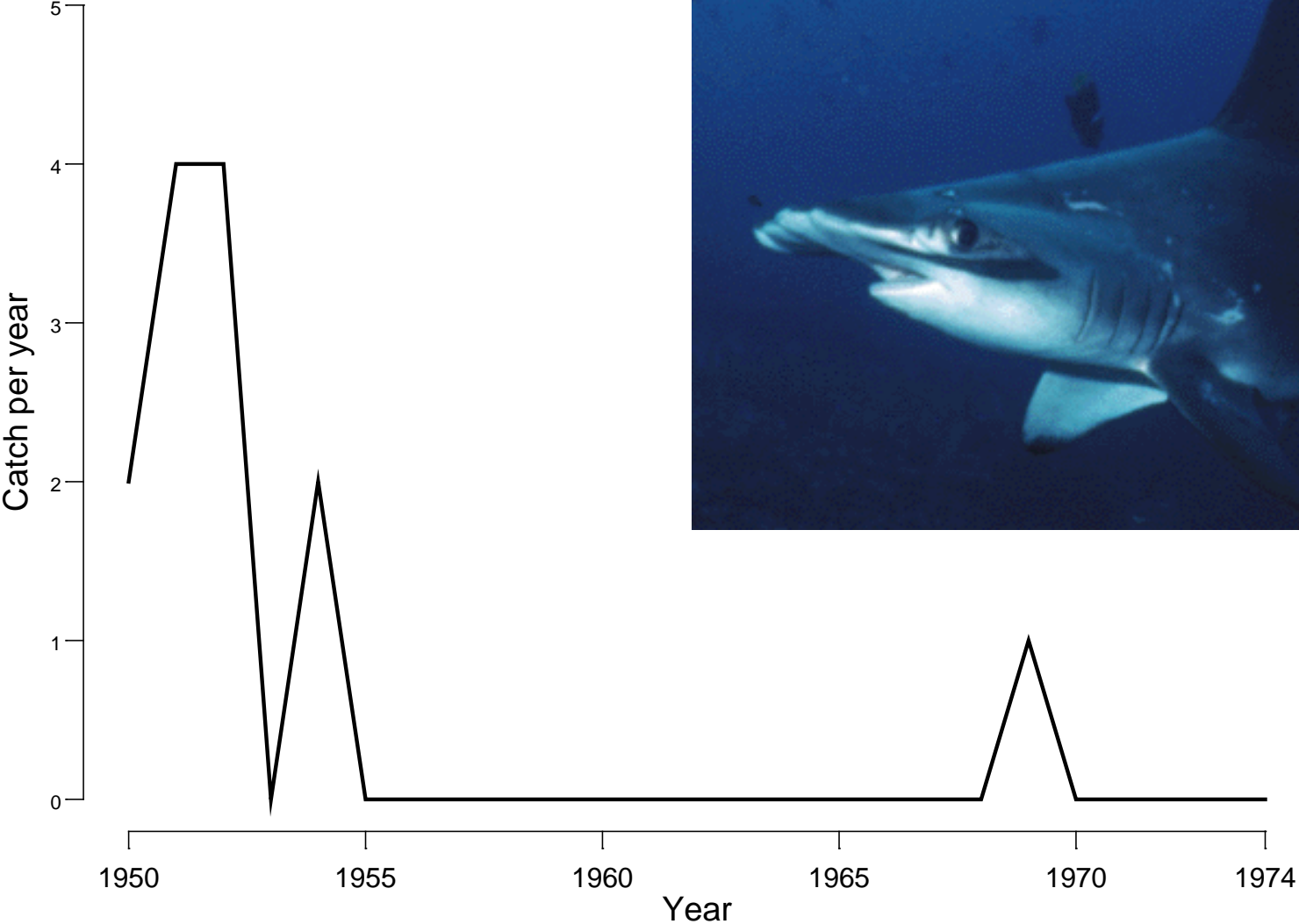
In Ligurian Sea

“Tonnara di Camogli”





# Decline of Hammarhead sharks



# Decline of Mediterranean Sharks

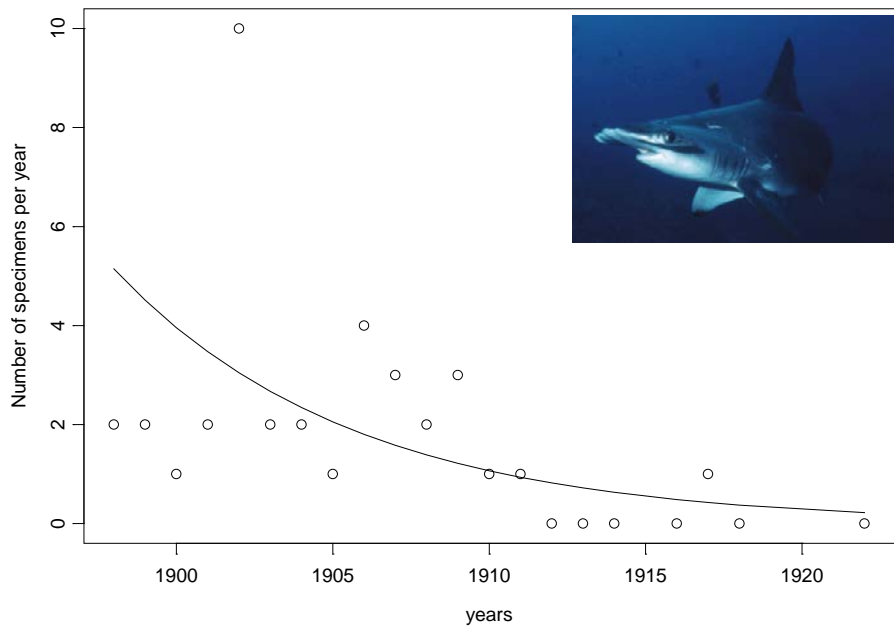
By catch associated with a Tuna Trap  
In Tirrenian Sea



“Tonnarella di Baratti”



Hammerhead shark

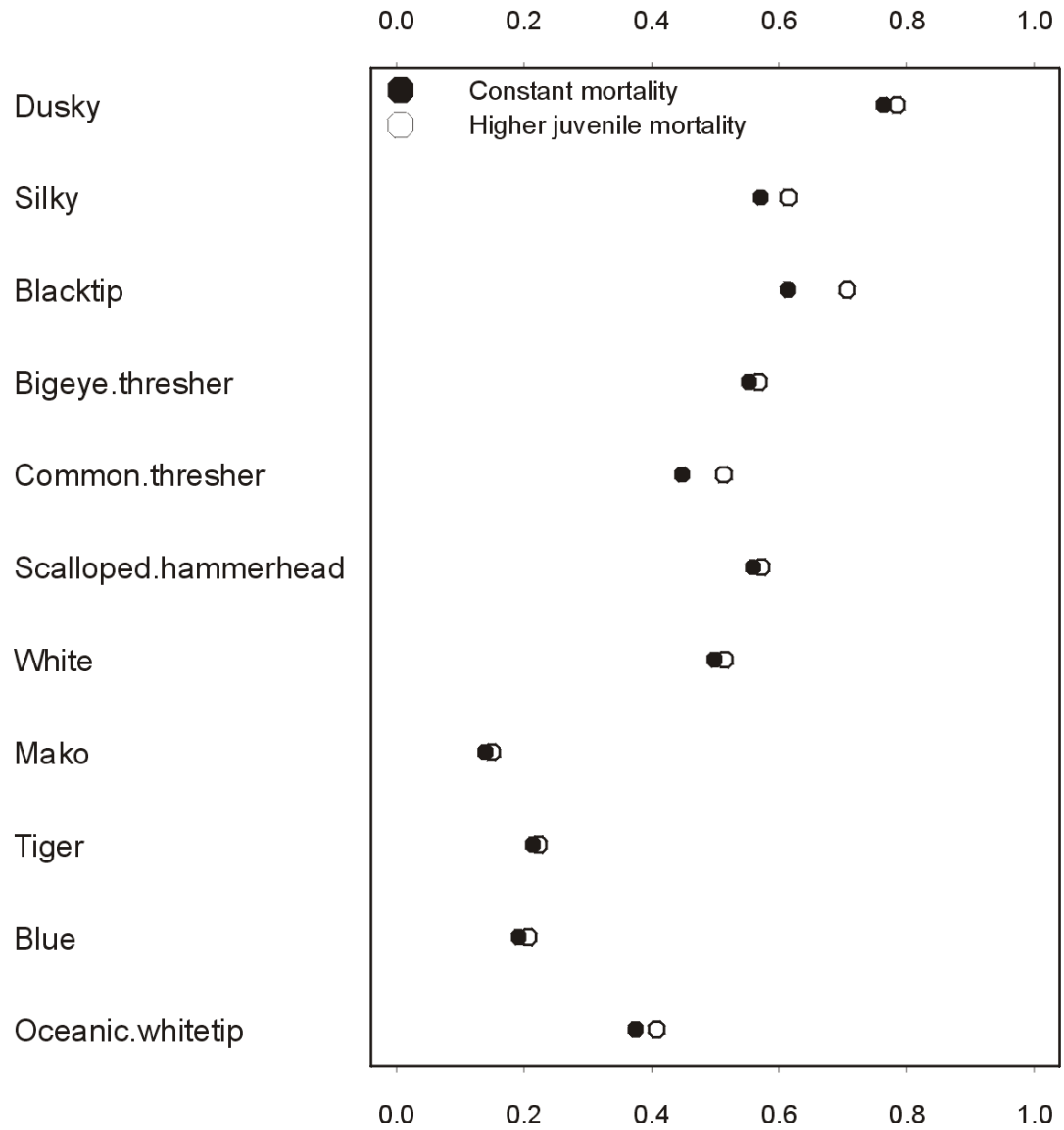


*There are at least 2 scalloped hammerhead sharks in the Northwest Atlantic*



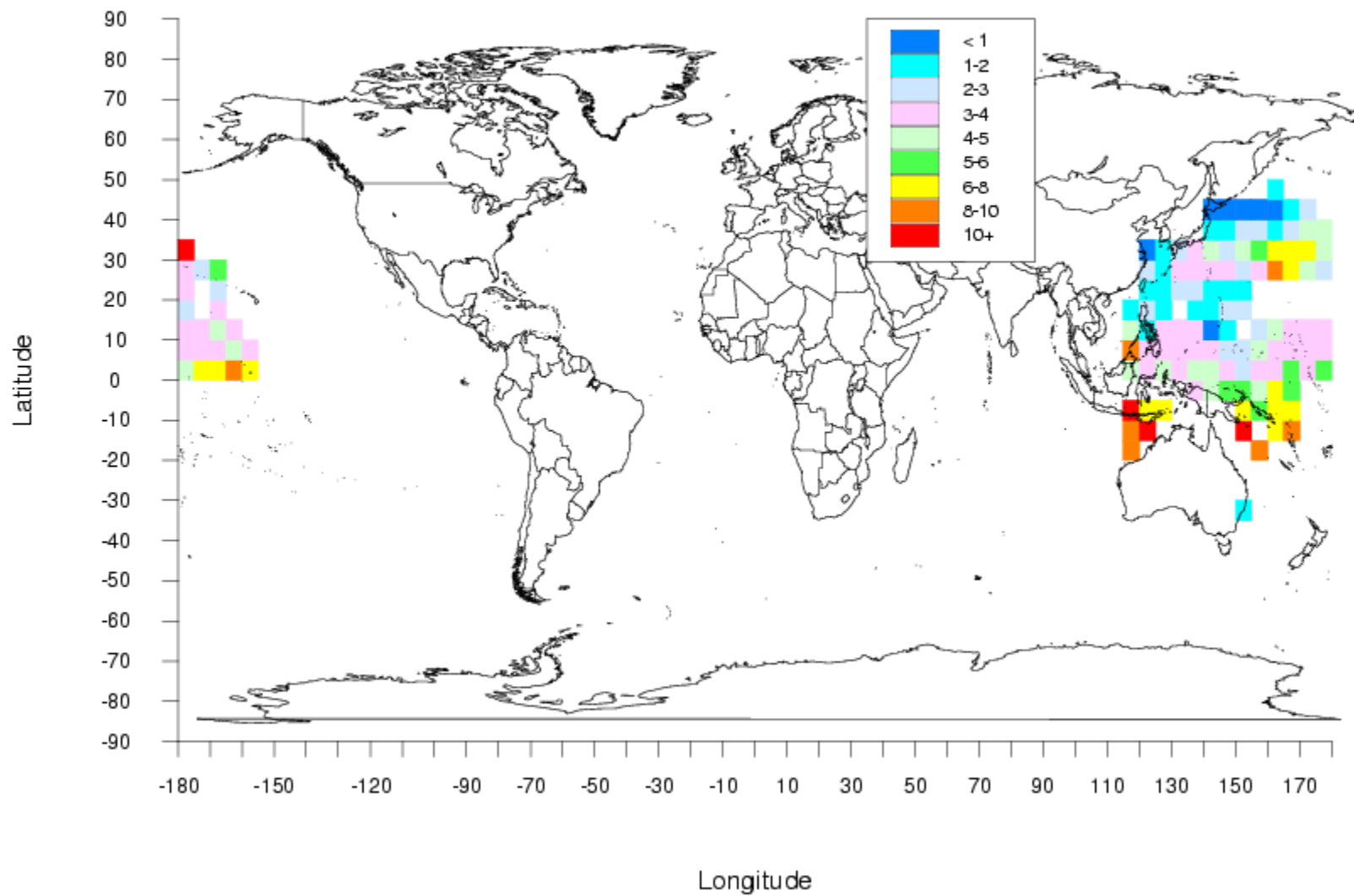
Stoner, D. S., J. M. Grady, W. B. Driggers, K. A. Priede and J. M. Quattro. Molecular Evidence for a Cryptic Species of Hammerhead Shark (Genus *Sphyrna*). *Marine Biology* (submitted).

# Proportional reduction in current fishing mortality needed to ensure survival of shark populations

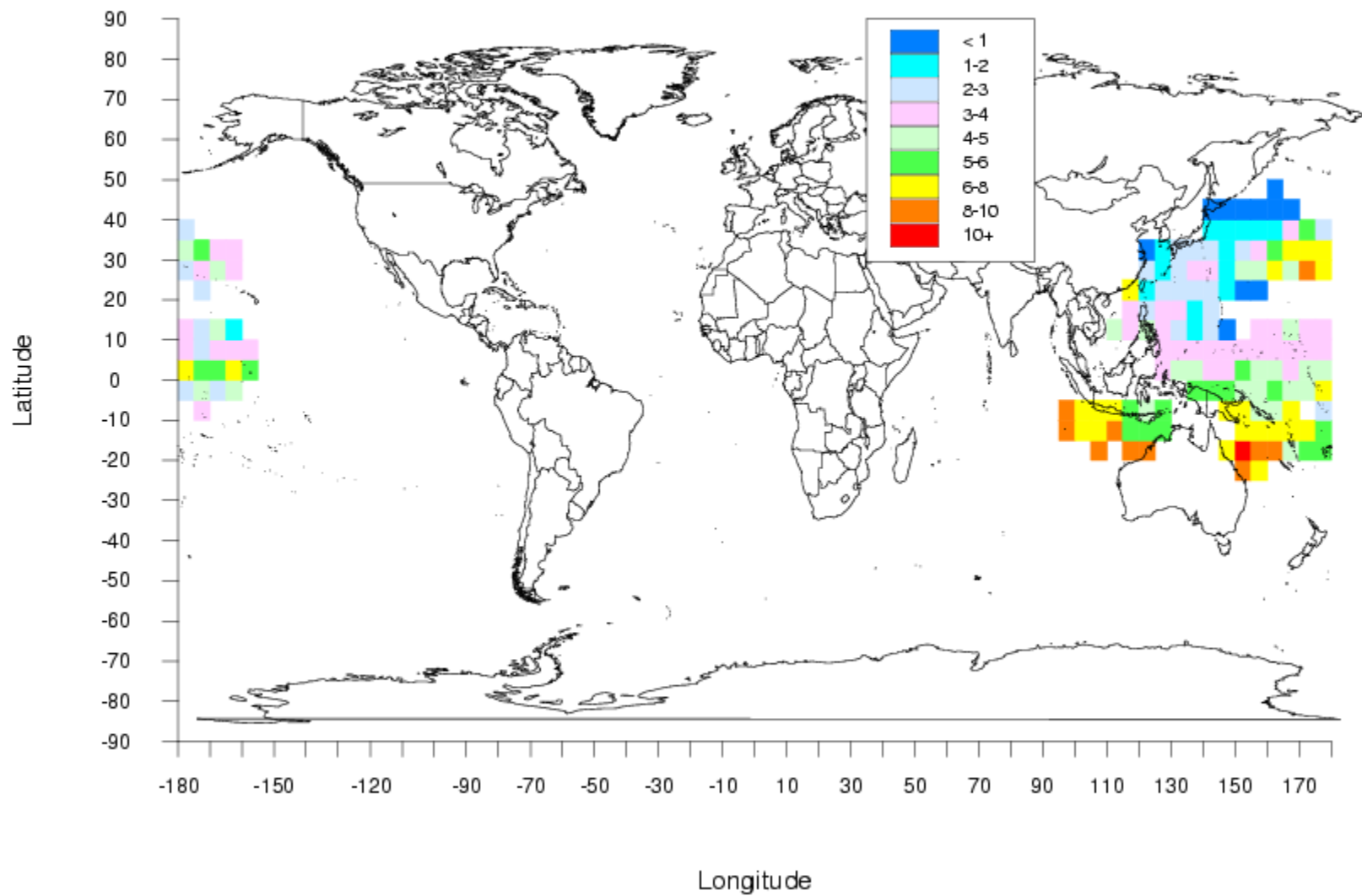




### Catch Per Hundred Hooks, Year = 1952

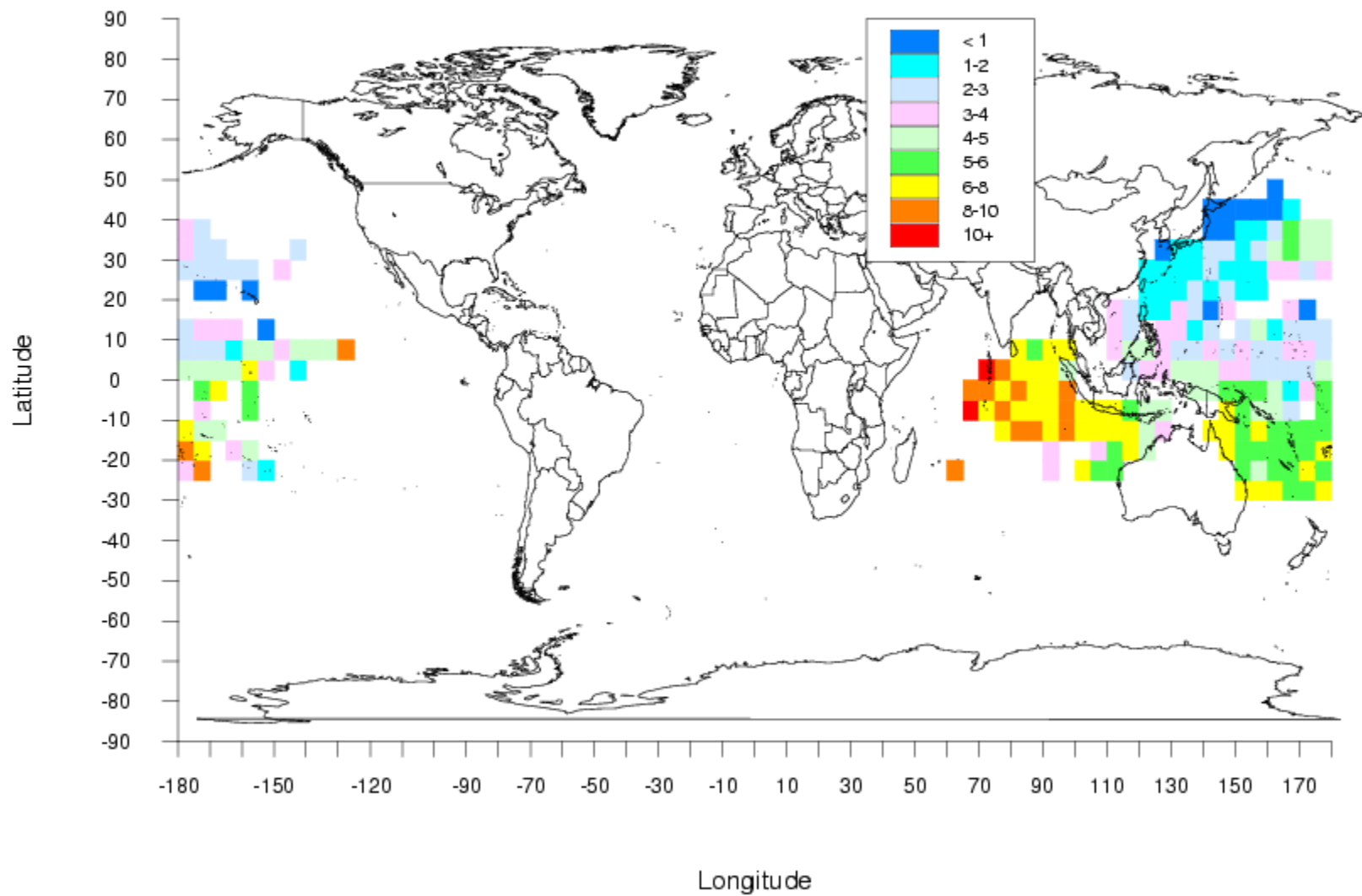


### Catch Per Hundred Hooks, Year = 1953

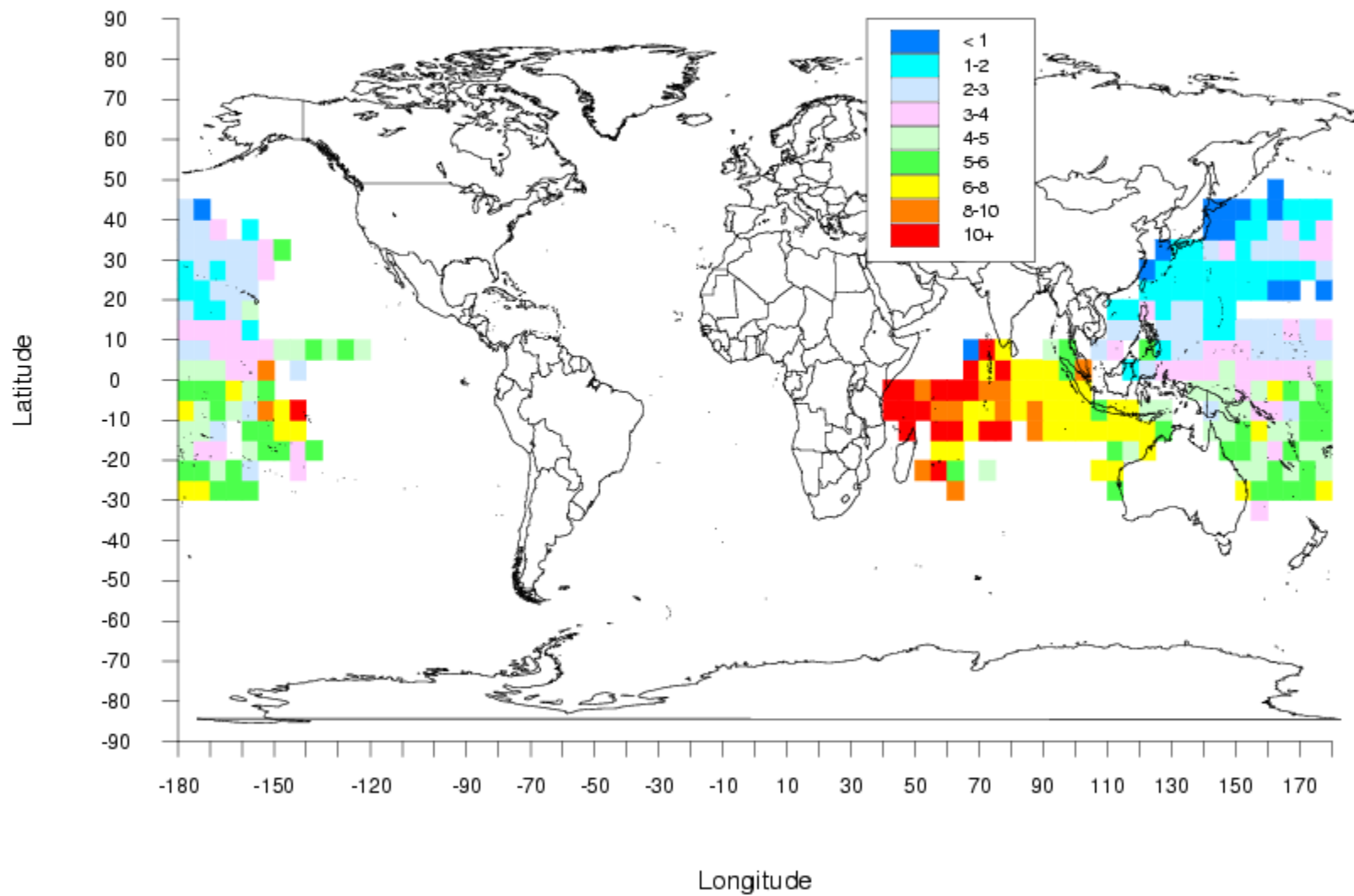




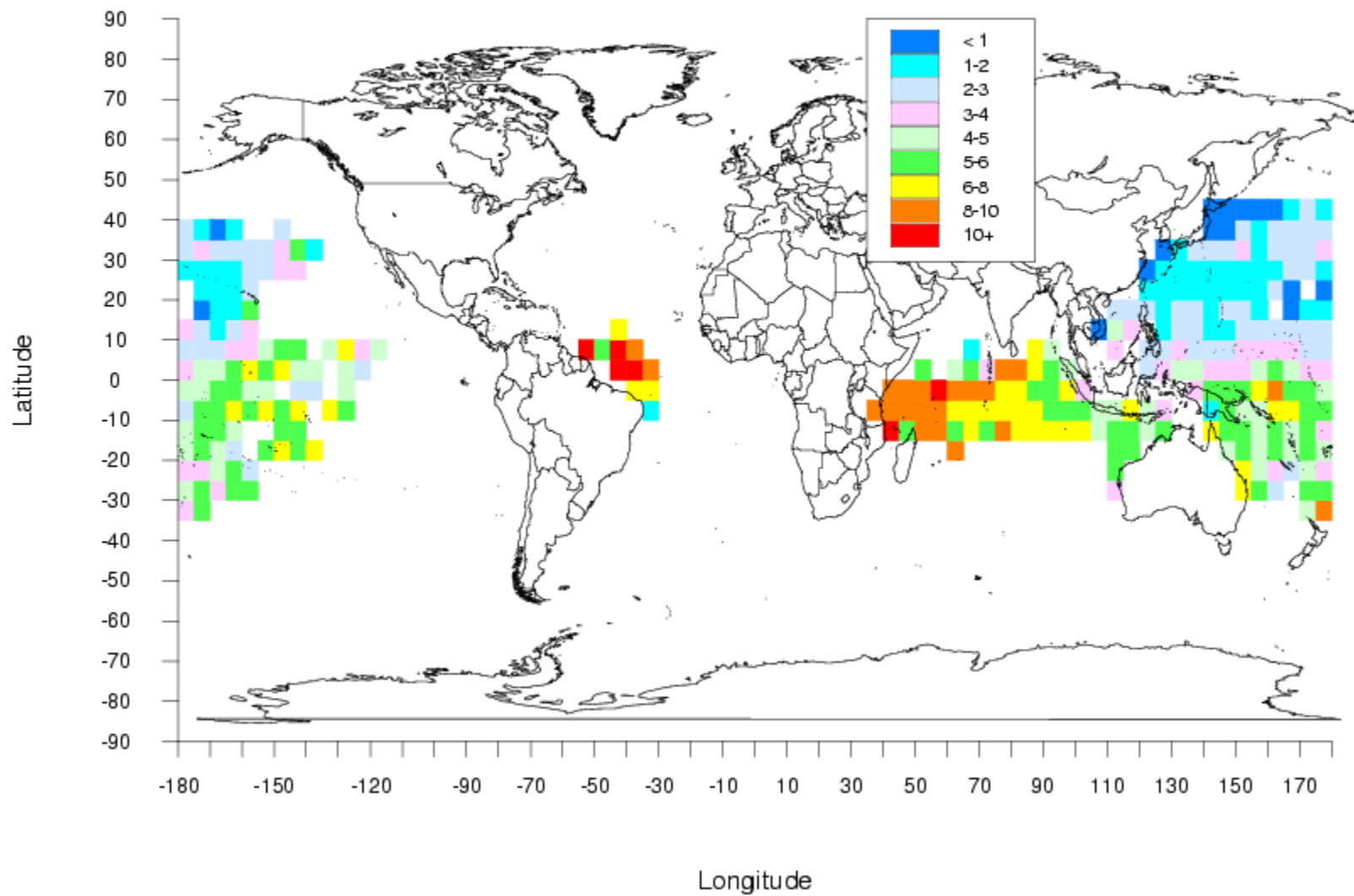
### Catch Per Hundred Hooks, Year = 1954



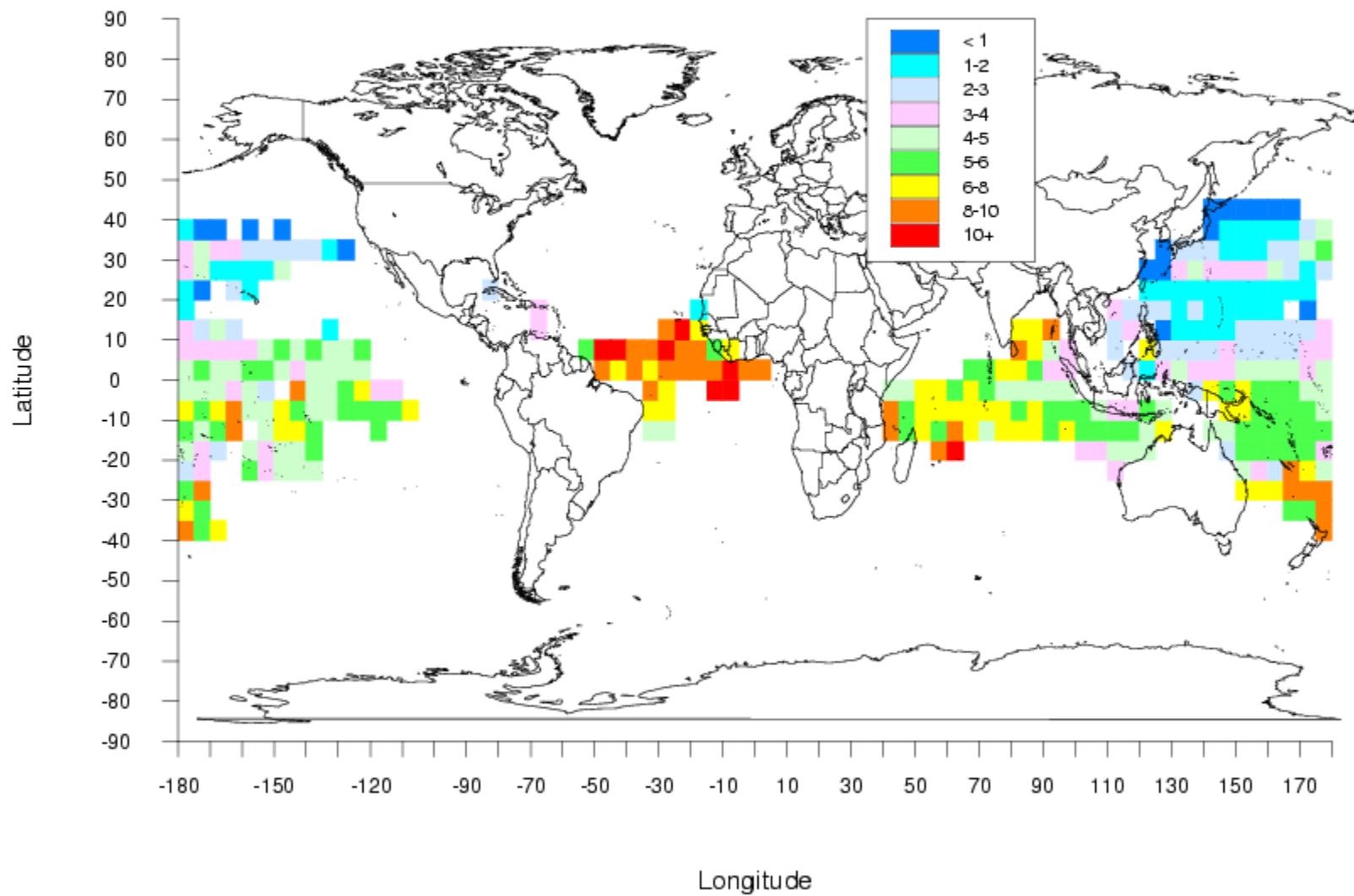
### Catch Per Hundred Hooks, Year = 1955



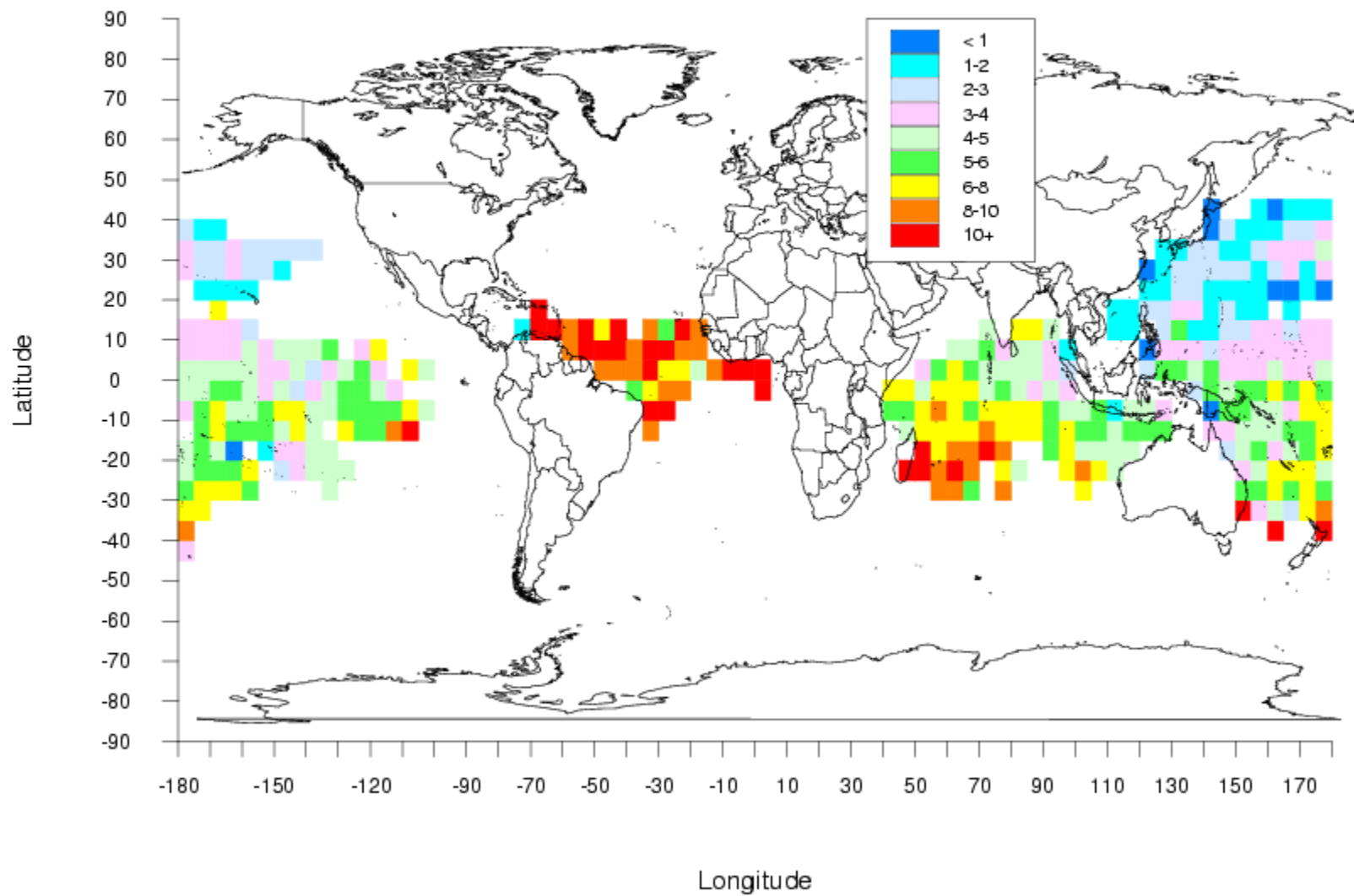
### Catch Per Hundred Hooks, Year = 1956



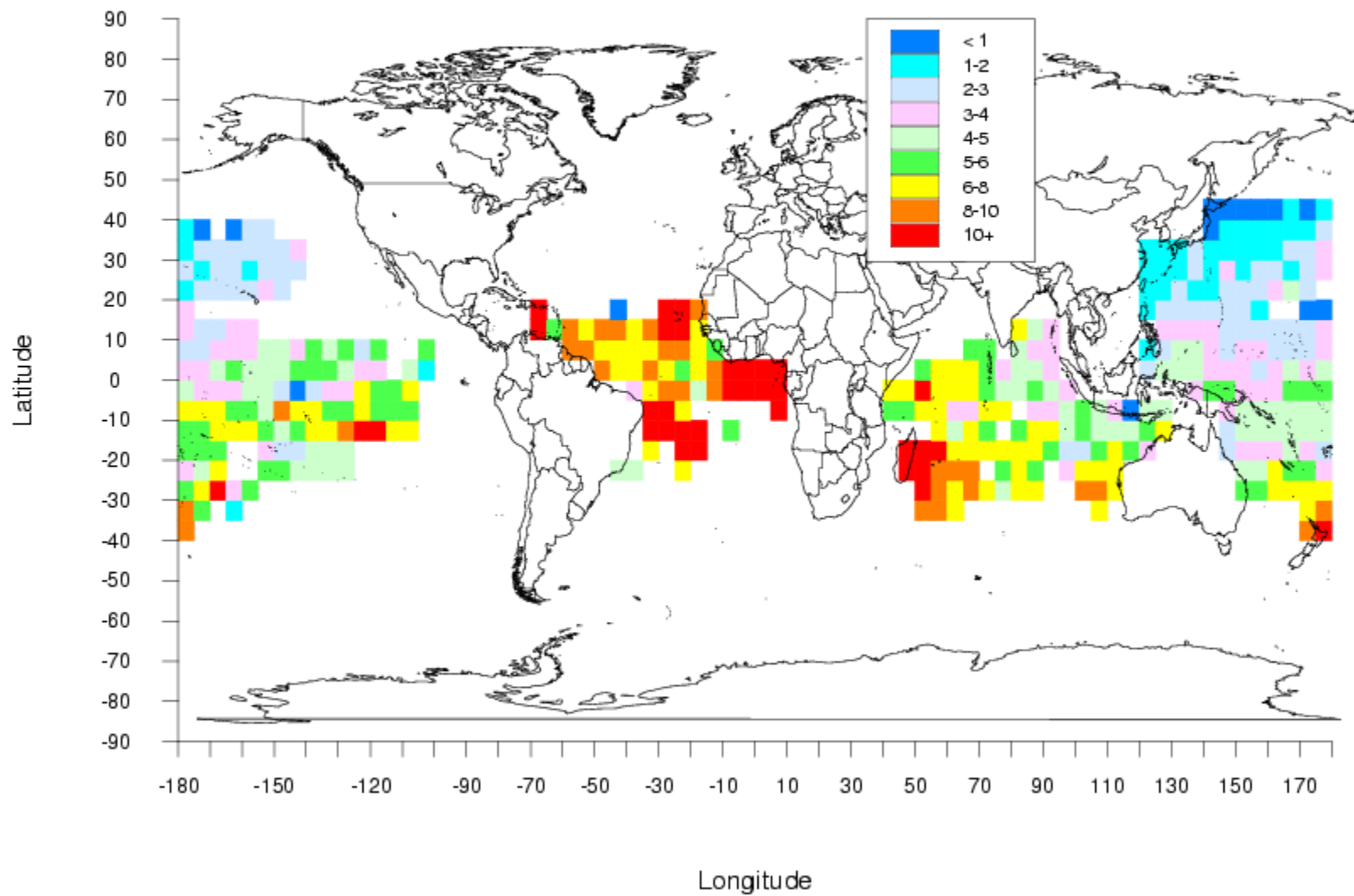
### Catch Per Hundred Hooks, Year = 1957



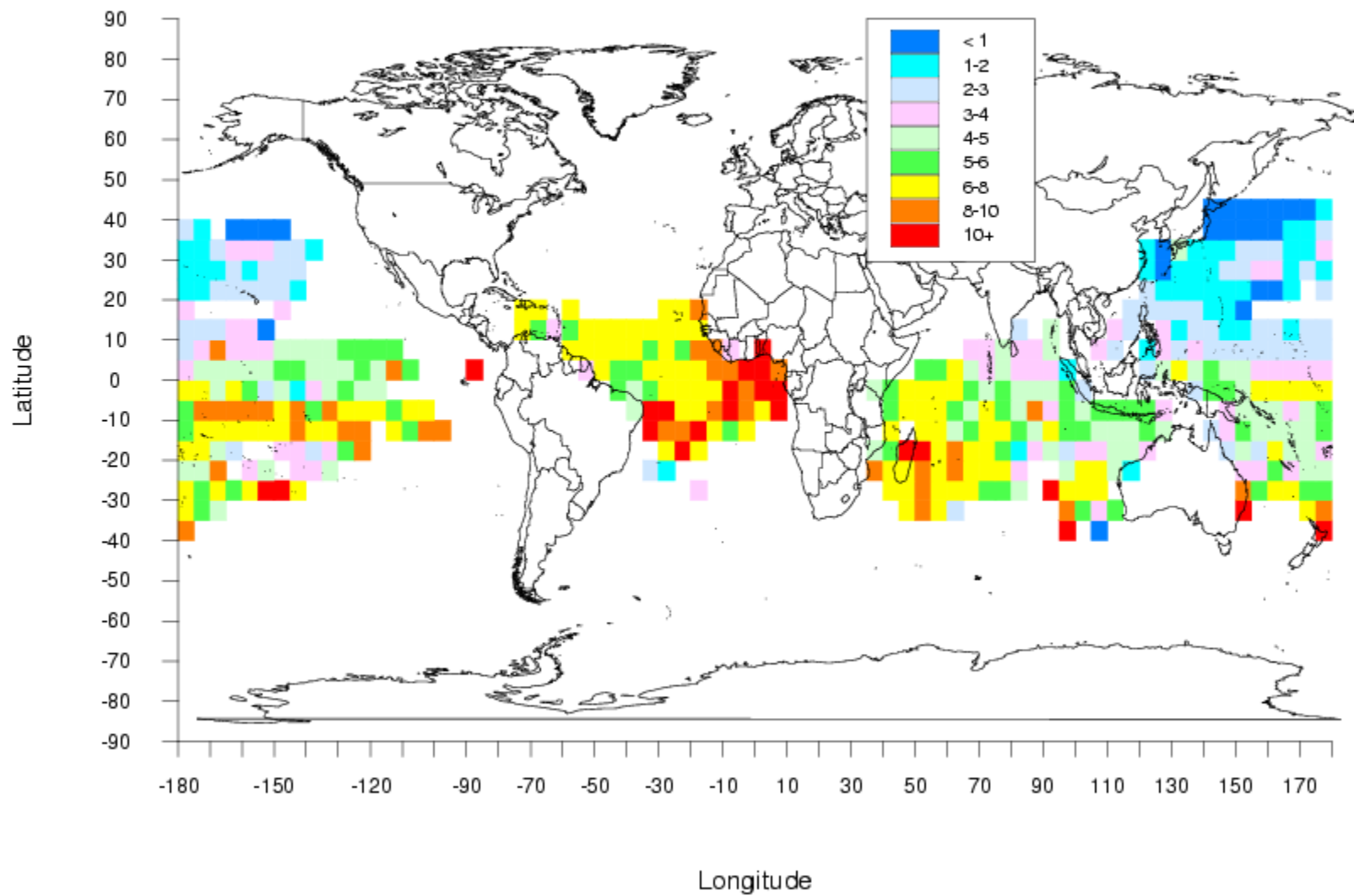
### Catch Per Hundred Hooks, Year = 1958



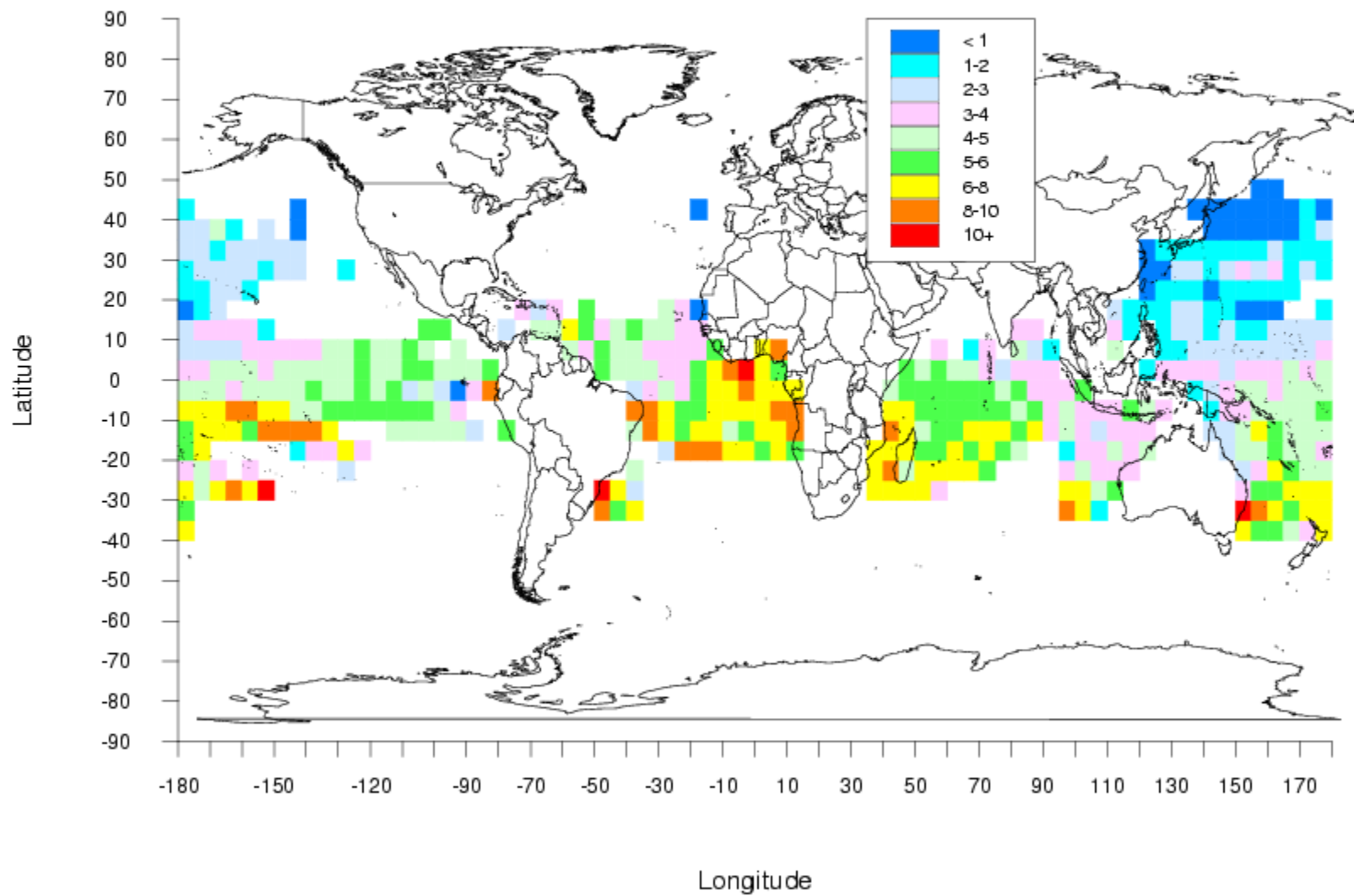
### Catch Per Hundred Hooks, Year = 1959



