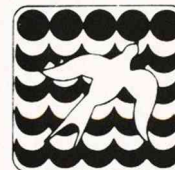


PUB/COM/Pub Cos-Van



Dalhousie University

International Ocean
Institute



I.O.I. - Malta

FACSIMILE TRANSMISSION

To: Sea Technology
FAX No: (703) 841 0852
From: Elisabeth Mann Borgese
FAX No.: 1 902 868 2818

Date: November 13, 1990

Subject: "Soapbox"

Sir:

On September 29, 1990, I sent you a letter which I hoped you would consider for "Soapbox." I did not find it in the subsequent issue of Sea Technology, for which, perhaps, it arrived too late. Nor did I ever receive any acknowledgment. Did you receive the letter? In case you did not, I am attaching a copy.

With all good wishes,

Sincerely yours

Elisabeth Mann Borgese

September 29, 1990

To the Editor
Sea Technology
Compass Publications, Inc.
1117 N. 19th Street
Suite 1000
Arlington VA. 22 209
USA

I wish to express my appreciation for Senator Inouye's piece, "The Ocean Enterprise Peace Divident" ("Soapbox," September, 1990).

He concluded

A new type of international cooperative organization will need to be created bringing together the resources of governments, multi-international industries, and academic sectors where the scales of time, risk, and magnitudes are too great for one sector or nation to go alone to bridge the no-man's land gap between research and commercialization. The bridging mechanism will also require a larger, more integrated effort with private/public sharing of funding to support special development activities.

The Senator hit the nail right on the head. This is indeed the problem of research and development in high technology, including the marine sector.

What I would like to point out is that we now do in fact have a framework for building just such a mechanism, and that is the United Nations Convention on the Law of the Sea, and, more directly, the interim regime for exploration, technology transfer and development of human resources just agreed on by the four "Pioneer Investors" and the Preparatory Commission for the International Seabed Authority and for the International Tribunal for the Law of the Sea. (The "Pioneer Investors" now are four: France, India, Japan, and the Soviet Union. China, which has applied just recently, will be the fifth. Four multinational consortia, with the participation of US companies, have the right, under the Convention, to register as Pioneer Investors, but they have not yet taken advantage of this opportunity.)

Here is a framework for public/private international cooperation in technology development which exactly fits the challenge put forward by the Senator. This was recently elaborated, in great detail, in a study undertaken jointly by the International Ocean Institute and the Asian African Legal Consultative Committee and presented in a seminar to Prep.Com Delegates.

What we did was to look at the most advanced existing mechanisms, of the kind the Senator postulates. We found these in Europe, in large, investment generating systems such as EUREKA, EUROMAR, ESPRIT, etc. These systems are extremely simple, flexible, and cost-effective. This is how they work: Every participating country appoints a national co-ordinator.

His task is to make a first preliminary selection of worthy R&D projects in determined sectors of technology (micro-electronics and information; genetic engineering and bioindustrial processes; laser; new materials; space and ocean technology). a second, more refined, selection is made by a meeting of all national co-ordinators. To be eligible, a project must have participants (companies, governments, scientific institutions) in at least two countries. The final selection is made by a meeting of Ministers of Science and Technology of member countries. Once a project has been selected, it is financed up to 50 percent by the participating industries (private sector), up to 50 percent by Governments and, where the EEC as a whole is a participant, by that international organization. The system has generated investments of about 50 billion dollars in the span of a few years and stimulated European technology development enormously.

We suggested that this system be taken over and adapted by the Pioneer Investors and the Preparatory Commission. It would solve the problem of the high cost and the high risk of the development of the next generation of sea-bed technology. That is the way to do it.

What I would like to suggest is that one or more of the U.S. based multinational companies which the Convention enumerates as qualified "Pioneer investors" should take up this opportunity and join. At this stage, it is not at all necessary that the U.S. should accede to the Convention which, we all know, is not in the cards. The consortium could join under the auspices of another signatory State -- e.g., the Netherlands or Italy who would act as as "certifying state."