### Catch Per Hundred Hooks, Year = 1960

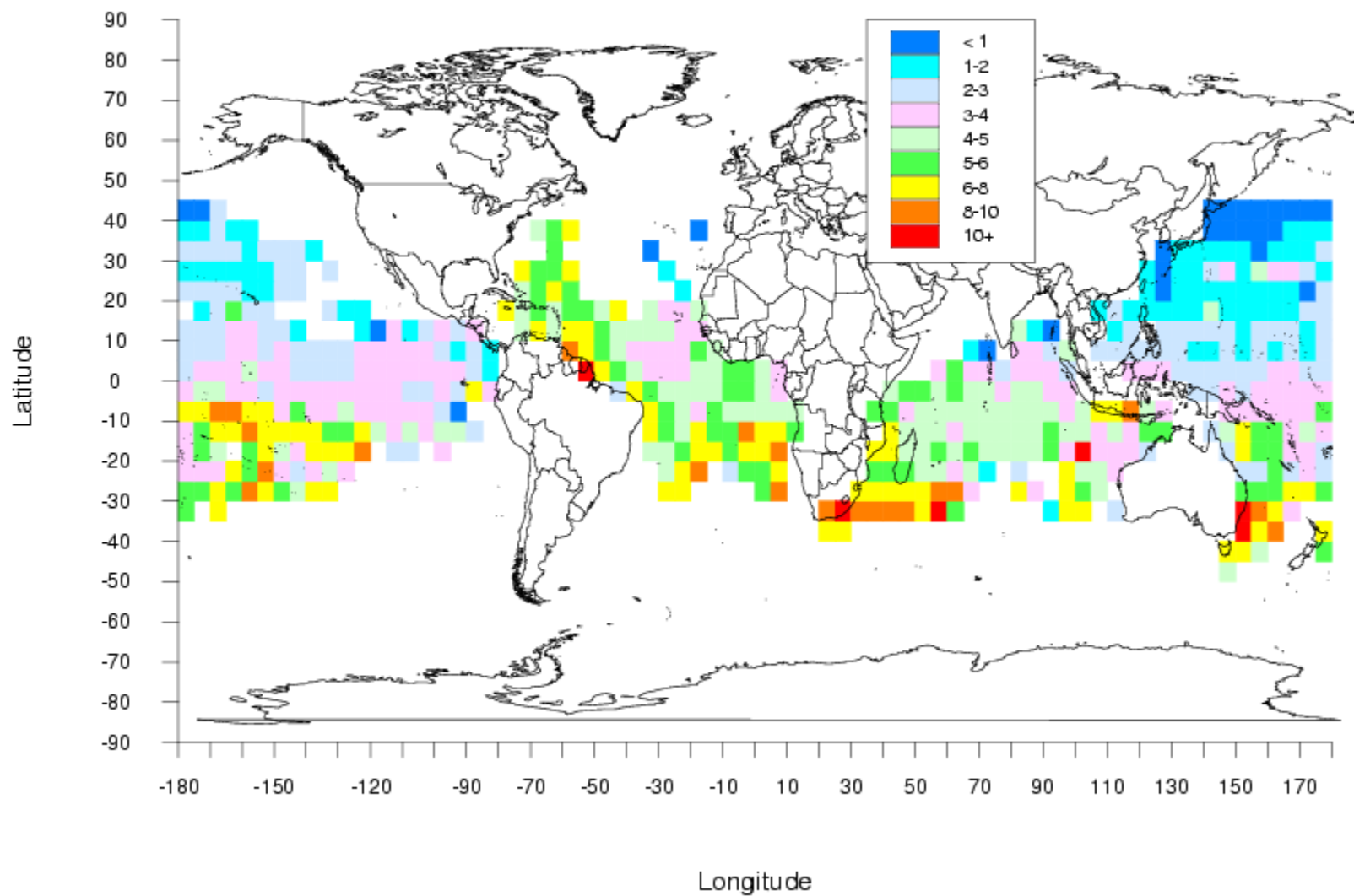


### Catch Per Hundred Hooks, Year = 1961

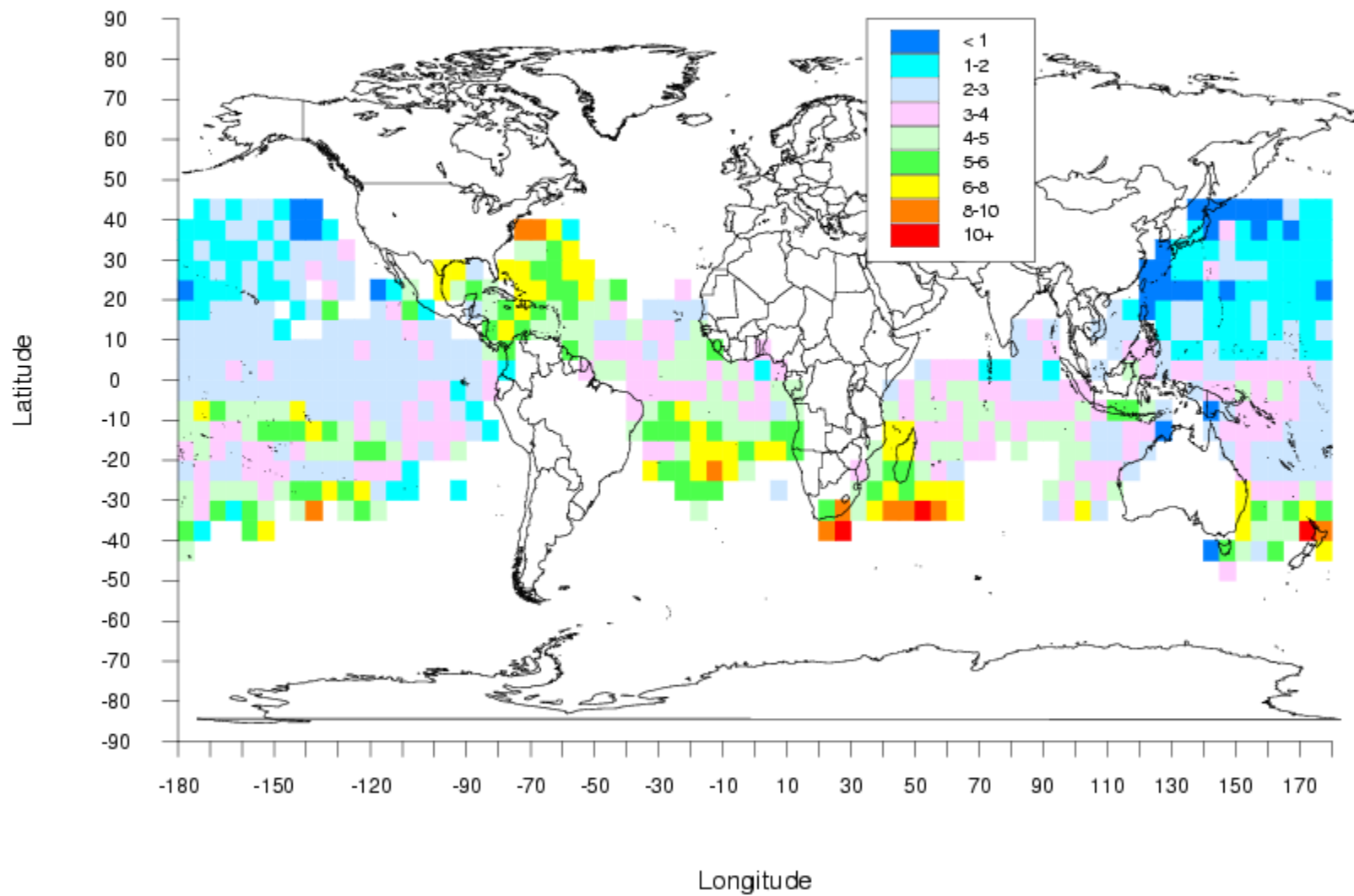




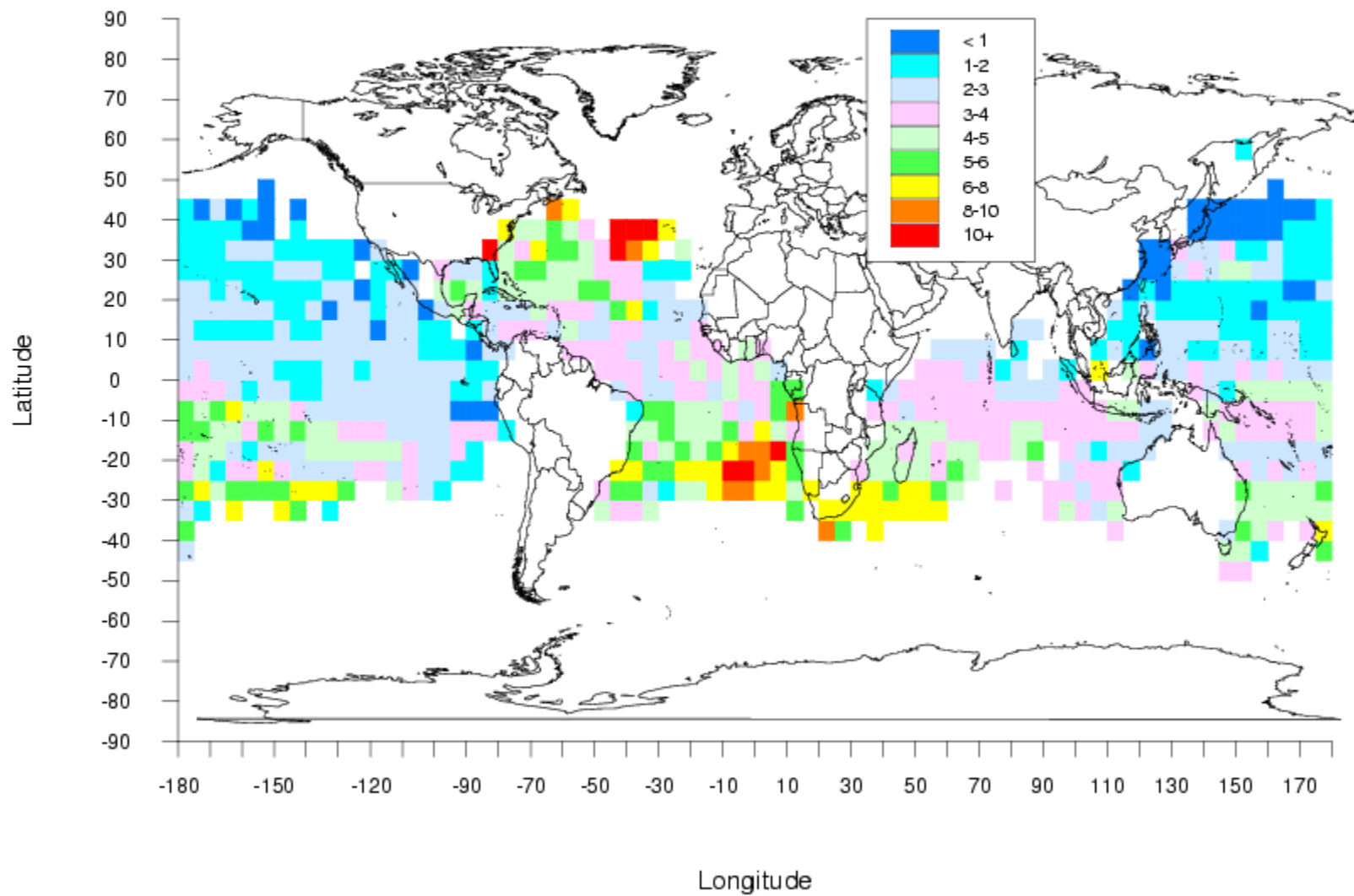
### Catch Per Hundred Hooks, Year = 1962



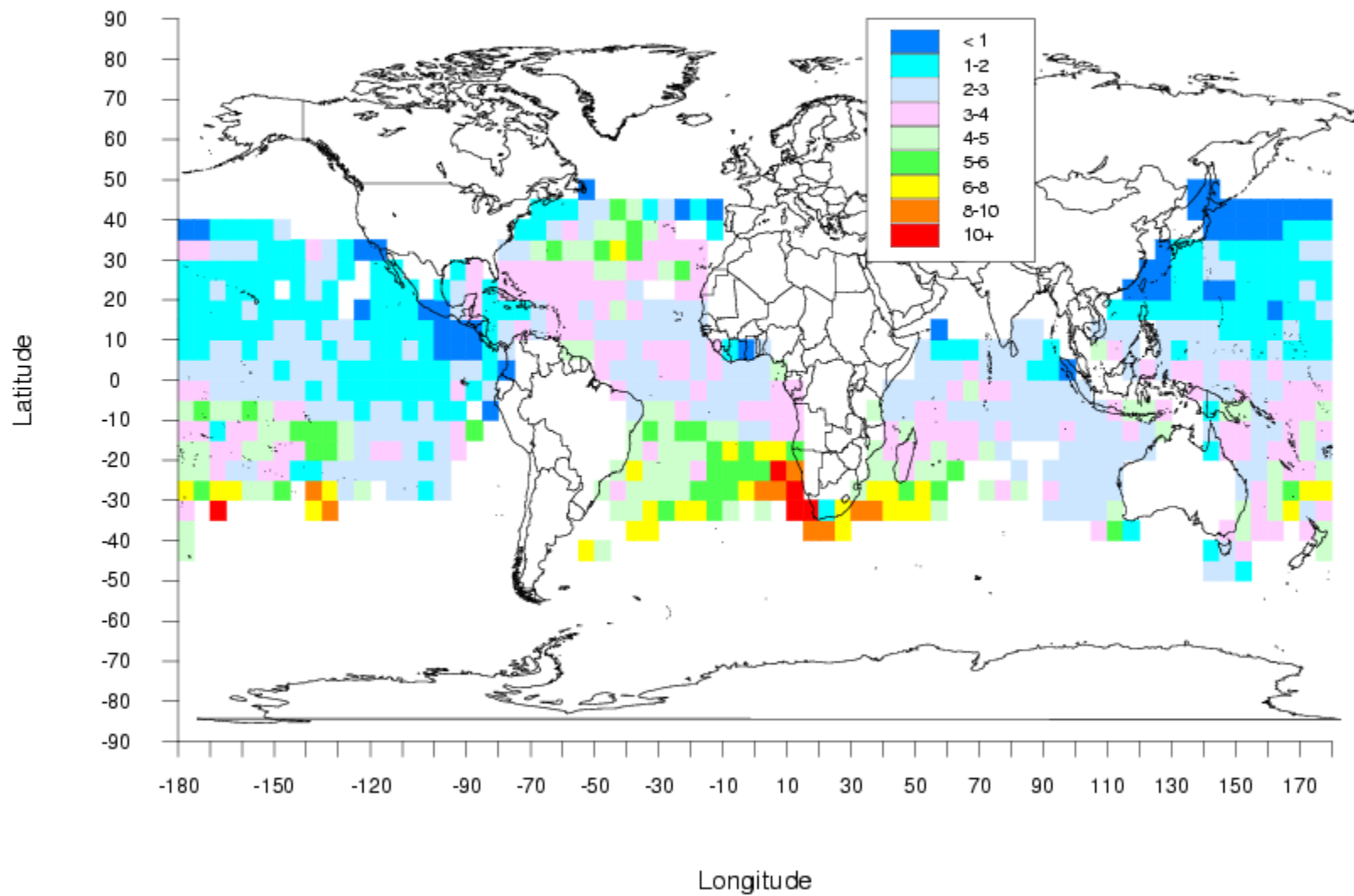
### Catch Per Hundred Hooks, Year = 1963



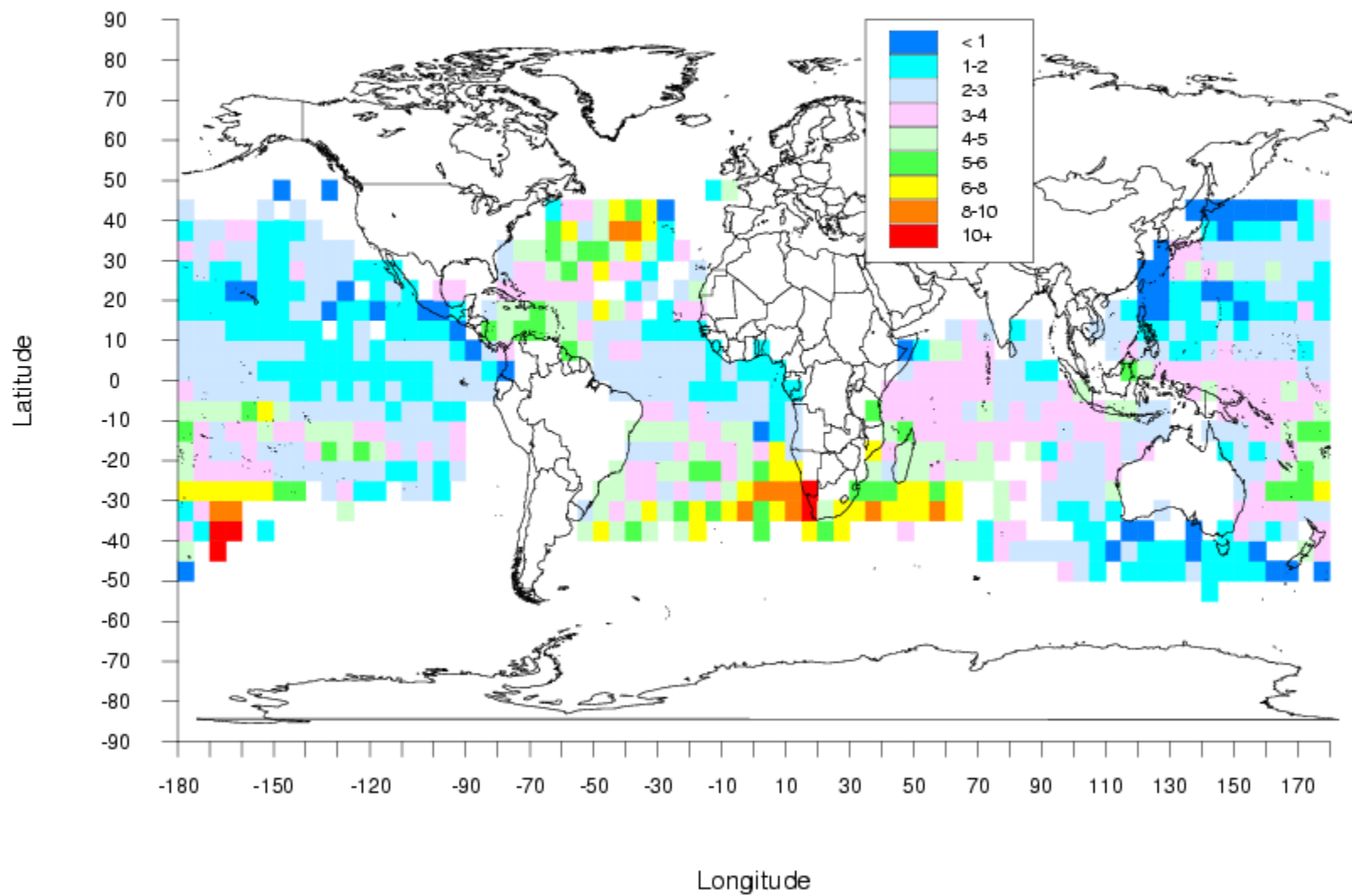
### Catch Per Hundred Hooks, Year = 1964



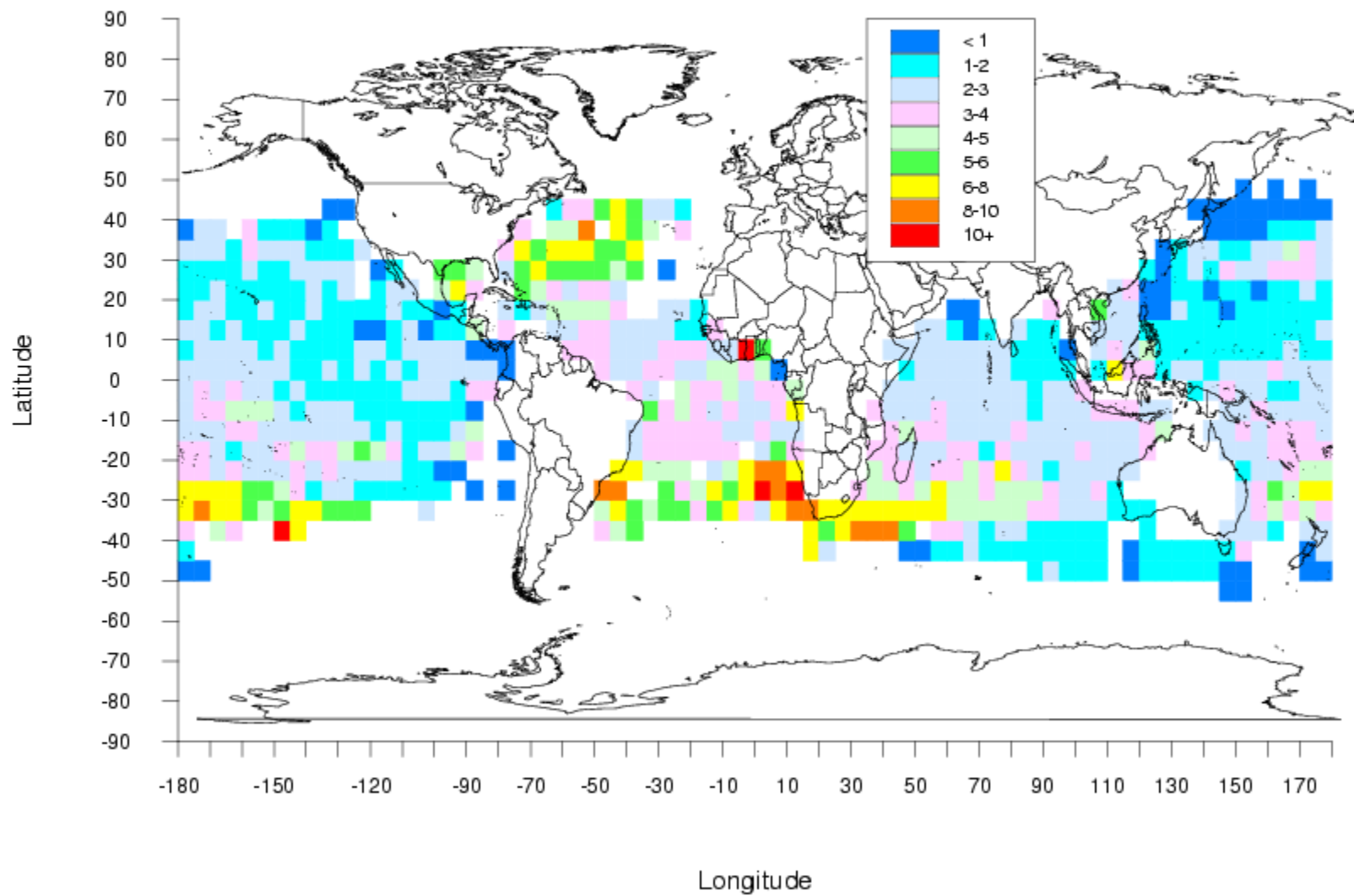
### Catch Per Hundred Hooks, Year = 1965



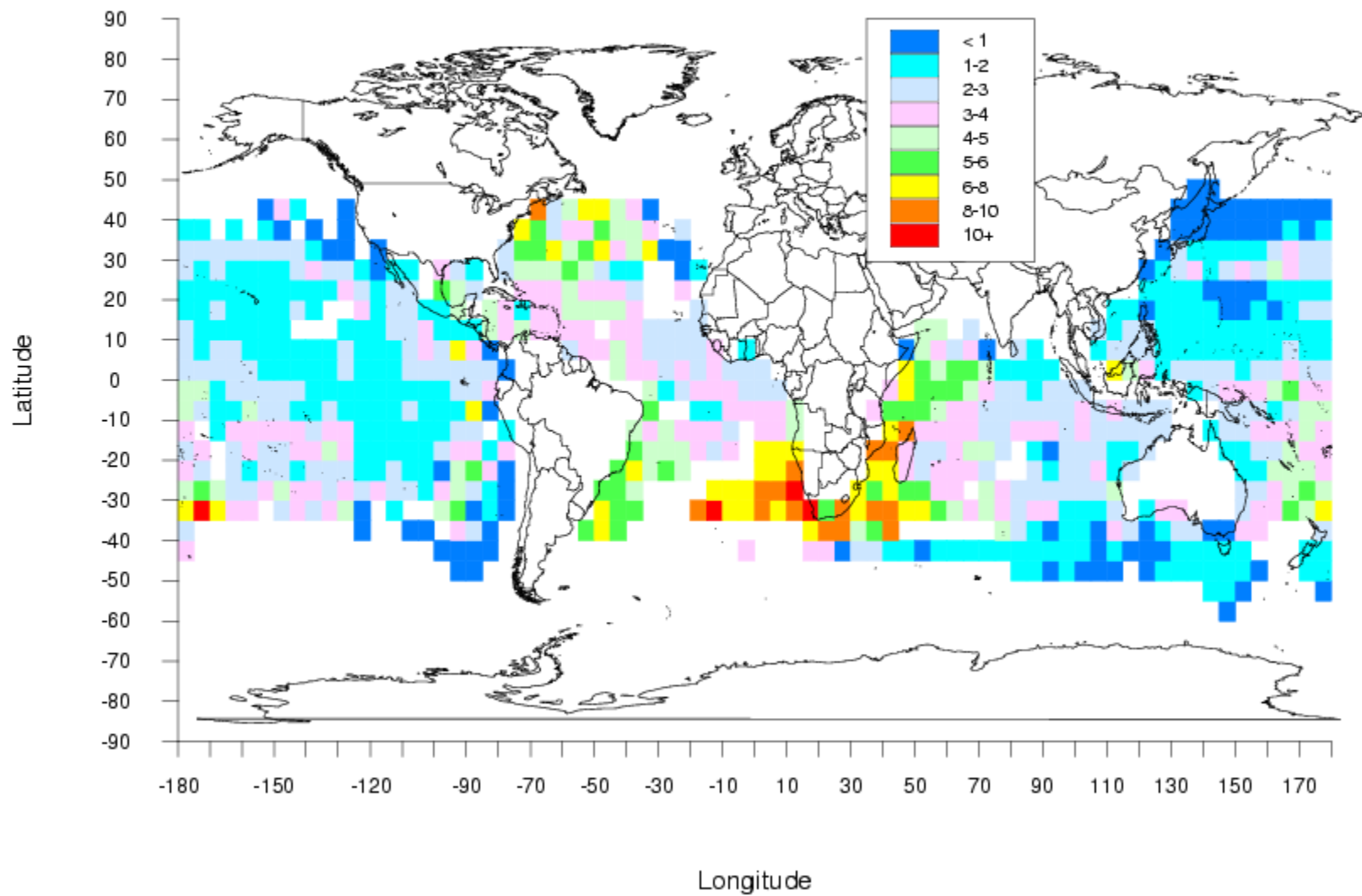
Catch Per Hundred Hooks, Year = 1966



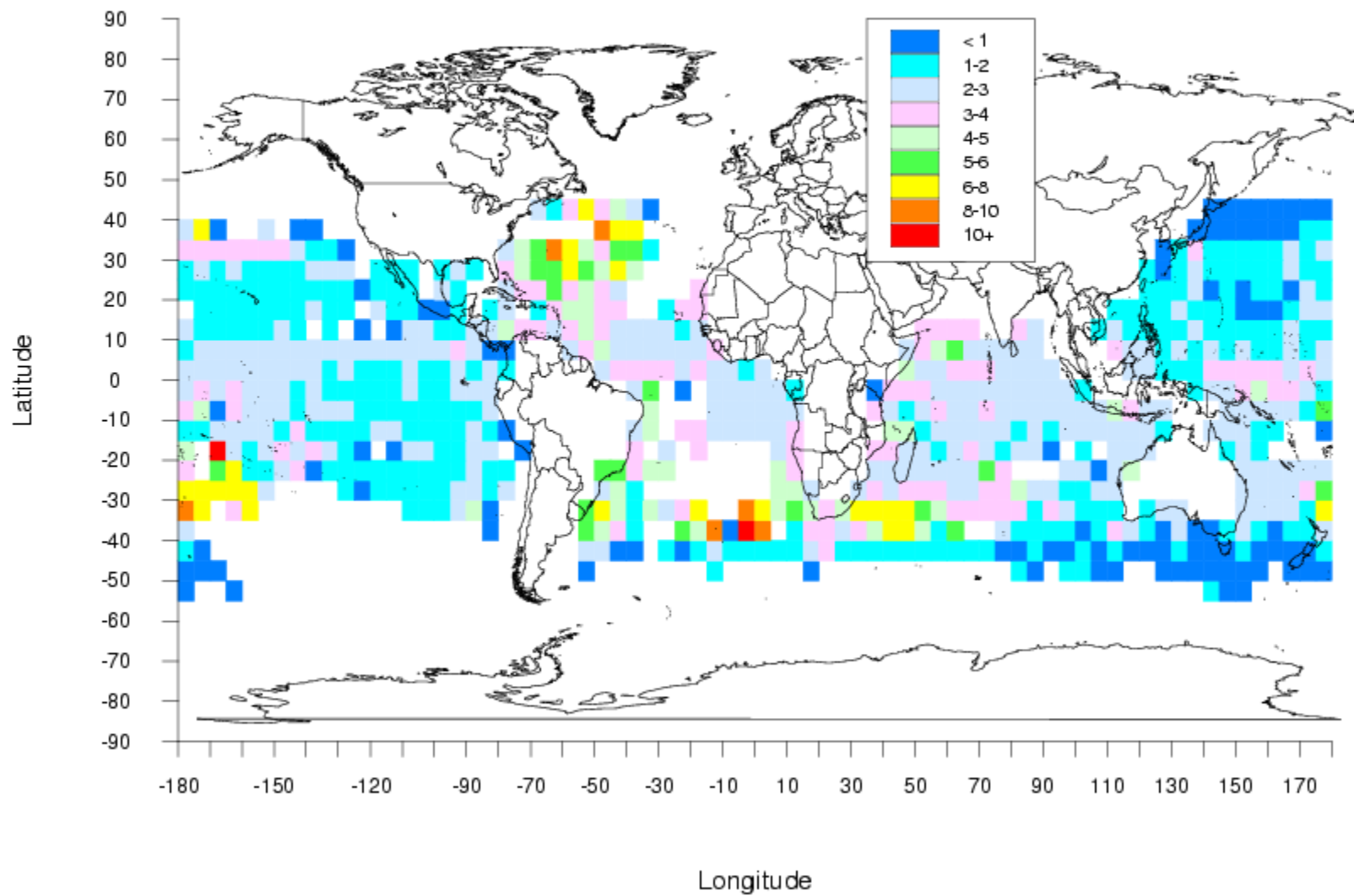
### Catch Per Hundred Hooks, Year = 1967



### Catch Per Hundred Hooks, Year = 1968

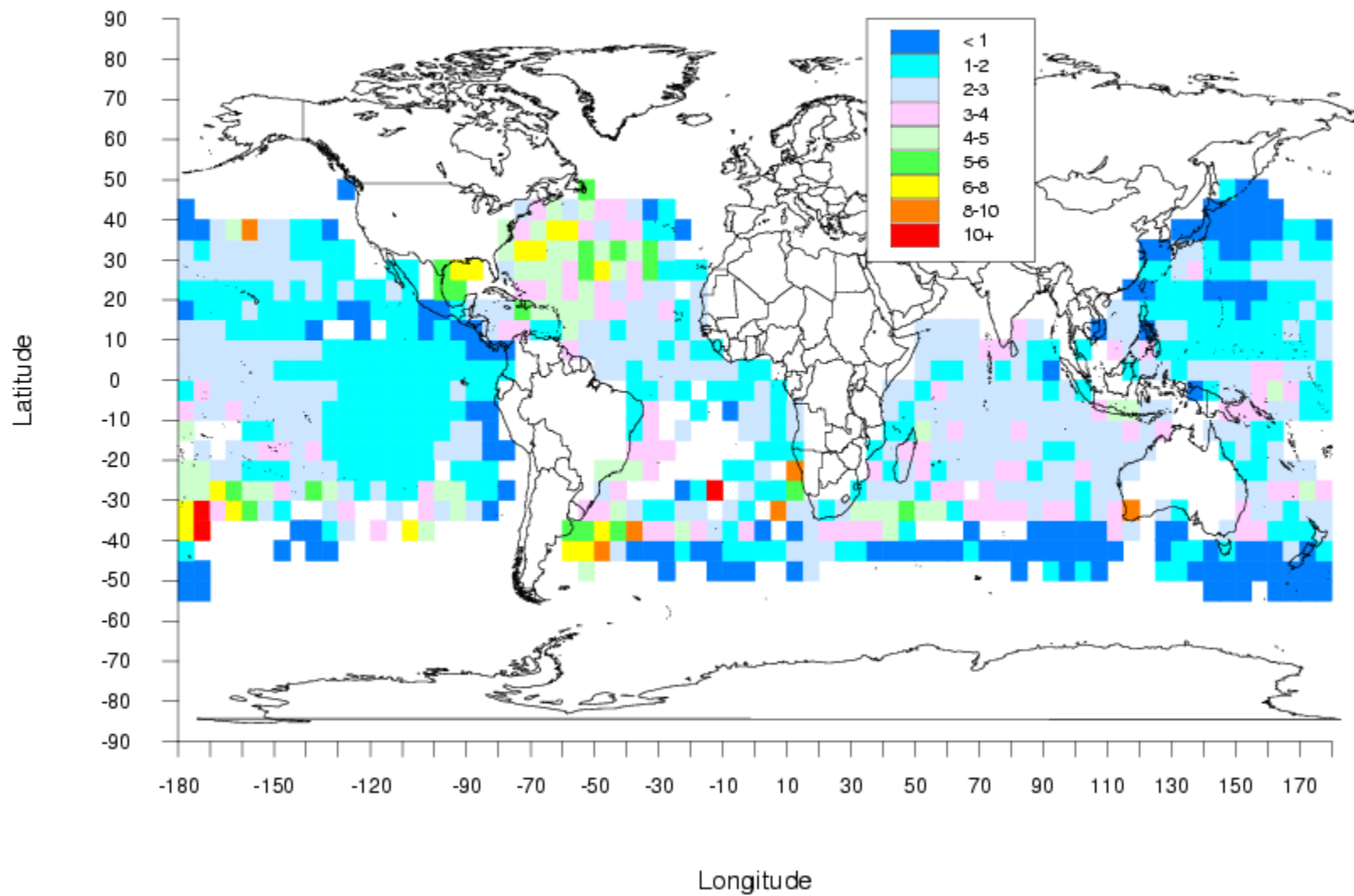


### Catch Per Hundred Hooks, Year = 1969

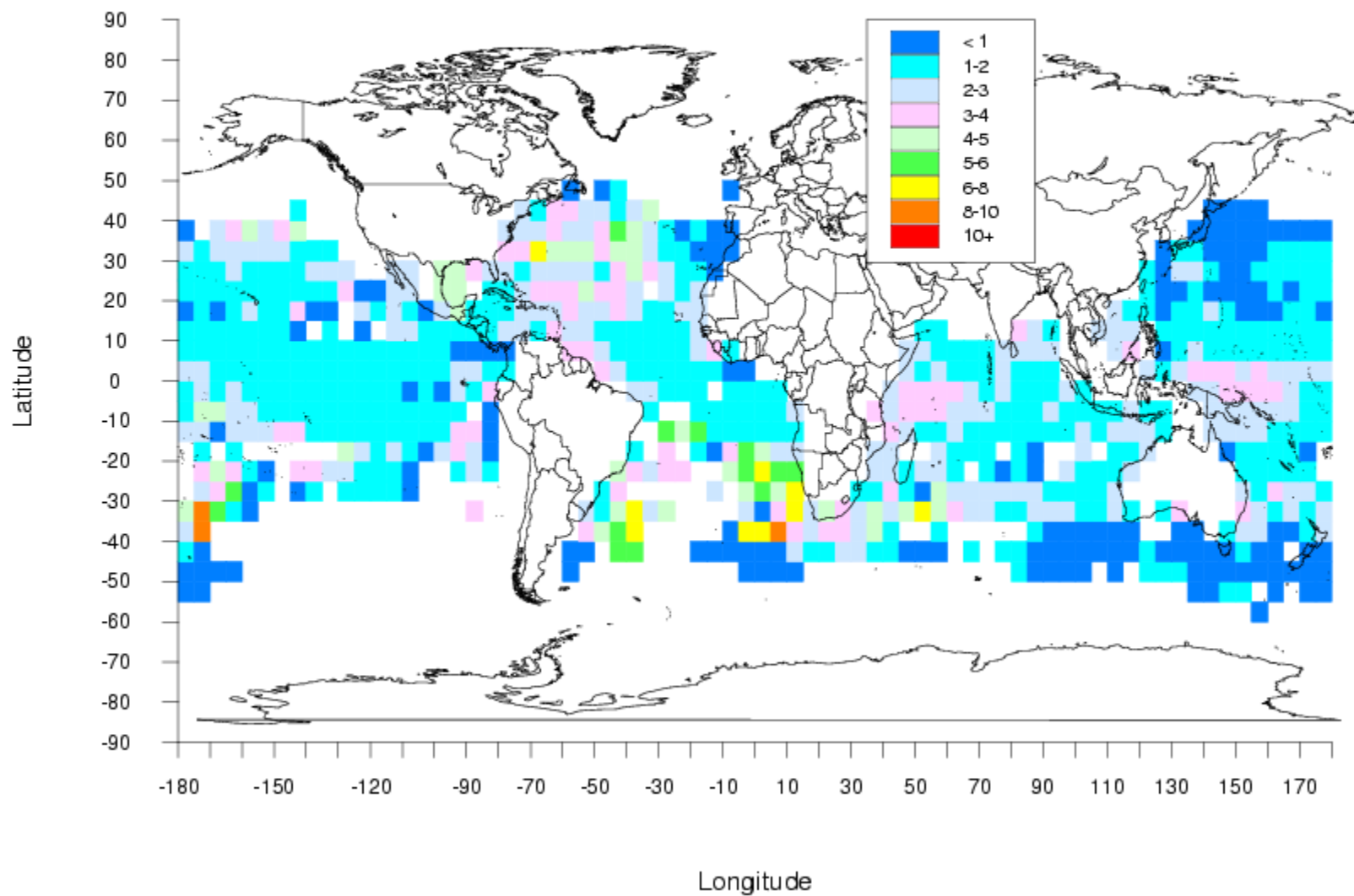




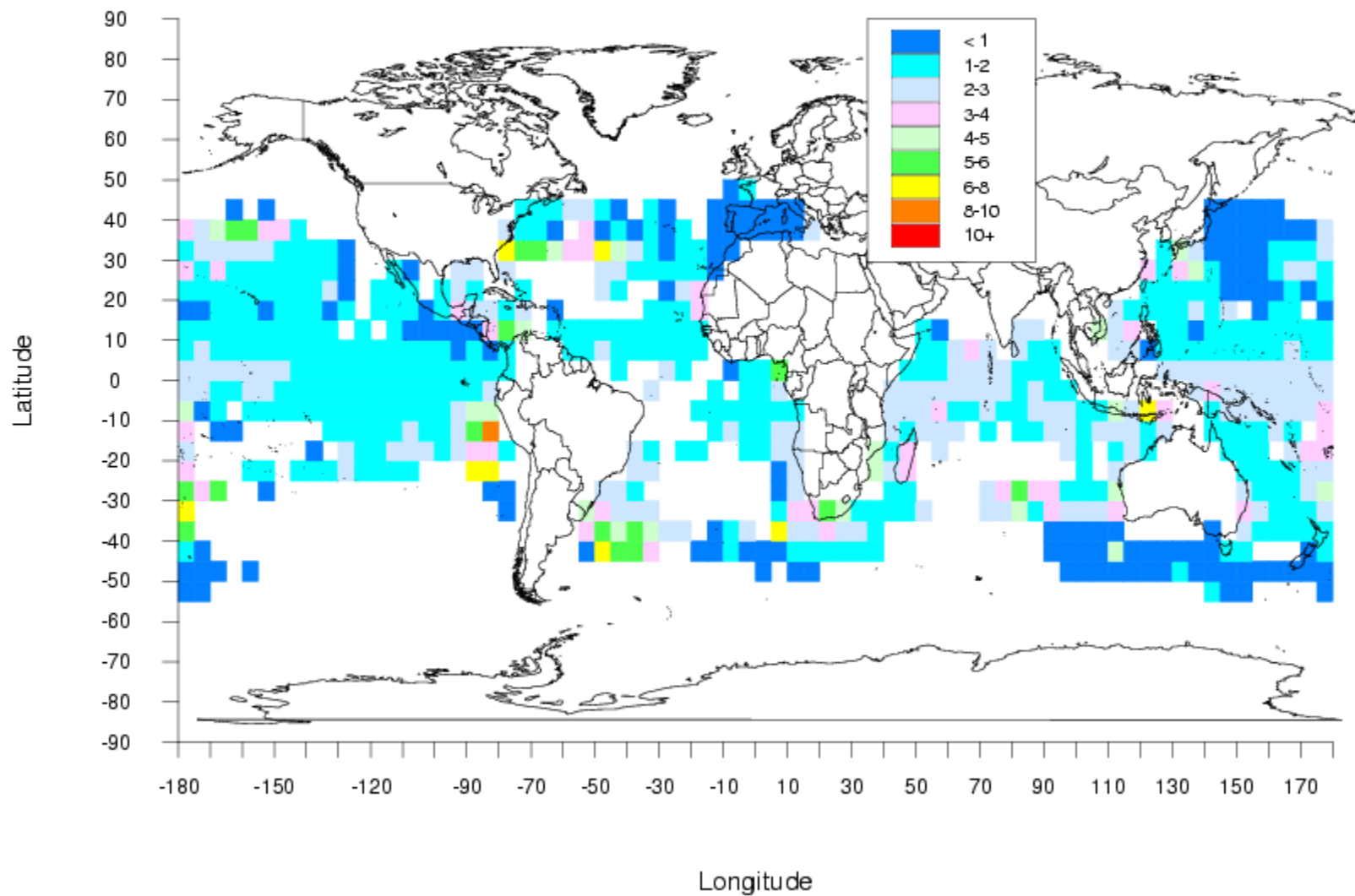
### Catch Per Hundred Hooks, Year = 1970



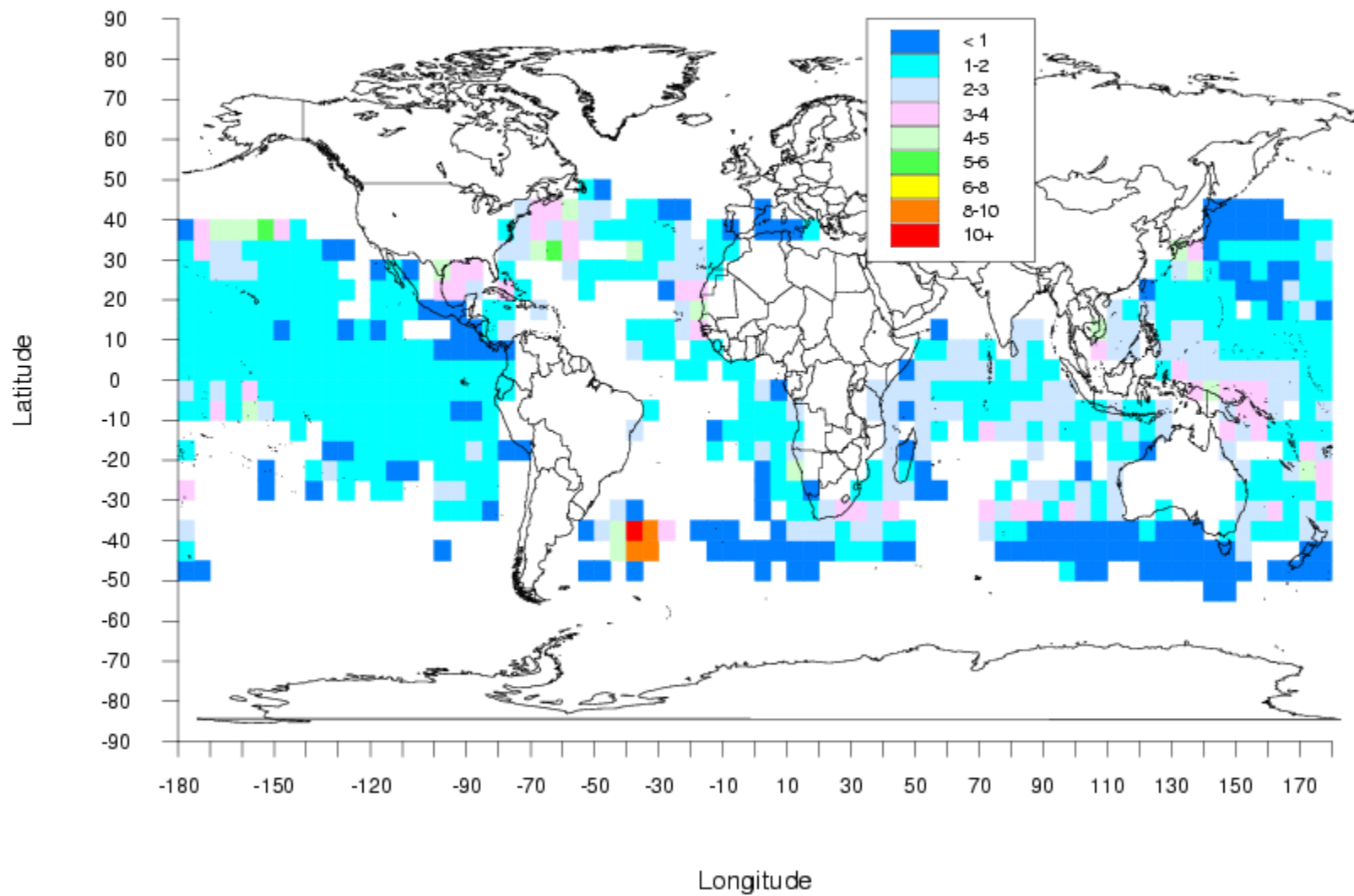
### Catch Per Hundred Hooks, Year = 1971



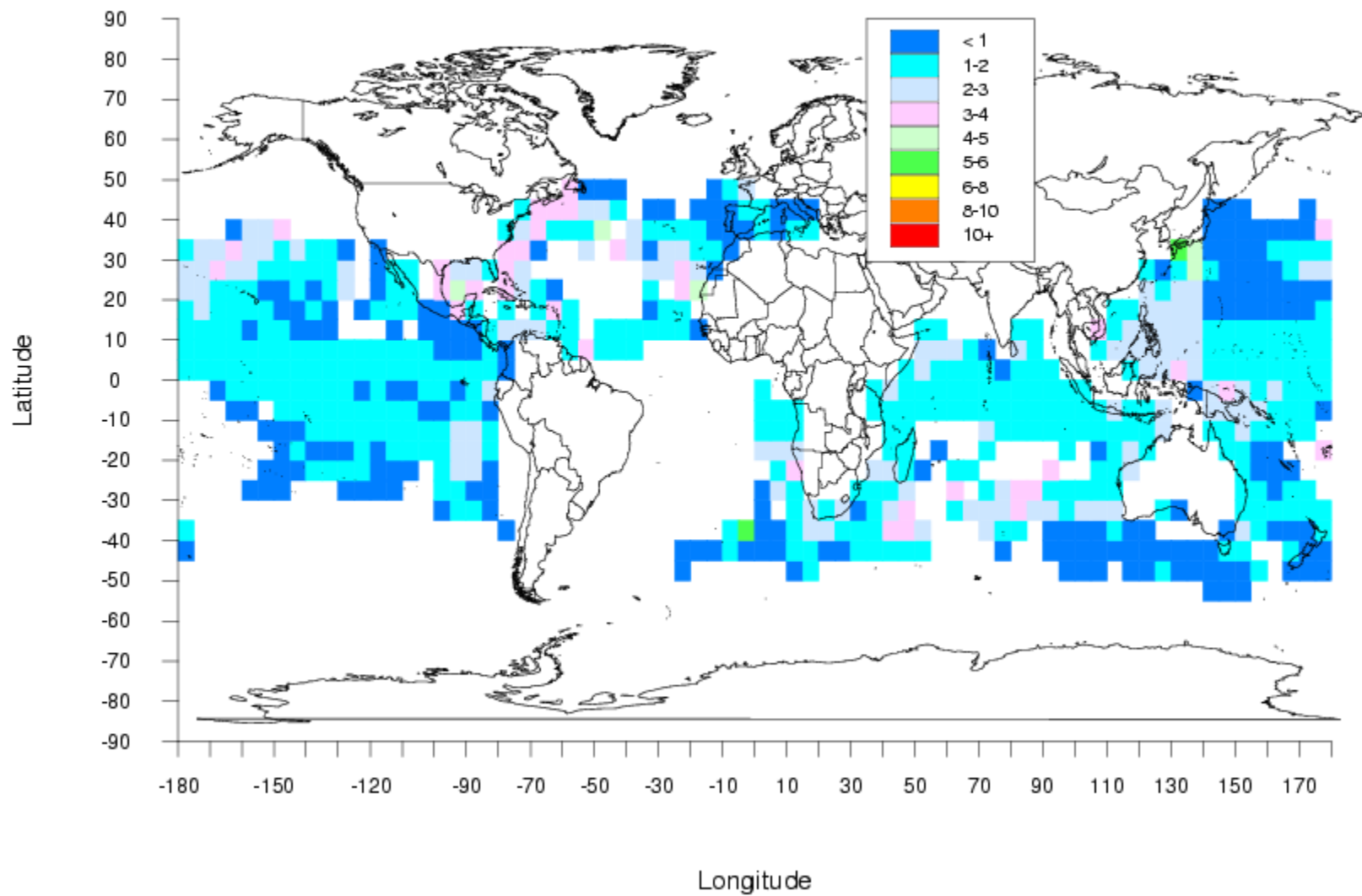
### Catch Per Hundred Hooks, Year = 1972



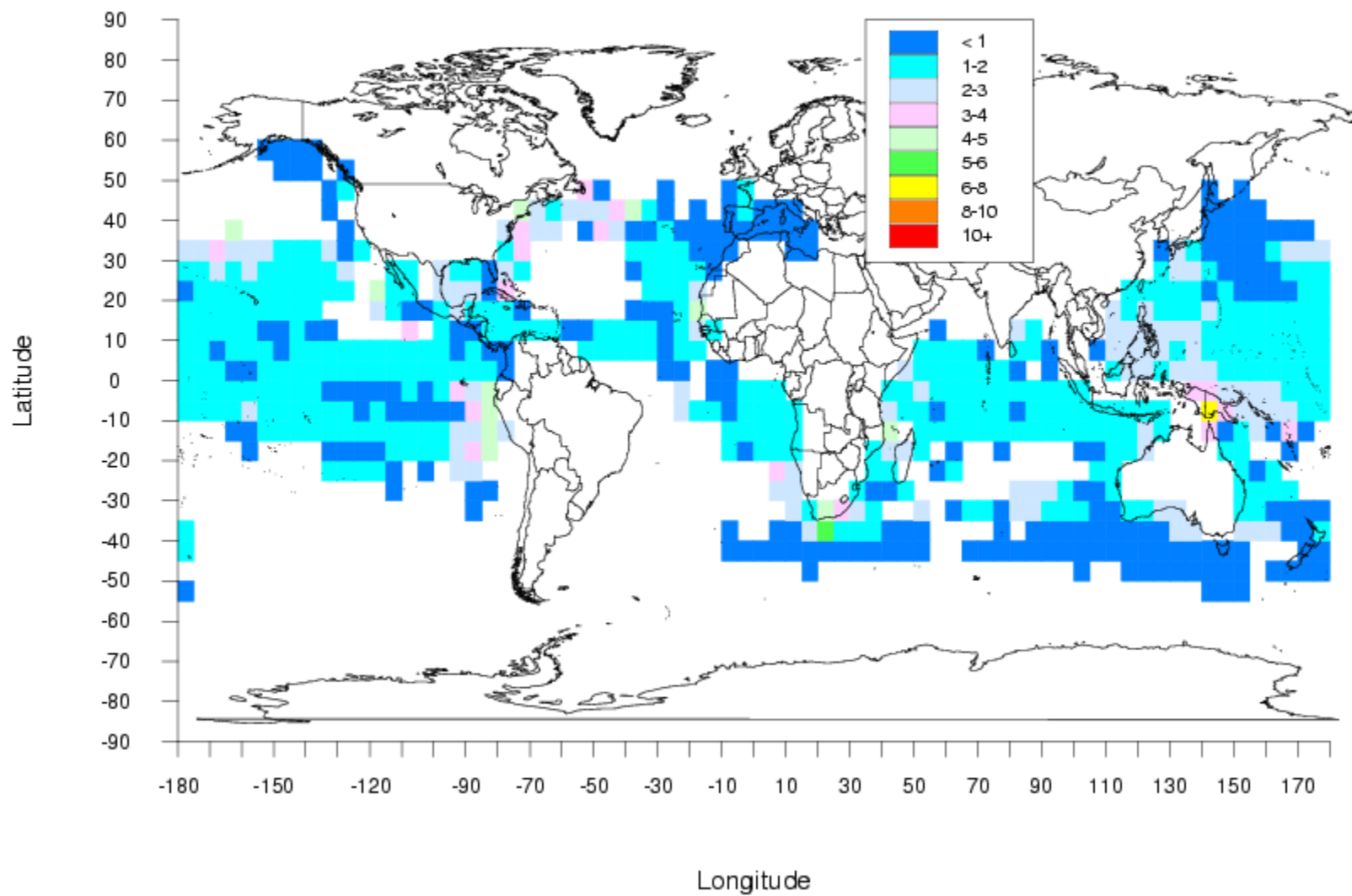
### Catch Per Hundred Hooks, Year = 1973



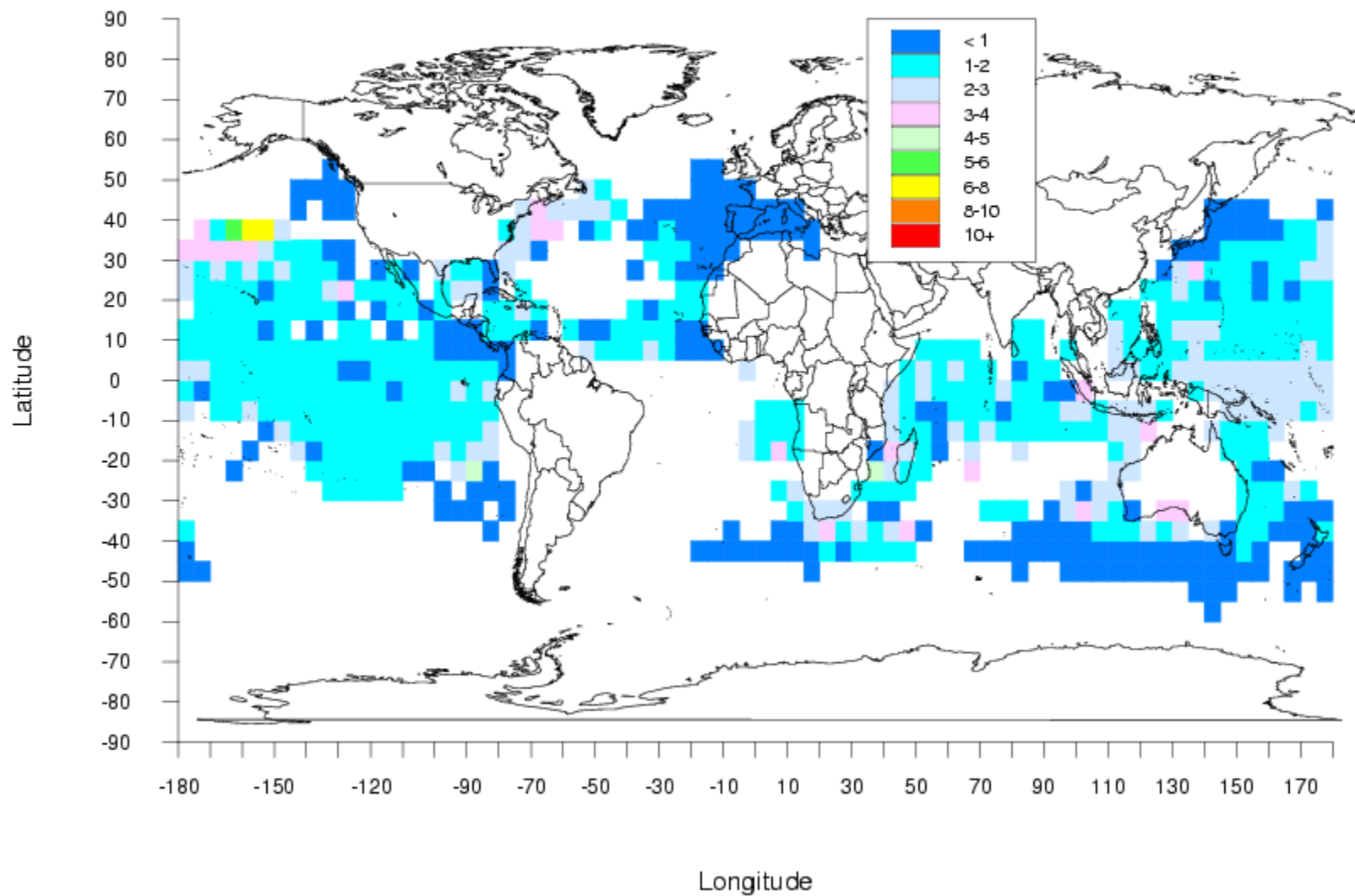
### Catch Per Hundred Hooks, Year = 1974