To promote, and participate, in this kind of "new type of international cooperation" would stimulate U.S. technological development in deep-sea exploration and exploitation, where, presently, it is at risk of falling behind and even small countries, like Finland, are beginning to take a more advanced lead. It also would give the United States a legitimate forum to participate in the creation of an interim regime that would be universally acceptable and beneficial. It will be on the basis of the practical experience of this interim regime (exploration, development of human resources, and R&D only: no mining) that the controversial Part XI of the Convention will eventually be reviewed and revised, after the coming into force of the Convention.

The world is changing so rapidly that the Reagan Administration's attitude towards seabed mining is quite obsolete. New approaches are needed, and the one indicated by Senator Inouye for Ocean Enterprises in general is a sound one. This is how it could be implemented.

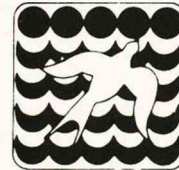
*Dr. Elisabeth Mann Borgese
Chairman, International Ocean
Institute*



Dalhousie University

Sent

International Ocean
Institute



I.O.I. - Malta

FACSIMILE TRANSMISSION

To: David A. Graham
FAX No: 703 841 0852

From: Elisabeth Mann Borgese
FAX No.: 1 902 868 2818

Date: November 14, 1990

Subject: letter vs soapbox

Dear Mr. Graham:

Thanks very much for your prompt reply.

Publication as a letter is fine with me -- especially if it could come out in December. I am anxious for the idea to be launched as quickly as possible. There is another meeting at the UN Secretary-General's office, with the Americans participating, in February, and I don't think they are going to get anywhere, whereas the participation of the private consortia would be a feasible alternative. I would like to draw their attention to it as soon as possible.

If you cannot publish it in December, I could then expand it for Soapbox in January, if you still have room there.

Do let me know, and thanks for your cooperation.

Regards,

Elisabeth Mann Borgese

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SEA TECH MAG

850 P01

SEA TECHNOLOGY

1117 North 19th Street
Suite 1000
Arlington, VA 22209

Phone: (703) 524-3136

Fax: (703) 841-0852

FAX MESSAGE

DATE: November 14, 1990
TO: Dr. Elisabeth Mann Borgese
International Ocean Institute
FAX #: 902 868 2818
FROM: David M. Graham, editor
NO. PAGES: 1 (cover included)

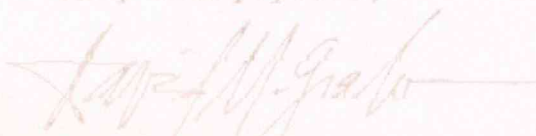
Dear Dr. Borgese:

Apparently I have misunderstood the intention behind your welcome letter of September 29. Upon reading it, I chose to share your comments with our readers via our "Letters" column in December or January.

While the letter's contents would certainly be ideal as a "Soapbox" feature, its length precludes it from being considered for that vehicle. In magazine production terms, the copy measures only about 15 inches. Another two to three inches containing your brief biography and a photograph would bring the length to only about 18 inches. Our copy requirement for "Soapbox" is approximately 27 inches.

If you wish, I can hold the letter from publication in its present form if you would care to expand upon your comments to about 800-900 words plus biography.

Very truly yours,



David M. Graham
Editor

I wish to express my appreciation for Senator Inouye's piece, "The Ocean Enterprise Peace Dividend" ("Soapbox," September 1990). The Senator hit the nail right on the head. This is indeed the problem of research and development in high technology, including the marine sector.

What I would like to point out is that we now do, in fact, have a framework for building just such a mechanism, and that is the United Nations Convention on the Law of the Sea; more directly, the interim regime for exploration, technology transfer, and development of human resources just agreed on by the four "Pioneer Investors" and the Preparatory Commission for the International Seabed Authority and for the International Tribunal for the Law of the Sea.