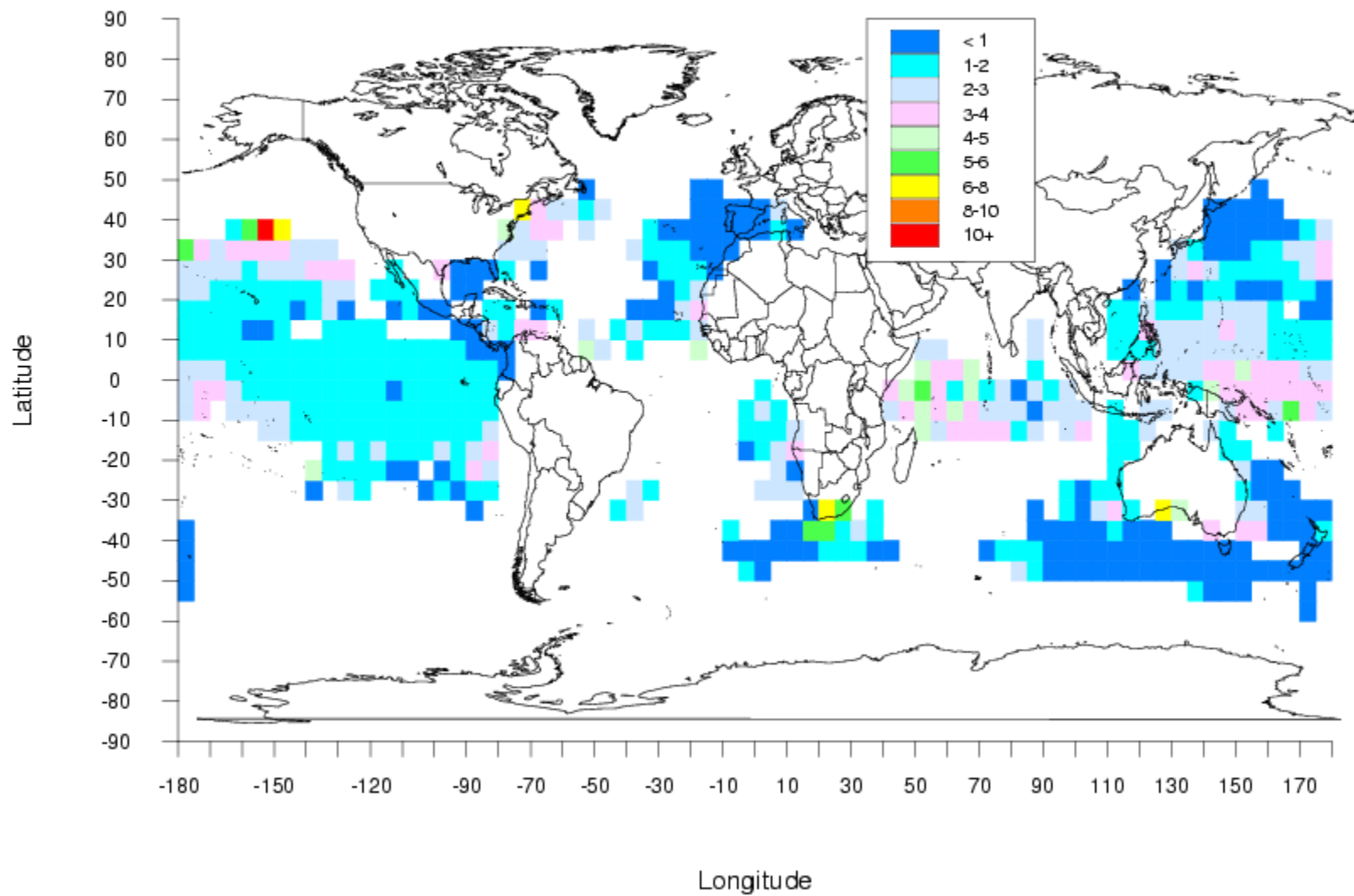
### Catch Per Hundred Hooks, Year = 1975



### Catch Per Hundred Hooks, Year = 1976

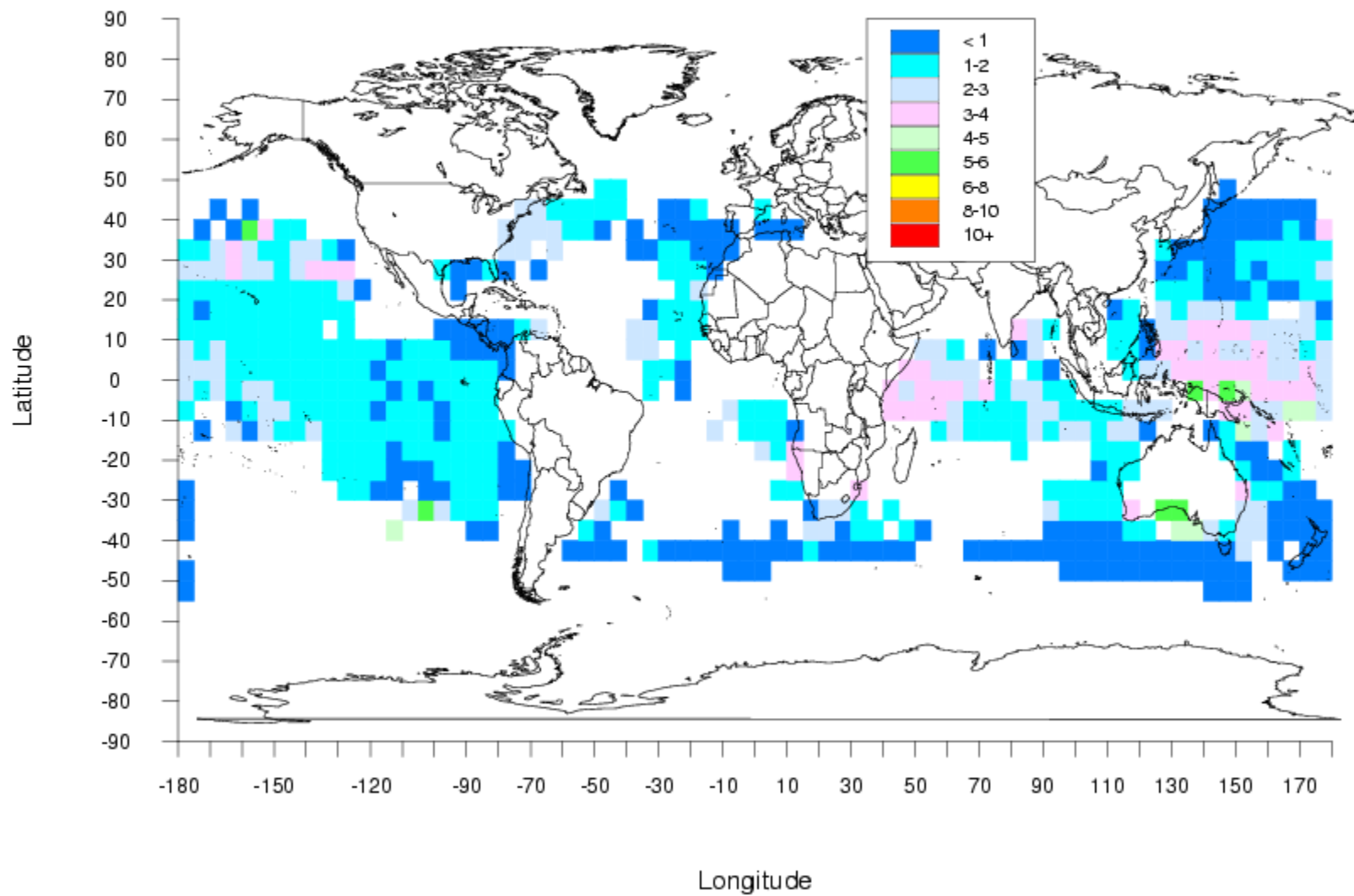


### Catch Per Hundred Hooks, Year = 1977

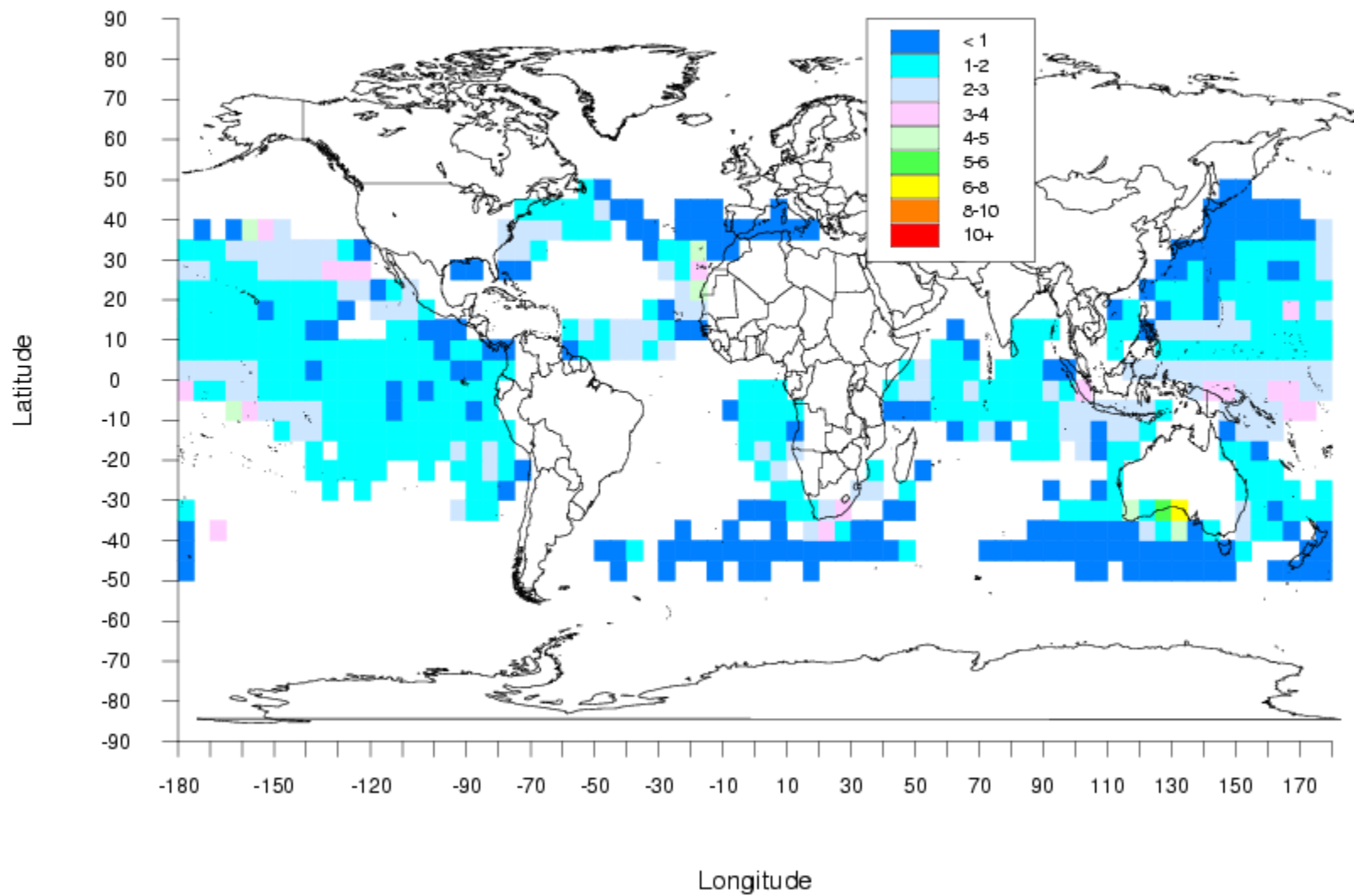




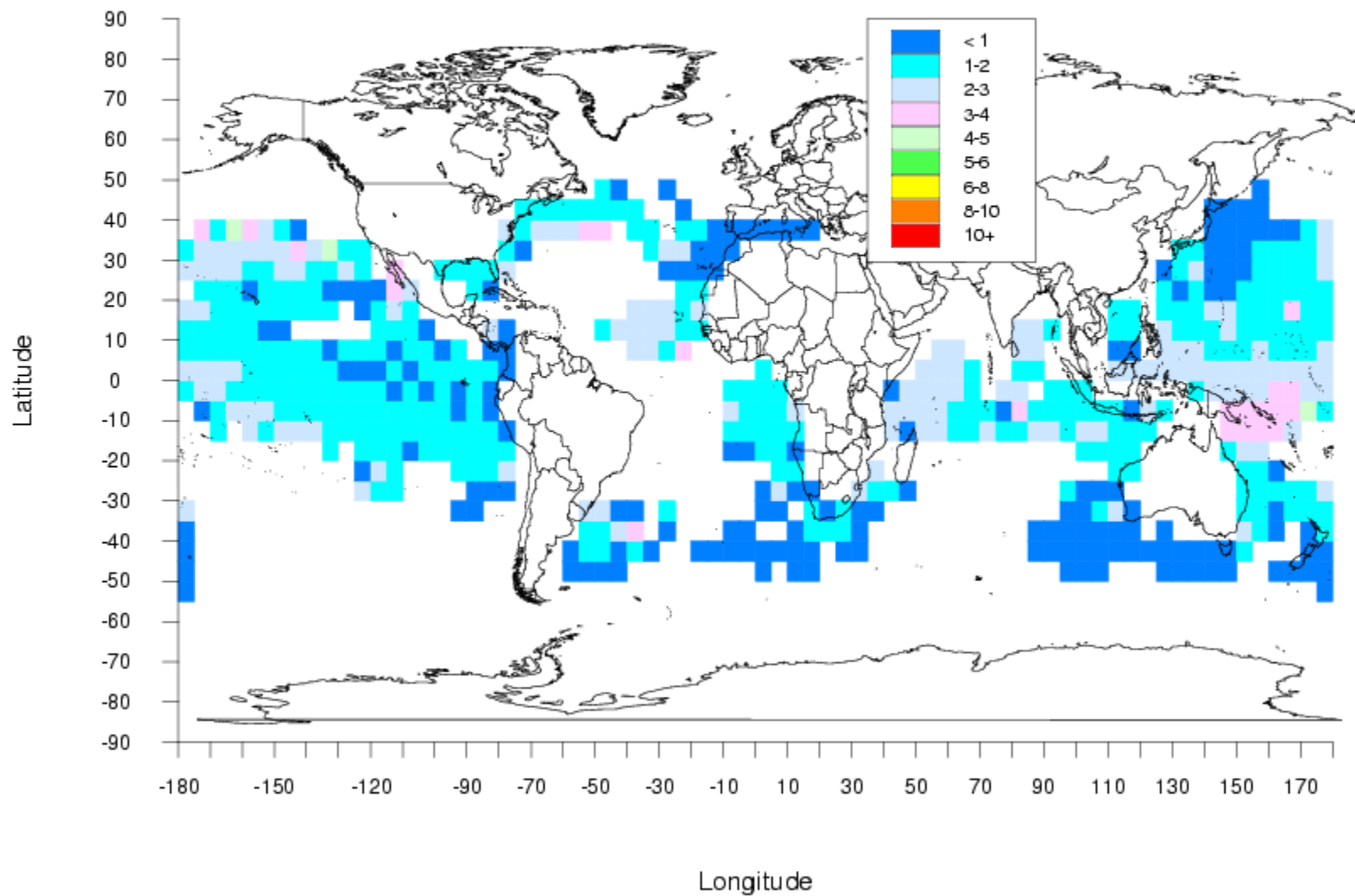
### Catch Per Hundred Hooks, Year = 1978



### Catch Per Hundred Hooks, Year = 1979



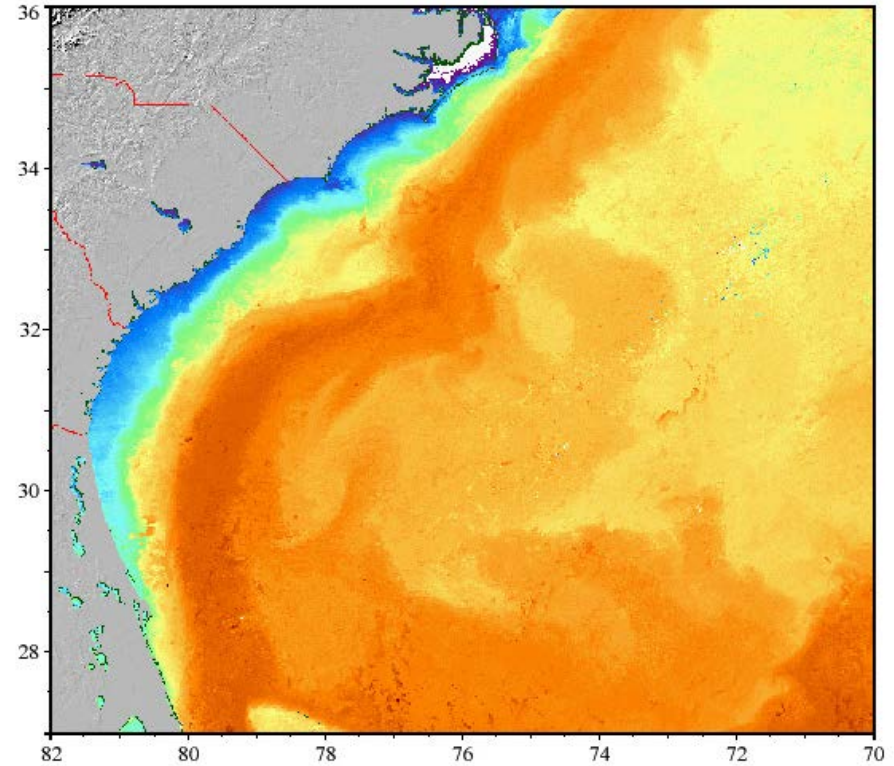
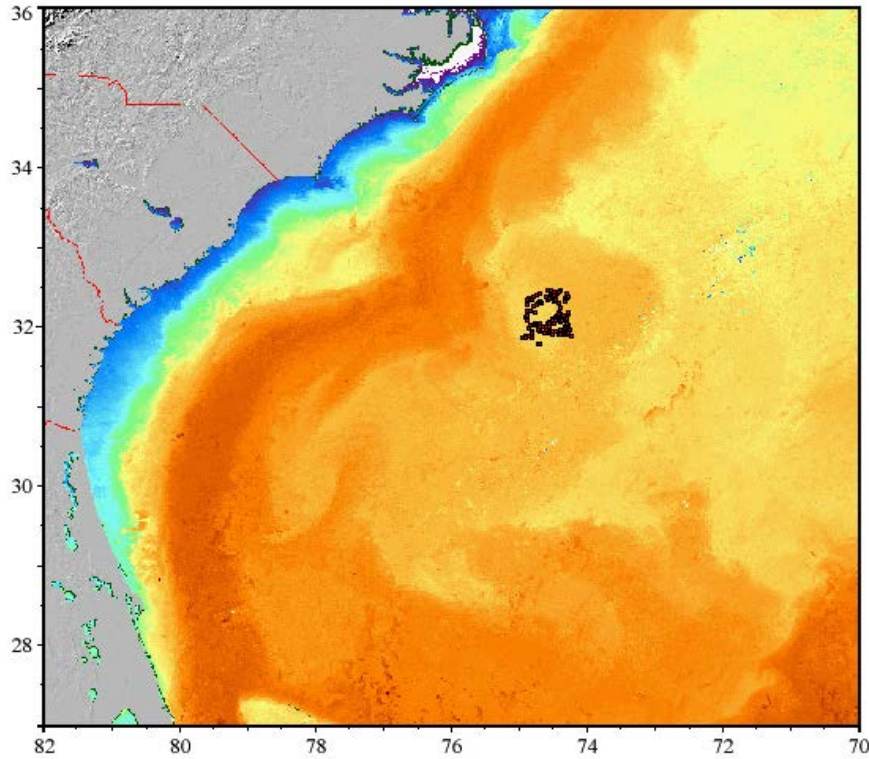
### Catch Per Hundred Hooks, Year = 1980



# Totally Stupid Reasons for not Believing the Obvious

- You ignore research surveys.
- Removing Large Predators Couldn't Possibly Affect Survival of Other Fish.
- Fishing Couldn't Possibly Affect the Size of Tuna.
- Fishermen are so stupid they cannot use satellite data to find tuna.
- Fishermen are so stupid that they don't improve their gear.

These estimates are conservative: 6 Fishermen are smarter (gps, satellite information, **ACDP** (Acoustic Current Doppler Profiler)).



Locations of a leatherback turtle over a two week period tagged by my student Mike James that maintains its position within a cold core ring (somehow).

However, fish may be a lot smarter too (the stupid ones were caught).

# New Materials for Fishing Gear

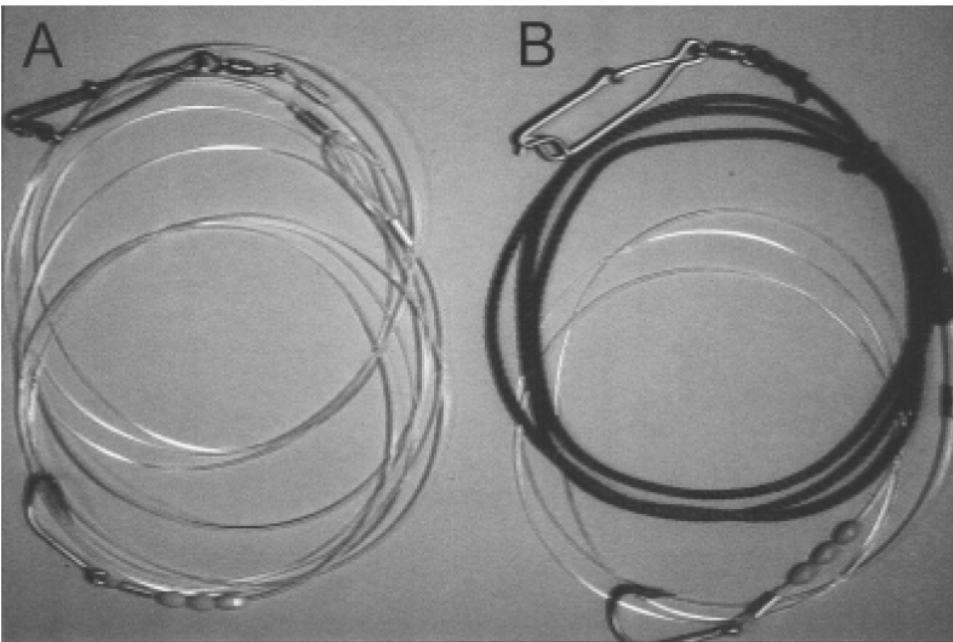
## Double Efficiency

Results from paired experiment

M – Monofilament

B – Multifilament (old gear)

Design, every other gangion was monofilament

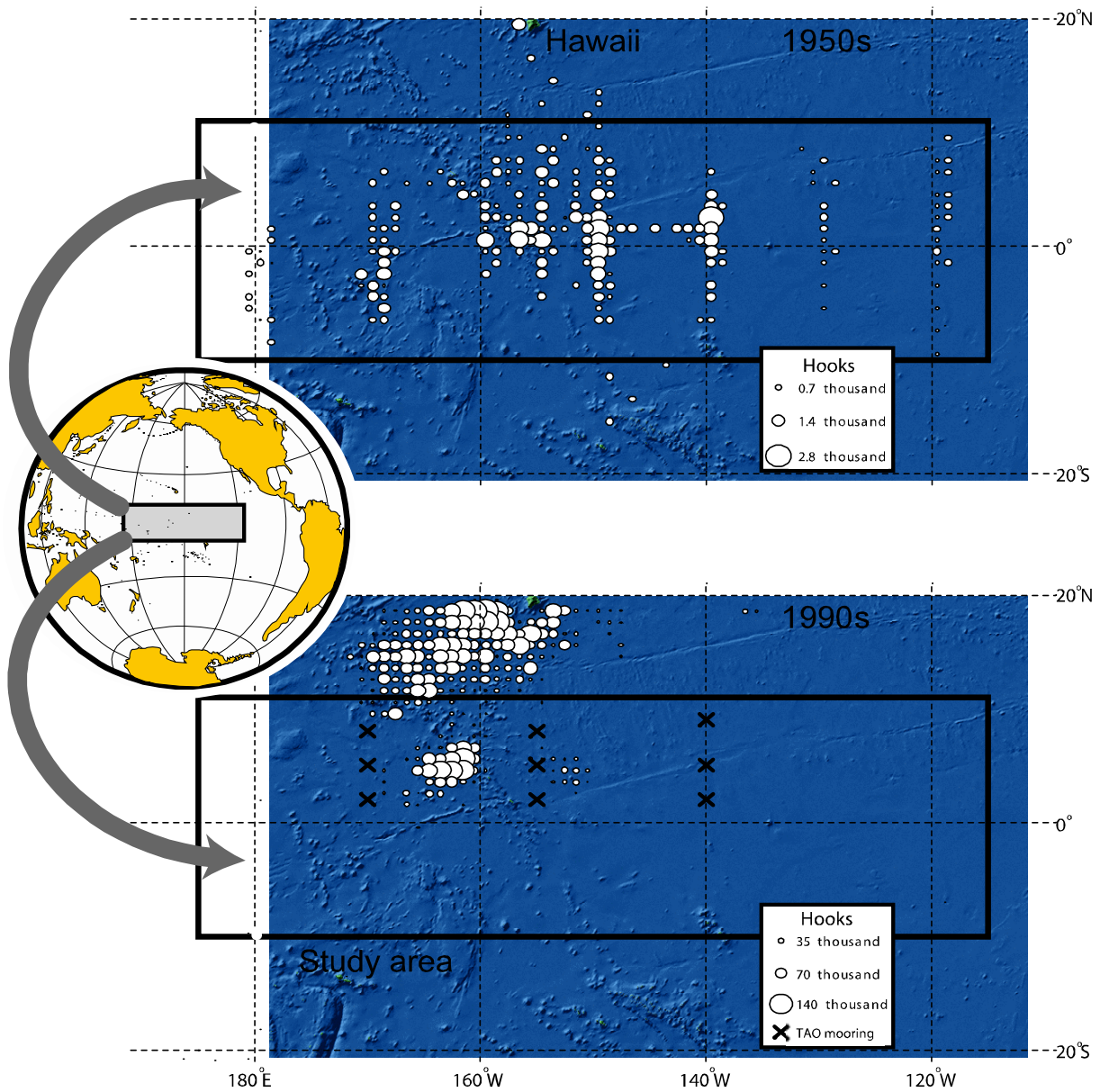


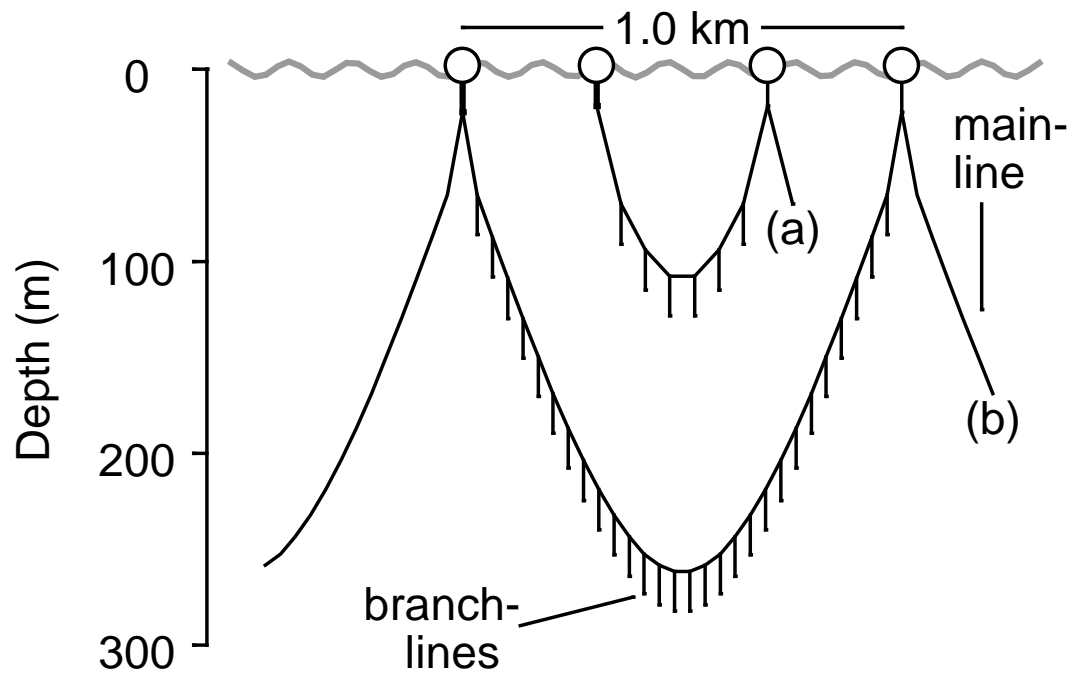
**Figure 3**

Monofilament nylon (A) and tared multifilament nylon (B) gangions used for ten pelagic longline sets conducted off Georges Bank from 22 July to 2 August 1999.

| Species           | Gangion | <i>n</i> |
|-------------------|---------|----------|
| Swordfish         | M       | 260      |
|                   | B       | 128      |
| Yellowfin tuna    | M       | 9        |
|                   | B       | 1        |
| Mako shark        | M       | 58       |
|                   | B       | 39       |
| Blue shark        | M       | 225      |
|                   | B       | 116      |
| White marlin      | M       | 47       |
|                   | B       | 13       |
| Dolphinfish       | M       | 27       |
|                   | B       | 10       |
| Stingray          | M       | 63       |
|                   | B       | 31       |
| Loggerhead turtle | M       | 40       |
|                   | B       | 26       |
| Total             | M       | 729      |
|                   | B       | 364      |

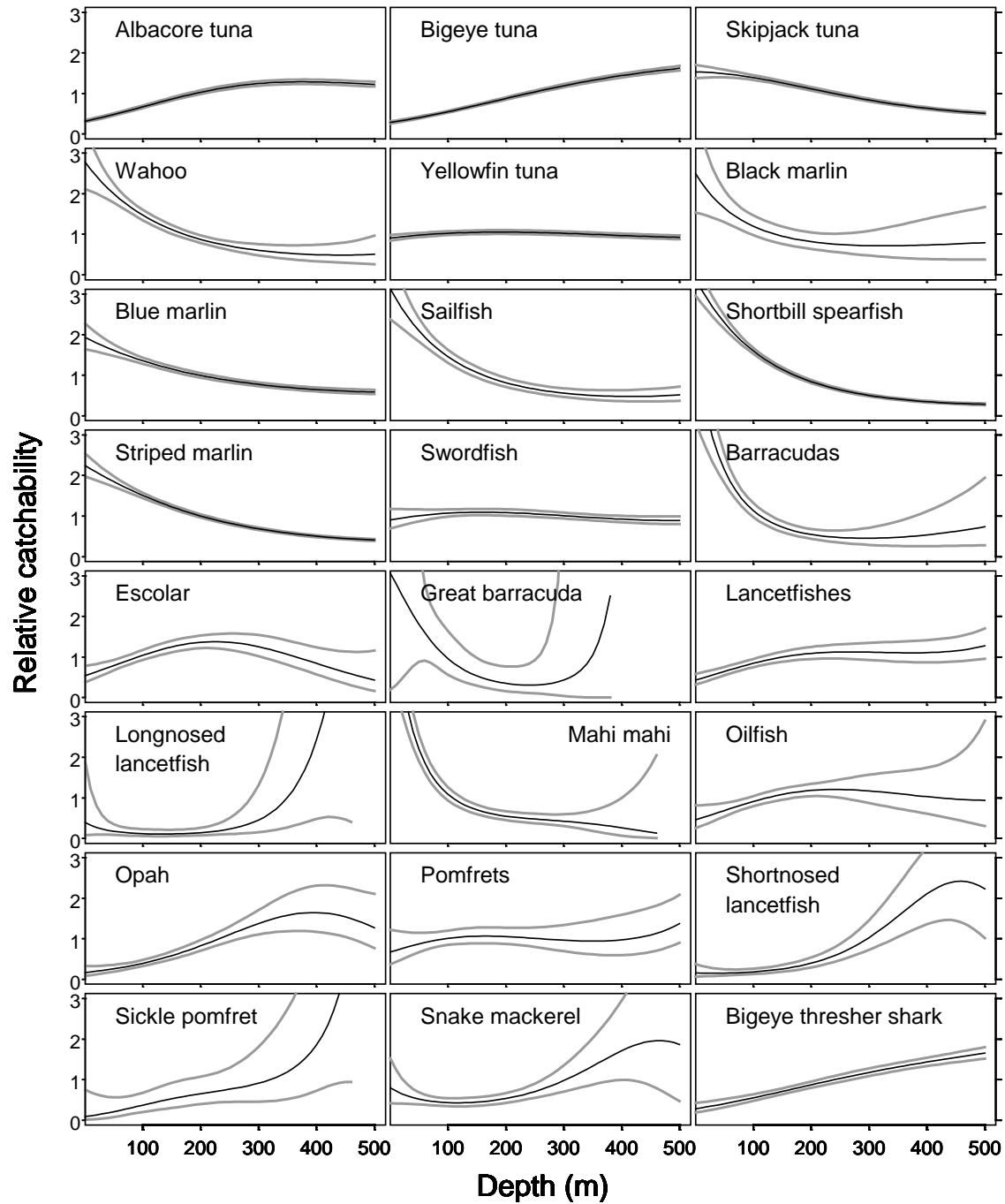




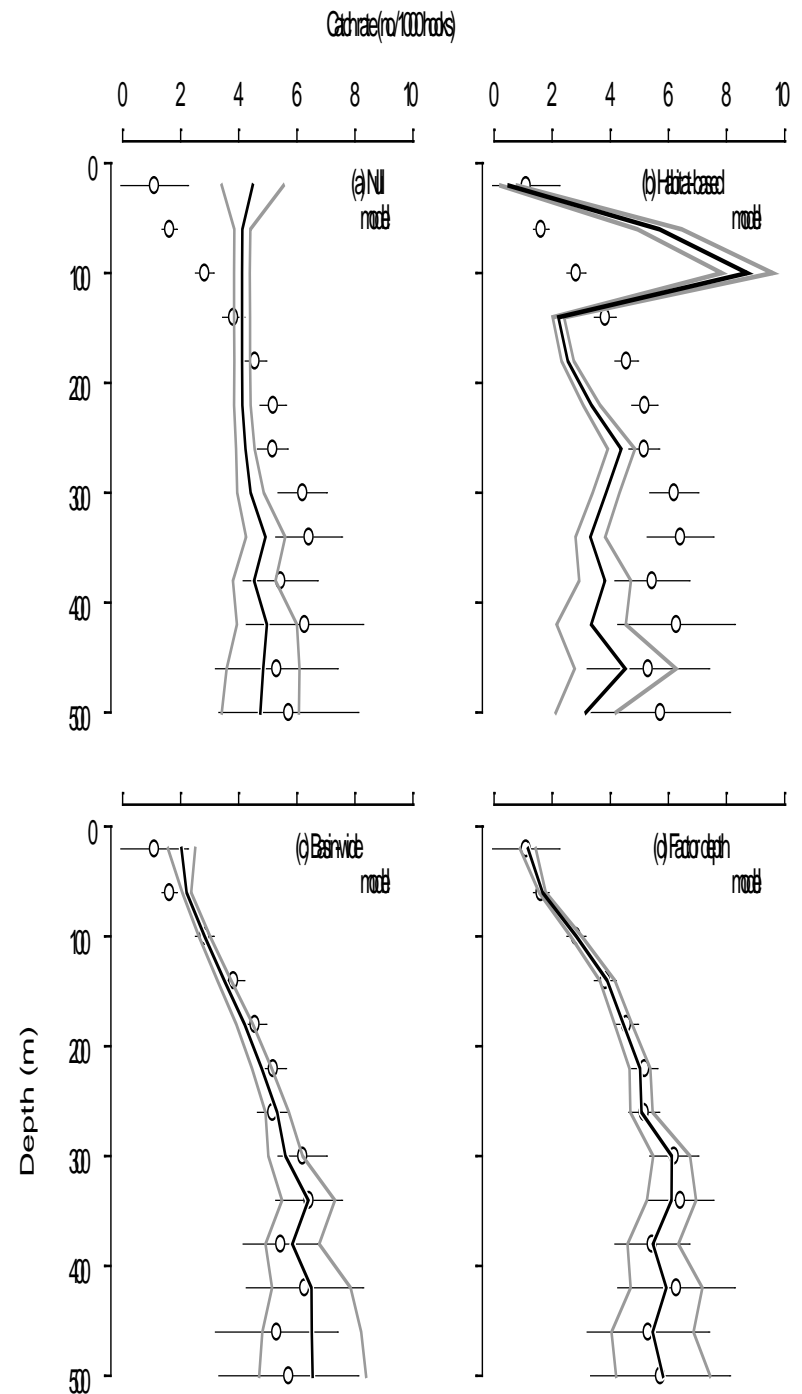




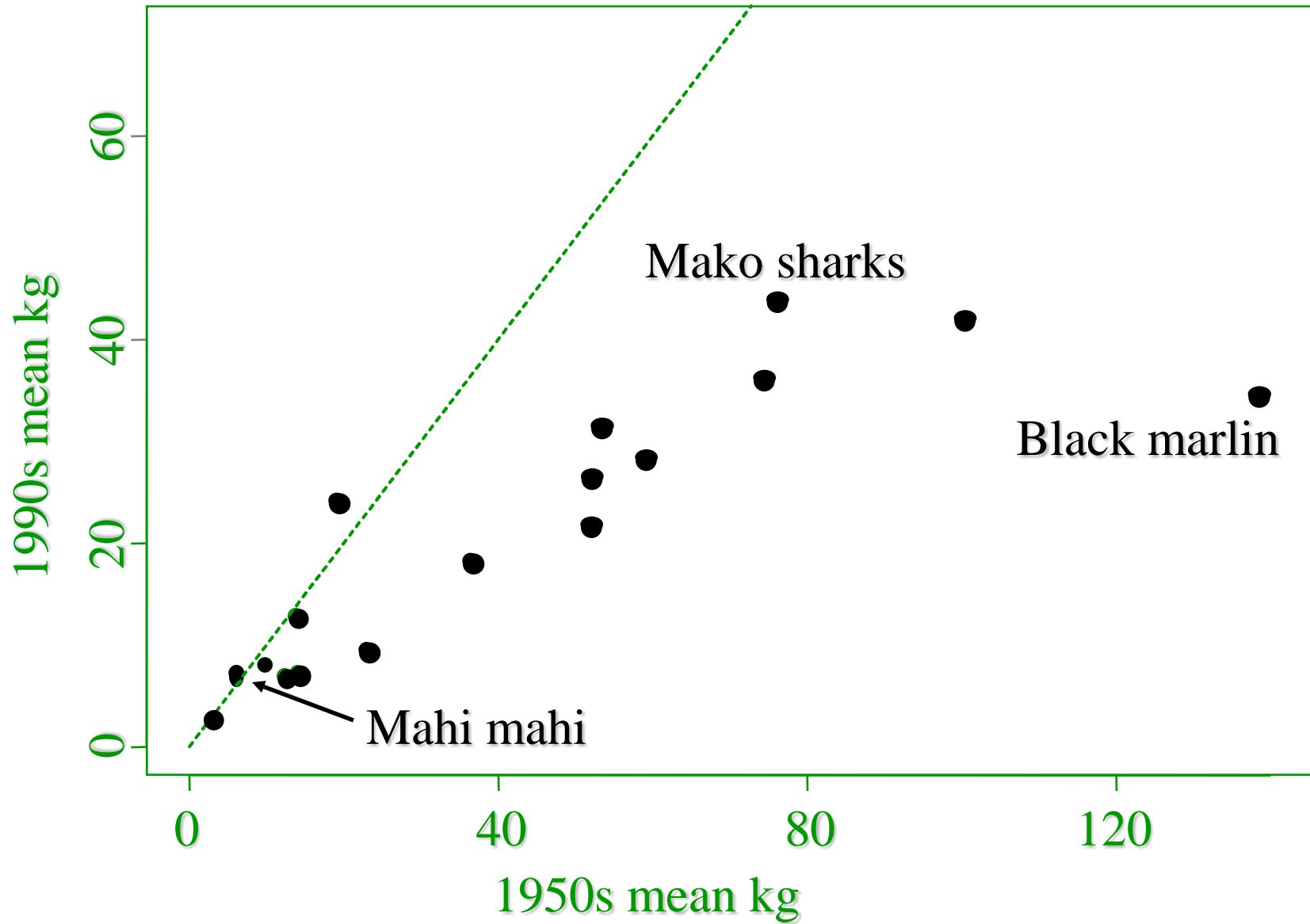
(a) Day Operations



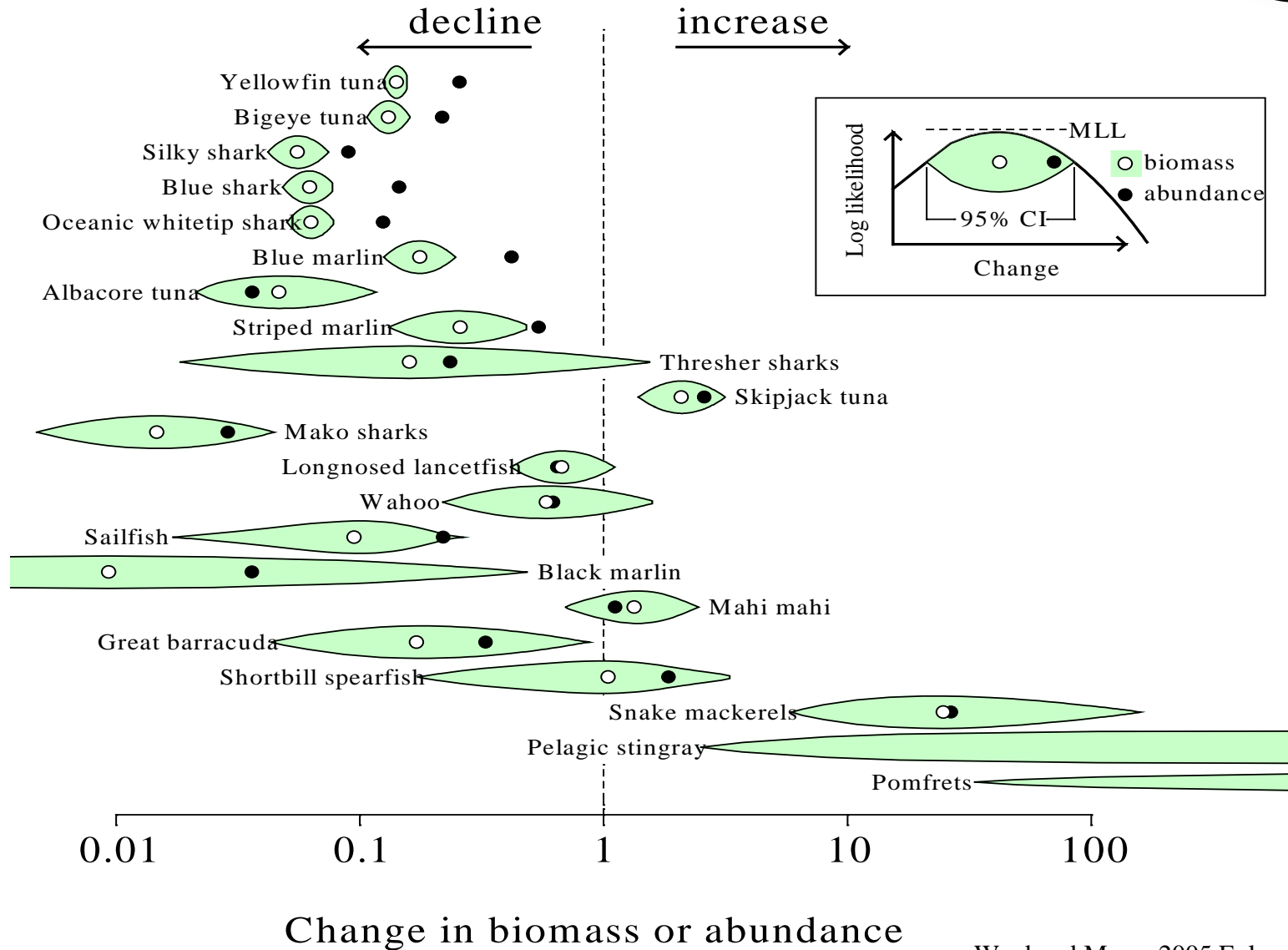
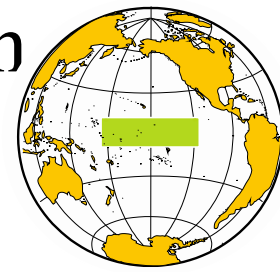
(This approach shows that non-statistical “habitat models” do not appear to work: results for bigeye tuna)

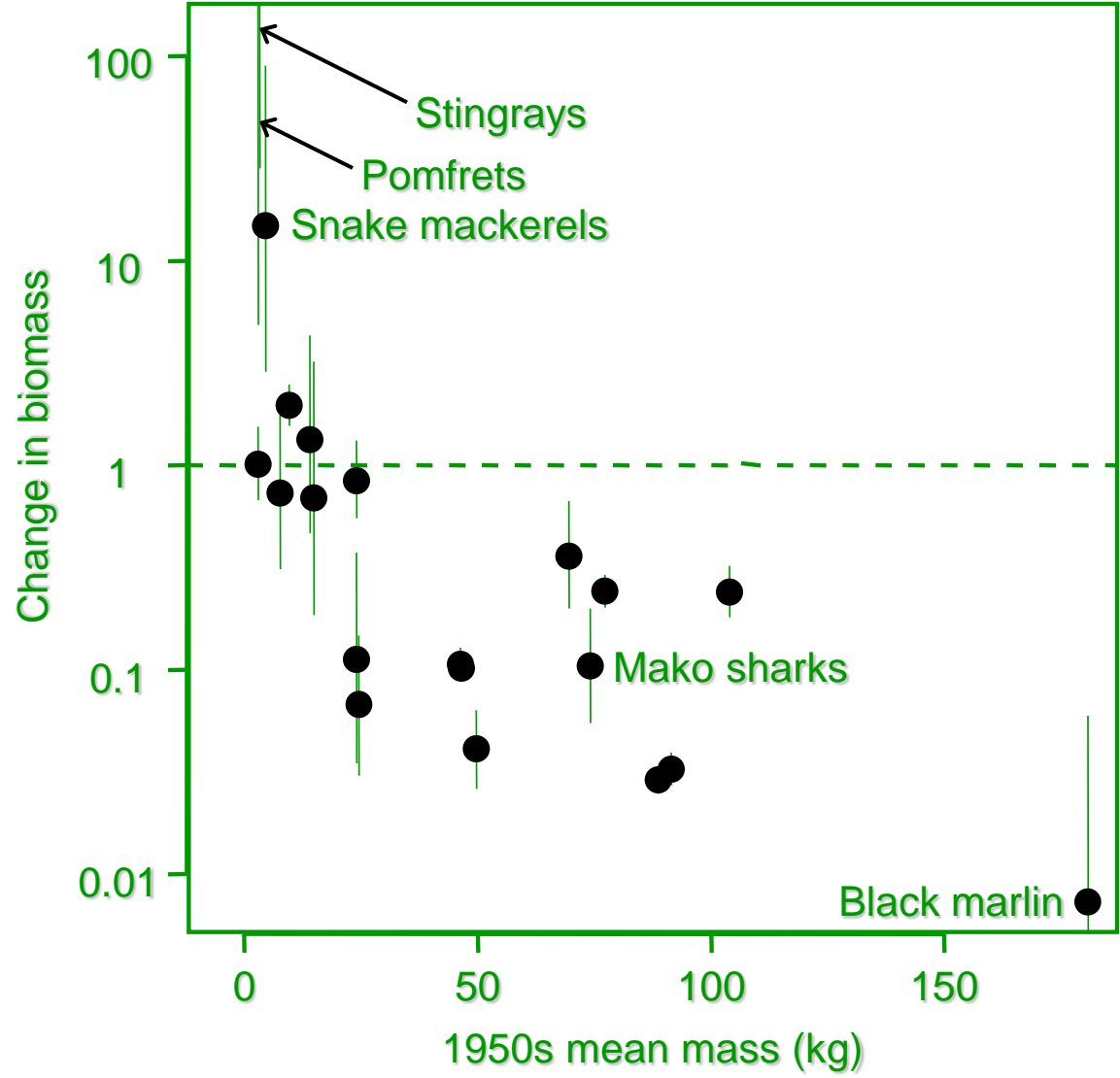


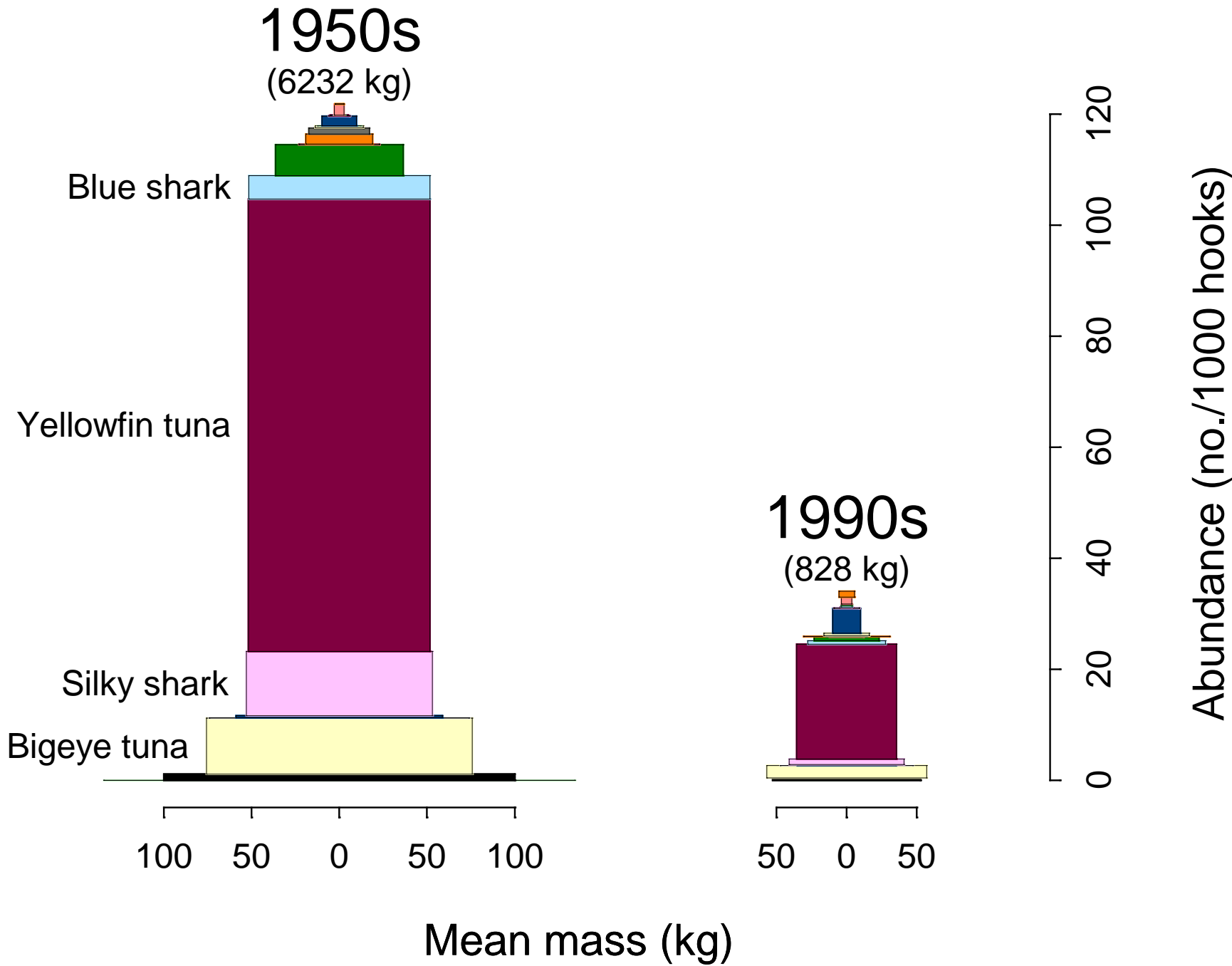
# Change in body size



# Analysis repeated using independent research data







# Ecosystem changes are consistent with a 10 fold decline in predation

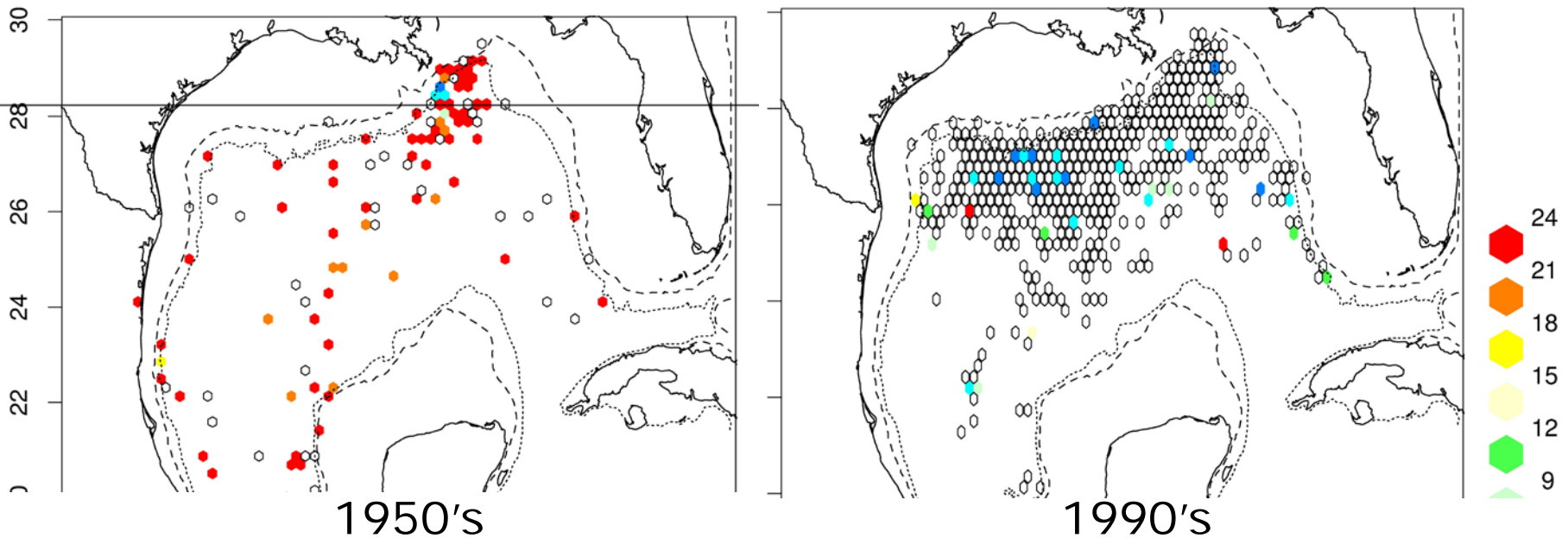
➤ Key prey species would be predicted to increase by the changes in predation rate

**Table 7.** The occurrence of bramidae and gempylidae in tuna and billfish stomach contents in other studies.

| species        | Bramidae | Gempylidae | Literature                   | Region   |
|----------------|----------|------------|------------------------------|----------|
| Bigeye tuna    | High     | low        | Moteki <i>et al.</i> (2001)  | Pacific  |
|                | High     | no         | Mattews <i>et al.</i> (1977) | Atlantic |
| Yellowfin tuna | High     | low        | Moteki <i>et al.</i> (2001)  | Pacific  |
|                | High     | low        | Mattews <i>et al.</i> (1977) | Atlantic |
| Albacore       | High     | High       | Mattews <i>et al.</i> (1977) | Atlantic |
| Sword fish     | High     | low        | Moteki <i>et al.</i> (2001)  | Pacific  |

# Loss of sharks in the Gulf of Mexico

## 300 fold decline – no one noticed



Oceanic Whitetip captures per 10,000 hooks

Many thanks to NMFS for data and advice



# What about prey fish?

*Brama brama*  
*Atlantic pomfret*

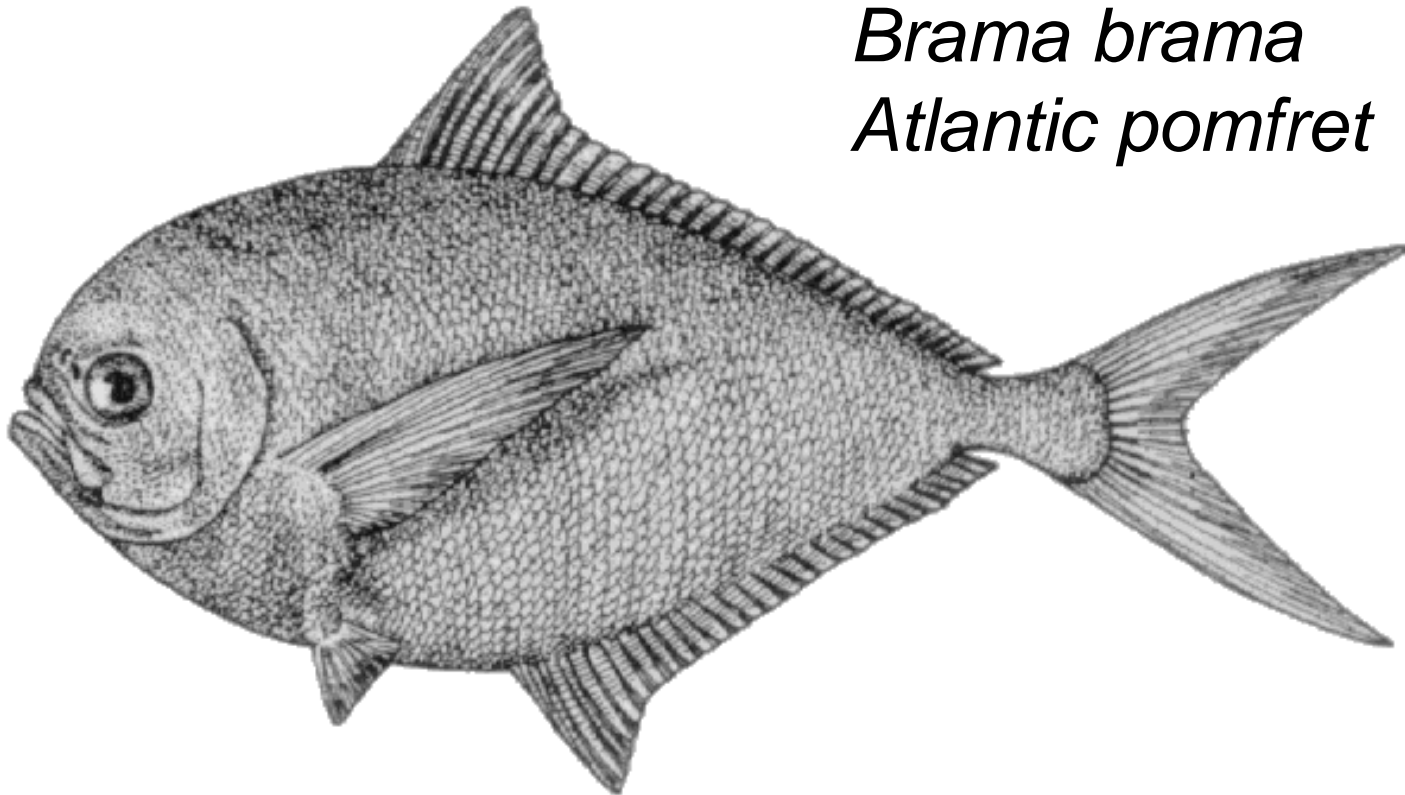
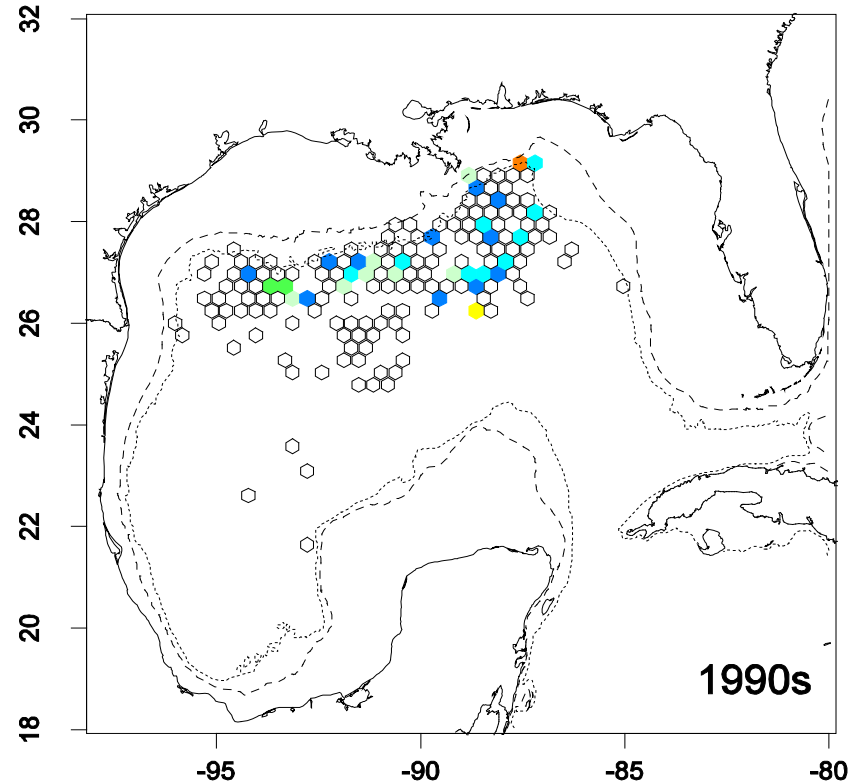
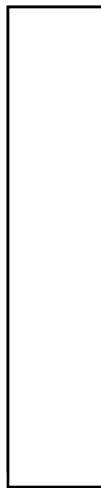
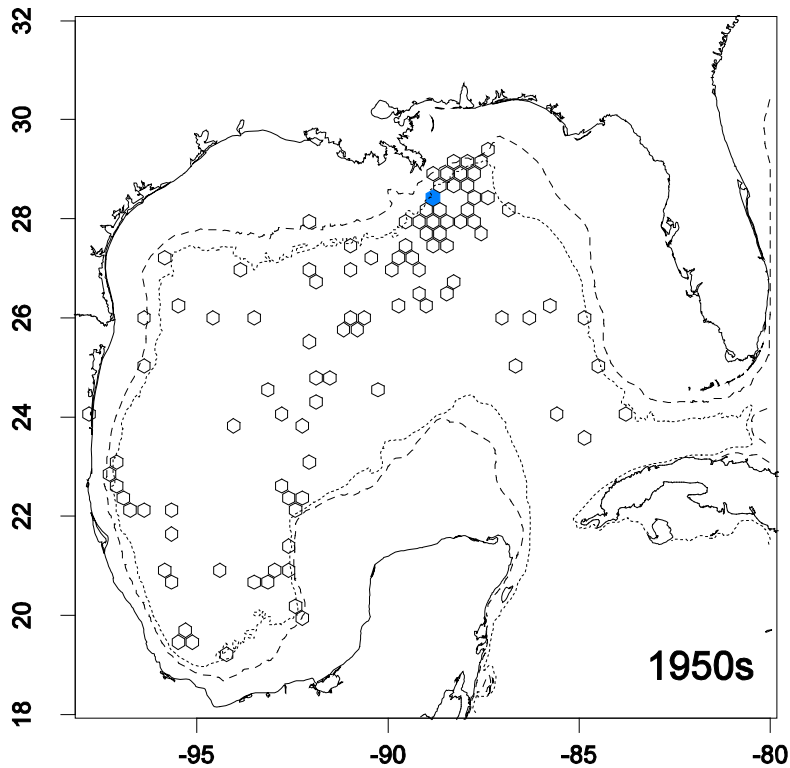


Illustration taken from the book "Encyclopedia of Canadian Fishes" by Brian W. Coad with Henry Waszczuk and Italo Labignan, 1995,

# Explosion of Pomfrets in the Gulf of Mexico ~ 1000 fold increase – no one noticed



1950's

1990's

Pomfret captures per 10,000 hooks

Many thanks to NMFS for data and advice

# The Rise of the Marine Mesopredators

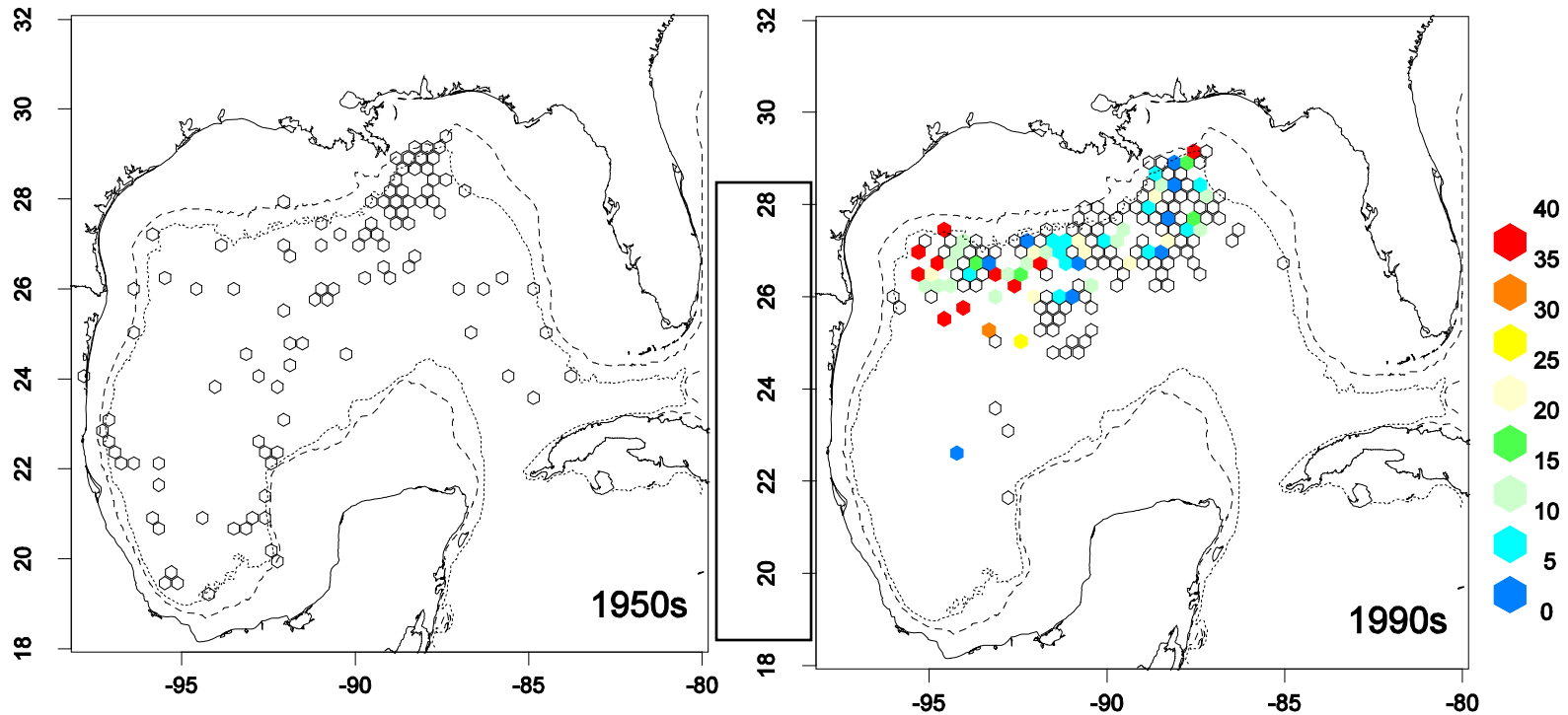


**Pelagic Sting Ray**  
*Pteroplatytrygon violacea*



Photos from Phillip Colla, photography

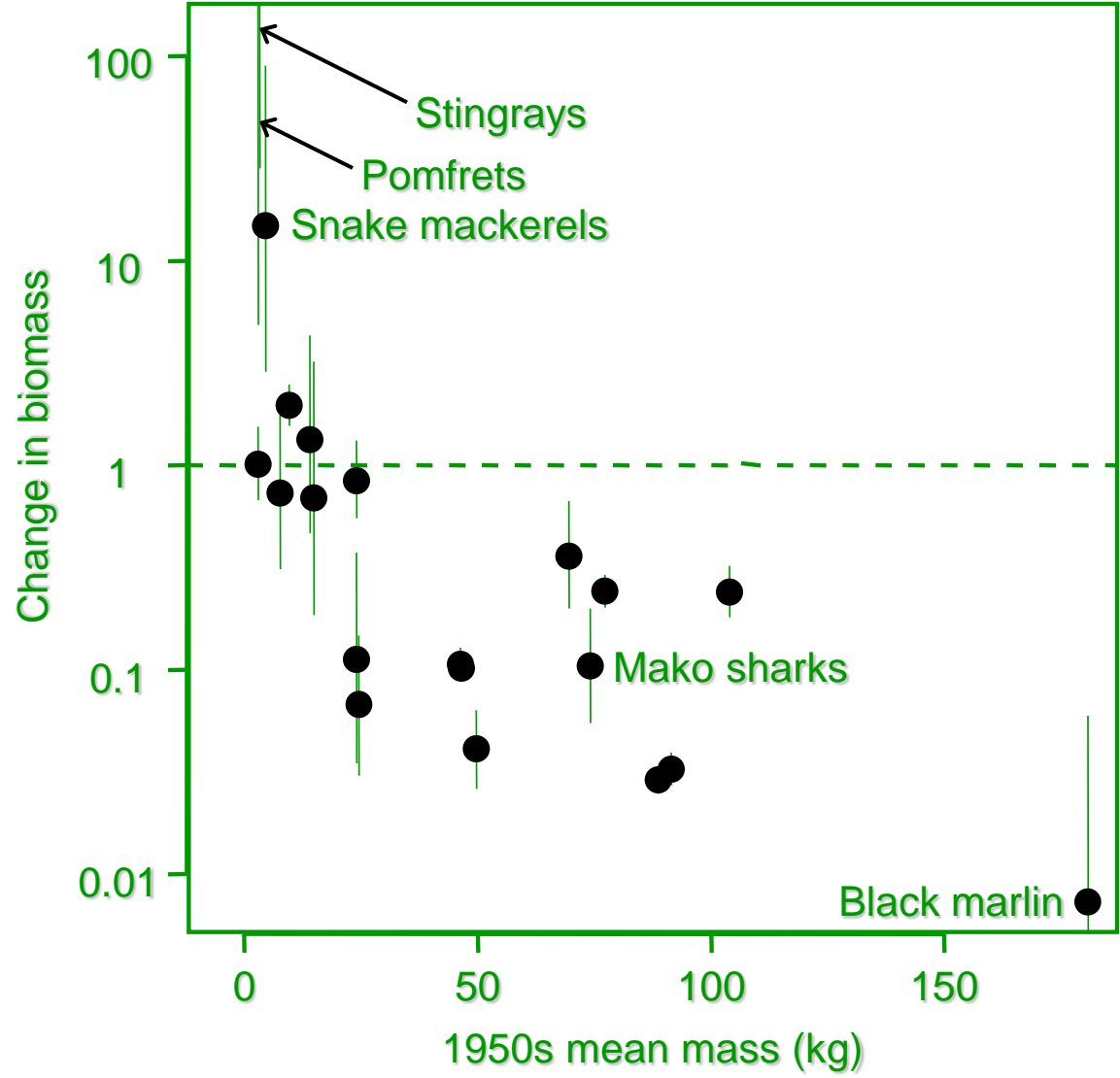
# Explosion of Pelagic Stingrays in the Gulf of Mexico ~ 1000 fold increase – no one noticed



1950's

1990's

Pelagic stingray captures per 10,000 hooks



Not only have large predators declined by at least a factor of 10, but mesopredators have often increased by at least a factor of 10.



FMAP (Future of Marine Animal Populations)

part of the Sloan Census of Life <http://www.fmap.ca>

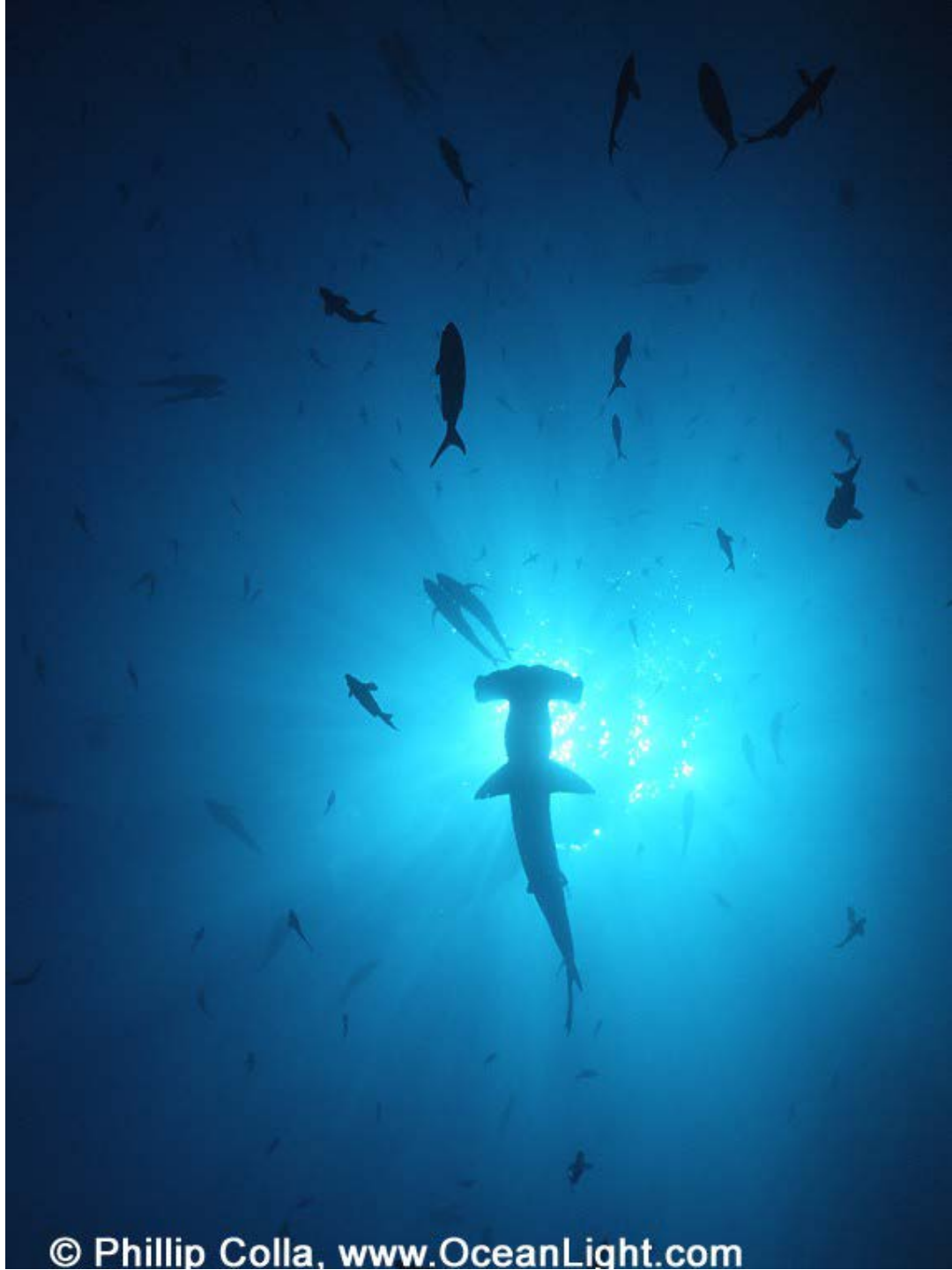
Pew Global Sharks Assessment

<http://www.globalsharks.ca>

***The First Collective Act of  
Humanity was to save the  
great whales –***

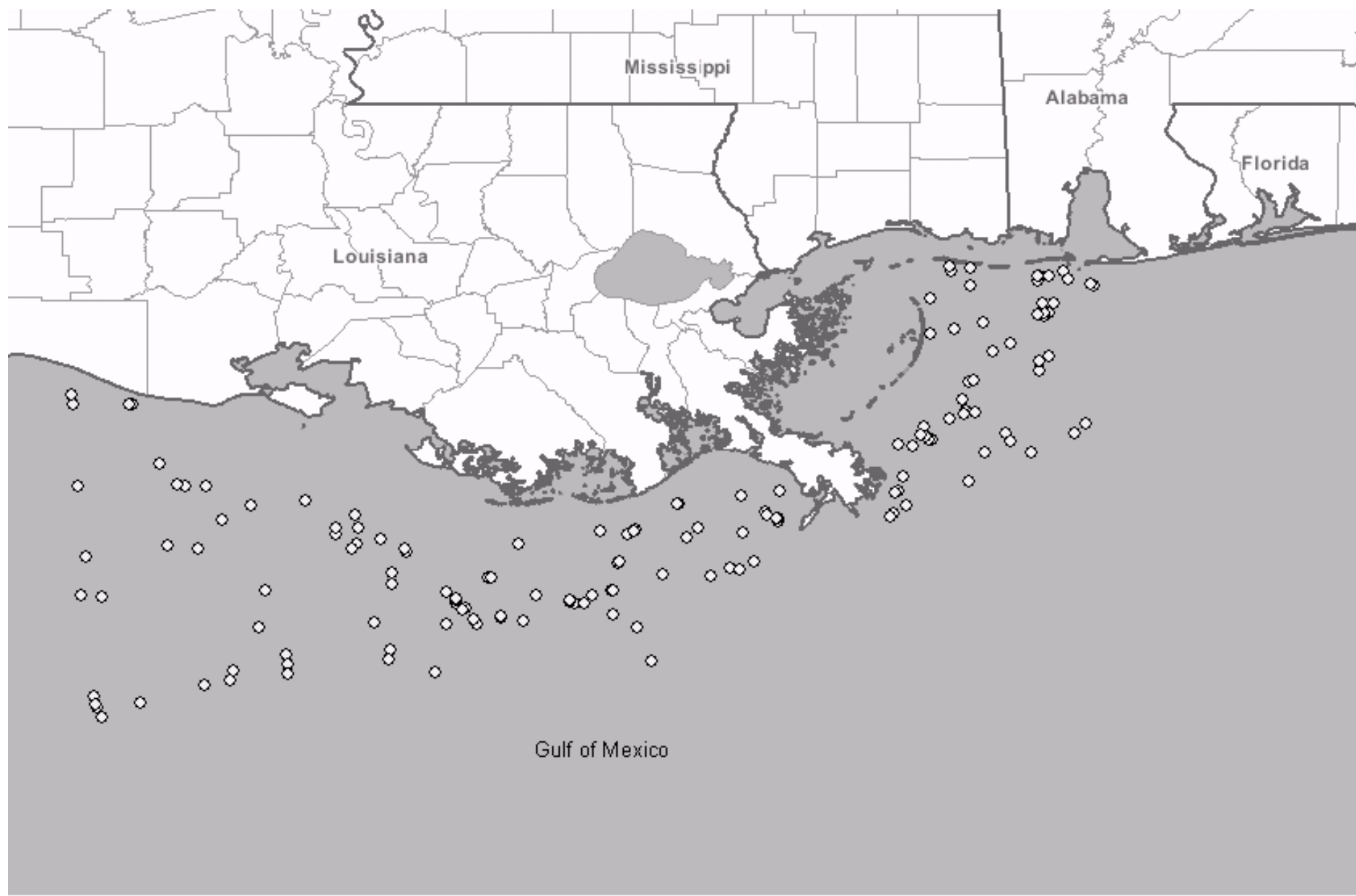
***despite massive denial***

***– we can do  
the same for the remaining  
virgin areas of the oceans  
and for the great sharks.***



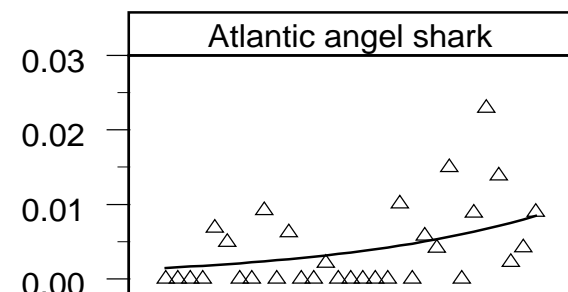
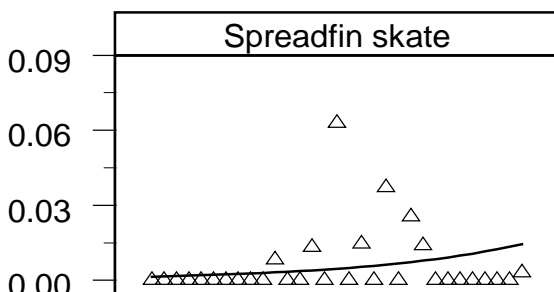
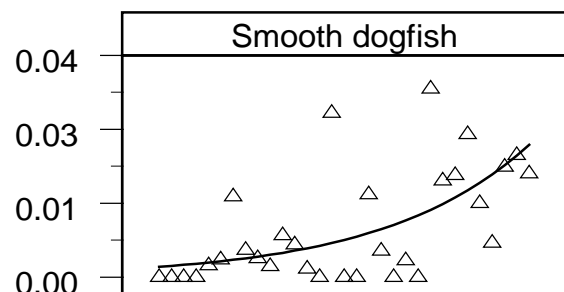
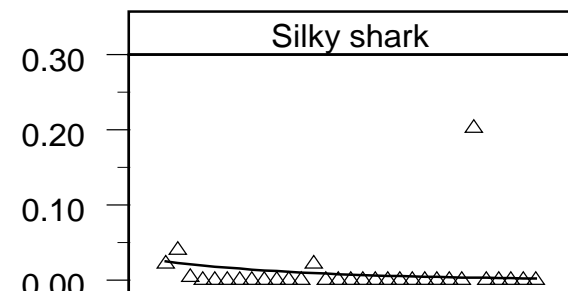
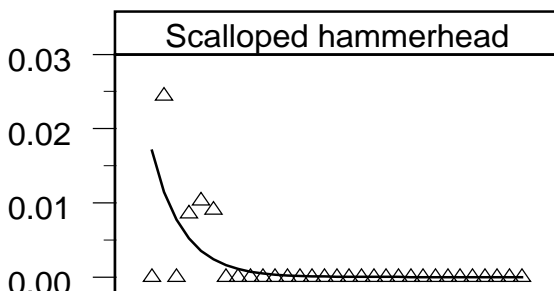
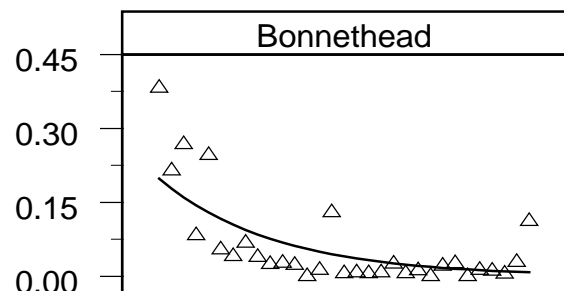
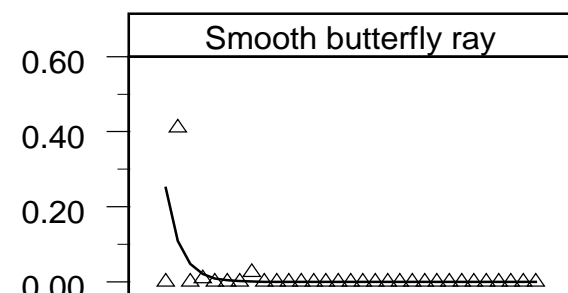
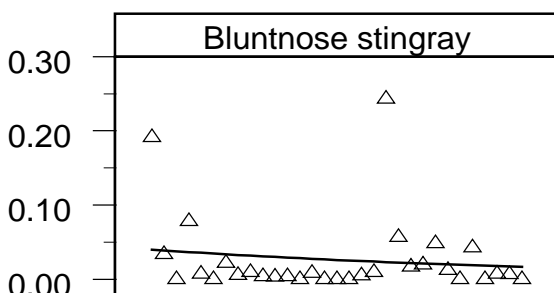
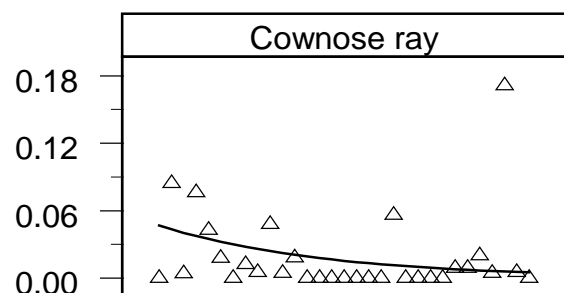
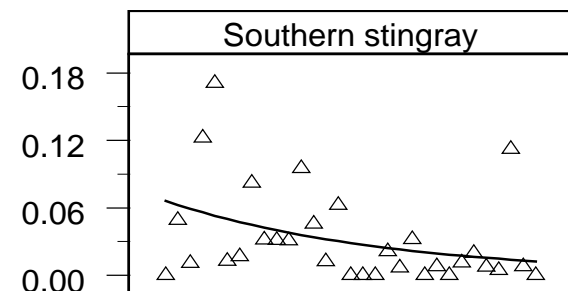
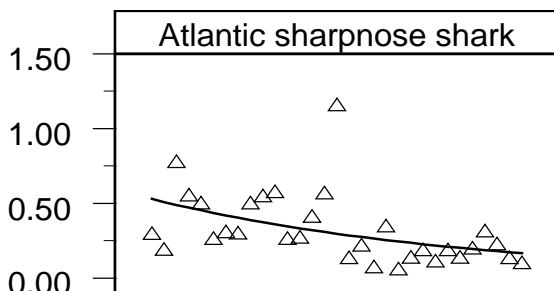
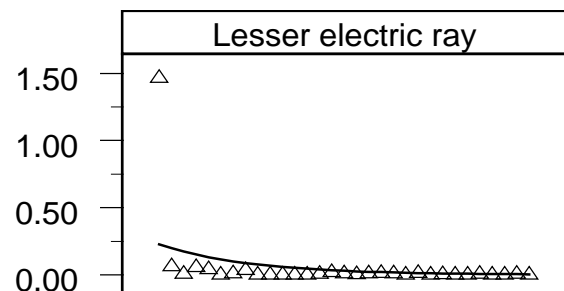


# Is shrimp trawling driving sharks and rays extinct?

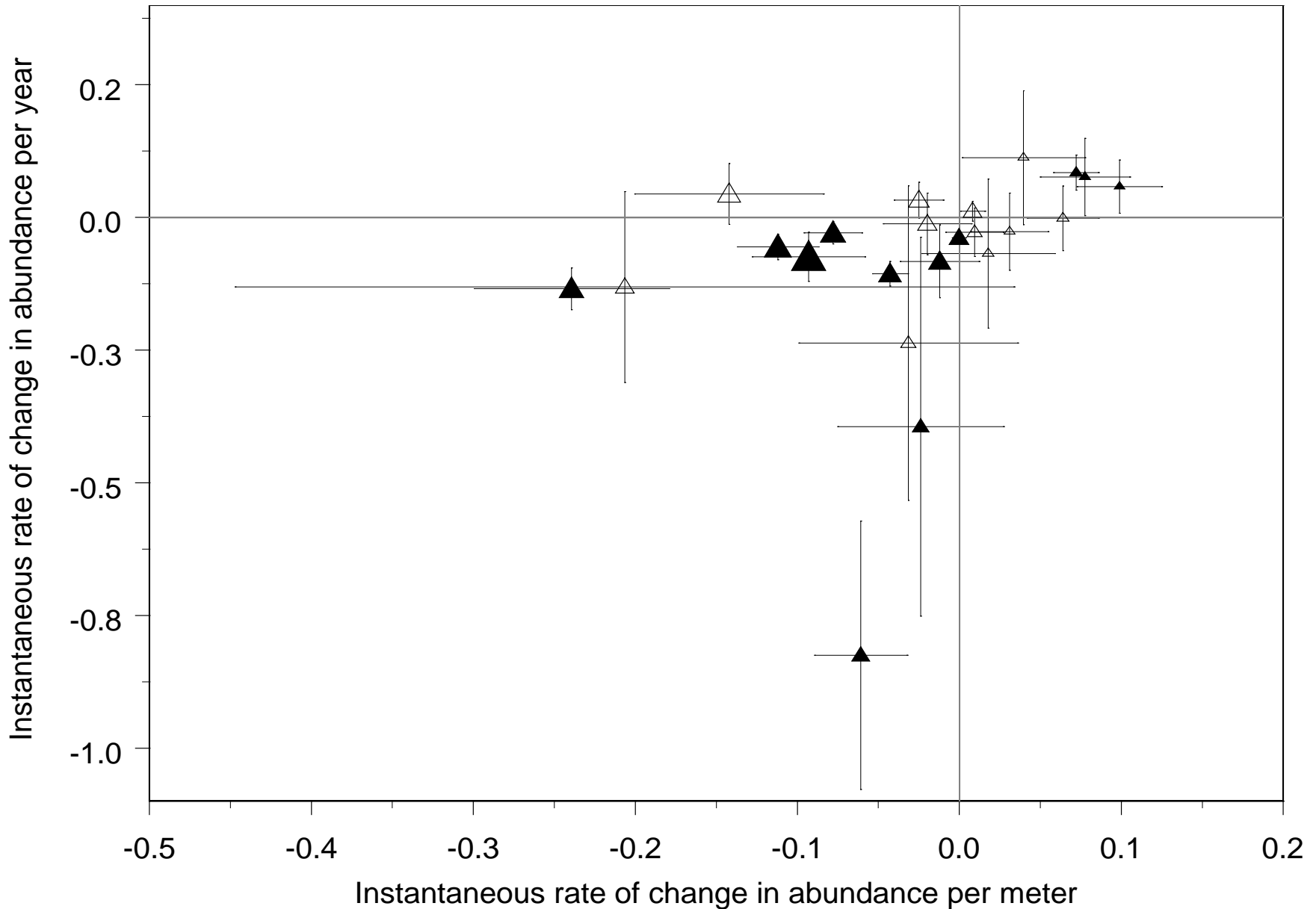


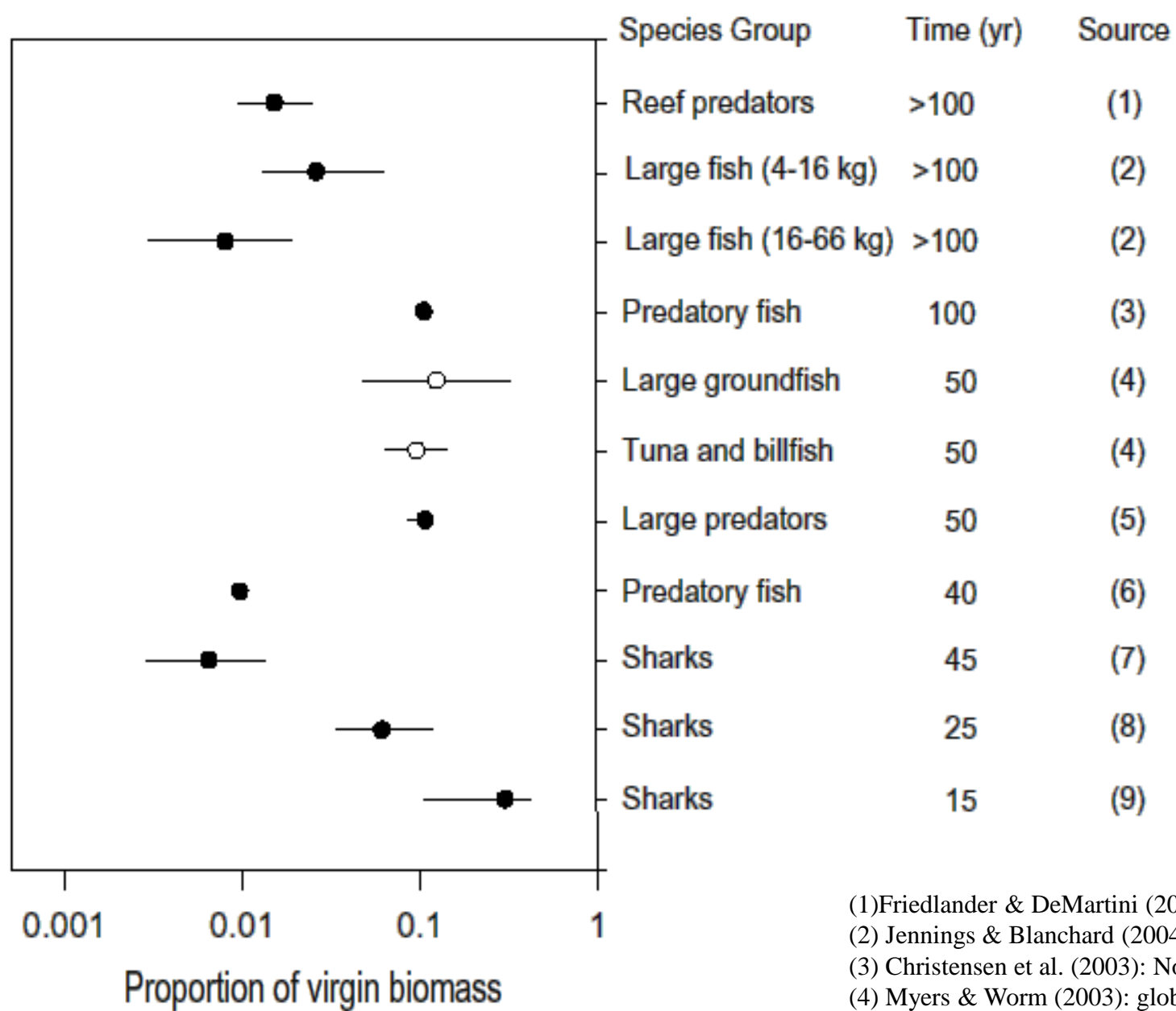


Mean standardized catch per tow



Shallow species are going extinct  
Deep species are increasing





- (1) Friedlander & DeMartini (2002): Hawaiian reefs;  
 (2) Jennings & Blanchard (2004): North Sea;  
 (3) Christensen et al. (2003): North Atlantic;  
 (4) Myers & Worm (2003): global;  
 (5) Ward & Myers (2003): North Pacific;  
 (6) Tang et al. (2003): Bohai Sea;  
 (7) Baum & Myers (2004): Gulf of Mexico;  
 (8) Vacchi et al. (2000): Mediterranean Sea;  
 (9) Baum et al. (2003): Northwest Atlantic.

Source: Myers and Worm 2005.

Proc. R. Soc. Lond. B (2005)

Not only have large predators declined by at least a factor of 10, but mesopredators have often increased by at least a factor of 10.



FMAP (Future of Marine Animal Populations)

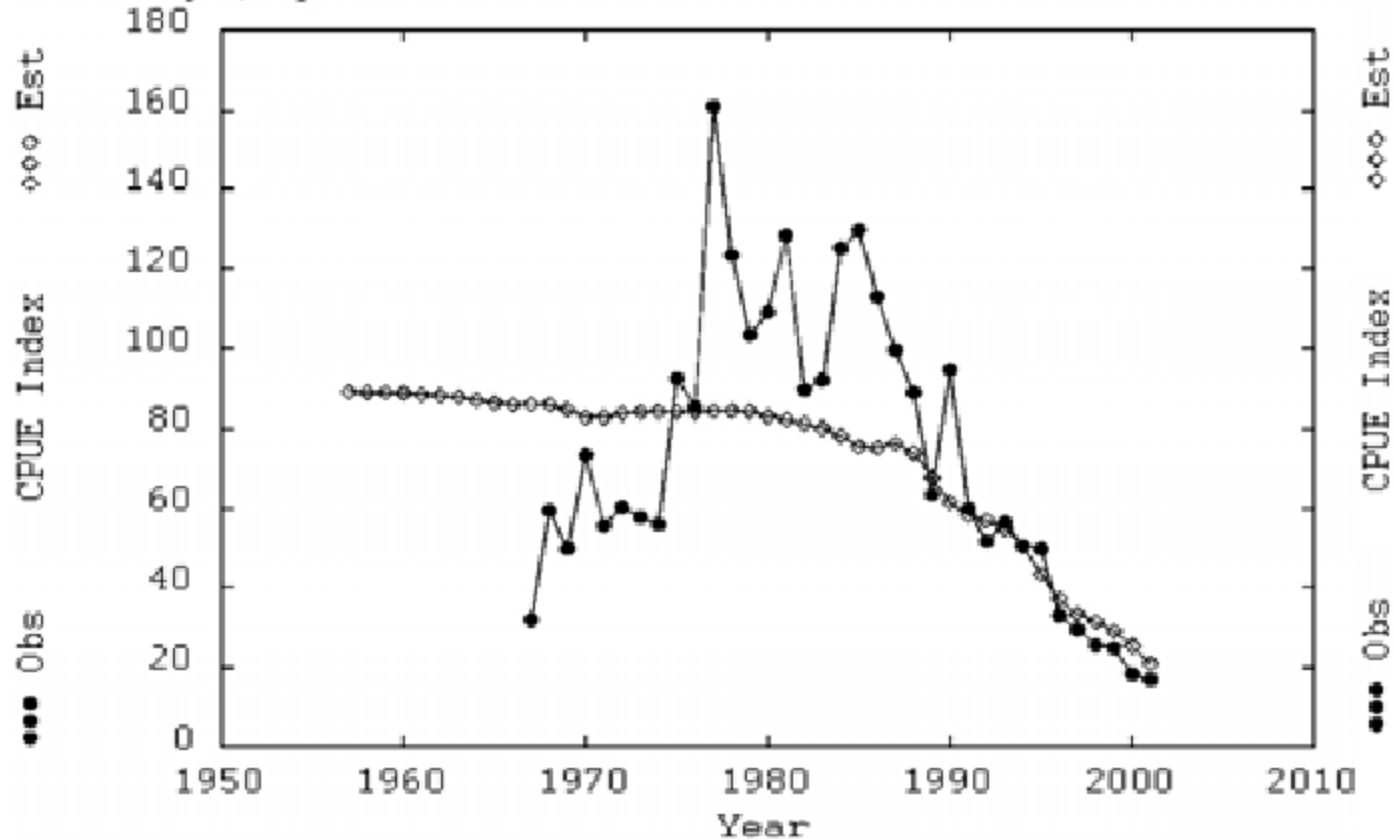
part of the Sloan Census of Life <http://www.fmap.ca>

Pew Global Sharks Assessment

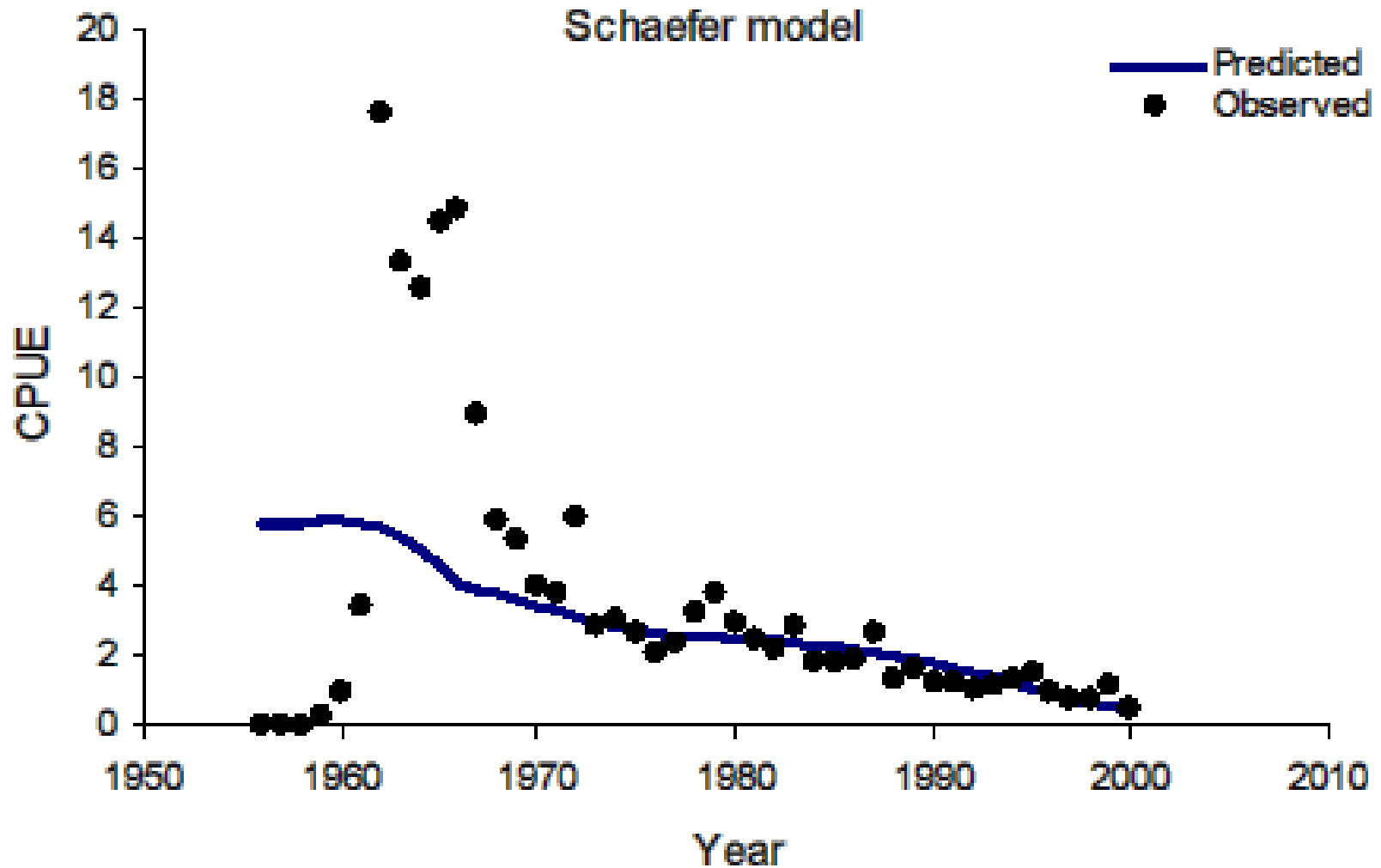
<http://www.globalsharks.ca>

Single species models are not even remotely consistent with the data, e.g. Swordfish from the South Atlantic

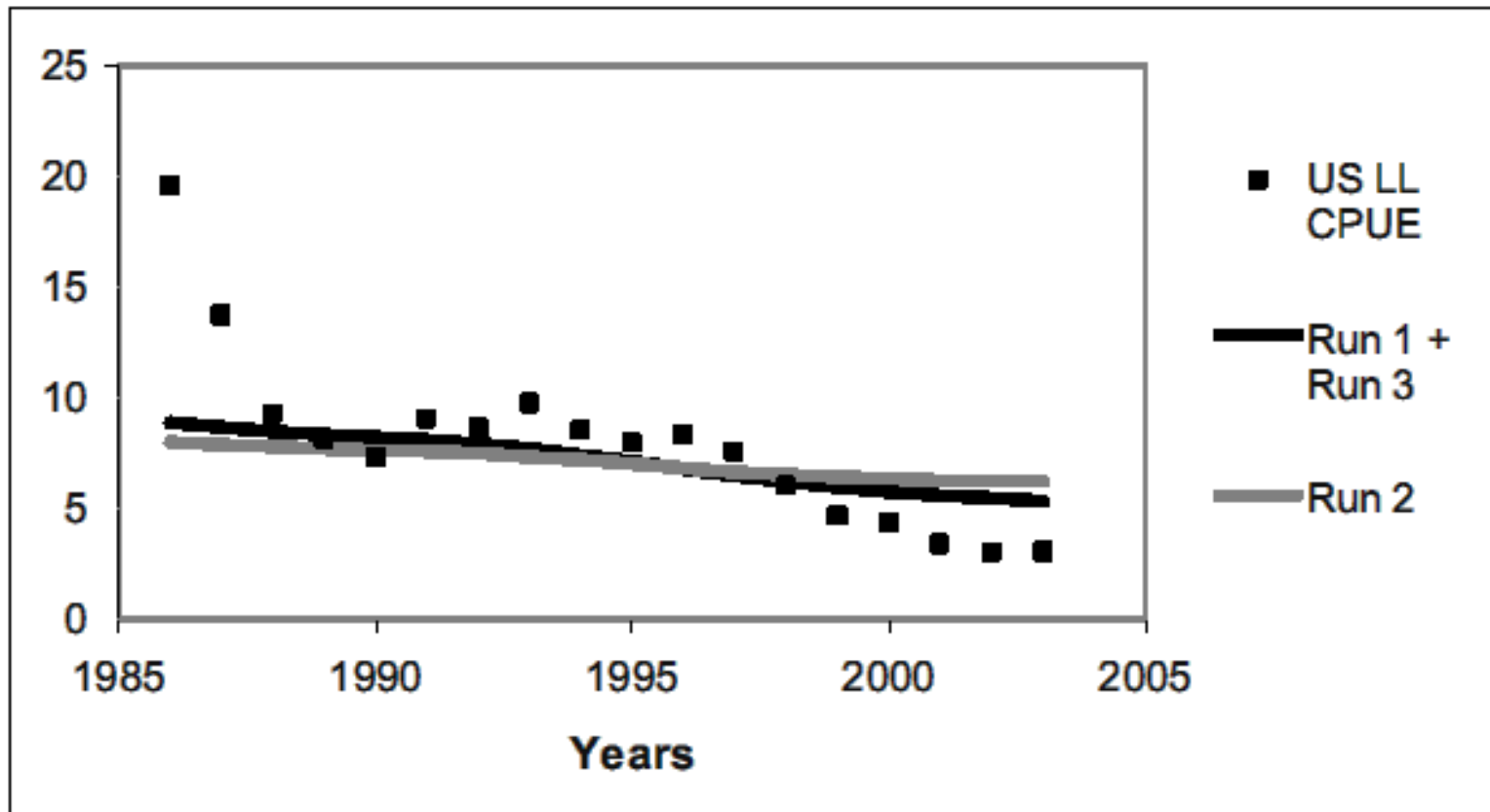
Sensitivity 4, Japanese index



White Marlin: Atlantic, single species models do not work  
Very well.



ICCAT shark assessments in the Atlantic don't even remotely fit reliable data:  
Similar pattern for US government research surveys.