(The "pioneer investors" now are four: France, India, Japan, and the Soviet Union. China, which has applied just recently, will be the fifth. Four multinational consortia, with the participation of U.S. companies, have the right, under the Convention, to register as Pioneer Investors, but they have not yet taken advantage of this opportunity.)

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What we did was to look at the most advanced existing mechanisms of the kind the Senator postulates. We found these in Europe, in large investment generating systems such as EUREKA, EUROMAR, ESPRIT, etc. These systems are extremely simple, flexible, and cost-effective.

We suggested that this system be taken over and adapted by the Pioneer Investors and the Preparatory Commission. It would solve the problem of the high cost and the high risk of the development of the next generation of sea-bed technology. This is the way to do it.

What I would like to suggest is that one or more of the U.S.-based multinational companies, which the Convention enumerates as qualified "Pioneer Investors," should take up this opportunity and join. At this stage, it is not at all necessary that the U.S. should accede to the Convention which, we all know, is not in the cards. The consortium could join under the auspices of another signatory state—e.g., the Netherlands or Italy—who would act as a "certifying state."

To promote and participate in this kind of "new type of international cooperation" would stimulate U.S. technological development in deep-sea exploration and exploitation where, presently, it is at risk of falling behind. Even small countries, like Finland, are beginning to take a more advanced lead. It also would give the United States a legitimate forum to participate in the creation of an interim regime that would be universally acceptable and beneficial. It will be on the basis of the practical experience of this interim regime (exploration, development of human resources, and R&D only; no mining) that the controversial Part XI of the Convention will eventually be reviewed and revised after the coming into force of the Convention.

The world is changing so rapidly that the Reagan administration's attitude towards seabed mining is quite obsolete. New approaches are needed, and the one indicated by Senator Inouye for ocean enterprises in general is a sound one.

Dr. Elisabeth Mann Borgese
Chairman, International
Ocean Institute
Malta



RECEIVED MAR 02 1992

University of Hawaii at Manoa

The William S. Richardson School of Law
2515 Dole Street • Honolulu, Hawaii 96822

February 19, 1992

Ms. Elisabeth Mann Borgese
Pearson Institute
Dalhousie University
3123 Edward Street
Halifax, Nova Scotia B3H 3H5
Canada

Dear Elisabeth:

Re: Status of Freedom for the Seas Manuscript

As you know, the 28 papers prepared for the Hawaii conference on "Freedom for the Seas," including your paper, are being edited for publication as a book, with the tentative title "Freedom for the Seas in the 21st Century: A New Look at Ocean Governance and Stewardship." The three editors are myself, Durwood Zaelke, and Grant Hewison, along with a team of dedicated law students.

Preliminary edits have been made for almost all papers, additional source materials have been added where needed, and footnotes put into appropriate form. Most of you will have already received copies of the changes for approval.

We have met with two potential publishers: Island Press in Washington, D.C. and Oxford University Press in Oxford. Based on the "rough cut" manuscript, Island Press has indicated its interest in principle in publishing the book, provided that the length is substantially reduced to make the book commercially viable at a reasonable price (\$19.95 paperback). Island is very well-regarded nonprofit press specializing in environmental books, and we are encouraged by their interest. We are also hoping that Oxford will maintain its interest in the manuscript.

To comply with the publishers' requests, we have begun a second and final round of edits. Once these edits are completed, we will send your revised paper and ask you to approve the changes as soon as possible. Because we would like to advertise the book at the UN Conference on Environment and Development in Rio in June, time is of the essence.

Page 2
February 19, 1992

We appreciate your continuing cooperation, and look forward to the prompt completion of the book.

Sincerely yours,



Jon M. Van Dyke
Professor of Law

JMVD:hns



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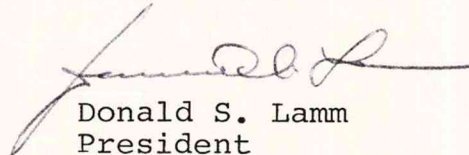
December 22, 1988

Professor Elisabeth Mann Borgese
International Ocean Institute
Dalhousie University
Pearson Institute
1321 Edward Street
Halifax, Nova Scotia

Dear Professor Borgese:

Thank you for your letter of December 8, but we have considered previous proposals concerned with the Law of the Sea and found the interest far too narrow.