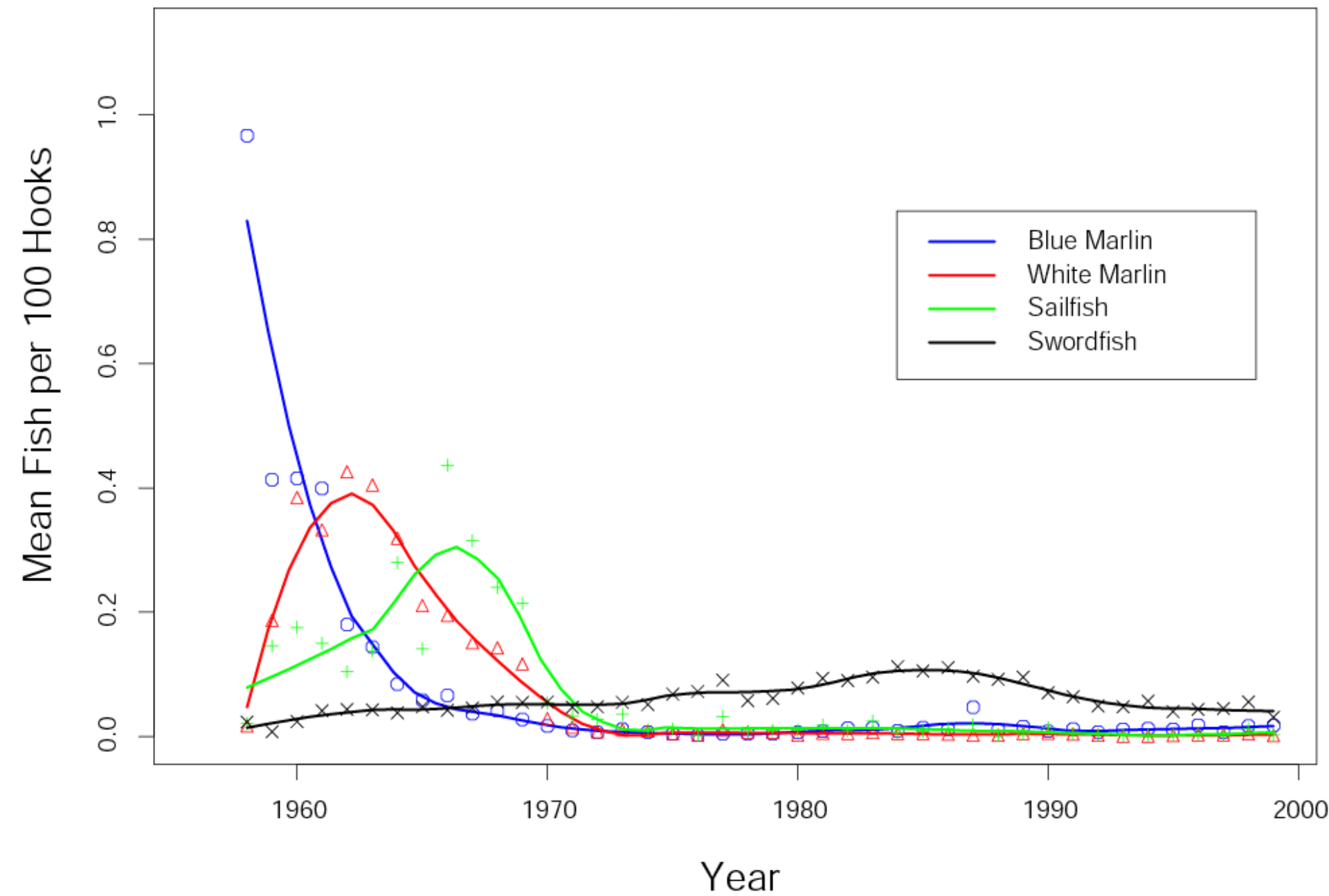


**Figure 10** (above). Fit of the model to the North Atlantic blue shark CPUE data for each of the runs considered.

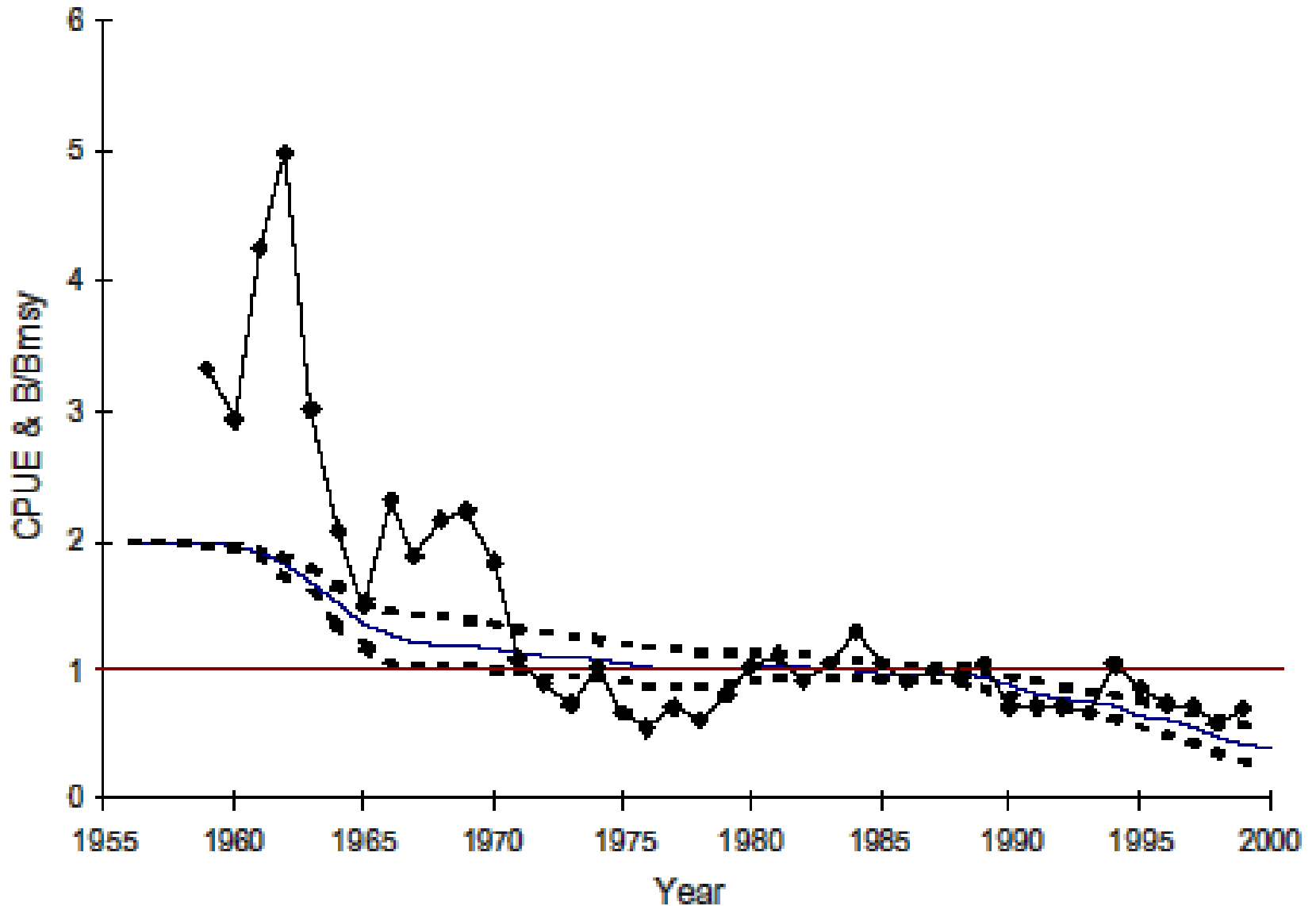




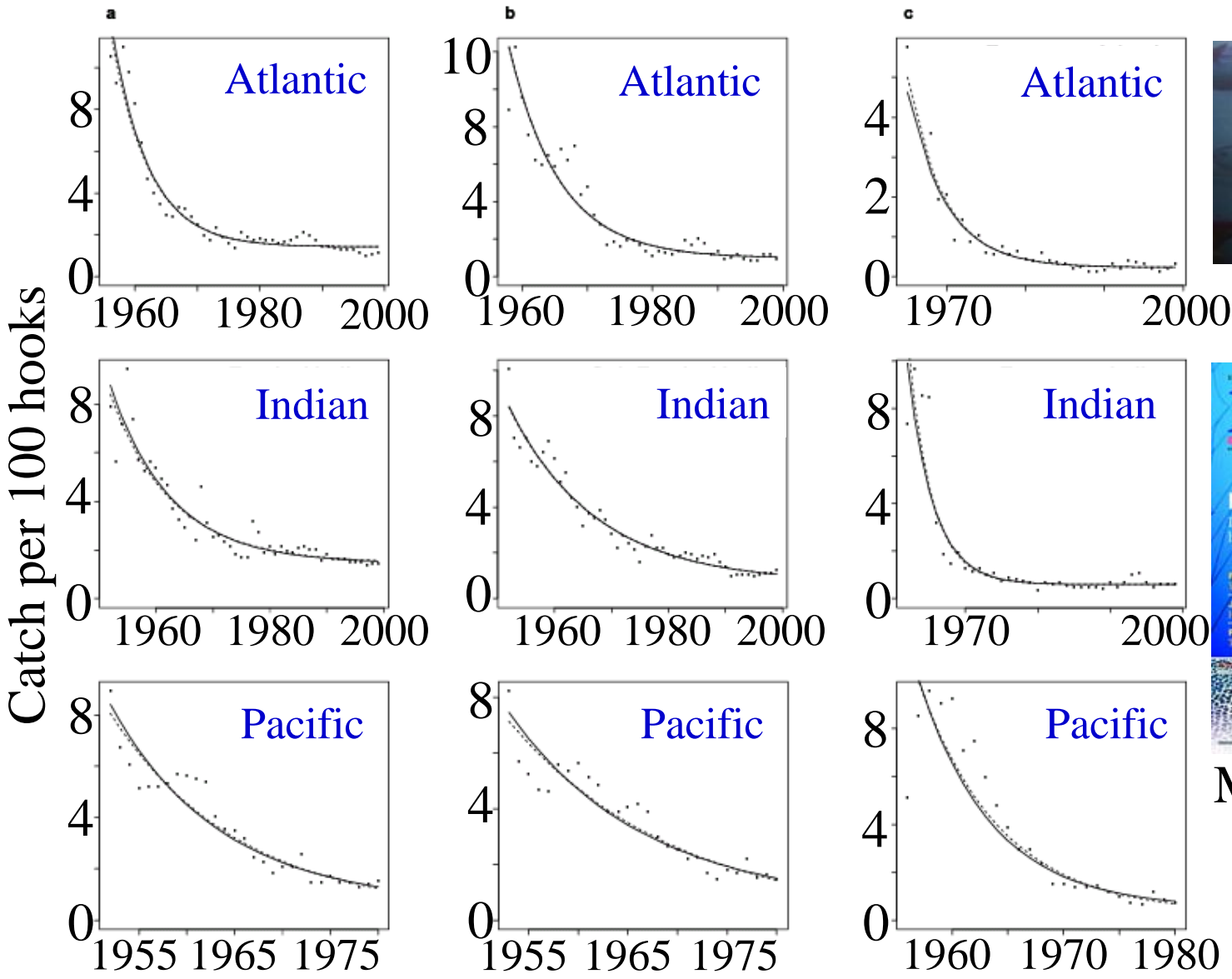
# Atlantic, Latitude = -15 to -10



Bluefine tuna (observed diamonds) and modeled – not a very good fit.

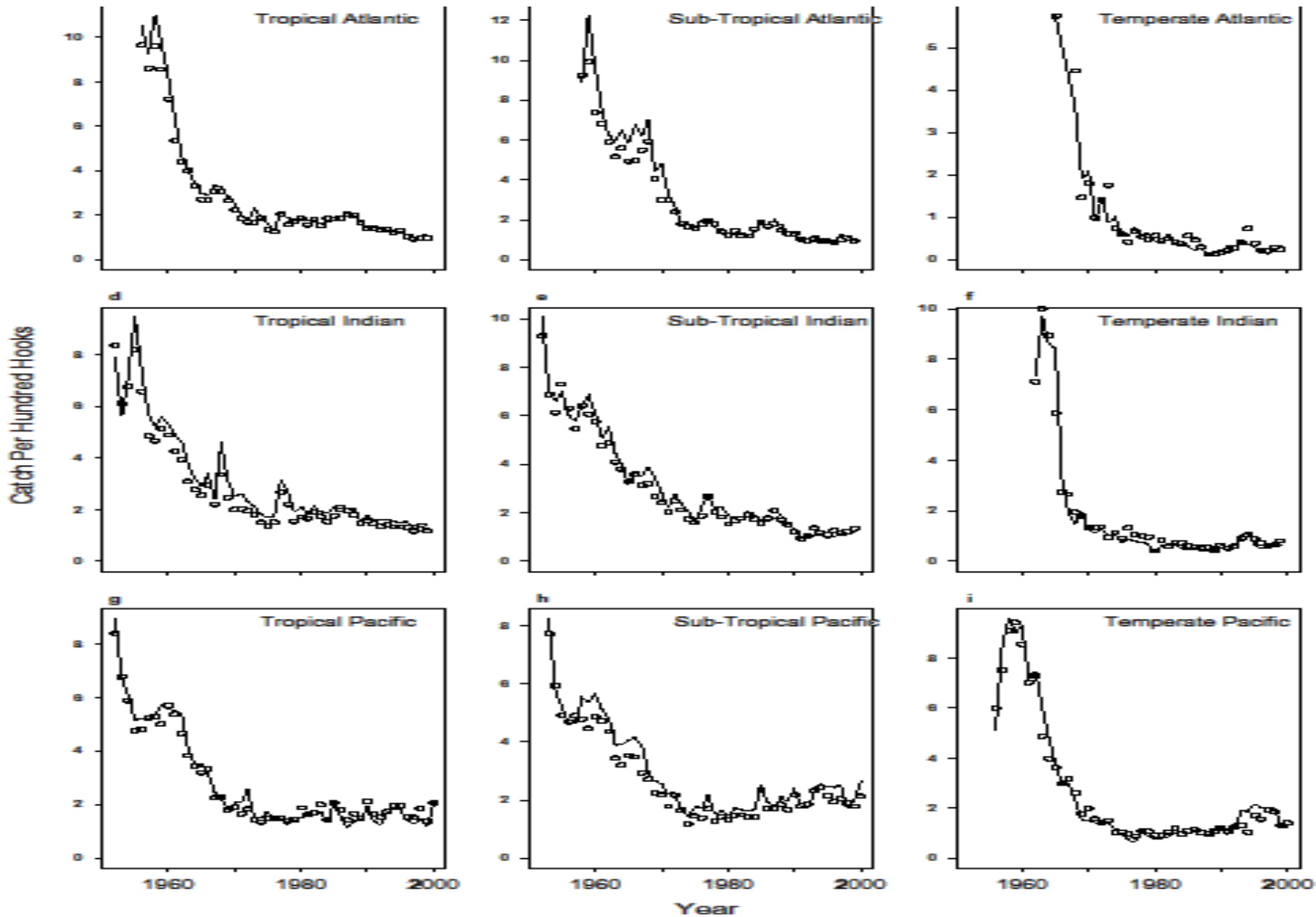


# Common patterns of decline



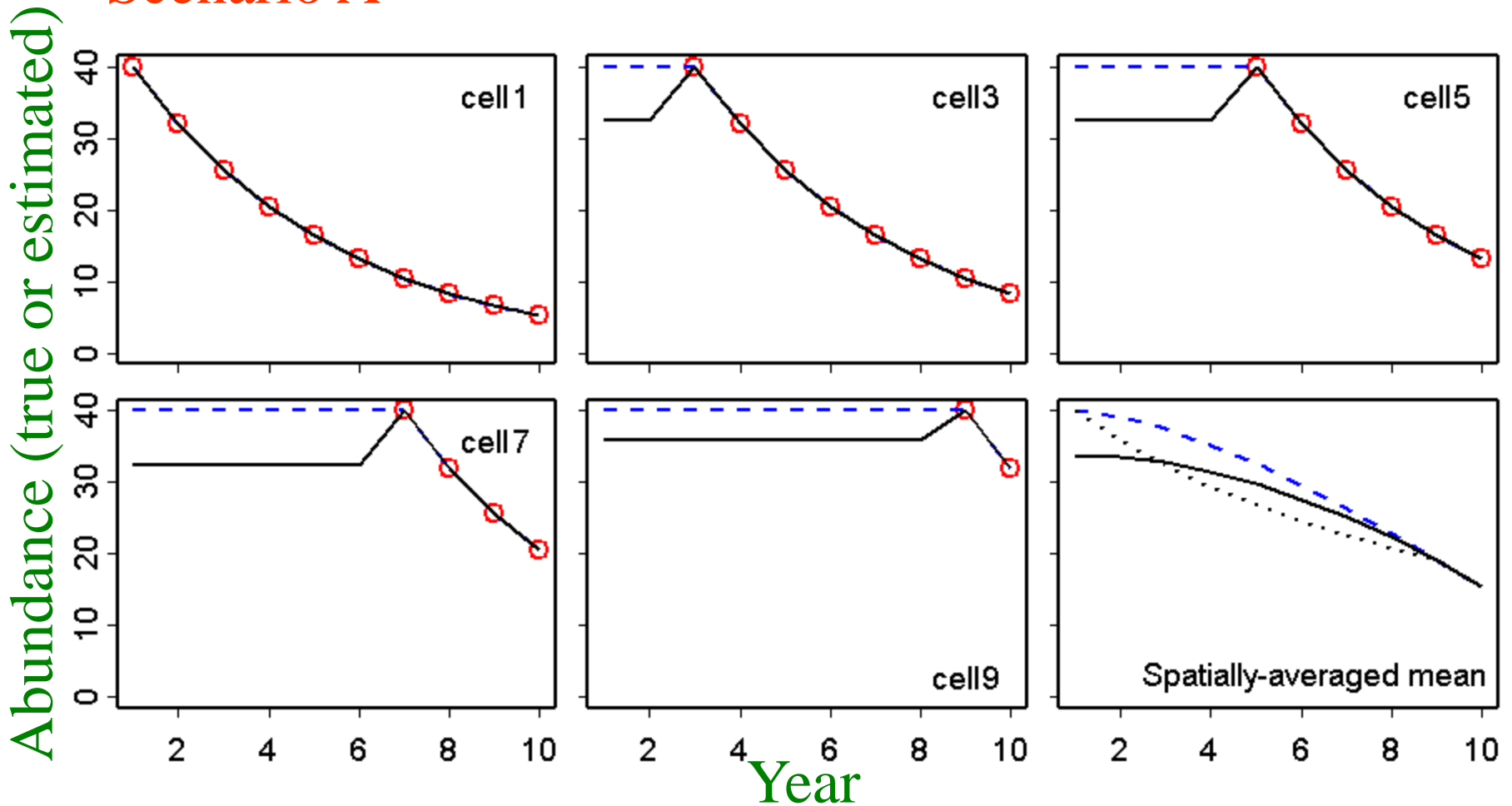
Myers and Worm (2003)

# RED HERRING 1: RATIO ESTIMATION



# RED HERRING 2: SPATIAL ESTIMATION

# Scenario A



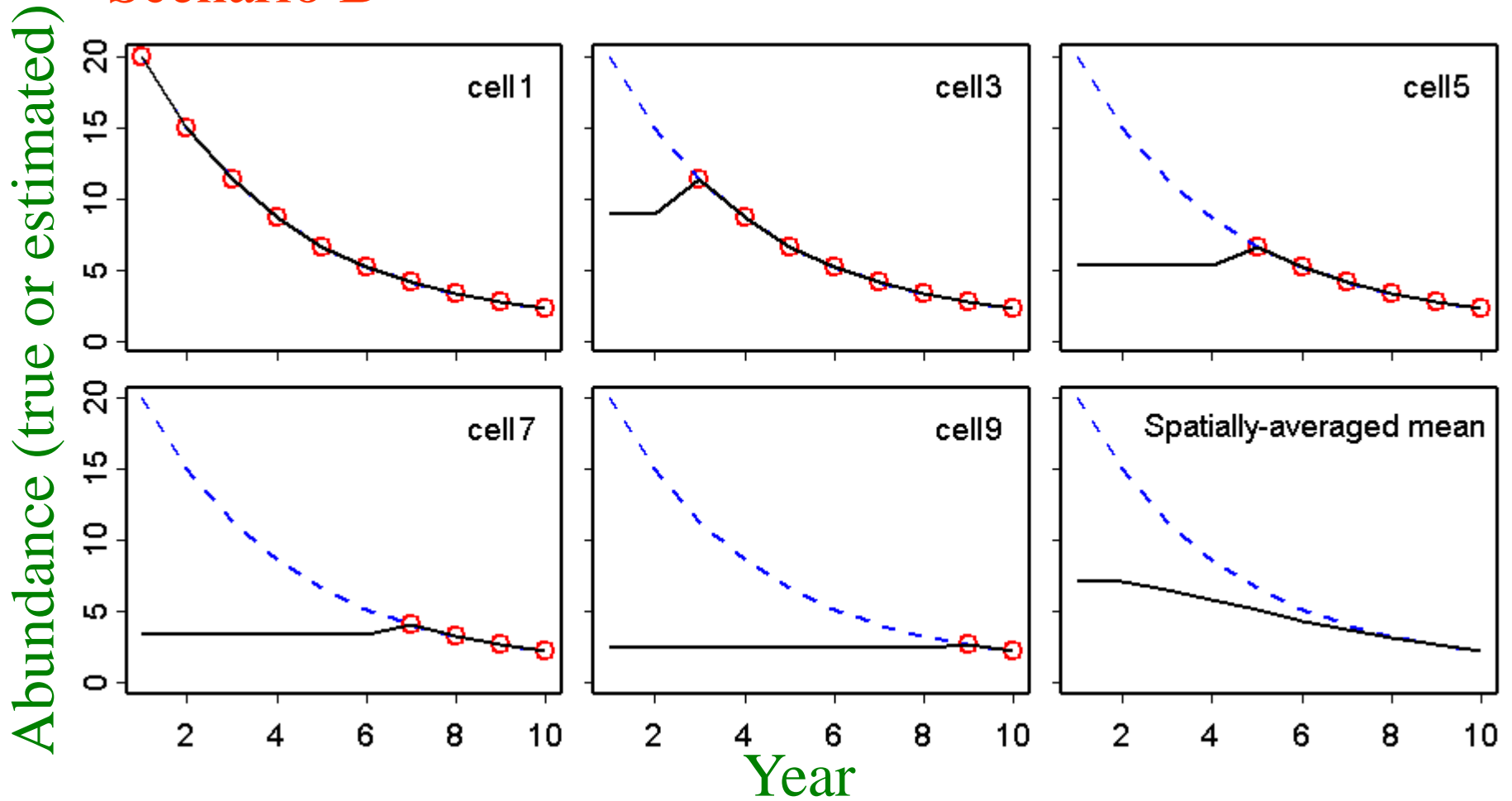
----- True population

○ Abundance estimate from CPUE

———— Abundance estimate, Walters' method

..... Spatial estimate, Myers and Worm's method

# Scenario B



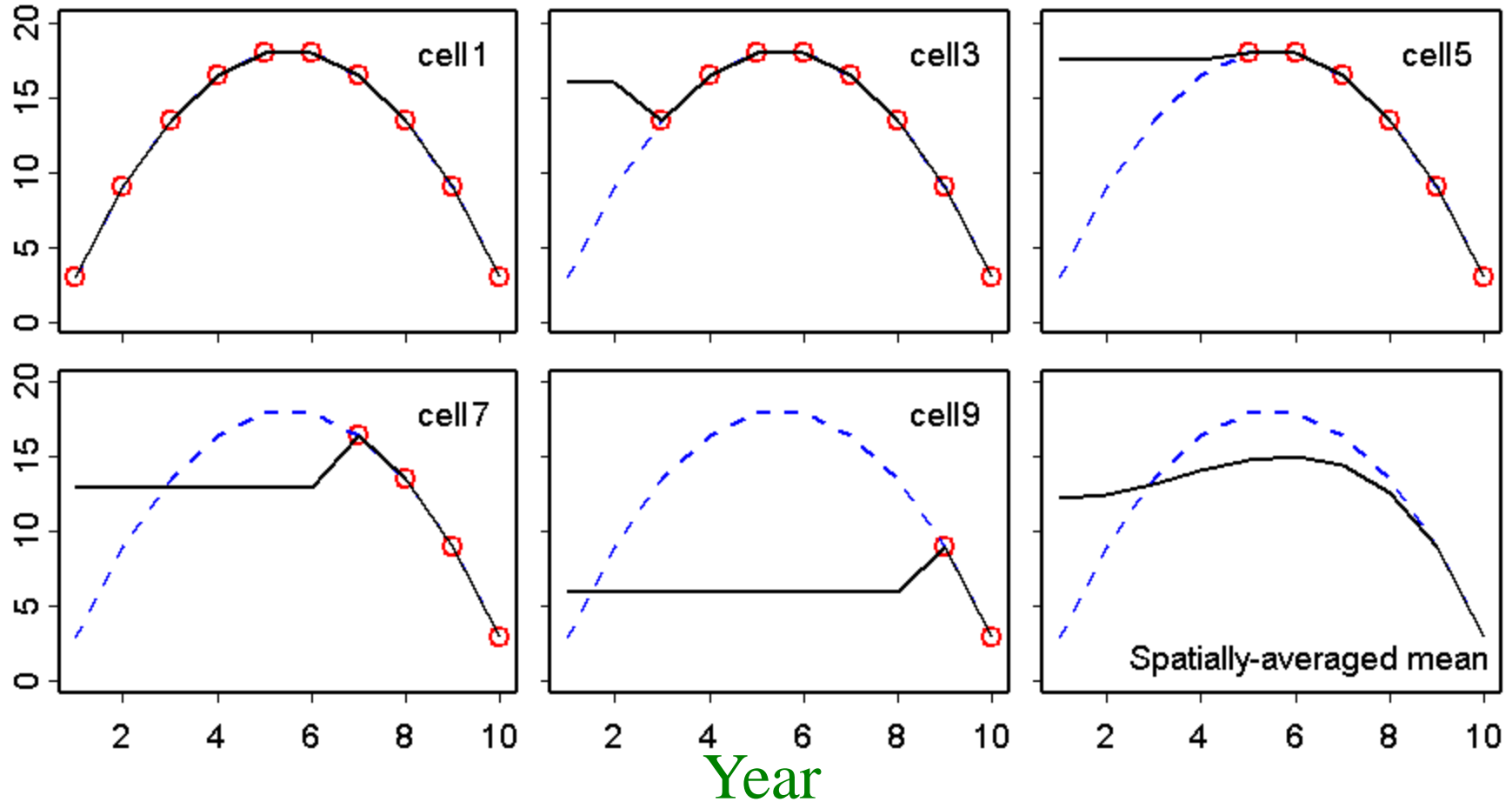
----- True population

○ Abundance estimate from CPUE

———— Abundance estimate, Walters' method

# Scenario C

Abundance (true or estimated)



----- True population

○ Abundance estimate from CPUE

———— Abundance estimate, Walters' method

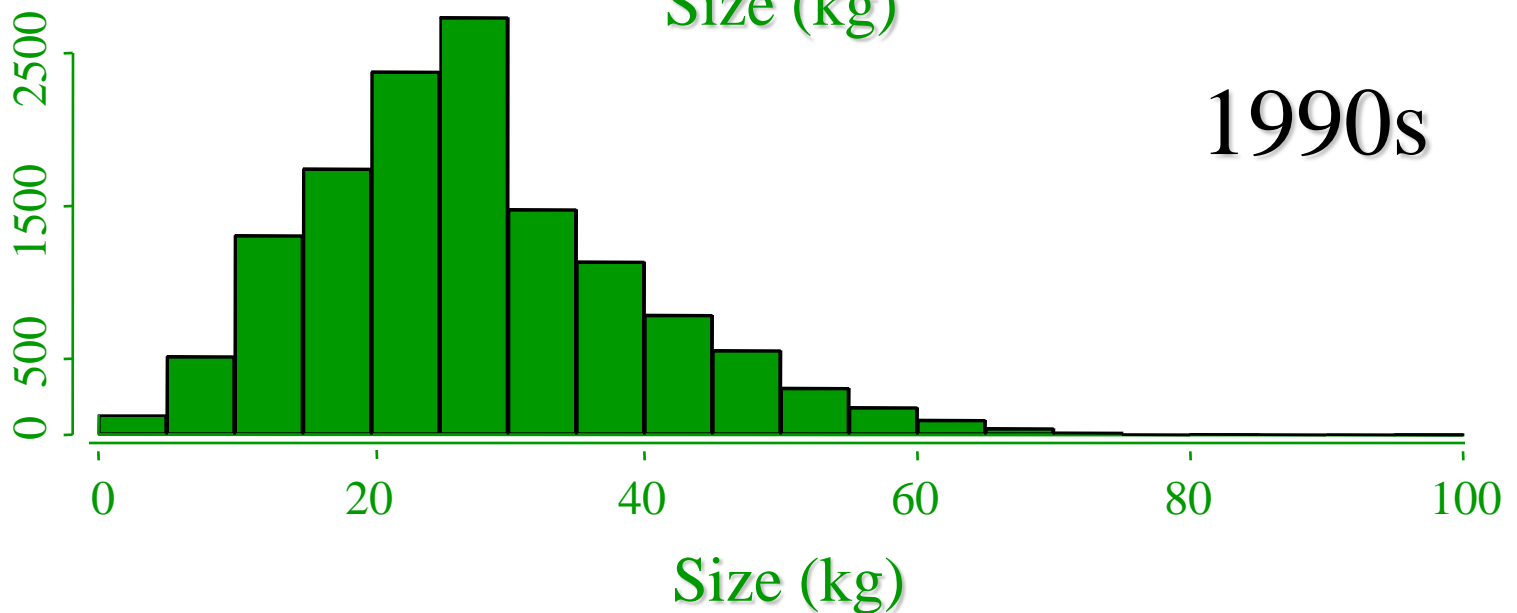
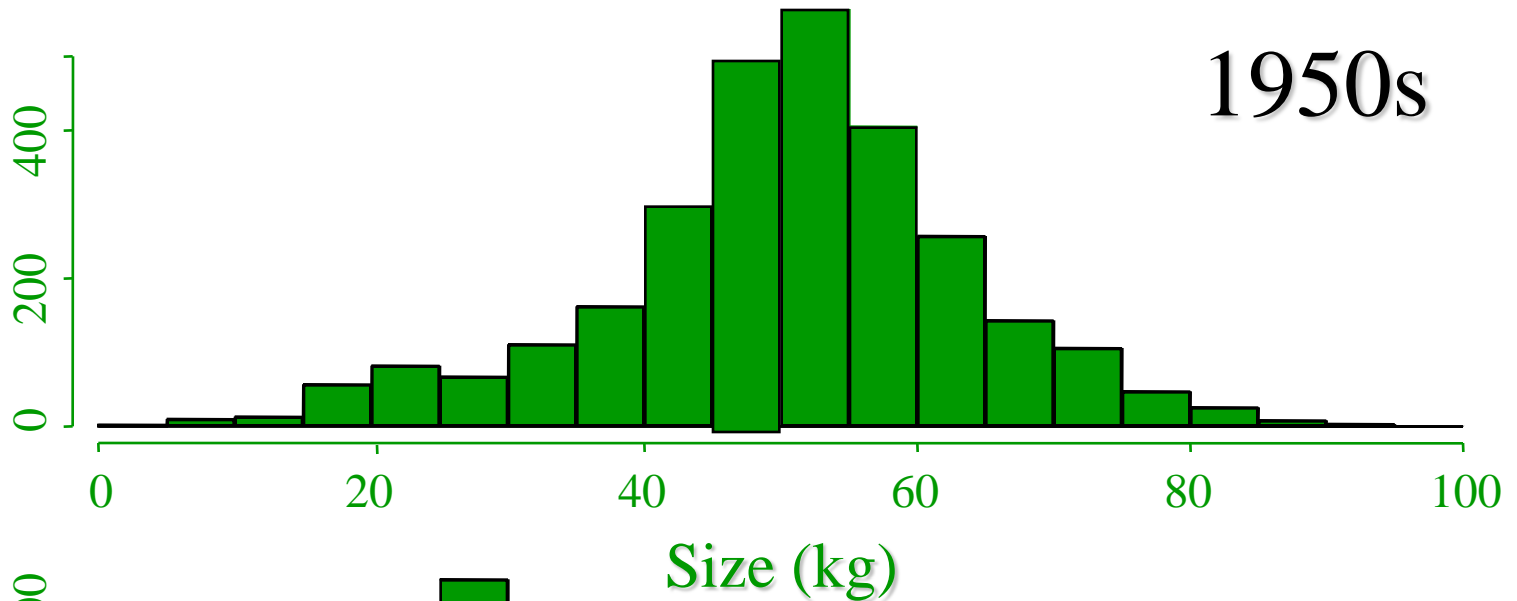


These estimates are conservative: 1.

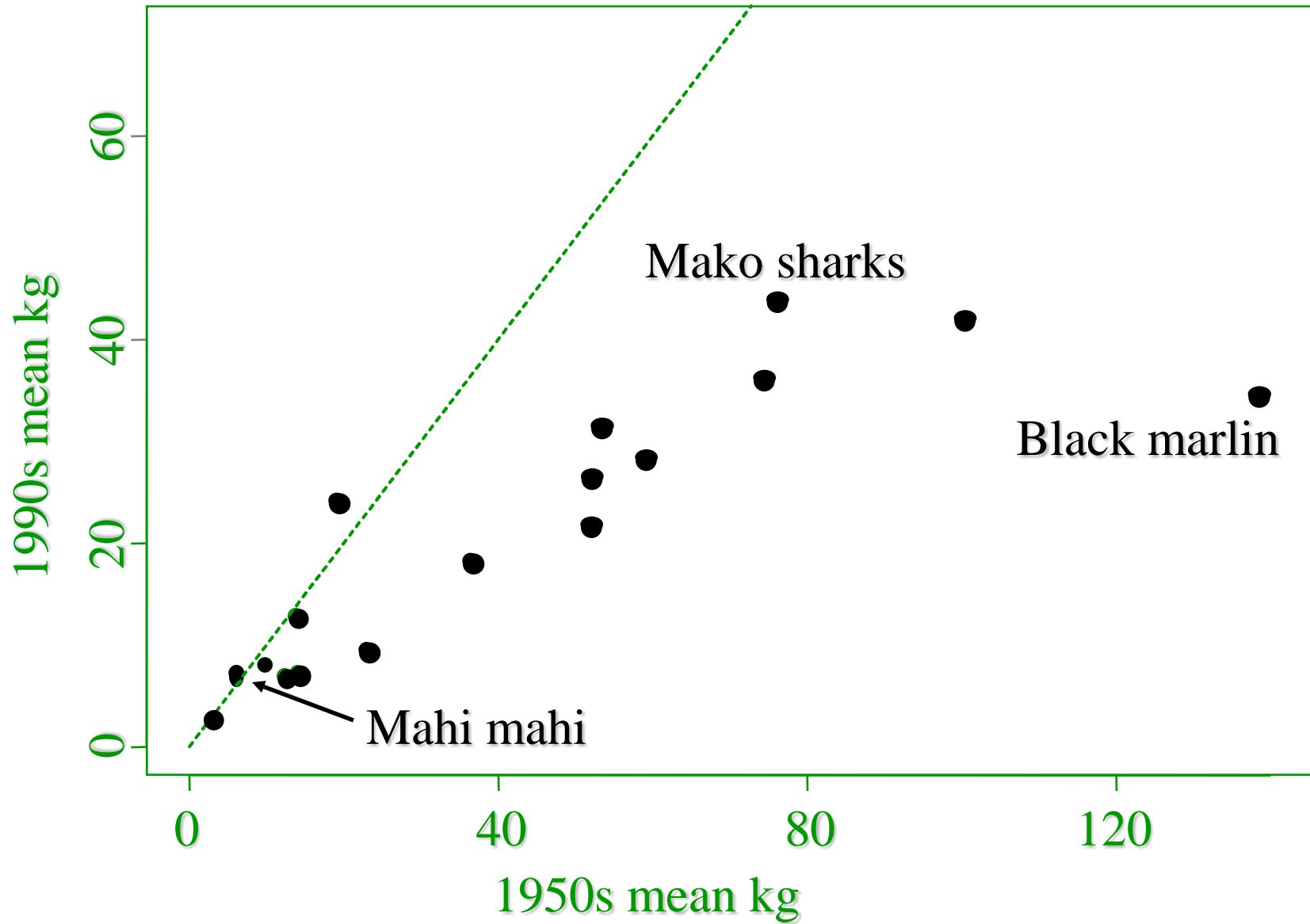
Bits of tuna did not count;  
~25-30% of tropical tunas were initially not counted because of shark damage.



These estimates are conservative: 2 (fish are smaller)



# Change in body size

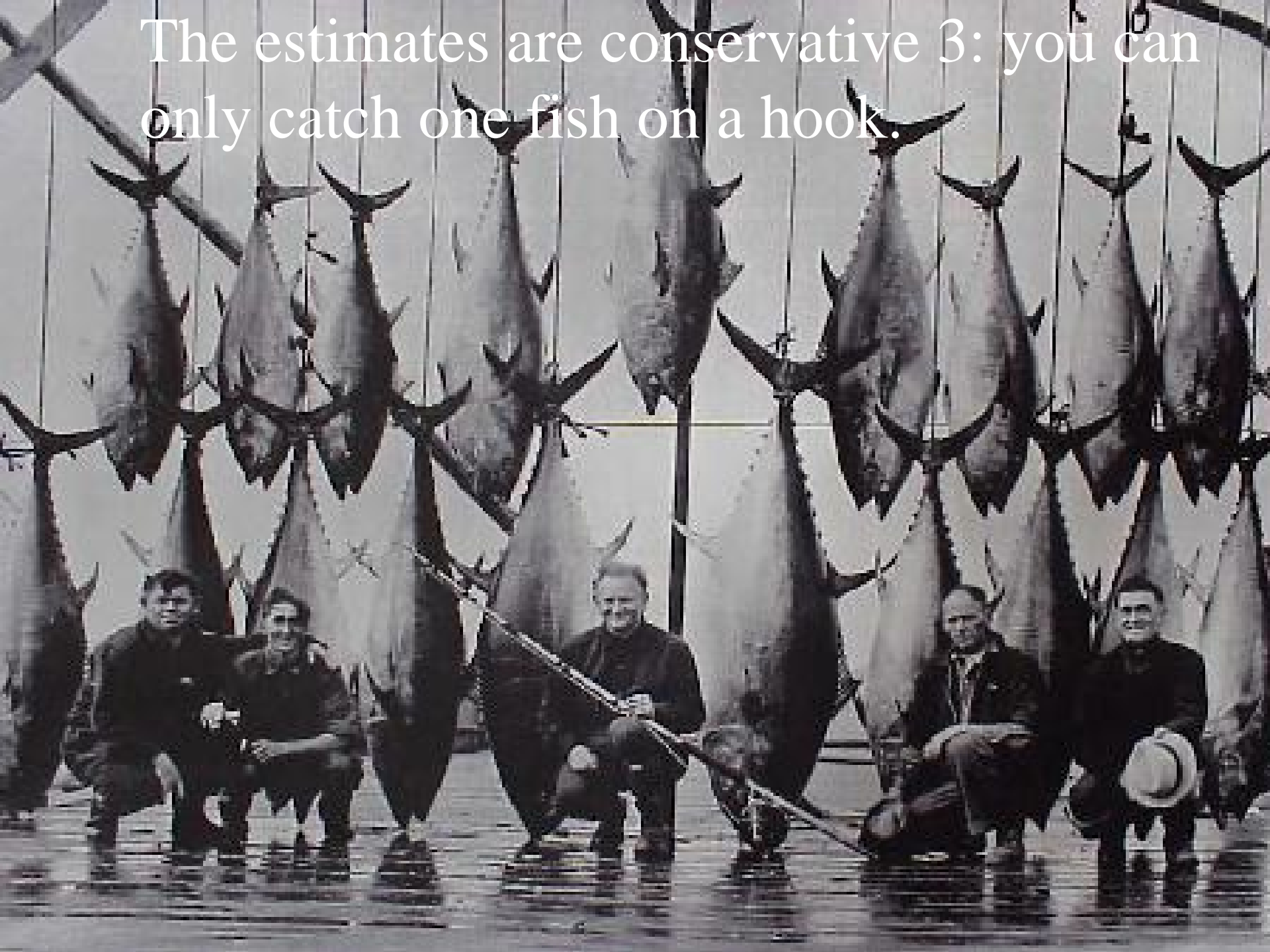


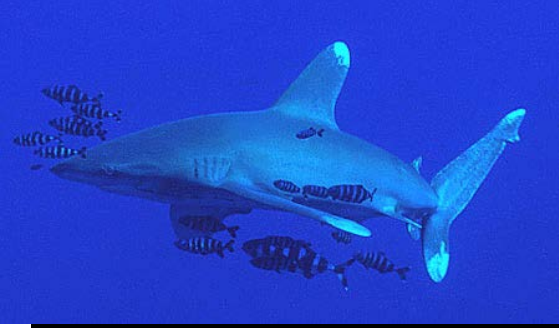


555  
lbs.  
Cabo Blanco

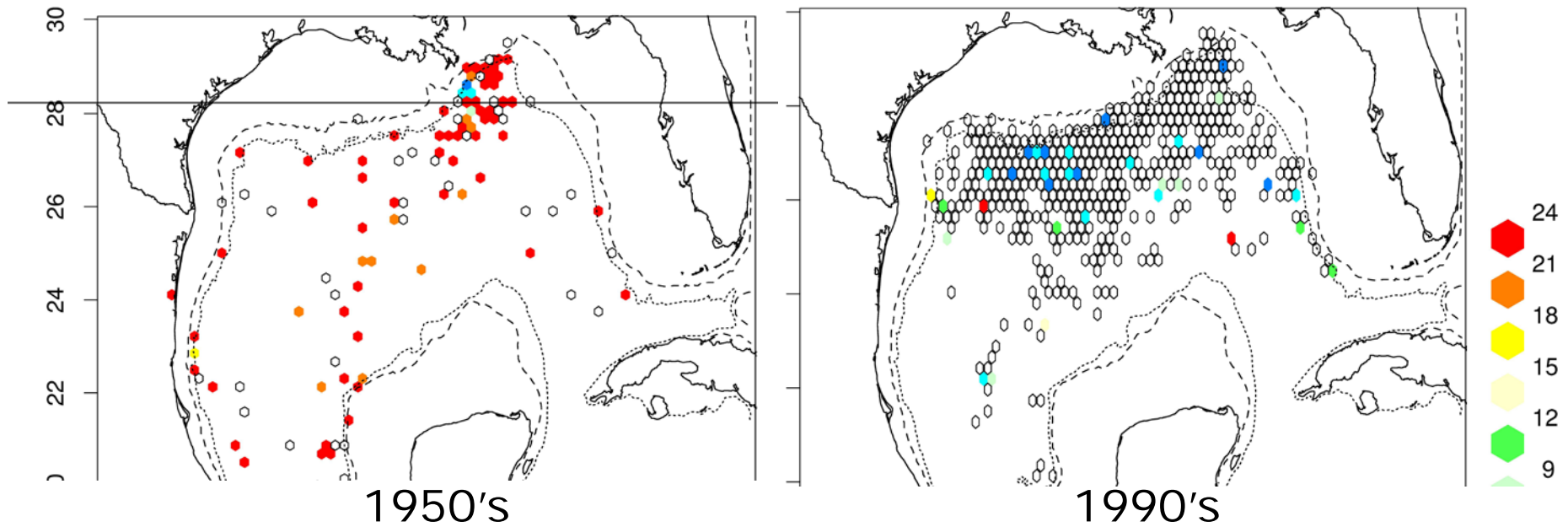
LBS.  
1135  
CABO  
BLANCO

The estimates are conservative 3: you can only catch one fish on a hook.





These estimates are conservative  
4: The sharks probably declined  
more.

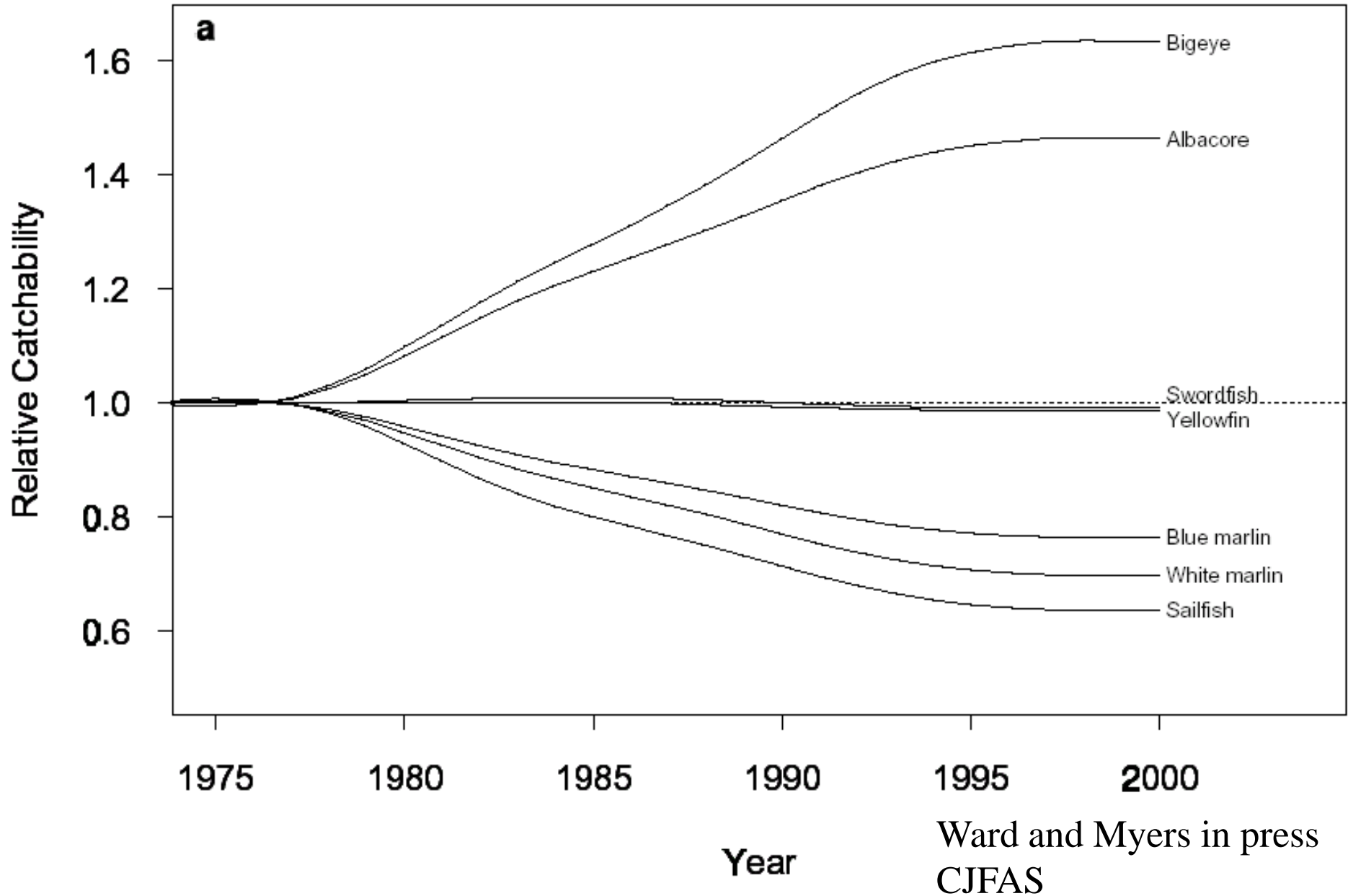


Oceanic Whitetip captures per 10,000 hooks

These estimates are conservative 5: The oceans were not virgin.

- Japan harvested ~1,000,000 tons of tuna and marlin in the 5 years before WWII.
- In 1950 the US harvested ~170,000 tons.
- The 1950 harvest of albacore by Spain was greater than the total recent harvest in the North Atlantic.
- Species that migrate long distances (e.g. southern bluefin tuna, northern bluefin tuna, and albacore) would have reduced by these harvests.

These estimates are conservative 7:  
changes in depth increases overall efficiency.



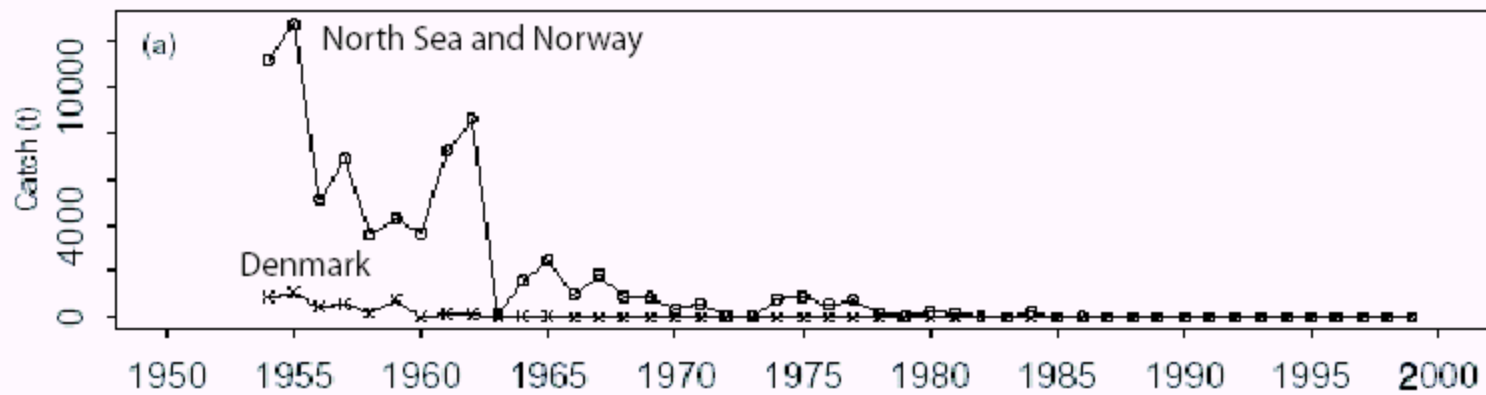


## Declines confirmed by independent data:

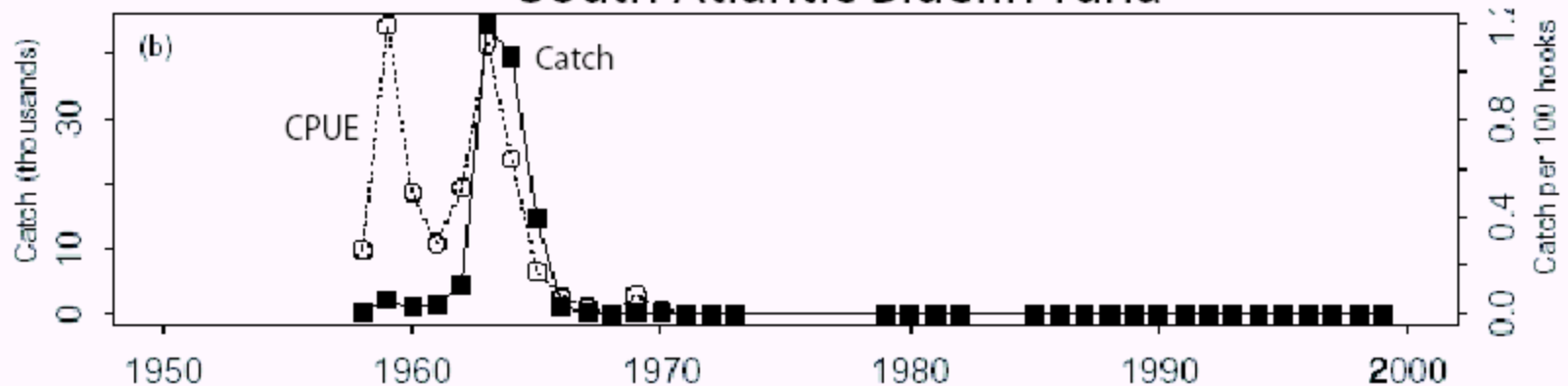
- The initial high catch rates were seen in early research surveys by Japan and US.
- Declines seen in harpoon fisheries for swordfish and tuna.
- Most tuna traps in the Mediterranean have largely been abandoned, Italy there is a decline from 100 to 3 tuna traps.
- Complete loss of species in some areas.

# Loss of Bluefin Tuna Populations in the Atlantic

## North Sea Bluefin Tuna



## South Atlantic Bluefin Tuna

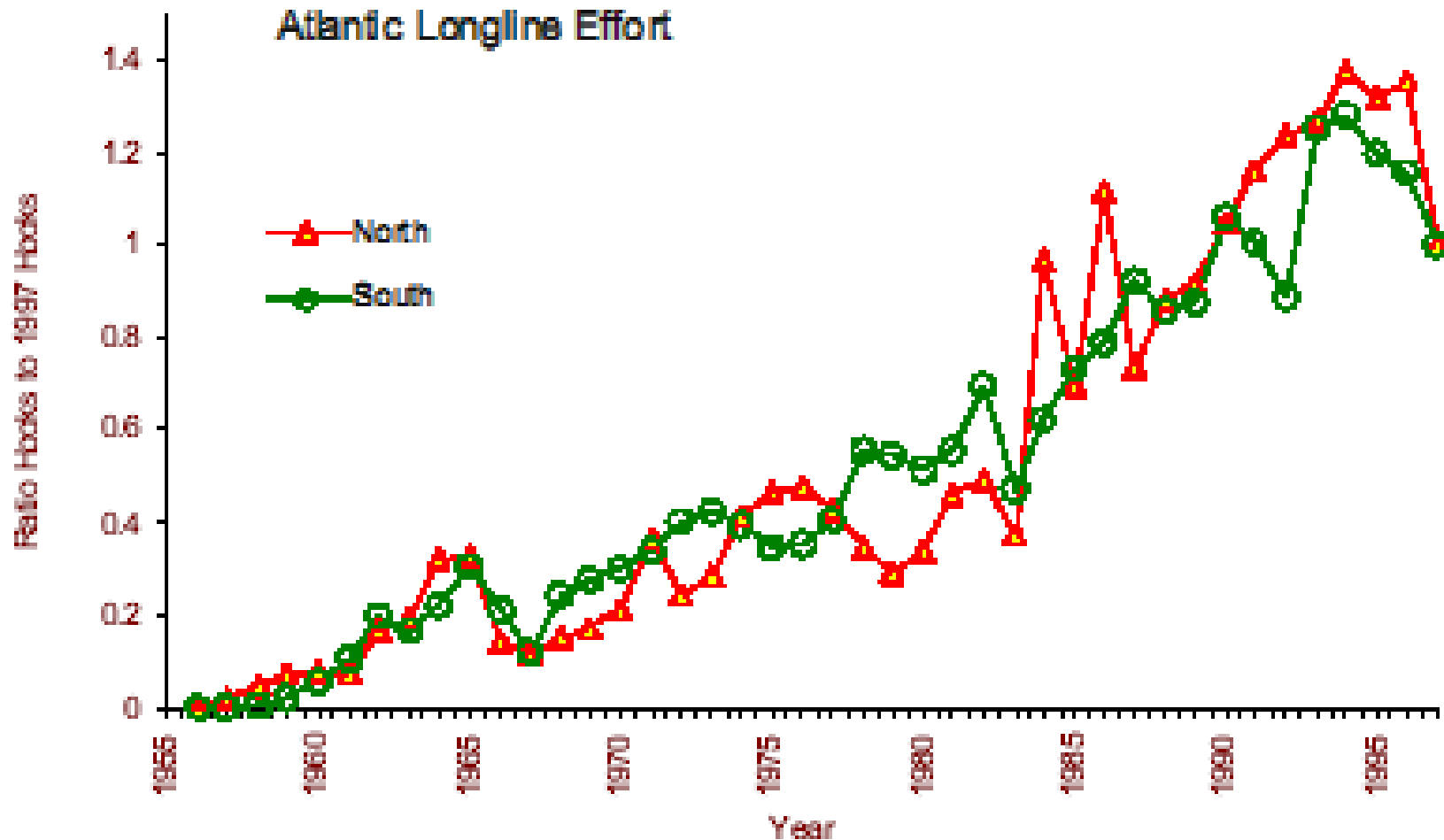


# Perceived Contradiction in Initial Rapid Decline in CPUE

- 1. Large declines occurred when effort was relatively small

# Perceived Contradiction in Initial Rapid Decline in CPUE

2. Present effort is much higher.



# Perceived Contradiction in Initial Rapid Decline in CPUE

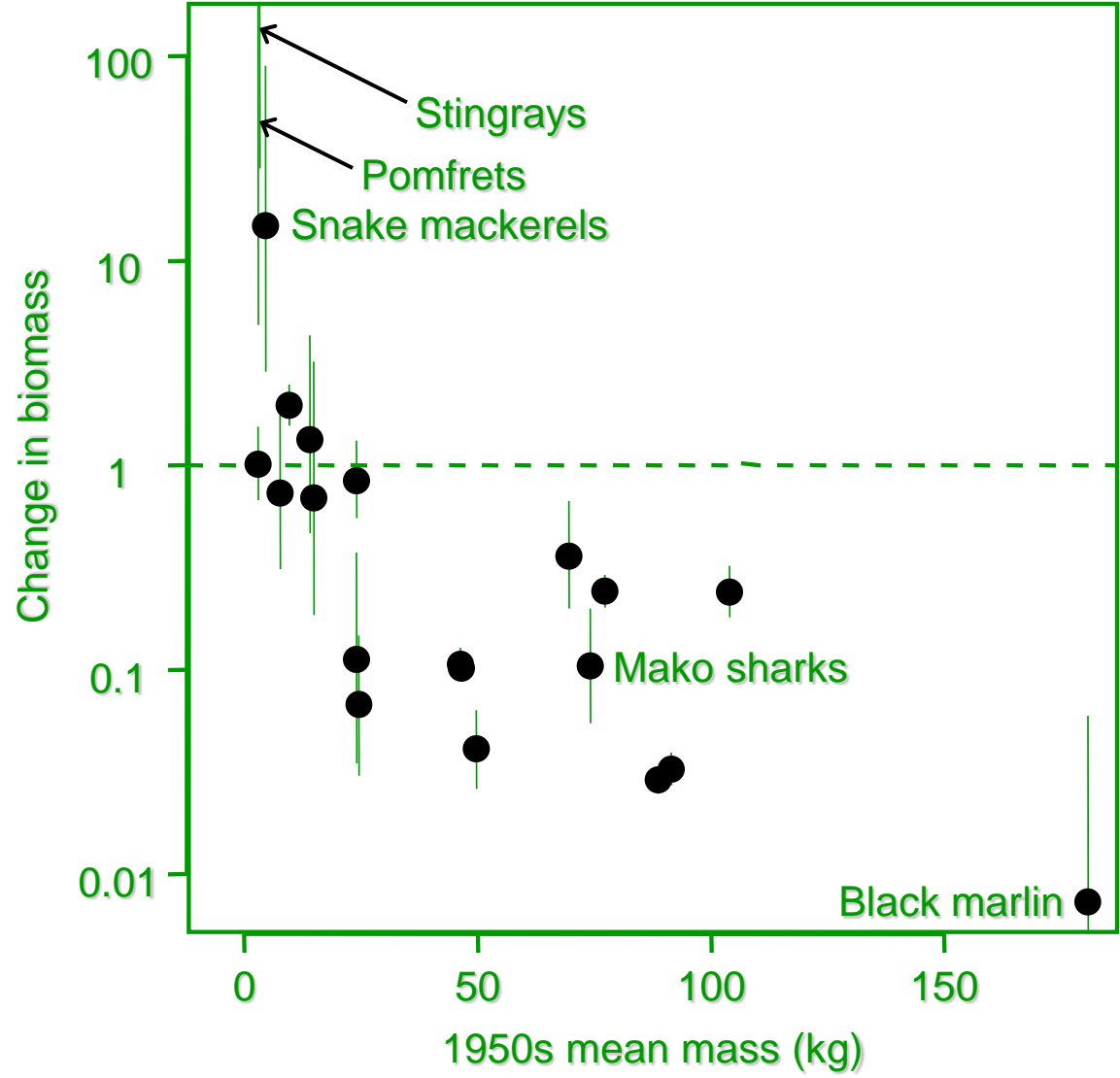
3. Present fishing mortality due to longlines is around 0.6

# Perceived Contradiction in Initial Rapid Decline in CPUE

IF catchability is constant

THEN the population dynamics are impossible.

However, catchability decreases with size and size  
has declined





555  
lbs.  
Cabo Blanco

LBS.  
1135  
CABO  
BLANCO



# A Toy Model

- Recruitment constant
- Longline effort increases linearly over 35 years
- Catchability is proportional to the product of: (a) a cumulative normal and (b) food intake (respiration is proportional to the  $2/3$ 's power of mass)
- Present fishing mortality is around 0.6.

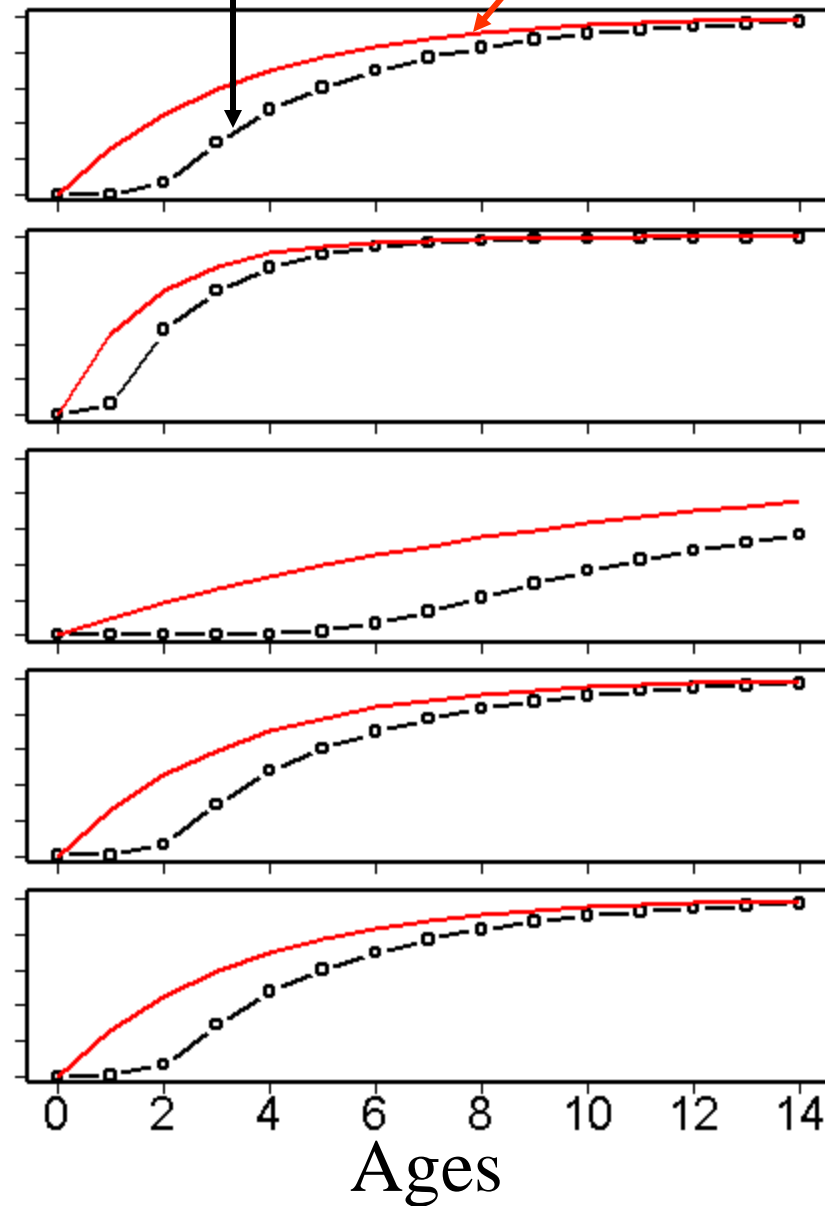
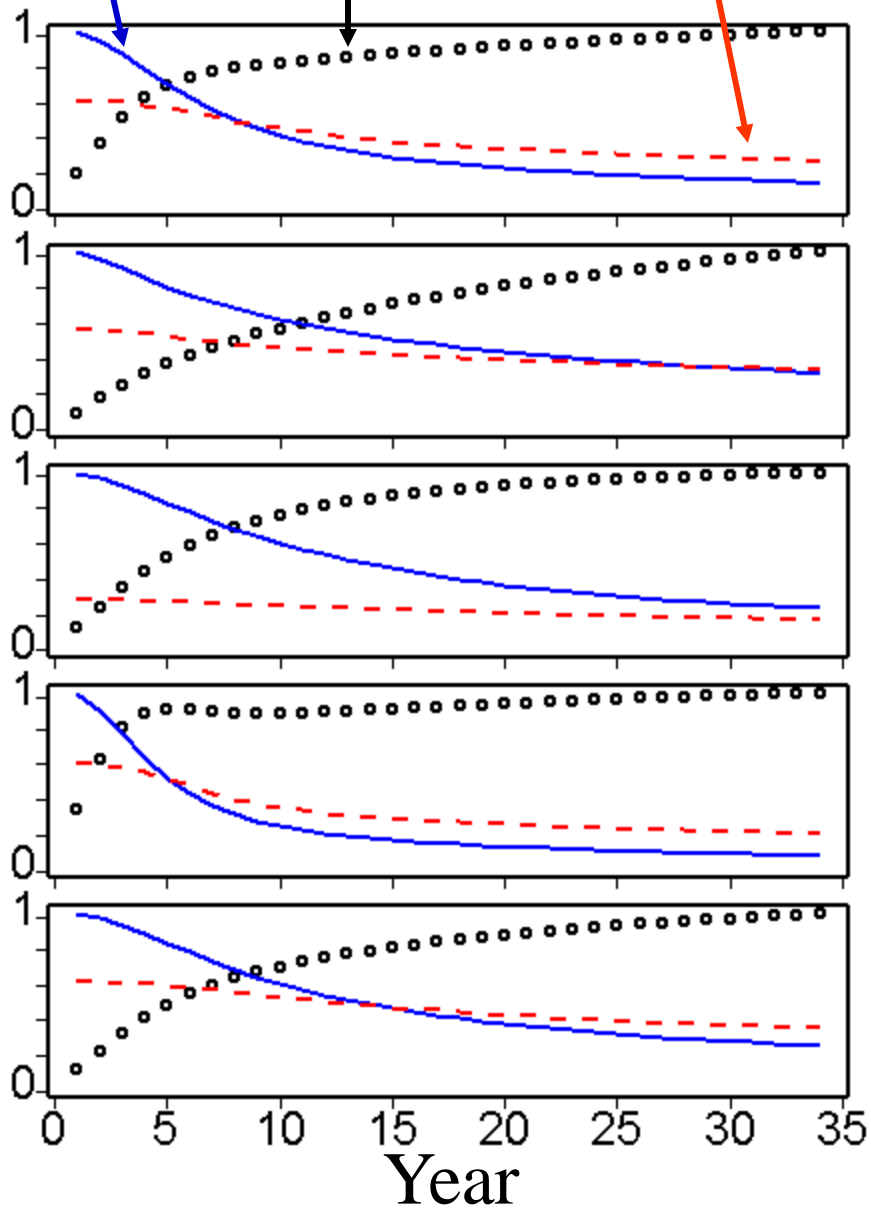
CPUE

Catch

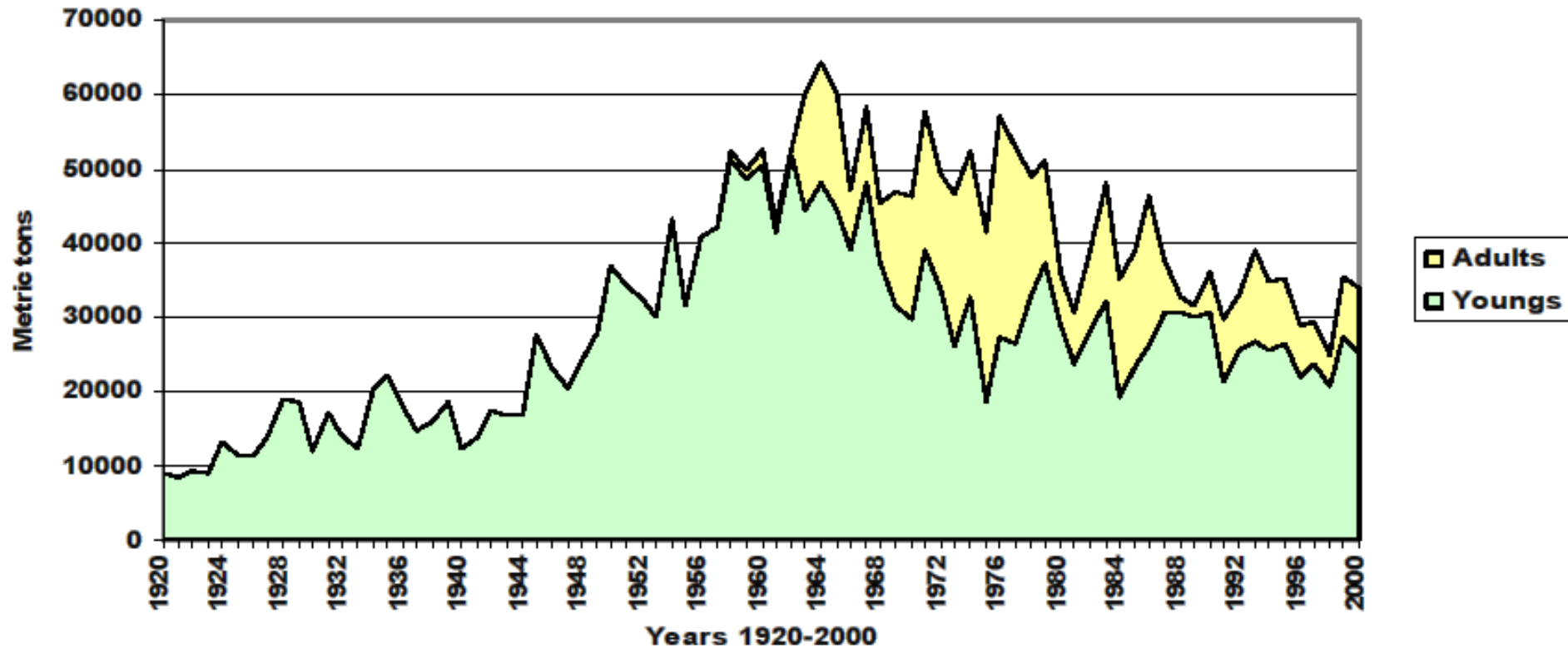
Avg wt

Selectivity

Length




North Atlantic albacore cumulated catches of youngs and adults fish

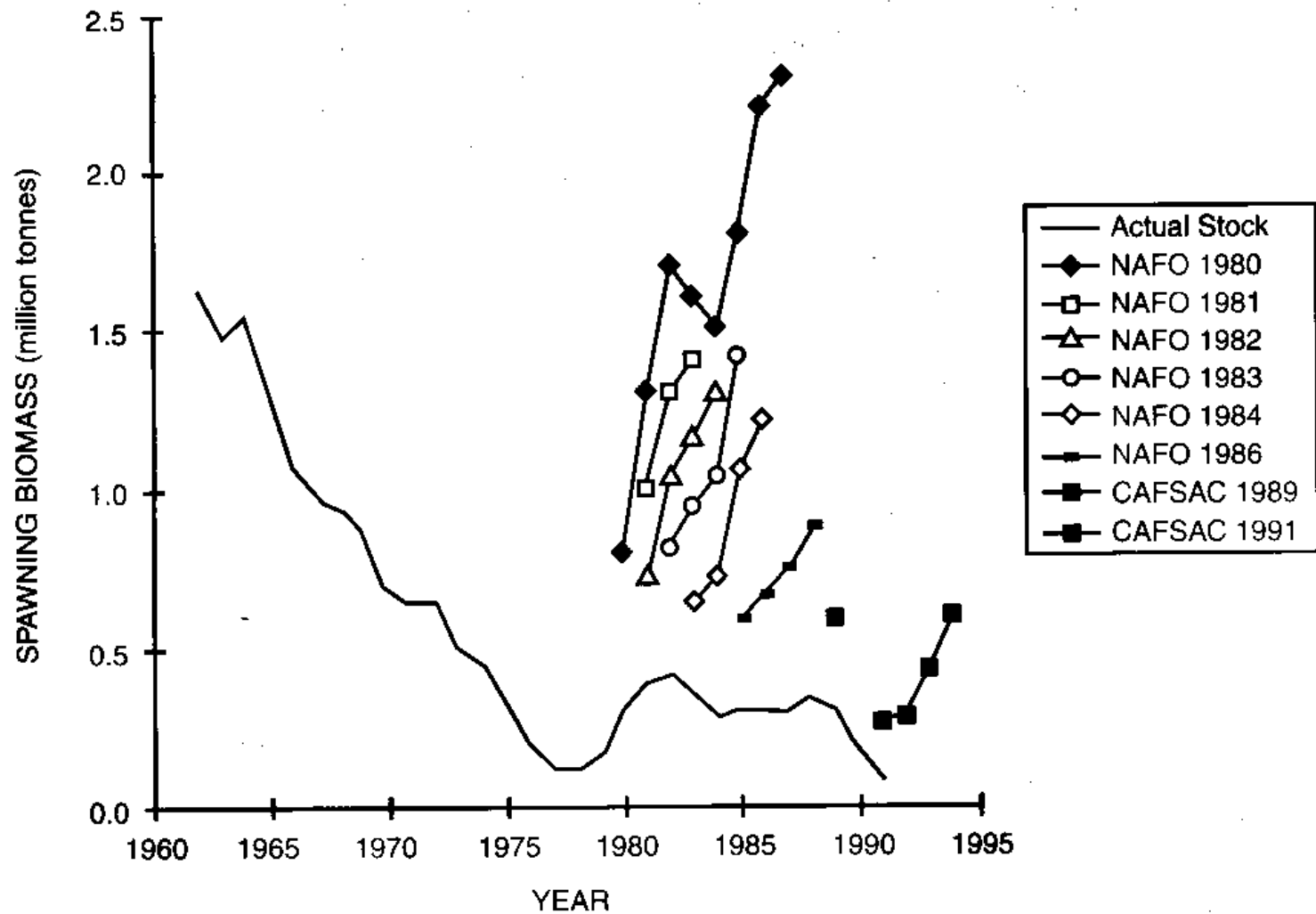


# Conclusion

- Immediate action needed to protect some sharks, leatherbacks, loggerheads, and some tuna (Atlantic northern bluefin)
- Productivity (juvenile survival) has increased with exploitation.
- Rapid declines in CPUE reflect real declines in large fish
- Reduced effort is needed to achieve greater economic yield

# Acknowledgements

- **Boris Worm, Peter Ward, Leah Gerber, Julia Baum, Dan Kehler, Francesco Ferretti**
  - **Pew Charitable Trusts**
  - **Sloan Foundation – Census of Marine Life, Future of Marine Animal Populations (FMAP)**
  - **NSERC**
  - **Pelagic Fisheries Research Program**
  - **German Research Council**
  - **Killam Foundation**
  - **Numerous colleagues who shared data**
- 
- A blue marlin is captured in mid-leap, its long, pointed snout and dorsal fin cutting through the deep blue water. The fish is angled upwards and to the right, with its tail still partially submerged, creating a splash. The background is a vast expanse of clear blue ocean under a bright sky.



**Fig. 3.** Recent reconstruction, using virtual population analysis, of the Newfoundland northern cod decline, compared with estimates and projections published in various years after Canada took over the fishery under extended jurisdiction. VPA estimates based on data in Baird *et al.* (1992) (see also Hutchings and Myers, 1994). NAFO estimates from annual reports for years indicated of North Atlantic Fisheries Organization Scientific Council Reports, Dartmouth, NS. CAFSAC estimates from Canadian Atlantic Fisheries Scientific Advisory Committee Advisory Documents 89/1 and 91/1.

Rapid decline in older albacore.

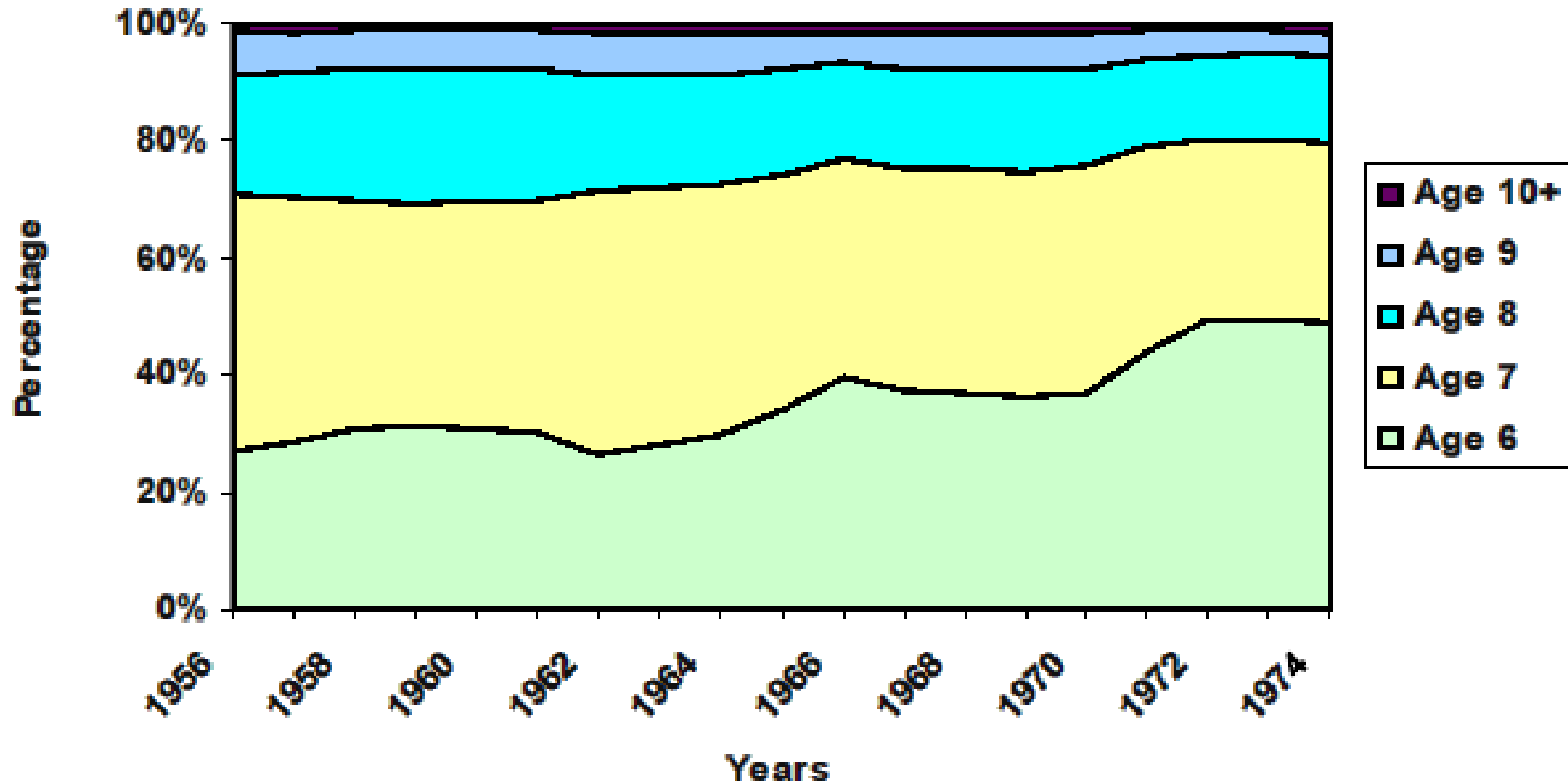
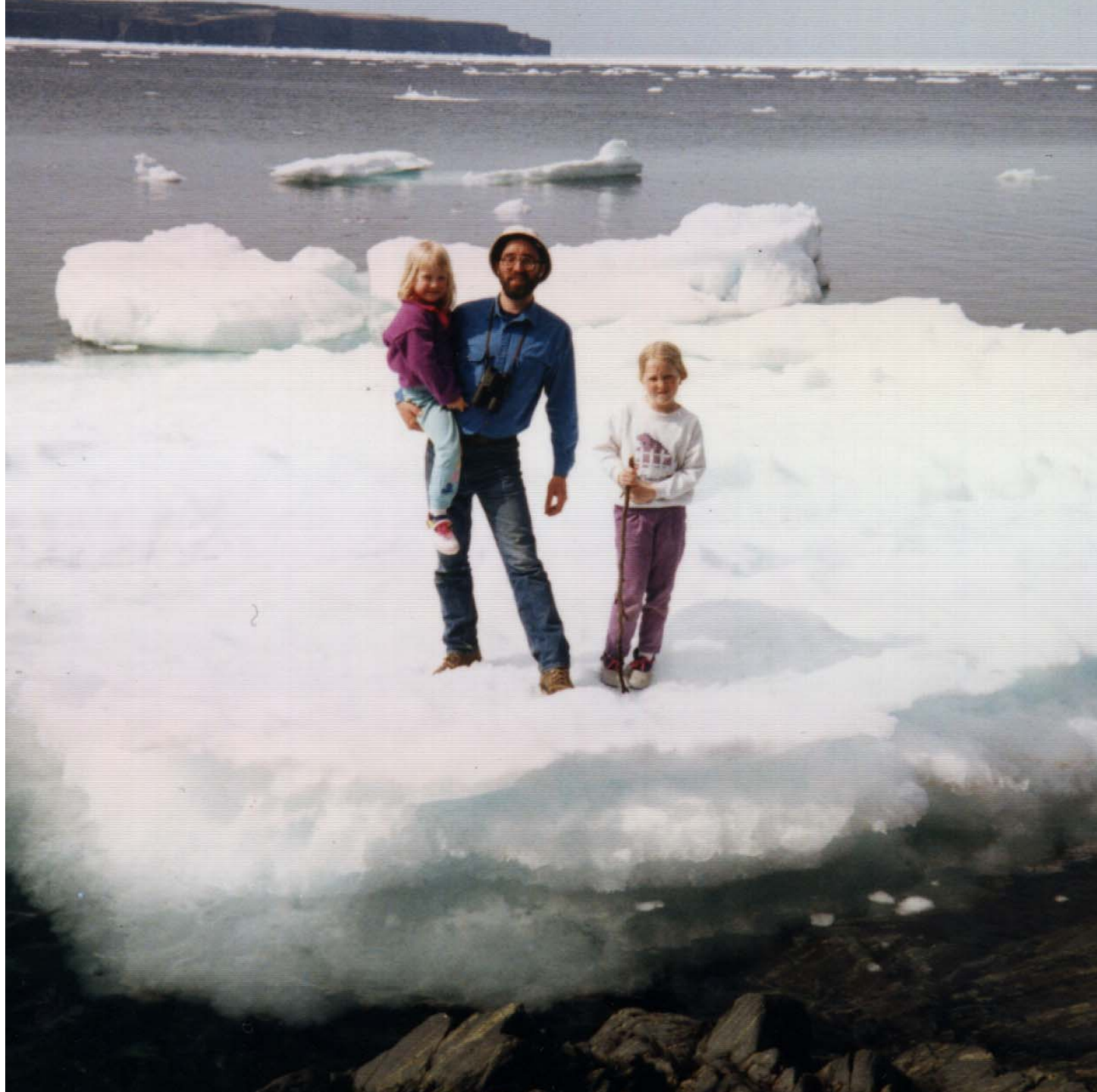


Figure 7 : Evolution of contribution of age classes 6 to 10+ computed by Morita (1977) in longliners albacore catches, 1956-1974.







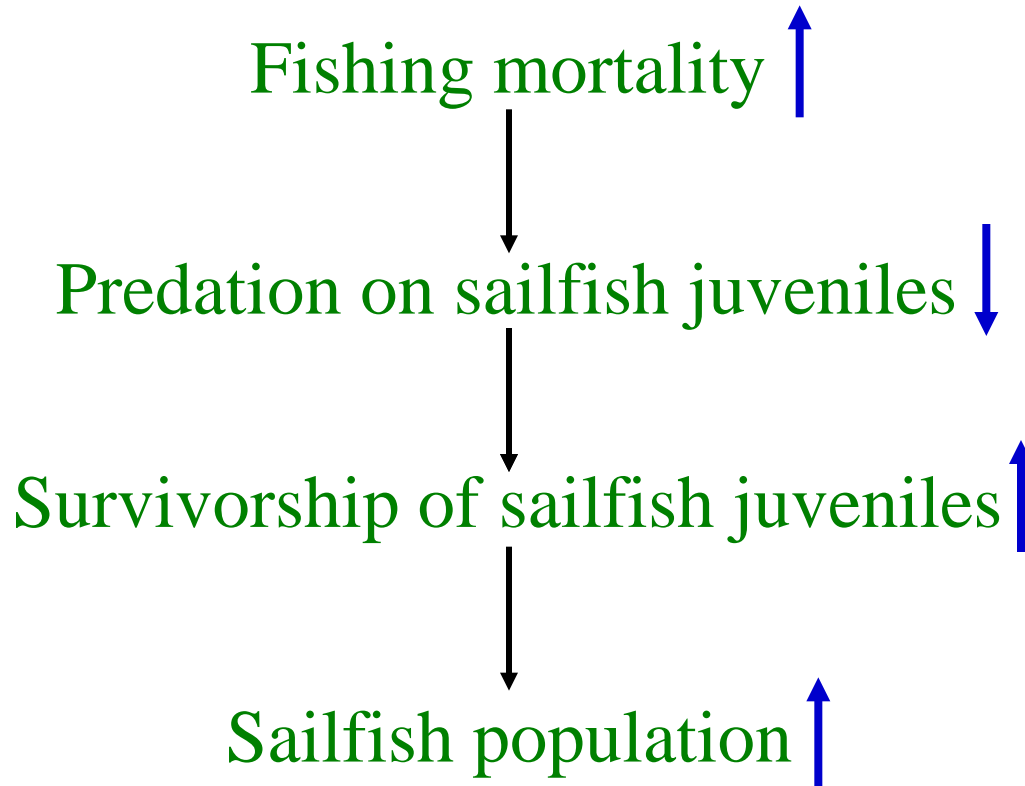
A large school of fish swimming in a circular pattern against a blue background. The fish are dark and silhouetted against the lighter blue water, creating a dense, swirling vortex effect. The background is a gradient of blue, darker at the edges and lighter in the center.

# Marine ecosystem robustness and the collapse of marine fisheries

**Ransom A. Myers (RAM)**

**Dalhousie University, Halifax,  
Canada**

# One hypothesis:

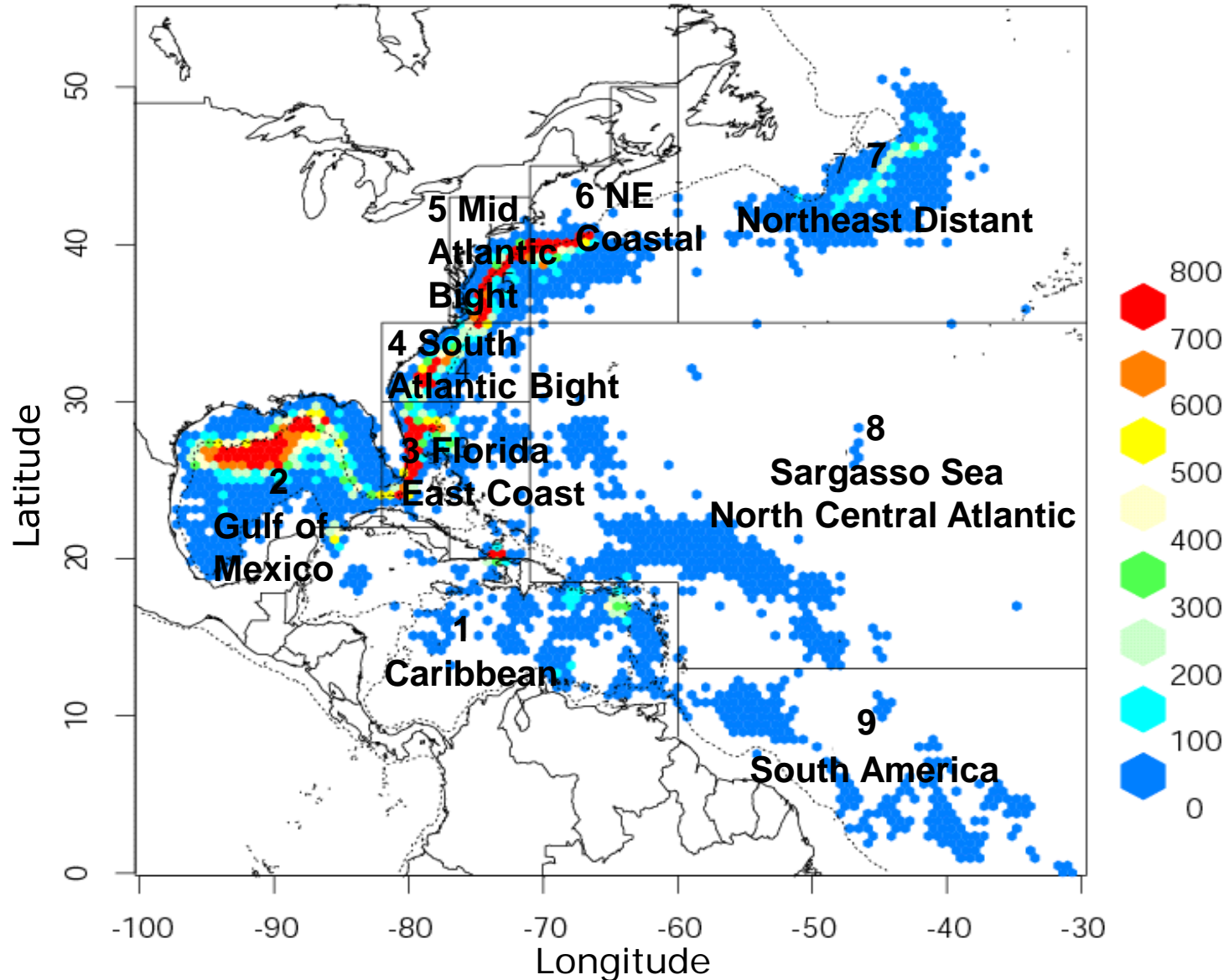


# Collapse and Conservation of Shark Populations in the Northwest Atlantic



Science. Jan. 2003. J.K. Baum, R.A. Myers, D.G. Kehler, B. Worm, S.J. Harley, P.A. Doherty

# U.S. Atlantic pelagic longline sets 1986-2000

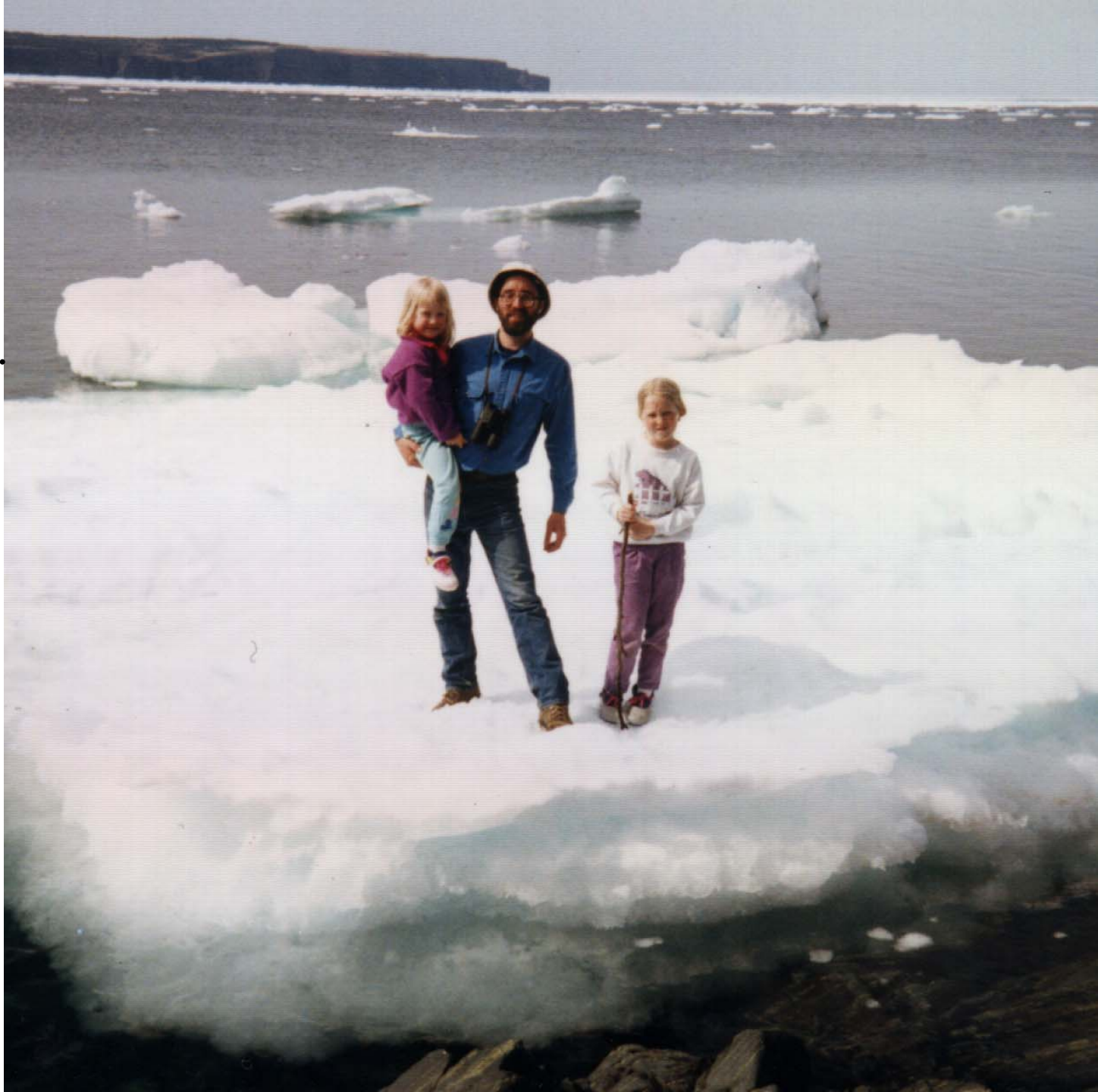


Political action is  
costly for any  
scientist.

However, it also  
has great benefits.

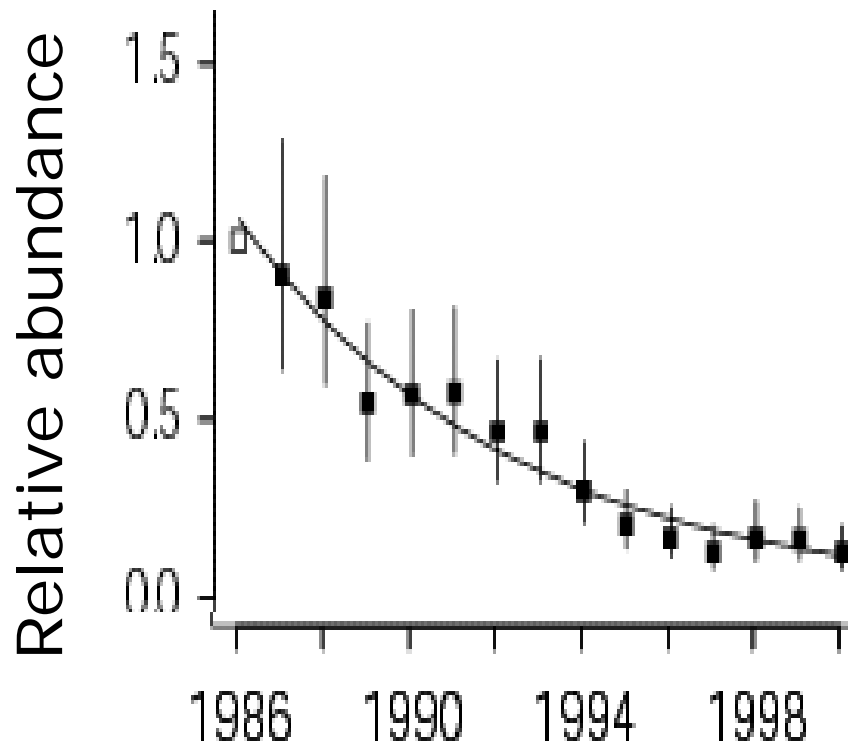
To act is to live.

To be suppressed  
is to die.



# Hammerhead sharks

*Sphyrna lewini*





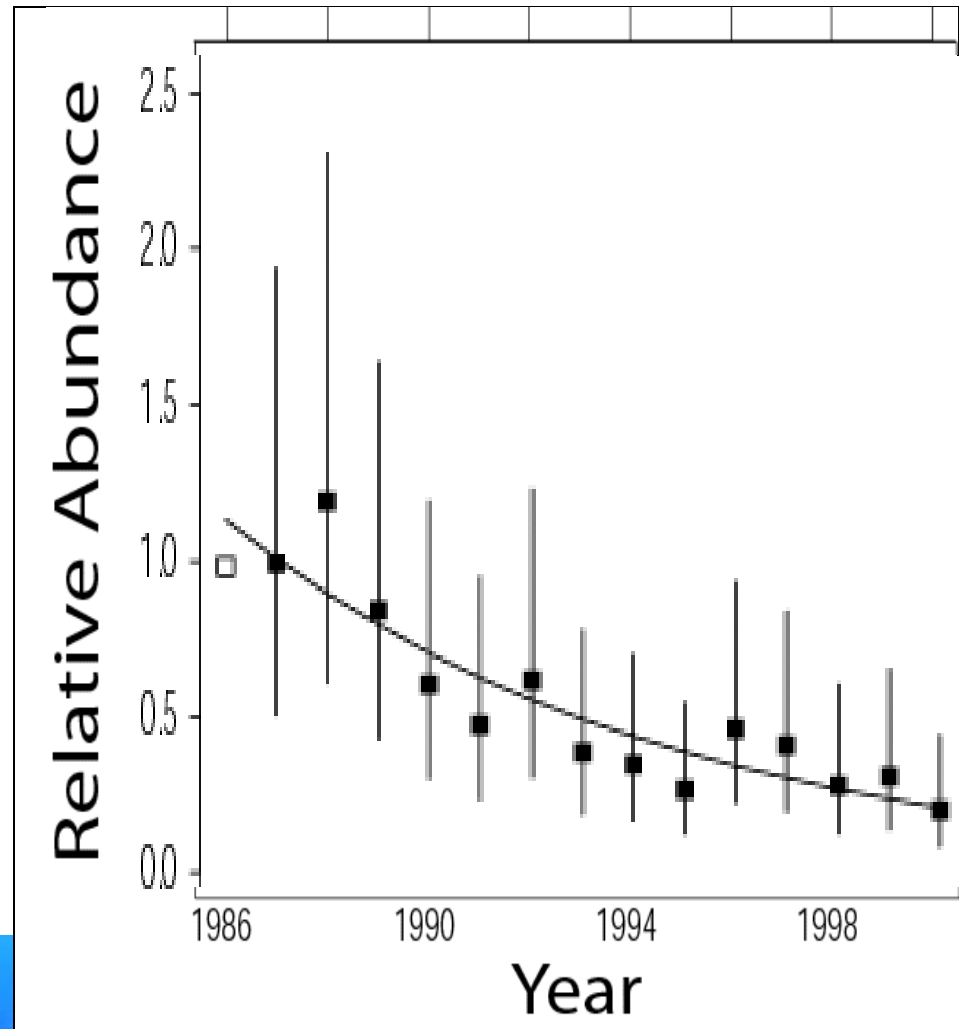
The rest of the slides are back up.





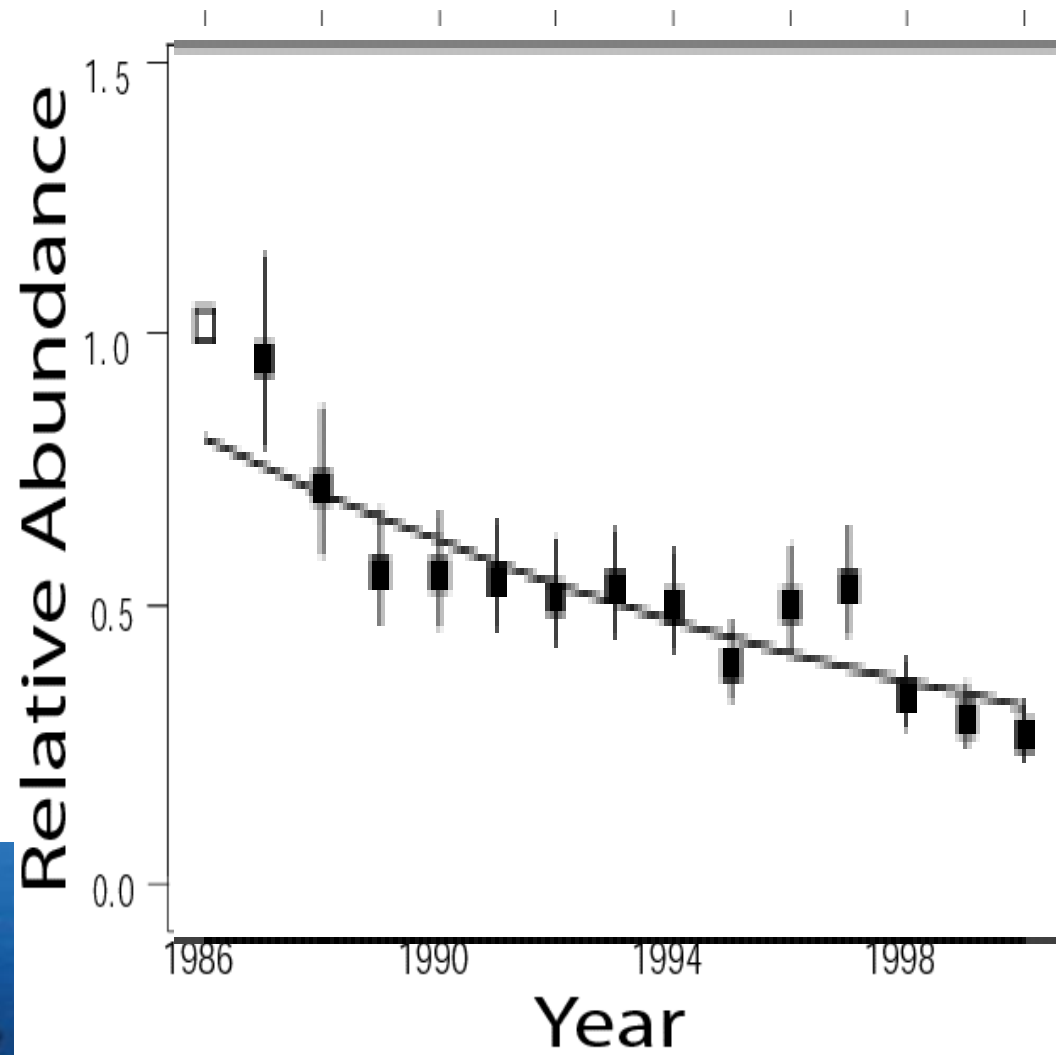
# Thresher sharks

*Alopias spp.*

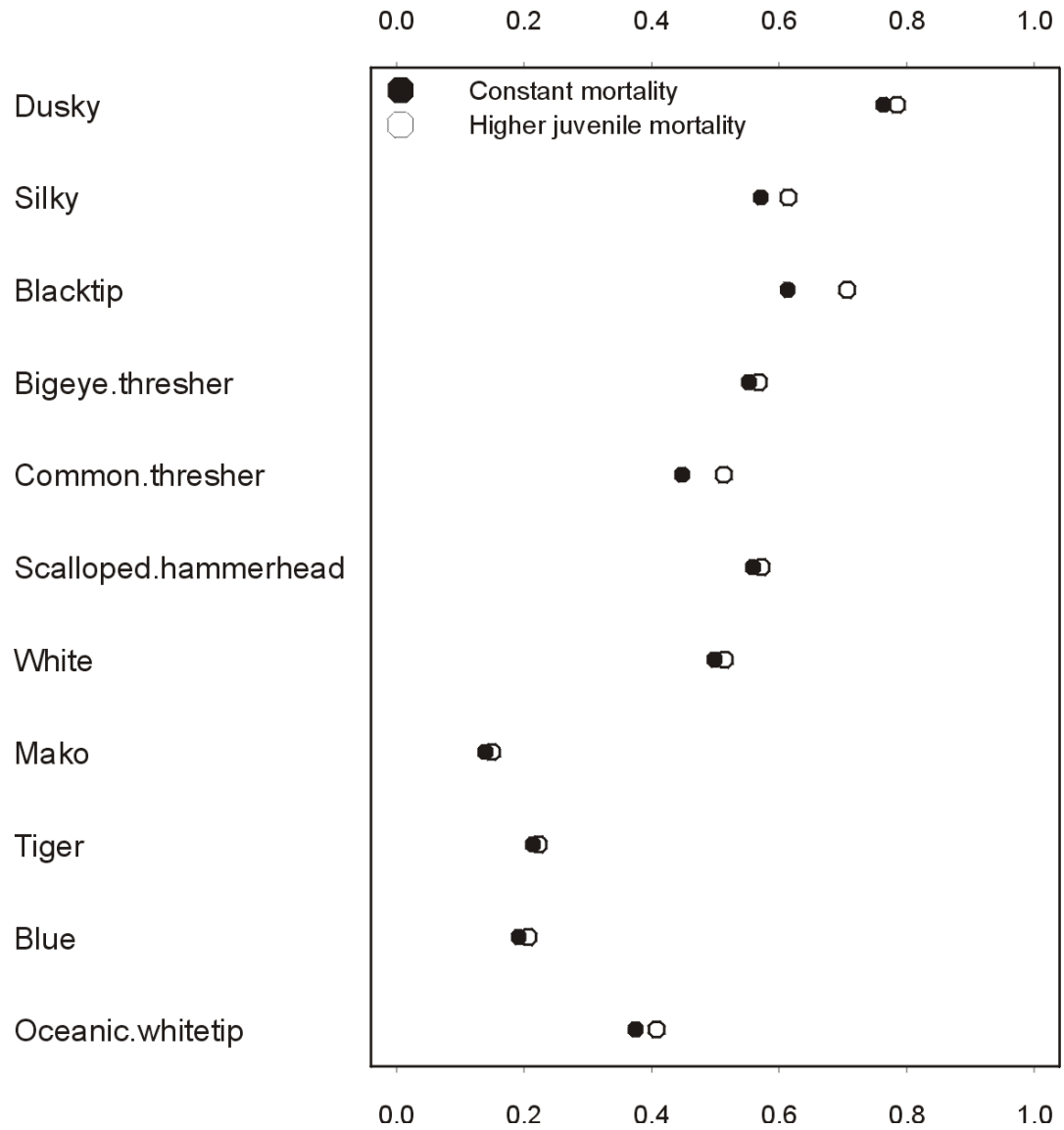


# Blue sharks

*Prionace glauca*

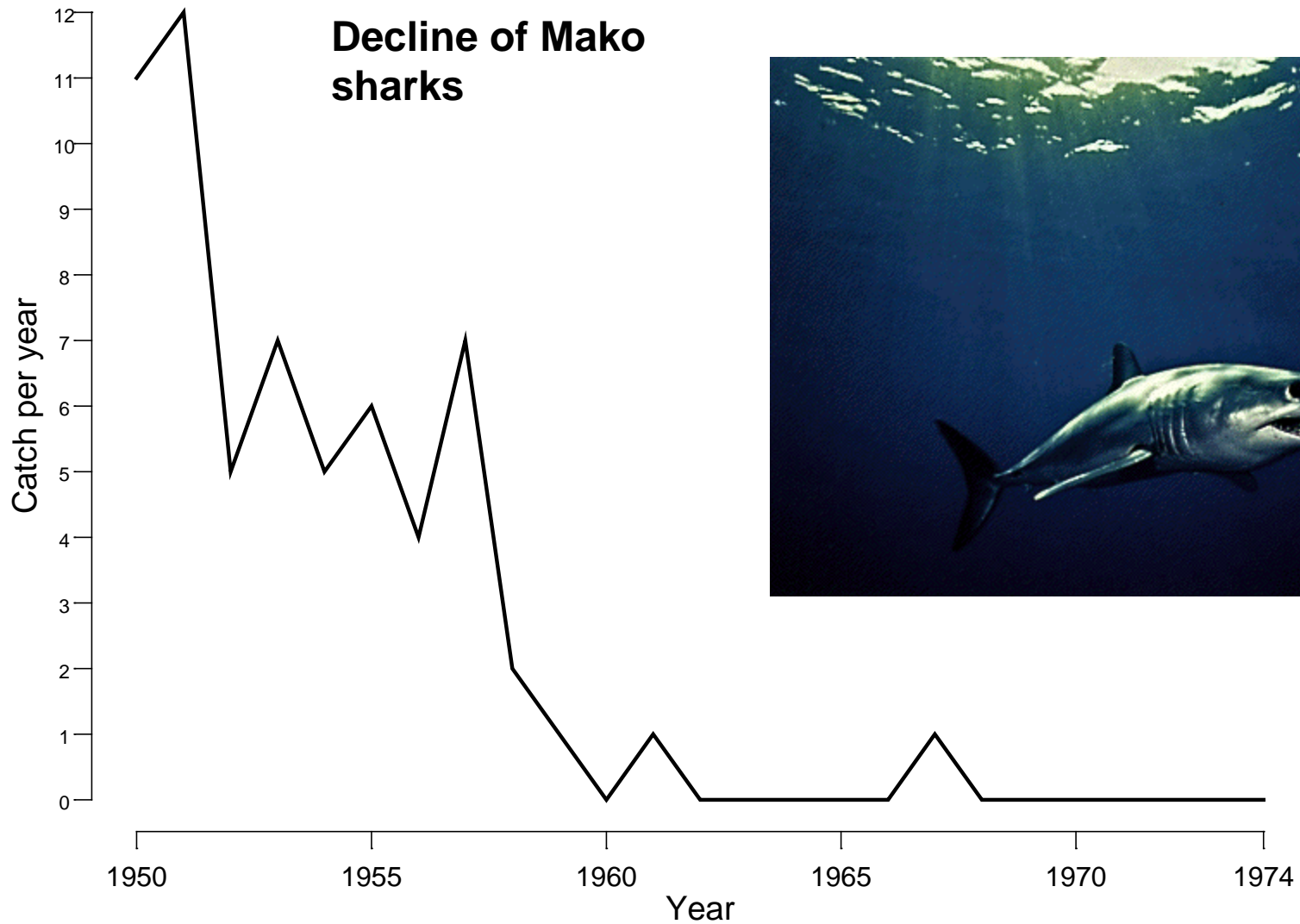


# Proportional reduction in current fishing mortality needed to ensure survival of shark populations



Letter from senate

# Put in cod

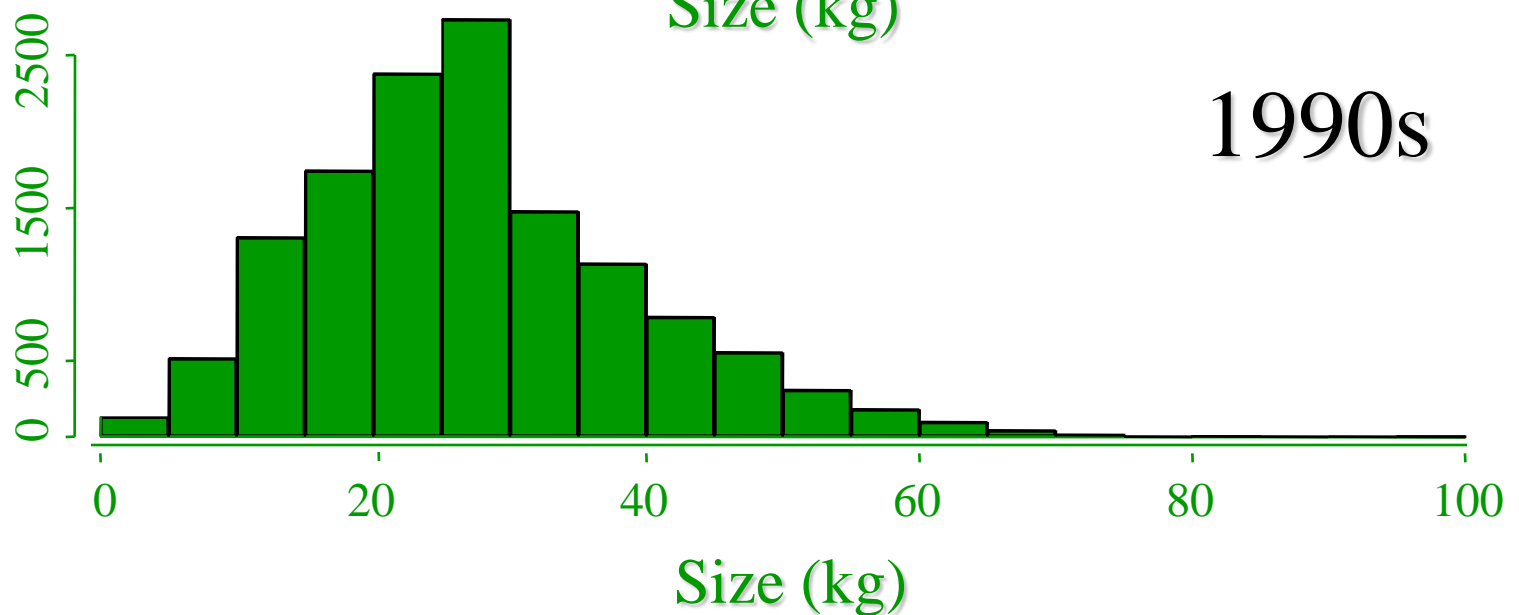
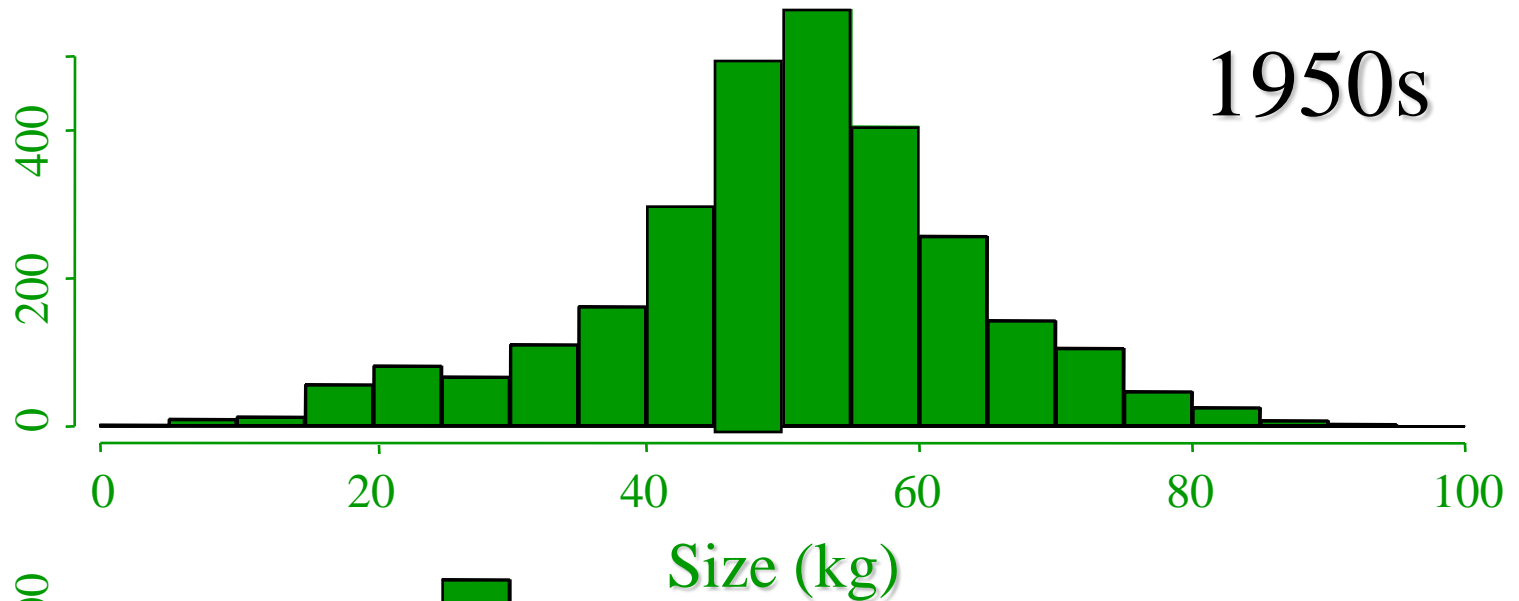


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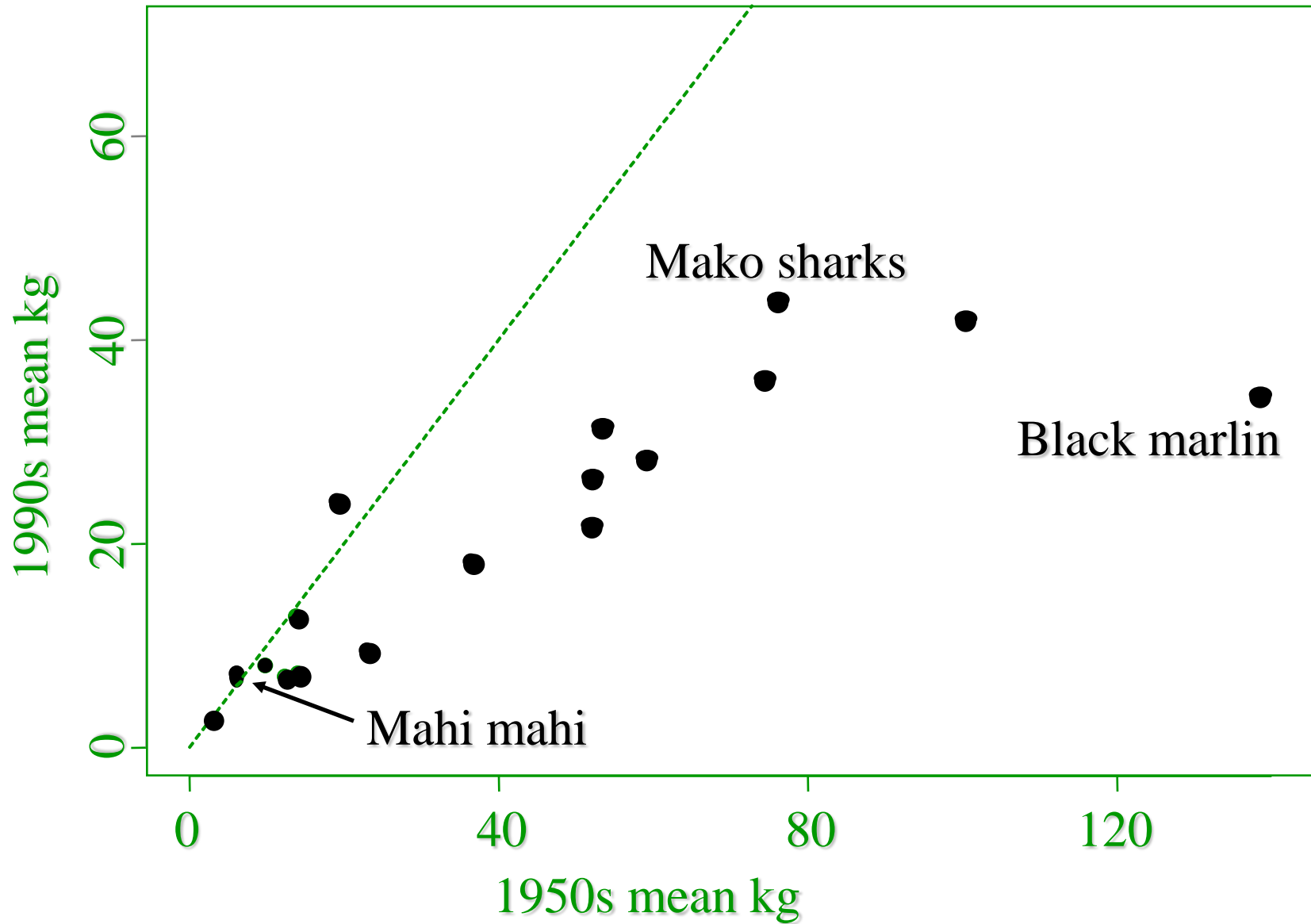


These estimates are conservative: 2 (fish are smaller)



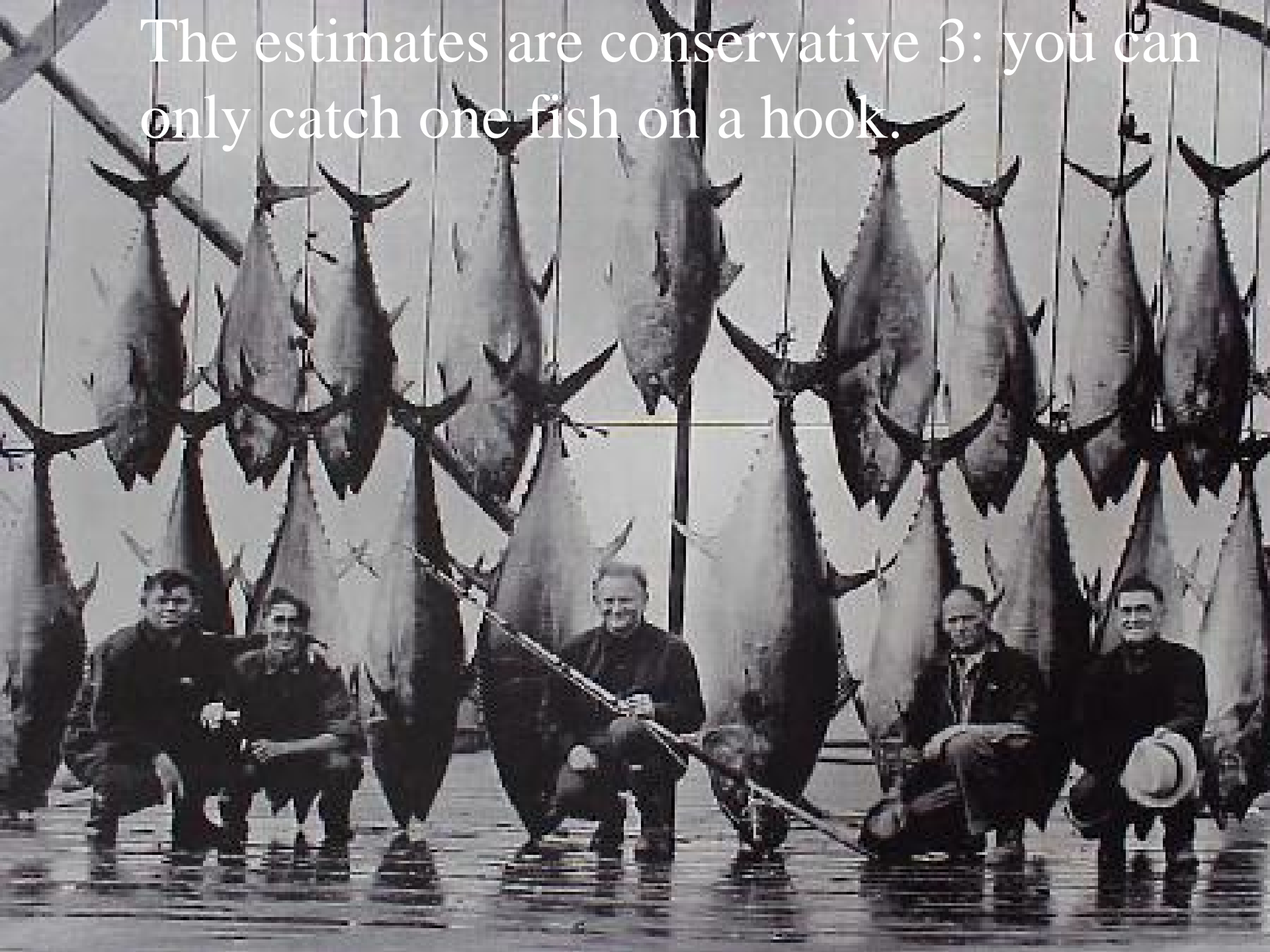


# Change in body size





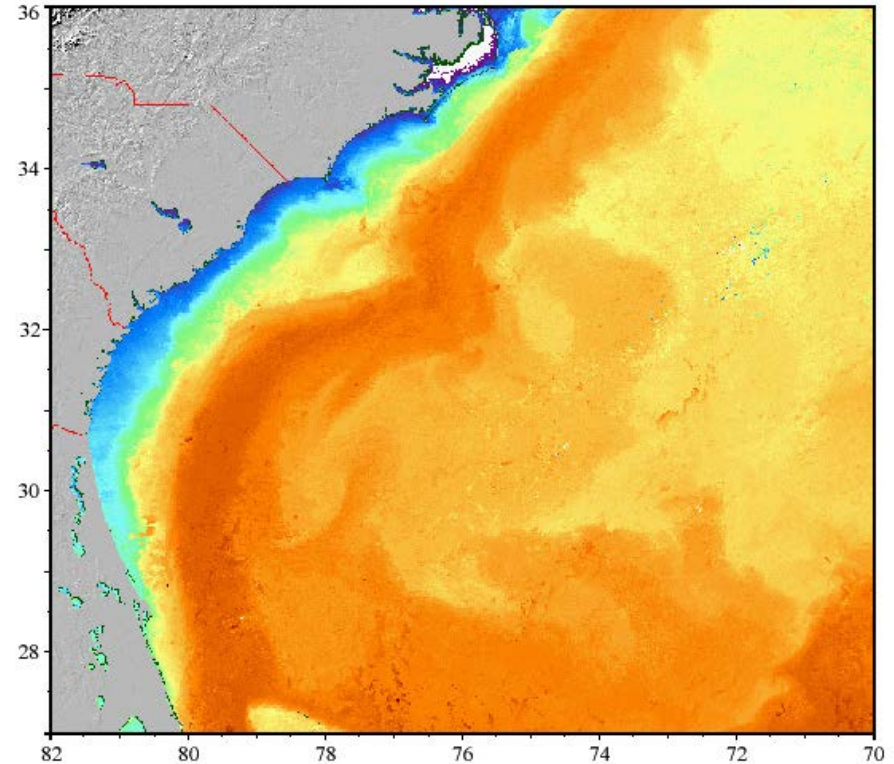
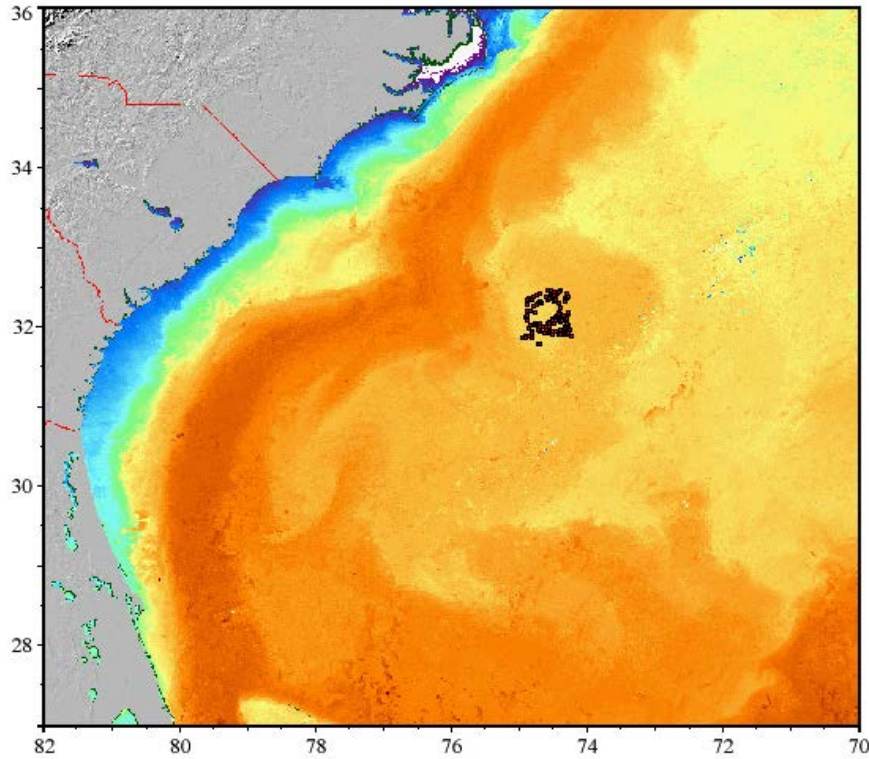
The estimates are conservative 3: you can only catch one fish on a hook.



These estimates are conservative 5: The oceans were not virgin.

- Japan harvested ~1,000,000 tons of tuna and marlin in the 5 years before WWII.
- In 1950 the US harvested ~170,000 tons.
- The 1950 harvest of albacore by Spain was greater than the total recent harvest in the North Atlantic.
- Species that migrate long distances (e.g. southern bluefin tuna, northern bluefin tuna, and albacore) would have reduced by these harvests.

These estimates are conservative: 6 Fishermen are smarter (gps, satellite information, **ACDP** (Acoustic Current Doppler Profiler)).



Locations of a leatherback turtle over a two week period tagged by my student Mike James that maintains its position within a cold core ring (somehow).

However, fish may be a lot smarter too (the stupid ones were caught).



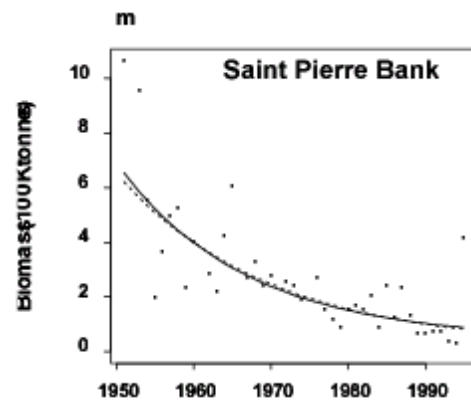
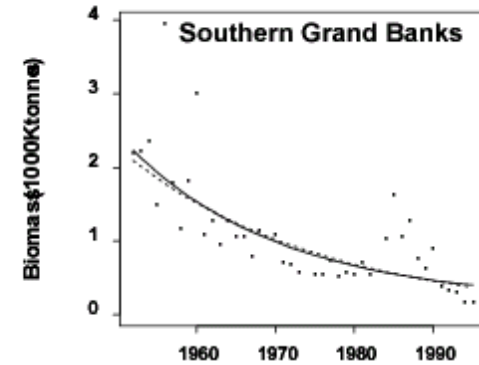
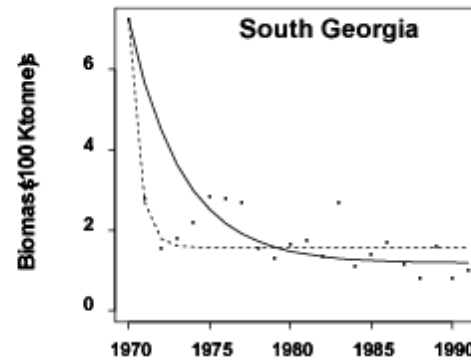
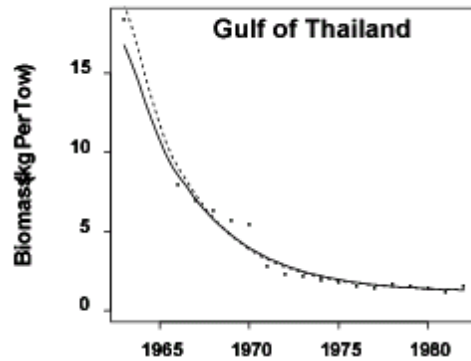
**Step 8: You need emotional support. Support from colleagues and family is essential. You cannot do it (for long) by yourself.**



Why is it so important.

What makes them work.

# Shelf seas







# Lessons I Learned from the Cod Disaster:

- Government constrained scientists may consistently ignore what the data tells them.
- Independence is key.
- Multiple, independent analyses are crucial; or else you will be dismissed.
- Speak clearly and honestly to the press, the politicians must know that someone is watching.
- Be proactive, once an animal is ecologically extinct it is too late.

15 May 2003

International weekly journal of science

# nature

ISSN 0950-0804

[www.nature.com/nature](http://www.nature.com/nature)

## Net losses

Industrialized fishing  
hits fish stocks

### Financial markets

You can't buck the physics

### Jupiter's moons

Headed for a hundred

### Functional genomics

The power of comparison



RAM's 12 step plan: From hard core math weenie  
to passionate conservationist: A PERSONAL  
ODYSSEY.



Reaching the heart through mathematics.

# **Final point: keep fighting, keep hoping!**

## **This happened last week: Oceanic Whitetip declared critically endangered by ICUN**

- Last year it was “species of least concern”.
- This change was not because we published one paper in Science, but papers based upon 3 independent datasets (plus 2 math/stats technical papers).
- Skeptics remain – more analyses are in prep from scuba surveys of jellyfish (one notices large sharks while diving in the clear open ocean.



# Conclusion: The Factor of 10 Hypothesis

- Scientific investigations of marine fish stocks almost always begin after the fact.
- Here we compile data from which the size of the community of large predatory fishes can be estimated.
- New fisheries tend to deplete the biomass of large predators by at least a factor of 10 .
- These declines happen very rapidly, usually in a decade or less.



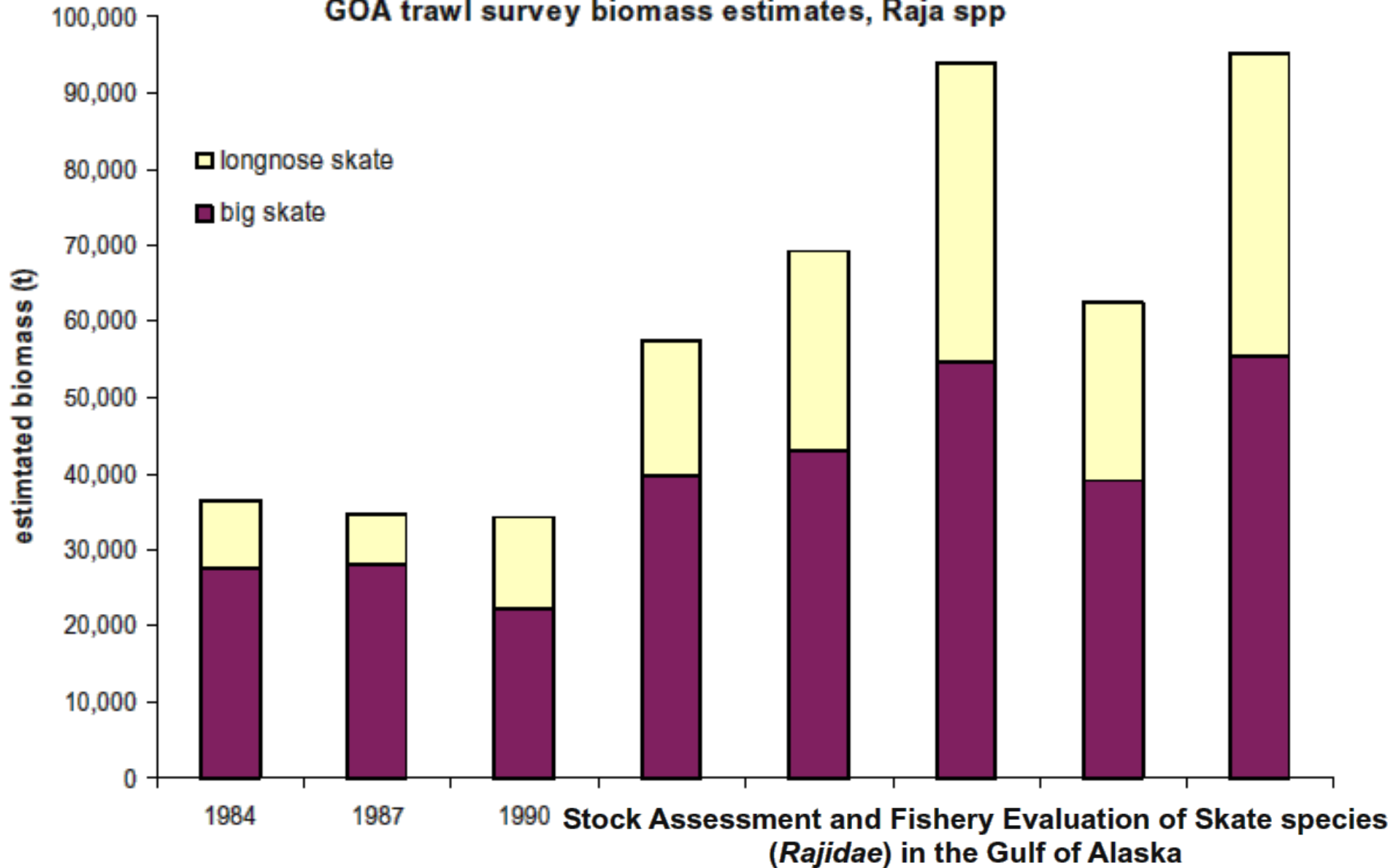
**Long - Term Changes In  
The Gulf Of Alaska  
Marine Ecosystem**

Figure stolen from Paul Anderson

- The Good -
  - Ban directed fisheries on sharks.
  - Control fishing on skates.
  - Keep a watch on bycatch.
- 
- The Alaska Board of Fisheries prohibited all directed fisheries for sharks in 1998. In Southeast the bycatch rate for sharks and skates taken during other longline fisheries is 35% of the target species.



## GOA trawl survey biomass estimates, Raja spp



Stock Assessment and Fishery Evaluation of Skate species (*Rajidae*) in the Gulf of Alaska

by  
Sarah Gaichas<sup>1</sup>, Michael Ruccio<sup>2</sup>, Duane Stevenson<sup>1</sup>, and Rob Swanson<sup>3</sup>

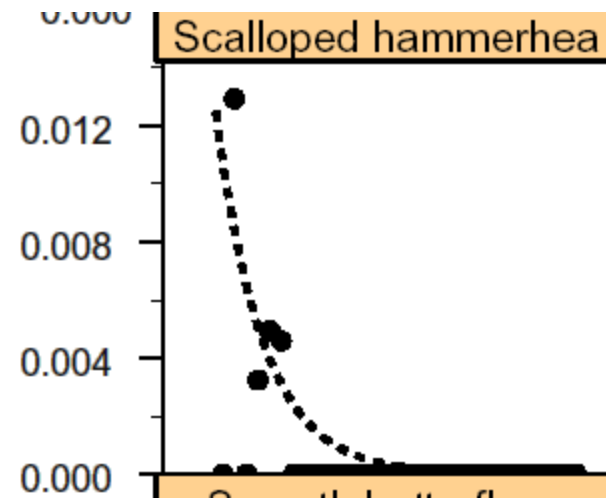
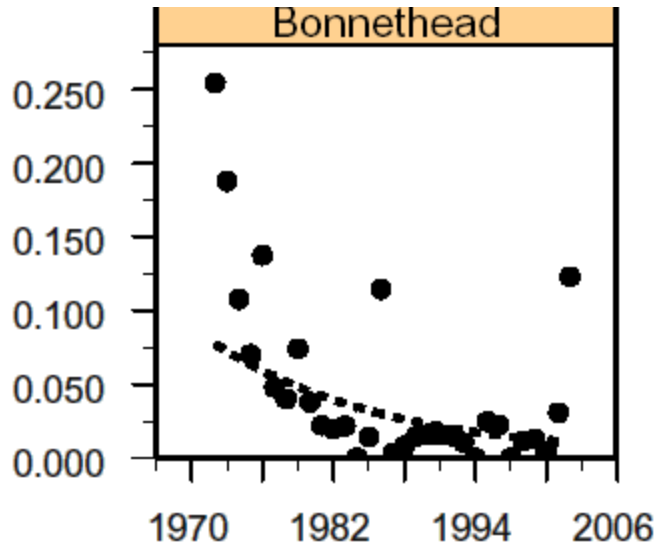


Figure 1. Big skate, *Raja binoculata*, with stock assessment author for scale.

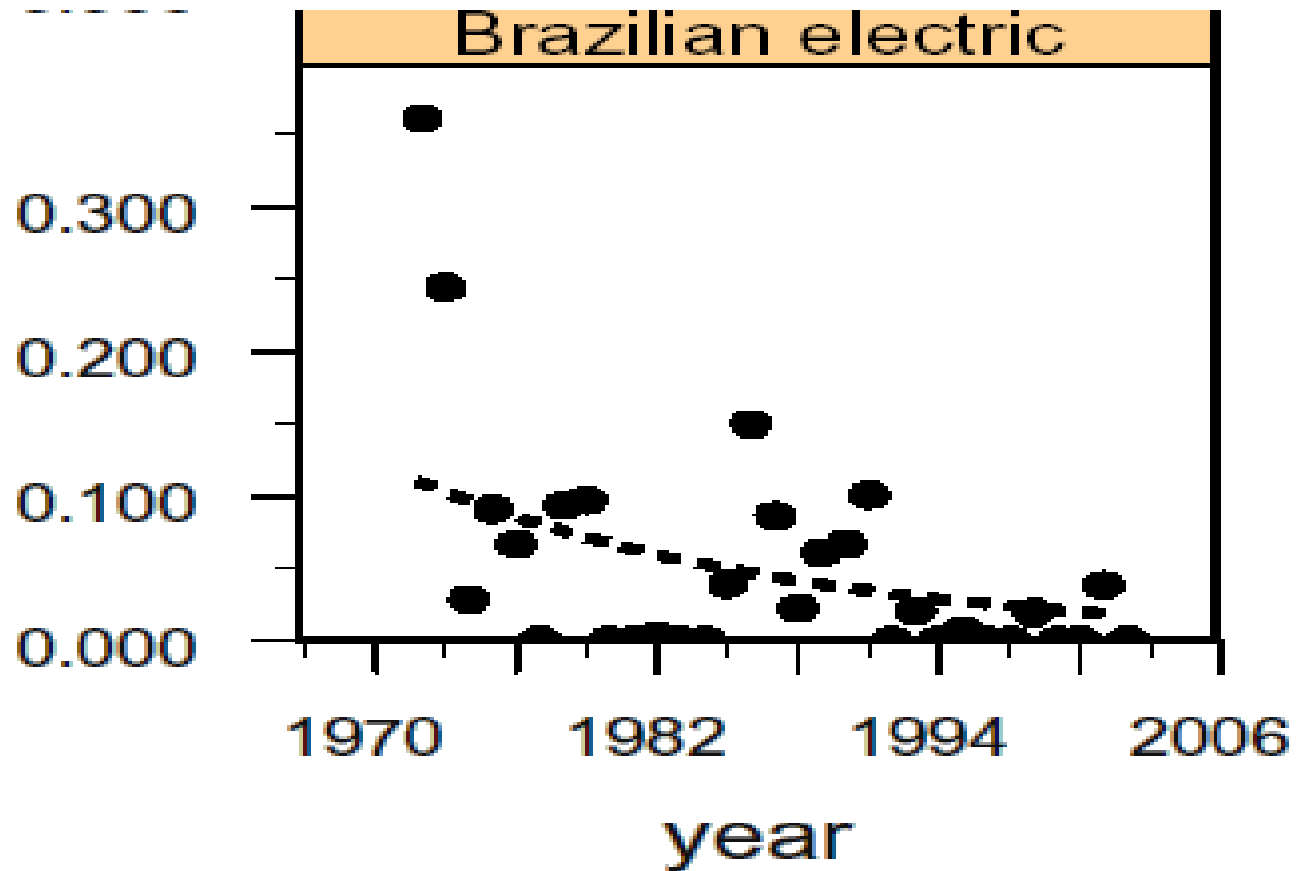
**Stock Assessment and Fishery Evaluation of Skate species  
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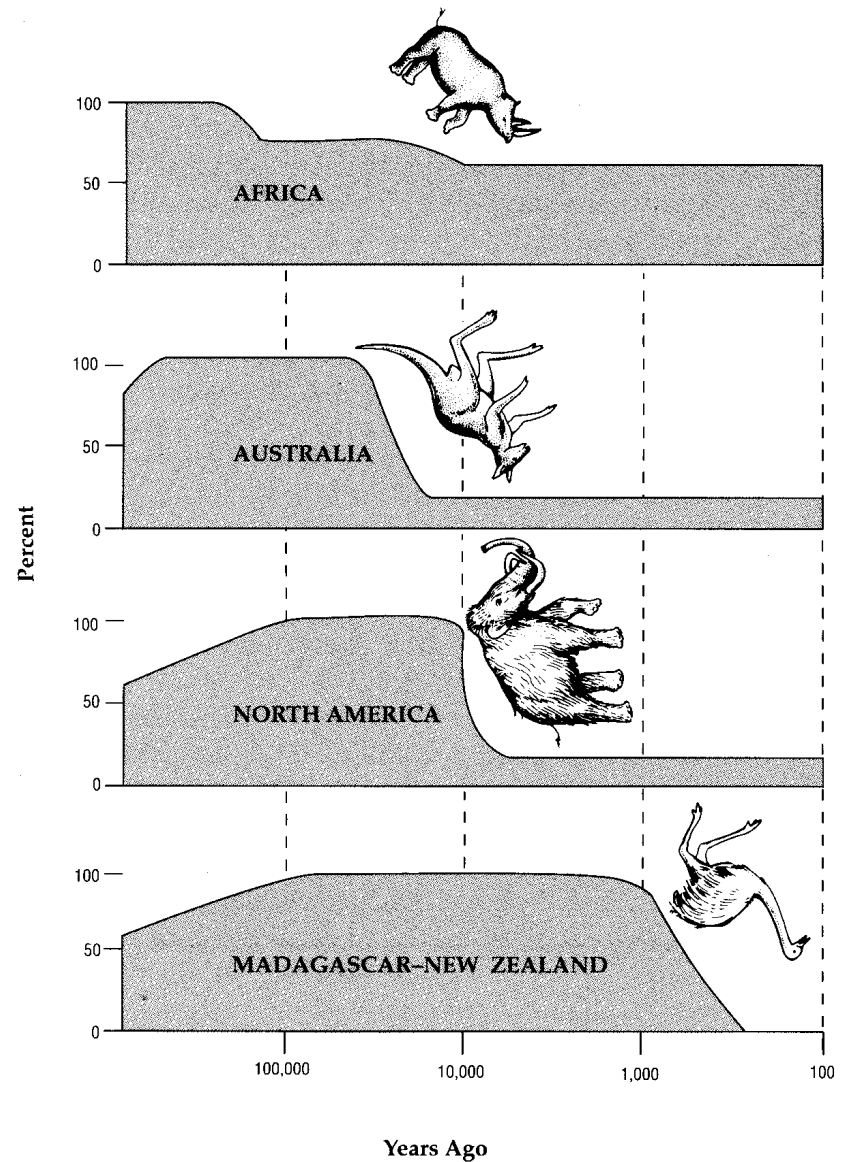
# All large sharks declined



Shallow water species that do not survive discarding: large declines:



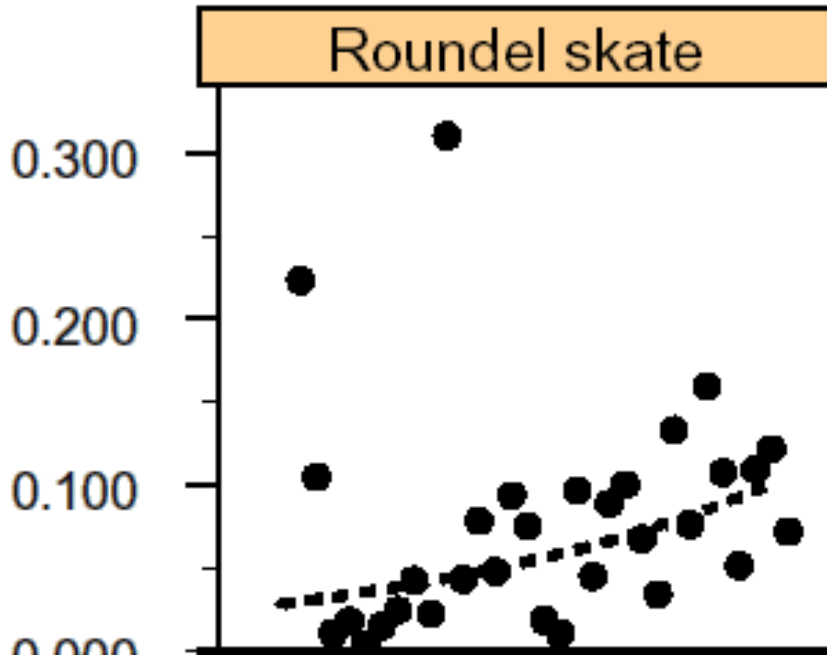
# Are the pleistocene extinctions\* going to be repeated in the ocean?

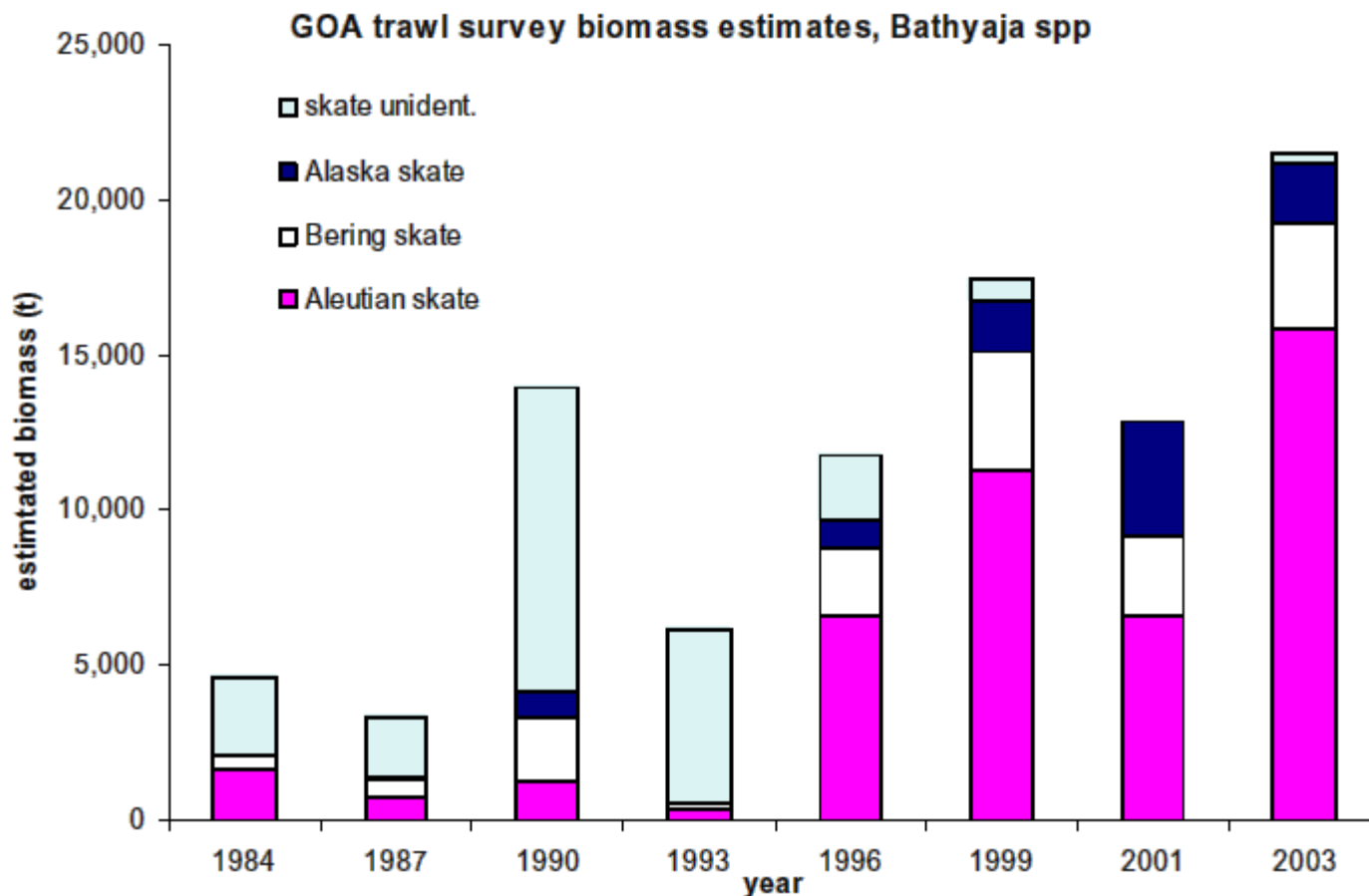


\*Present North American biota has lost almost all large species – We have no mammoths, mastodons, giant ground sloths, giant beavers, and 65 other species that weighted more than 100 kilograms.

The extinction of large mammals and flightless birds coincided closely with the arrival of humans in North America, Madagascar, and New Zealand, and less decisively earlier in Australia. In Africa, where humans and animals evolved together for millions of years, the damage was less severe.

# Deeper skate species that survive discarding increased

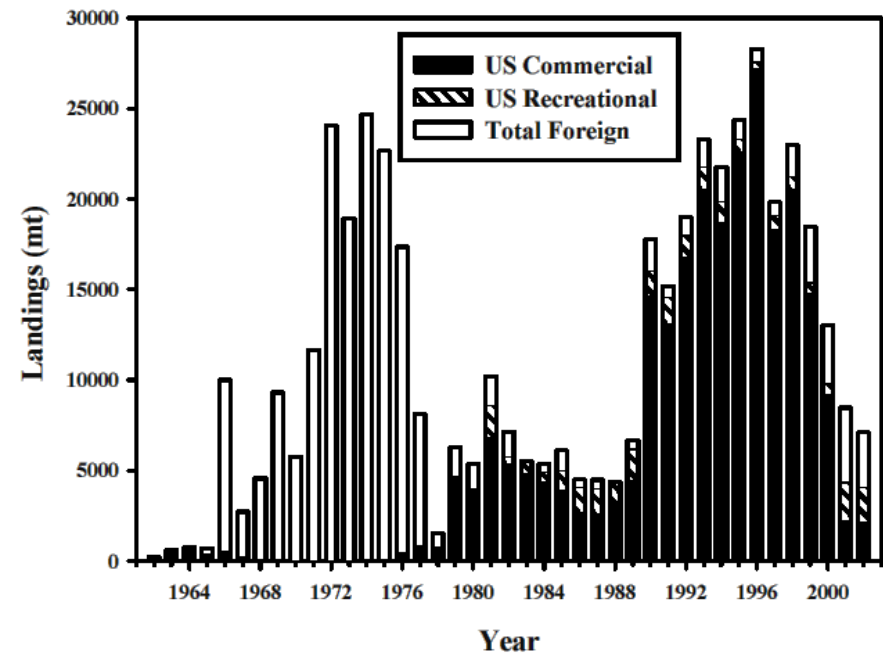
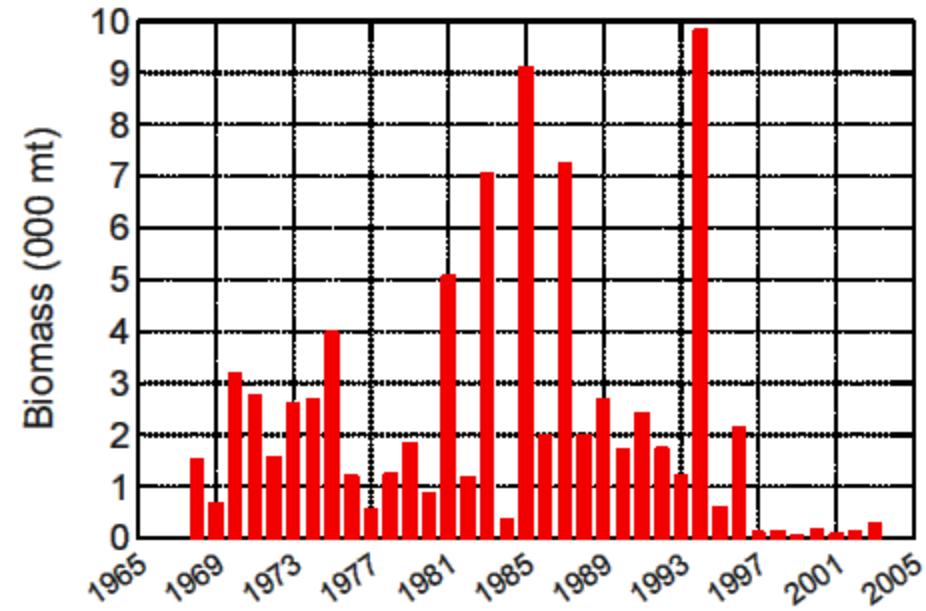




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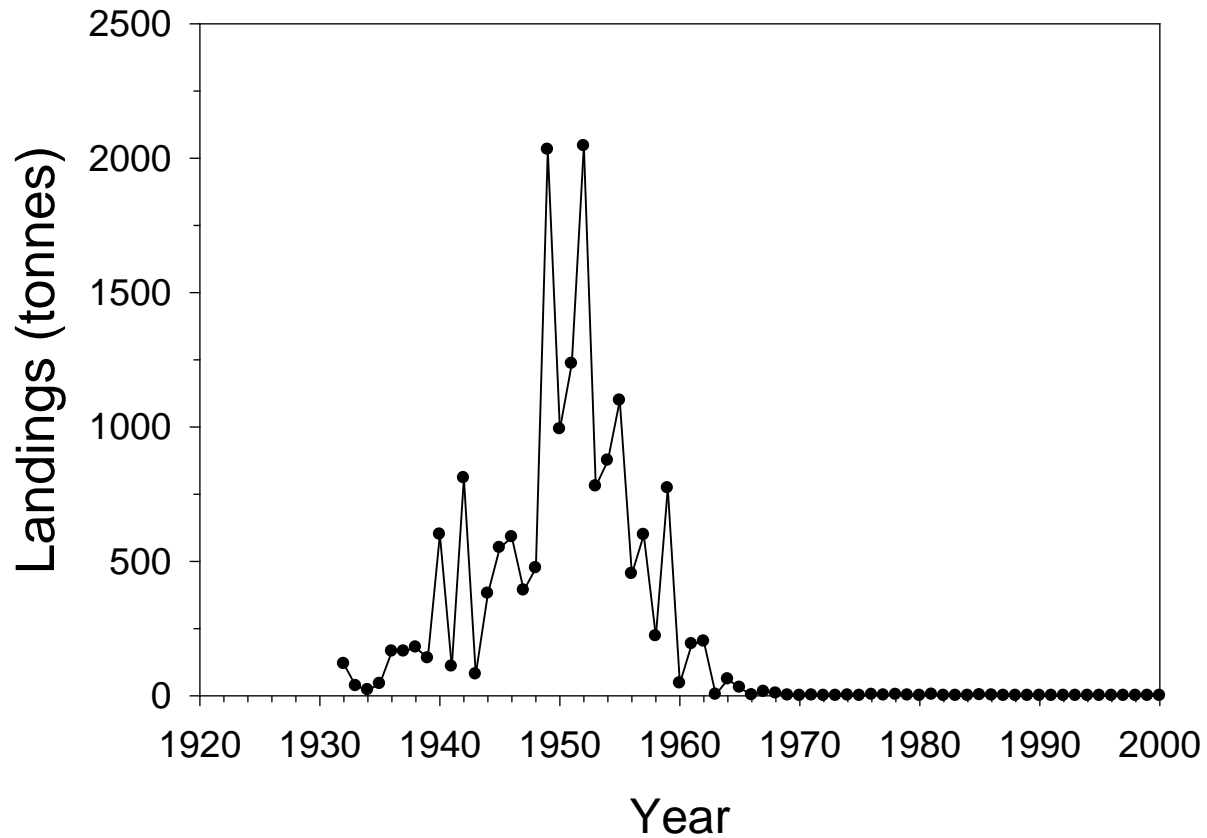
# Spiny Dogfish, Northwest Atlantic: Good Science – Ugly Decisions





# Danish Landings of Bluefin Tuna

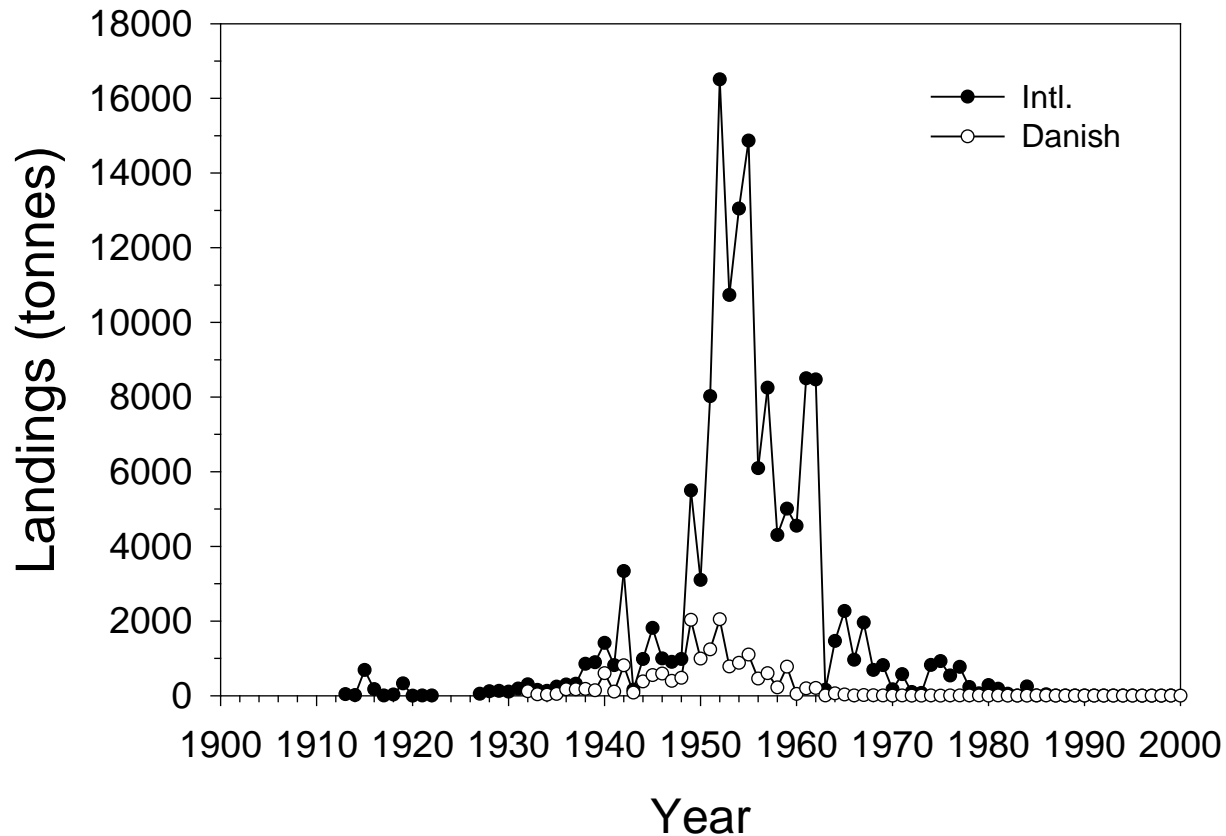
## *Thunnus thynnus*



Data source: DIFRES, ICES, FAO

# Landings of Bluefin Tuna

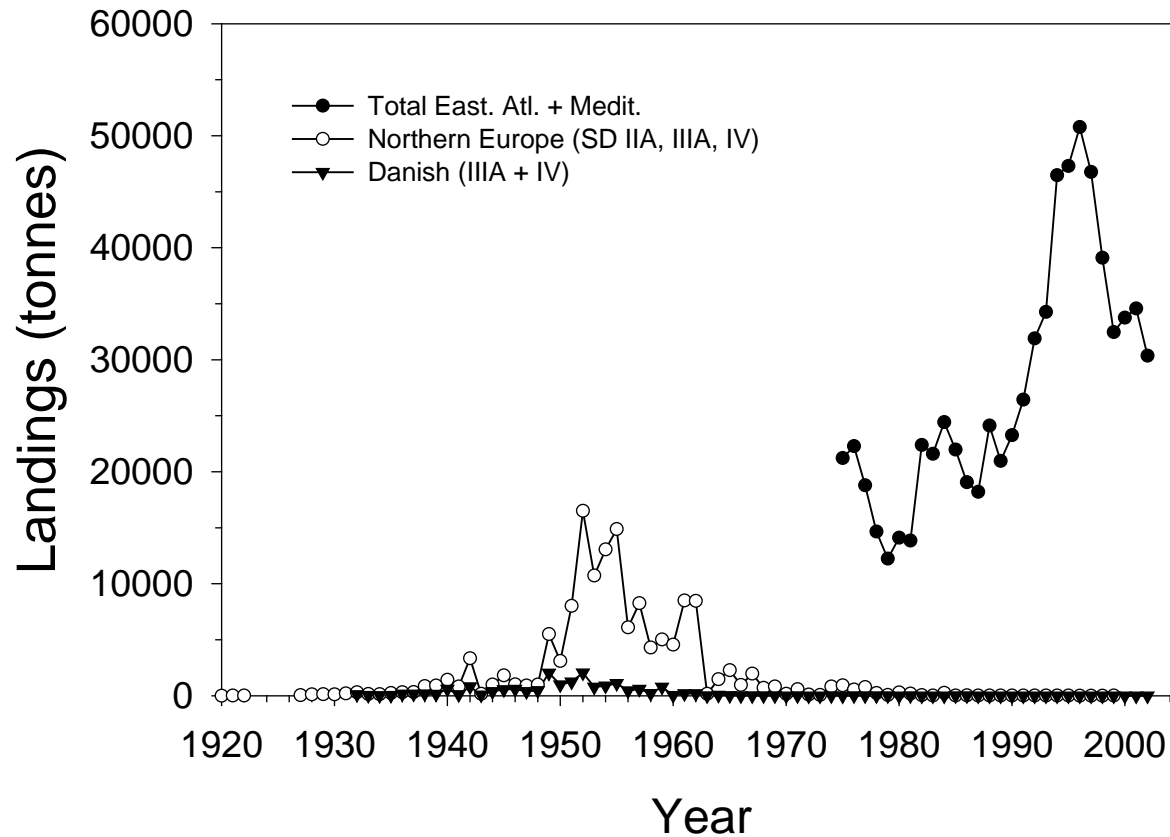
## *Thunnus thynnus* in Northern Europe\*

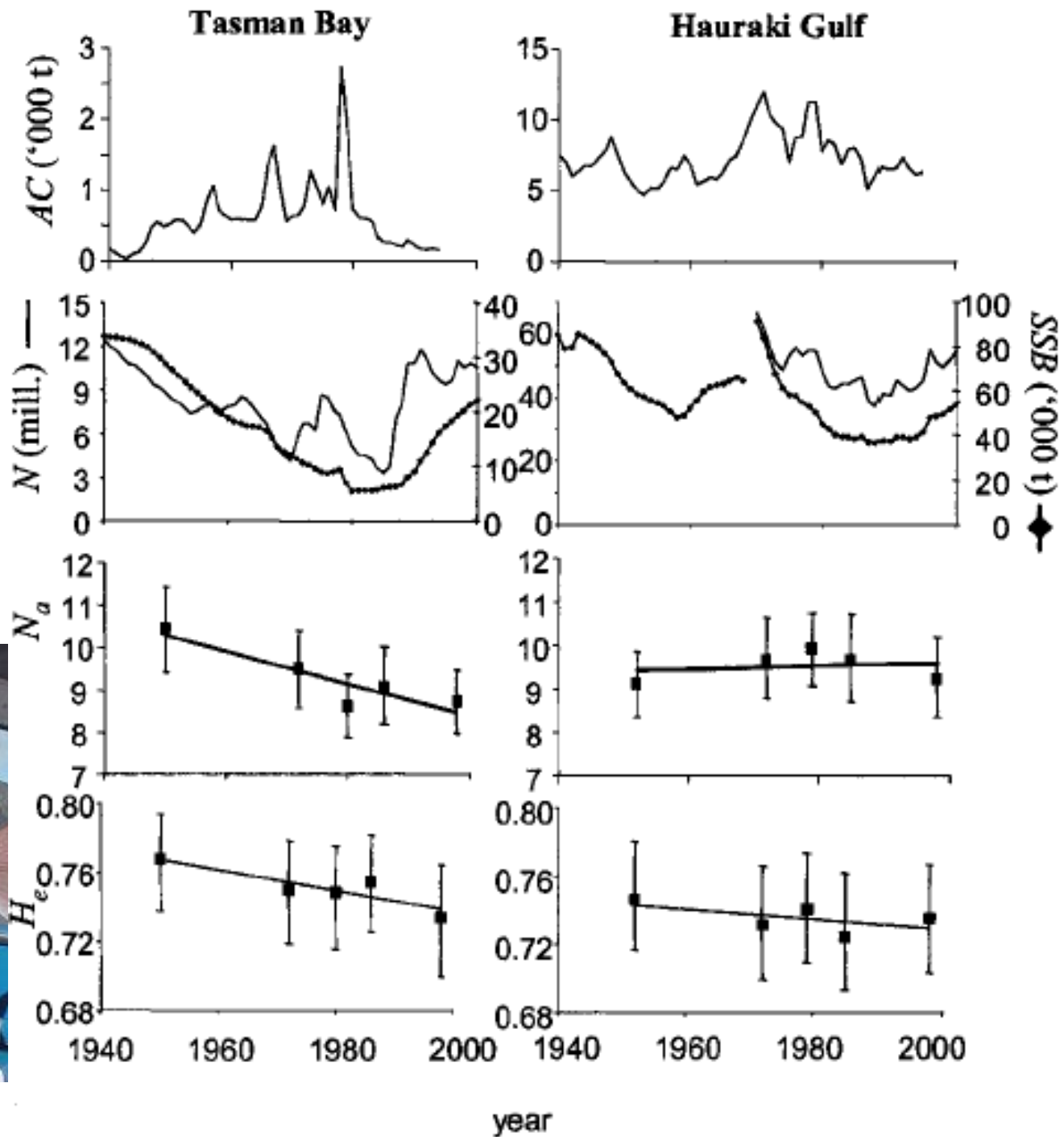


\* = Norwegian Sea, North Sea, Skagerrak, Kattegat, Øresund

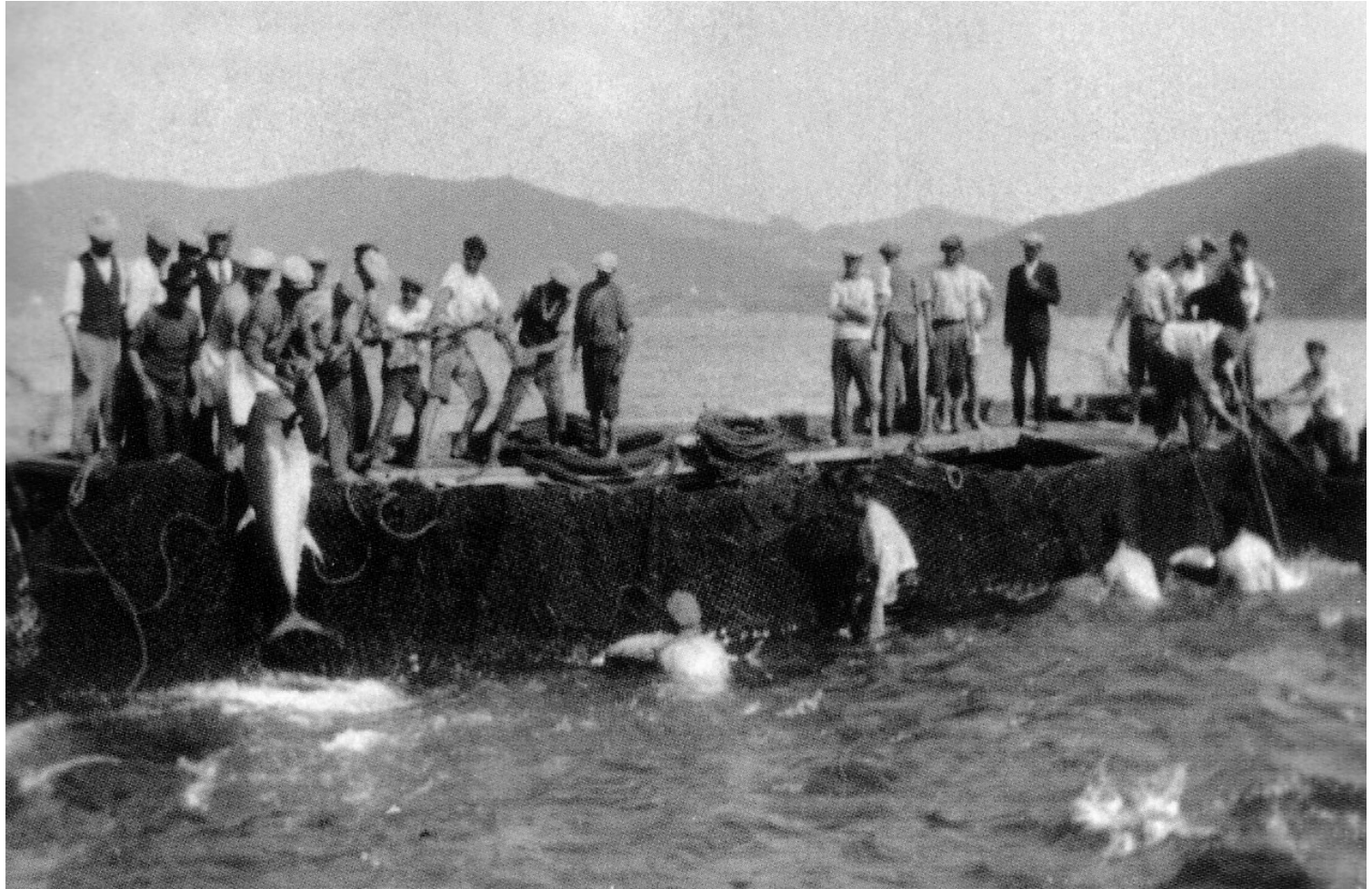
# Landings of Bluefin Tuna

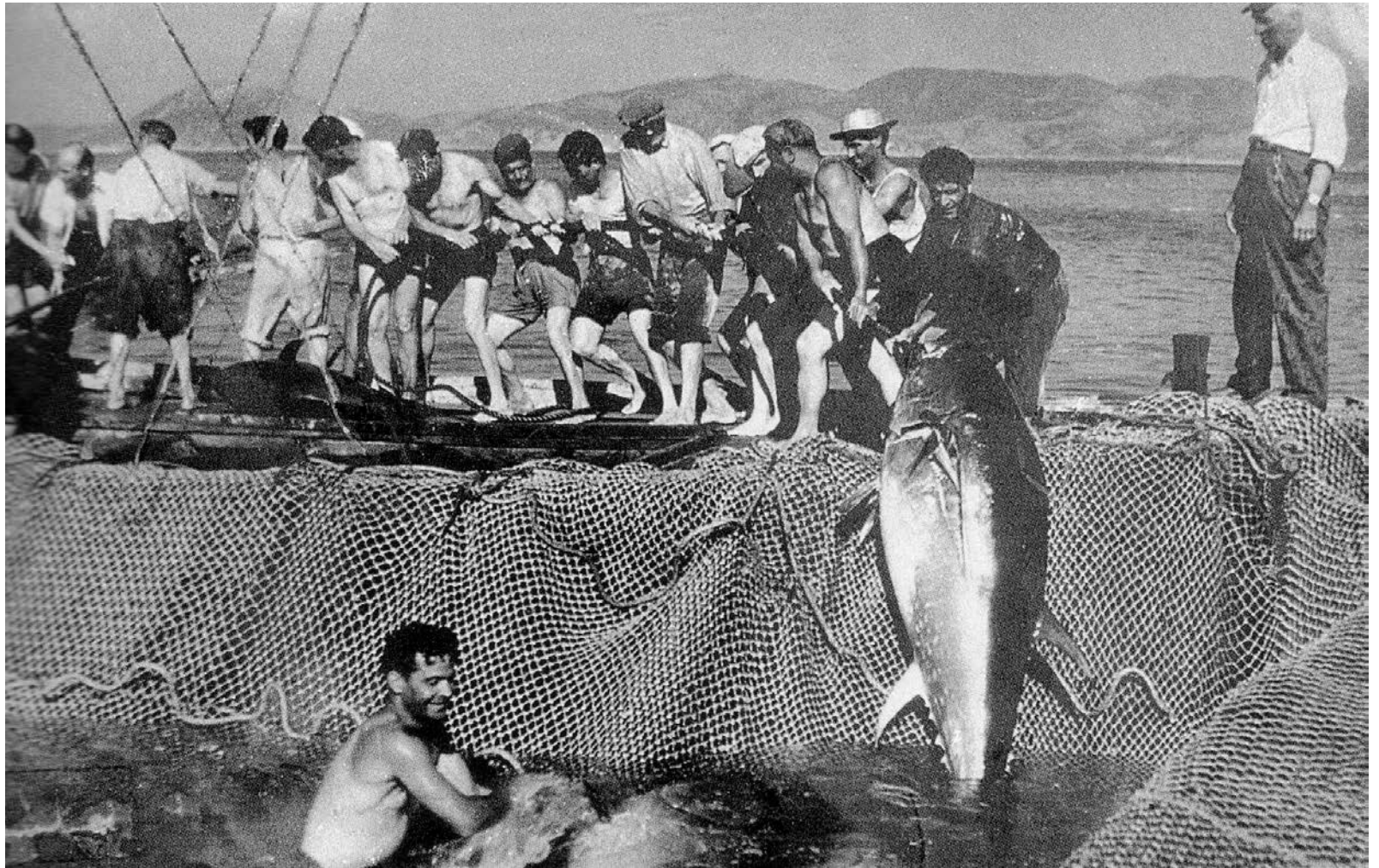
## *Thunnus thynnus* in Northeast Atlantic



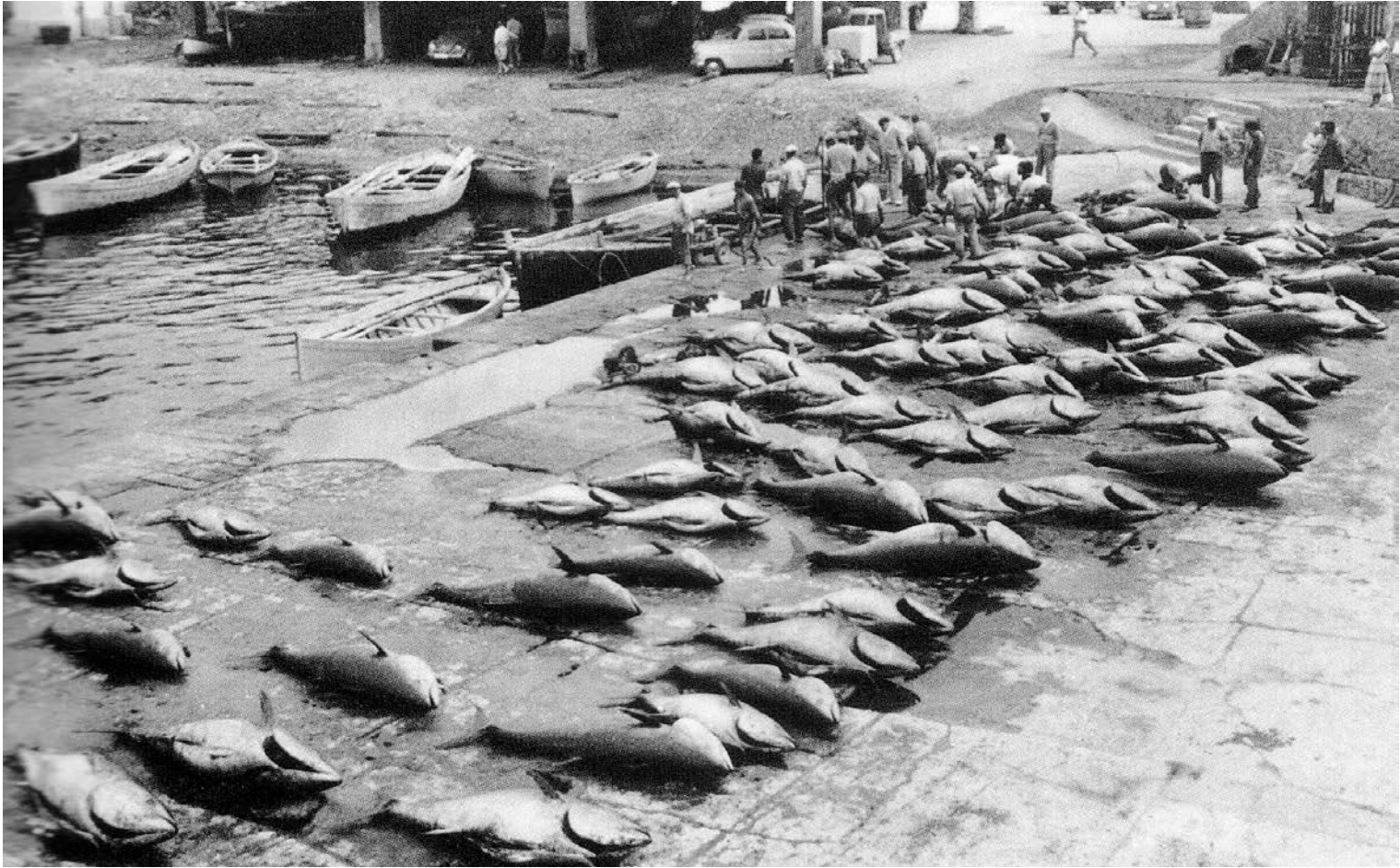


Hauser, et al. PNAS, 2002











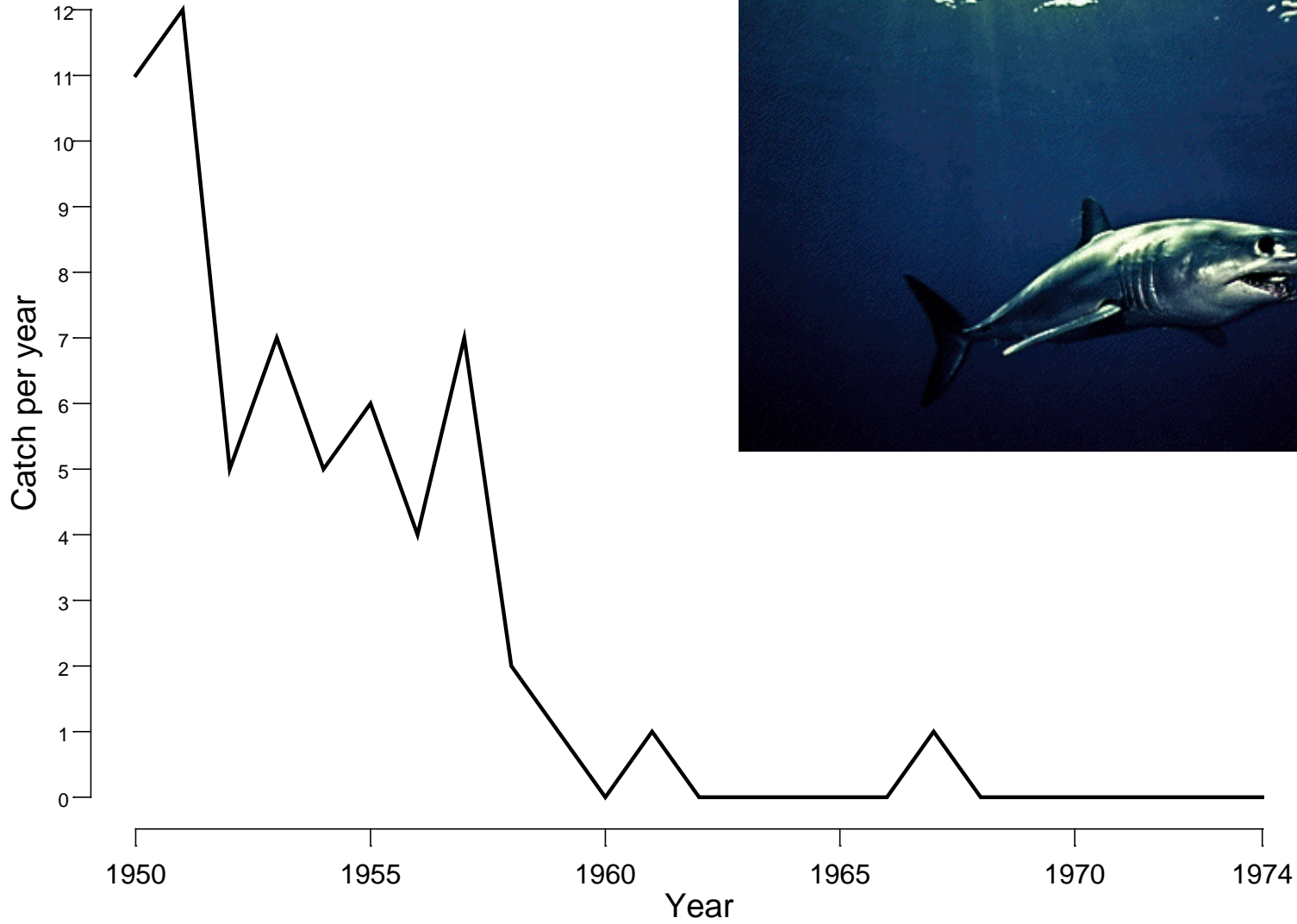


We Cannot Imagine the Loss of Life in the Ocean: We have to look at data.

**Ransom A. Myers (RAM)**  
Dalhousie University, Halifax,  
Canada

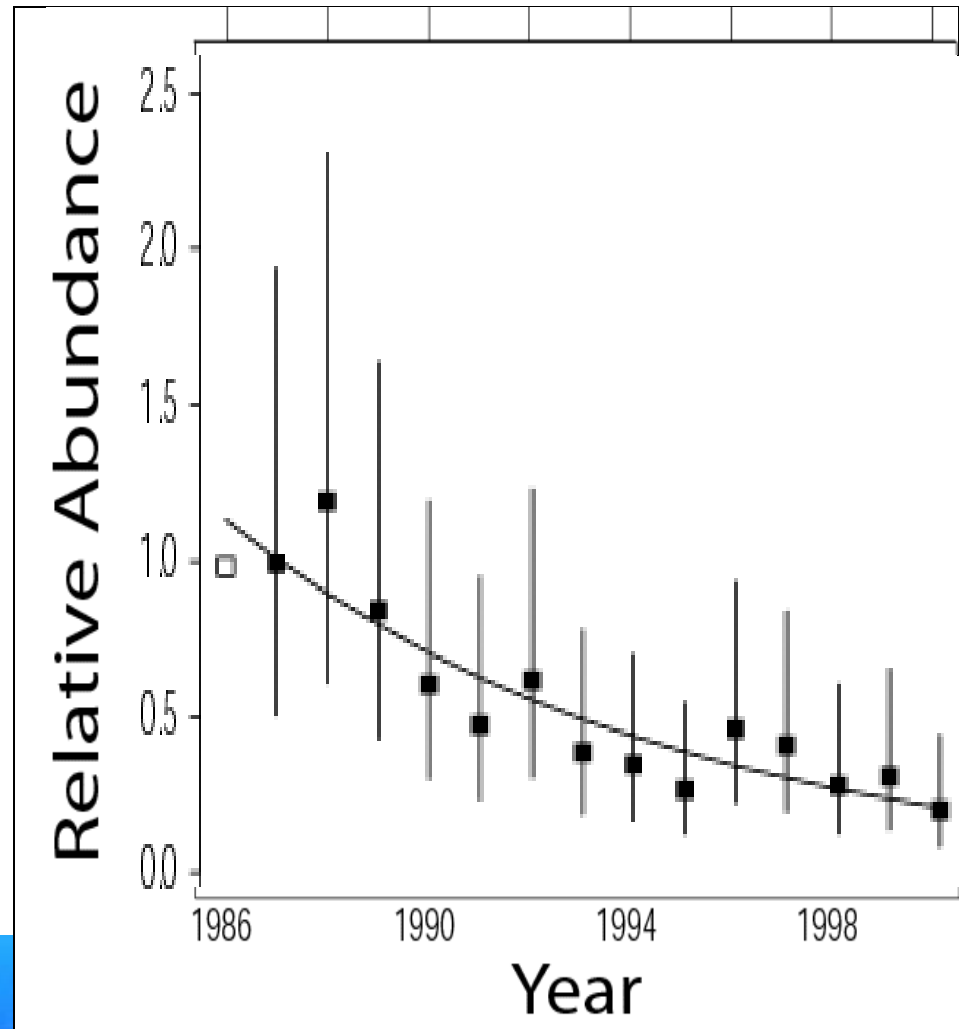


# Decline of Mako sharks



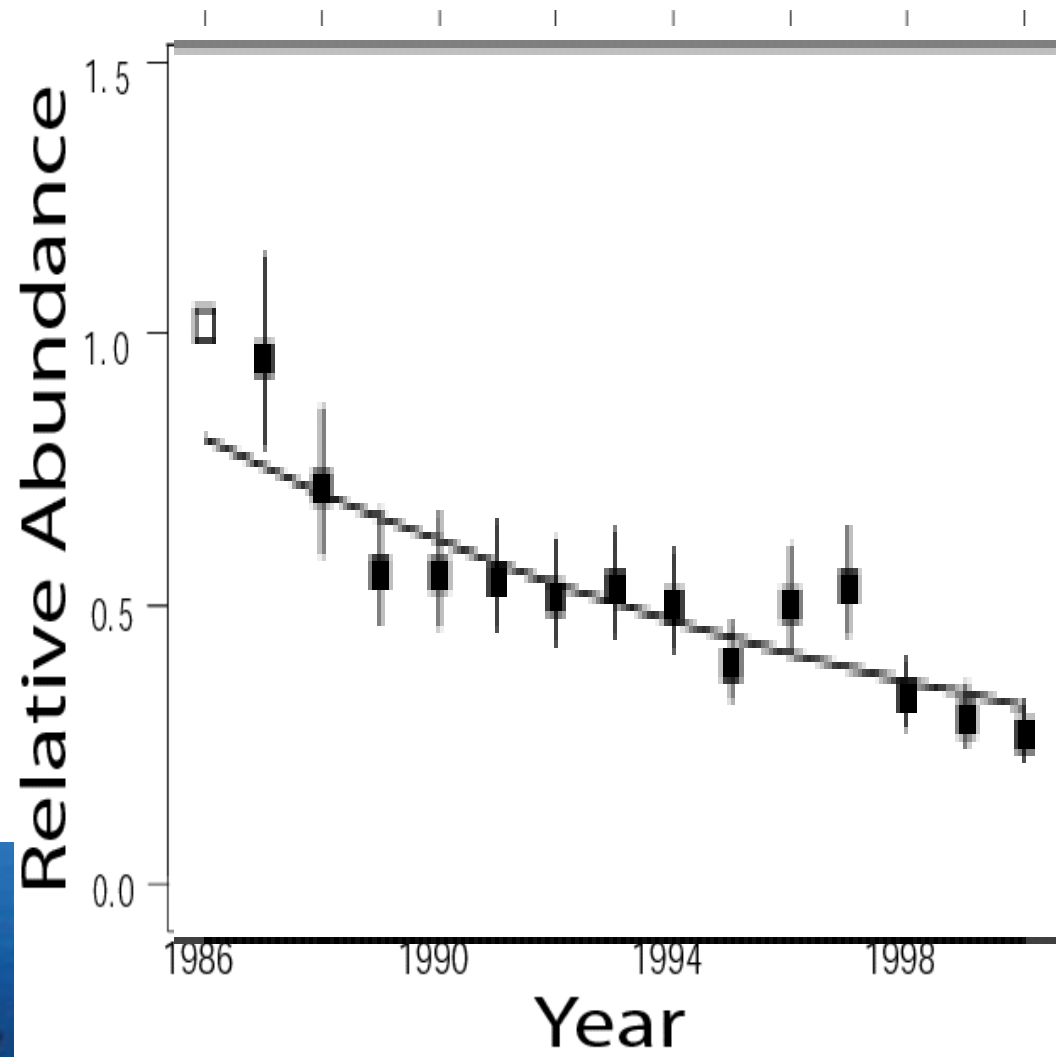
# Thresher sharks

*Alopias spp.*

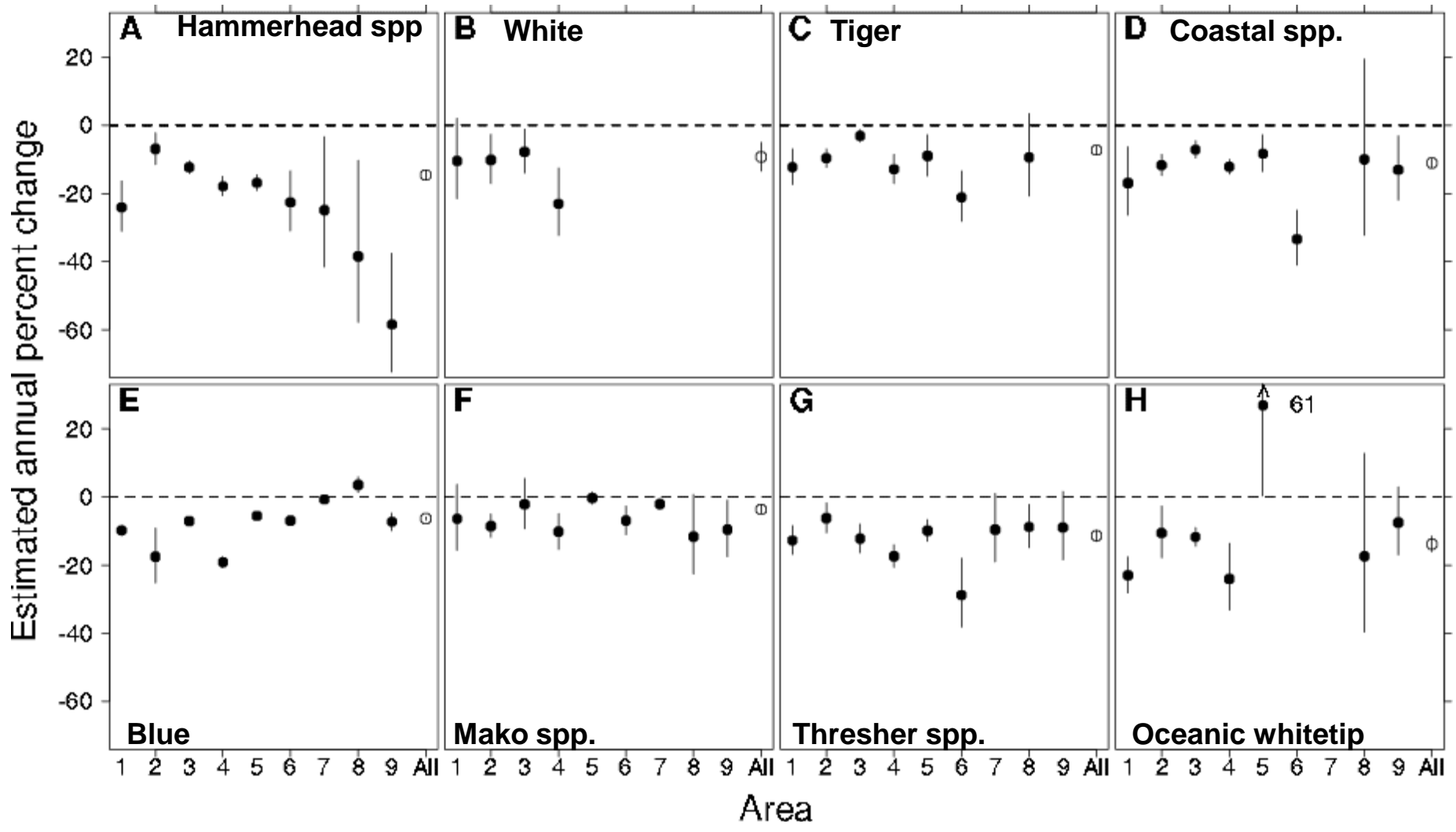


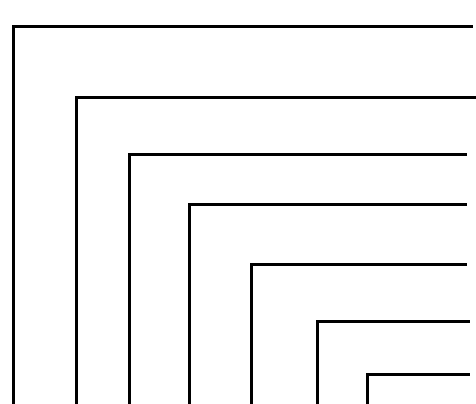
# Blue sharks

*Prionace glauca*

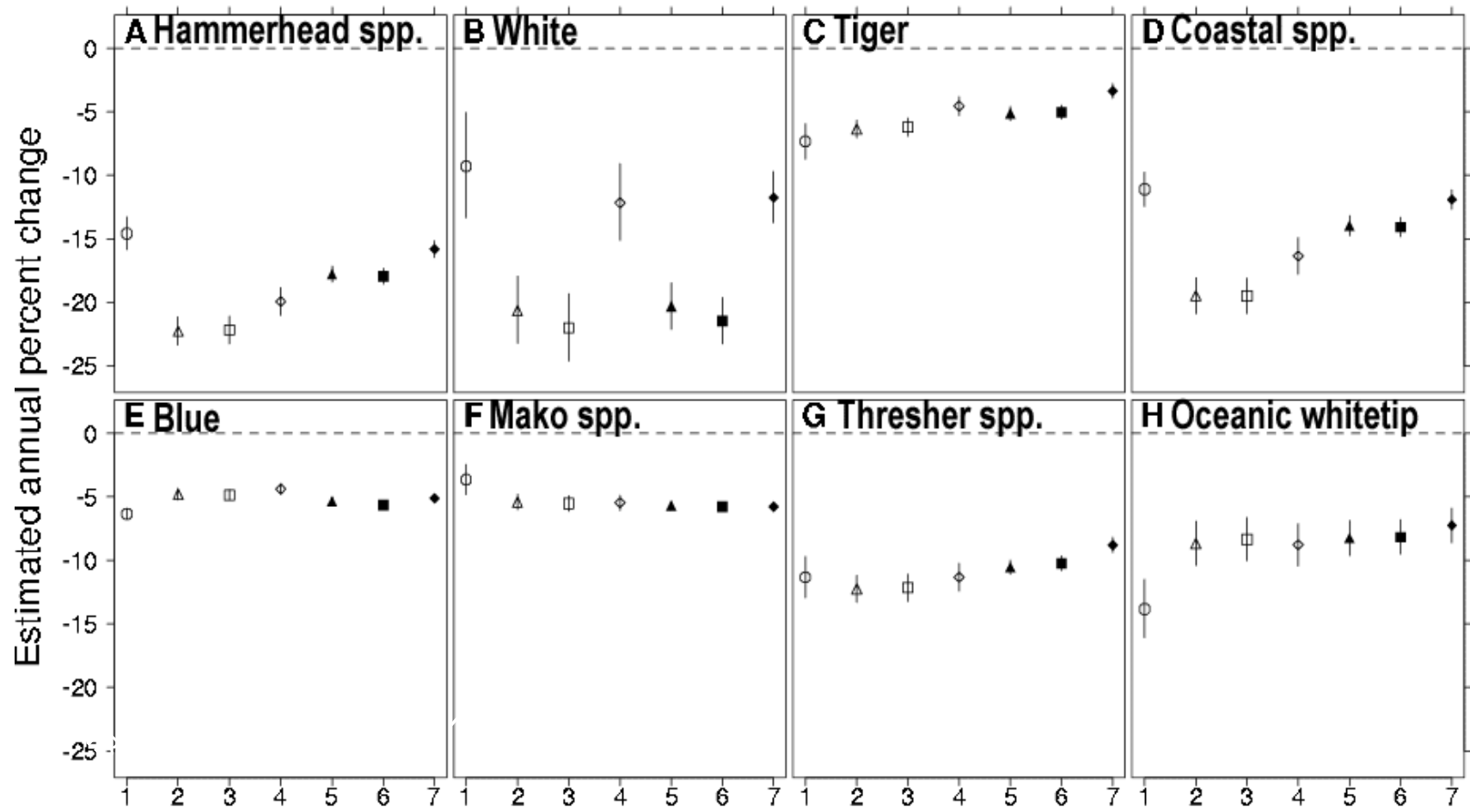


- 1 Caribbean
- 2 Gulf of Mexico
- 3 Florida
- 4 S Atlantic Bight
- 5 Mid Atlantic Bight
- 6 NE Coastal
- 7 NE Distant
- 8 Sargasso
- 9 S America

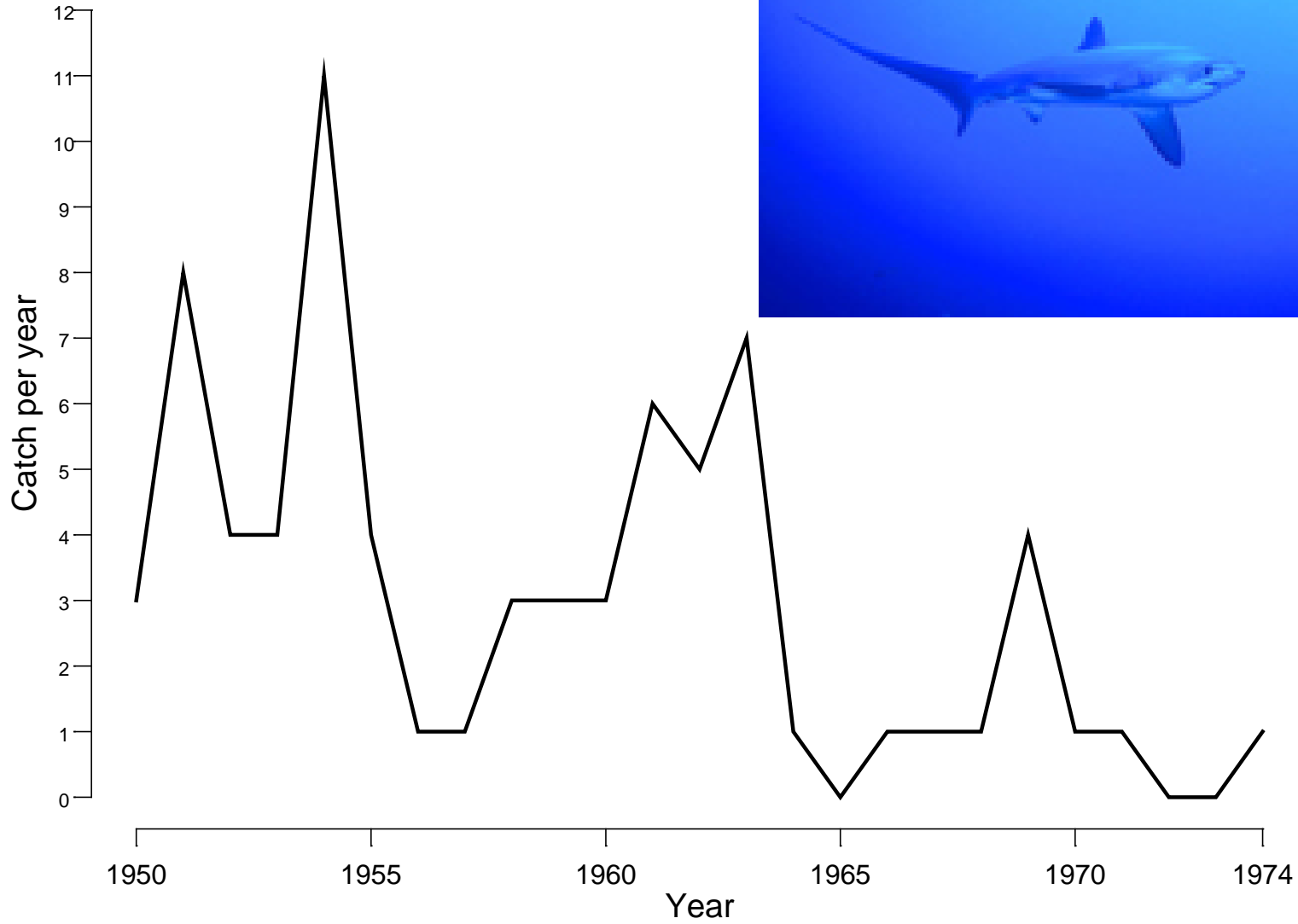




**TNB**  
**NB - all data**  
**NB - vessels recorded species once**  
**NB - vessels recorded species every year**  
**DL - all data**  
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**DL - vessels recorded species every year**

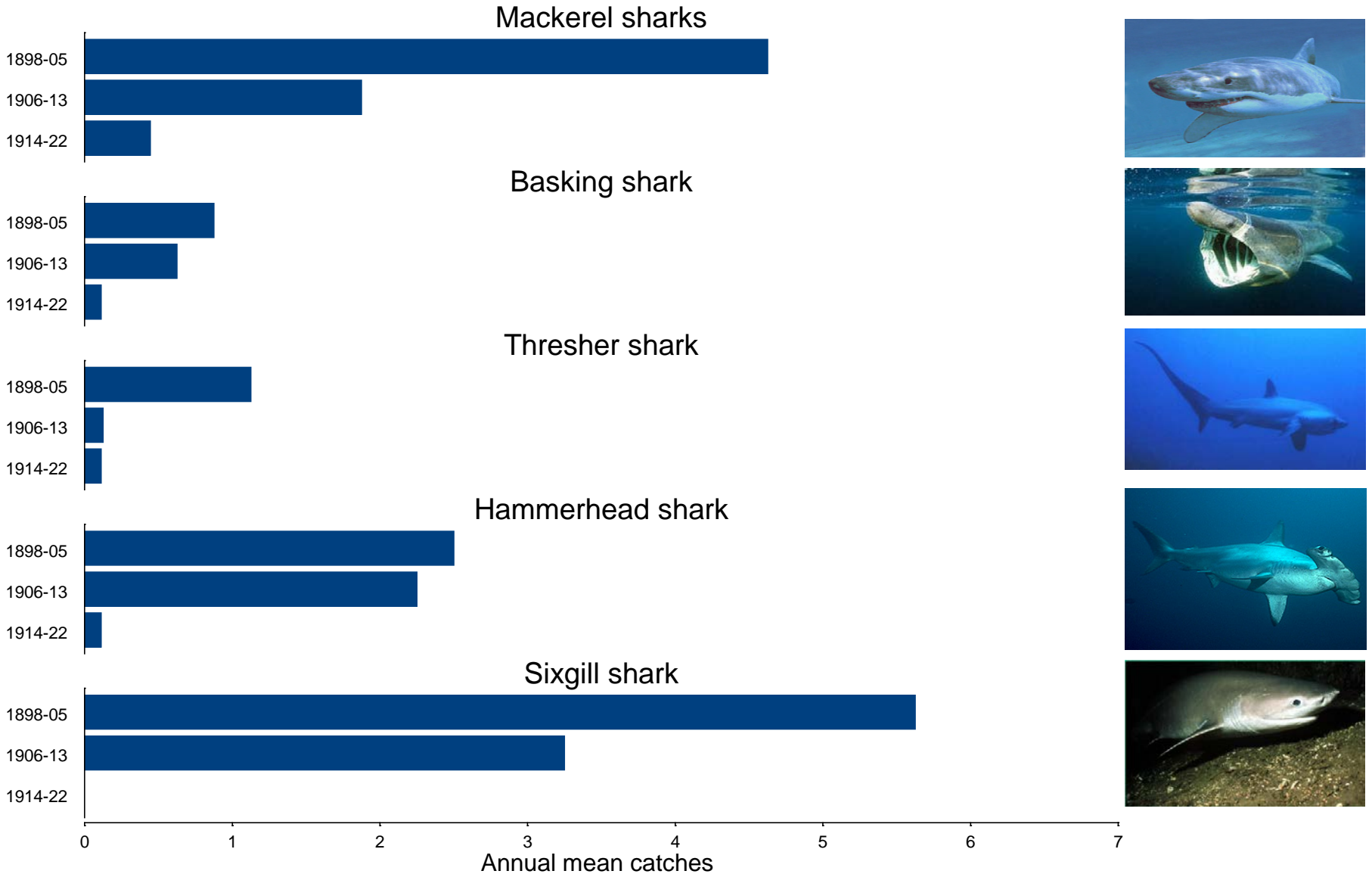


# Decline of Thresher sharks



# Decline in Large Sharks's Catches by an Italian Tuna Trap

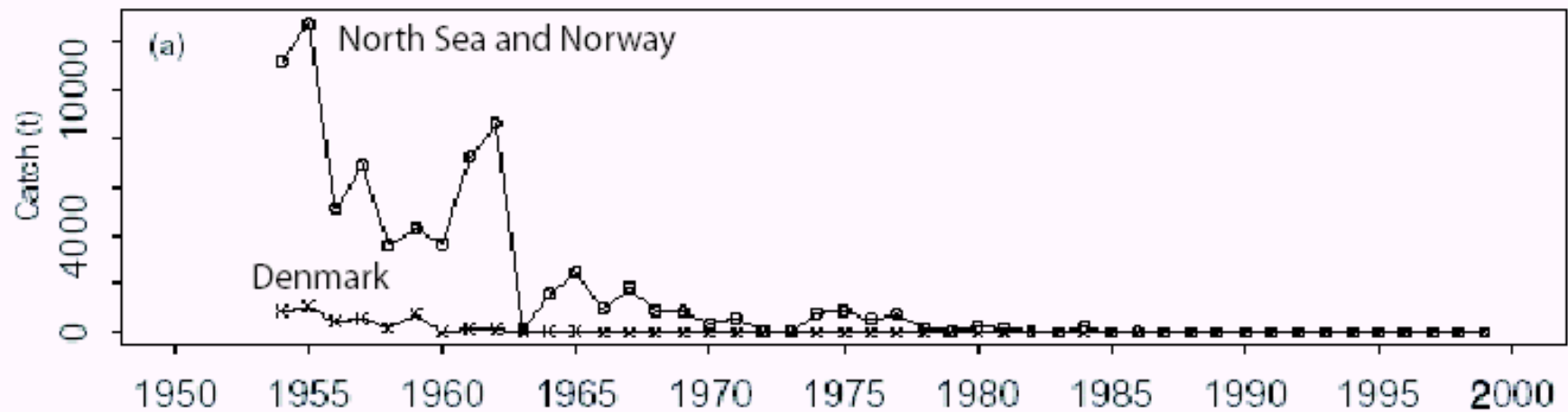
## Baratti's "Tonnarella"



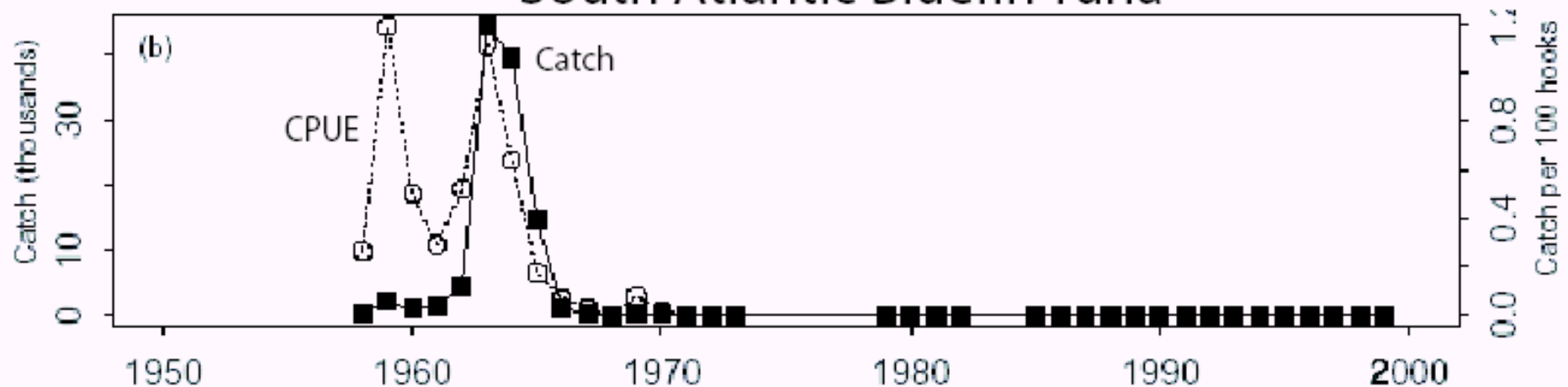


# Loss of Bluefin Tuna Populations in the Atlantic

## North Sea Bluefin Tuna



## South Atlantic Bluefin Tuna





ORTHOGRAPHIA PRÆCIPVÆ DOMVS ARCIS VRANIBV RGI

in Insula Pomerani Dantis Veneris. *Stylus* HUGONIS.  
& TICHONÆ FRANK.

Astronomis illusterrimæ gratiæ, curâ annuâ MDLXXX.  
restituitur.