Sincerely yours,



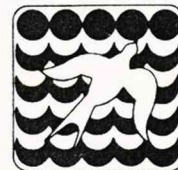
Donald S. Lamm
President

DSL:ac



Dalhousie University

International Ocean
Institute



I.O.I. - Malta
December 8, 1988

The President
W.W.Norton, Publishers
New York City

Sir:

It occurred to us that, as the publisher of Lester Brown's excellent material, you might conceivably be interested in the publication of a series of books by the International Ocean Institute. I am enclosing a proposal.

The material is first-rate, globally international, and quite forward-looking. Since it is very voluminous, it could be condensed, e.g., to 300 pages per volume. But in a way that would be a pity.

Would you be interested in principle?

The series, incidentally, will continue. Next year's Pacem in Maribus conference will take place in Moscow, on the theme

PEACE IN THE OCEANS: THE NEW ERA

We think it will be addressed by Gorbachev personally.

In 1990, the conference will take place in Rotterdam and deal with the management of ports and harbours.

In 1991, in Lisbon, on Regional Development and Organisation and inter-regional cooperation.

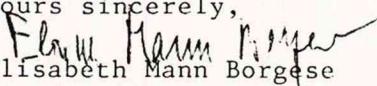
In 1992, in Malta. This will be the 20th Anniversary of the International Ocean Institute.

We have applied to UNESCO for support for this publications programme.

Please do let me know as quickly as possible whether, in principle, you are interested. We could then get together and discuss details and schedules.

With all good wishes,

Yours sincerely,


Elisabeth Mann Borgese

Professor
Chairman, International Ocean Institute

Pearson Institute, 1321 Edward Street, Halifax, Nova Scotia, Canada, B3H 3H5
Telephone: (902) 424-2034, Telex: 019 21 863 DALUNIVLIB, Fax: 902 424 2319



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K. K. Phua, Ph.D.

Editor-in-Chief

December 30, 1988

Professor Elisabeth Mann Borgese
Pearson Institute
1321 Edward Street
Halifax Nova Scotia
CANADA B3H 3H5

Dear Prof Borgese,

Thank you very much for your letter of December 8. We are delighted for your positive response to our invitation to write lectures notes for us.

We are in principle interested in the subject that you have proposed. Would it be possible for you to send us an outline of the contents before we ~~are able to~~ make a firm committment?

I am also seriously studying your proposals for the publication of three textbooks on the **Implementation and Progressive Development of the Law of the Sea**. I will come back to you on this within the next couple of weeks.

We are developing a strong programme in the areas of Ocean Engineering in 1989. In fact our series in Ocean Engineering (Editor, Philip L F Lu) has confirmed several titles by leading experts like Chiang C Mei (MIT), Maarten W Dingemans (Cornell) and P L-F Liu (Cornell); with several more in the pipeline.

With best regards,

Sincerely yours

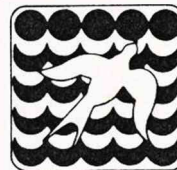
K K Phua

ba:nm



Dalhousie University

International Ocean
Institute



I.O.I. - Malta

December 8, 1988

Mr. K.K. Phua
Editor in Chief
World Scientific Publishing Co. Pte. Ltd
73 Lynton Mead, Totteridge
London N20 8DH, England

Dear Mr. Phua:

Thank you for your letter of November 9 and your invitation to write lecture notes for World Scientific Publishing.

It so happens that I am working on 2 lectures for the Collège de France which I will deliver next February, and I had the intention to develop these into a book. The subject is the new international technological order emerging from the Third United Nations Conference on the Law of the Sea. In particular, I will be dealing with the possibilities offered by the framework of the International Seabed Authority and by the Regional Centres for the advancement of Marine Sciences and Technology proposed by the Convention. I have done already quite a bit of work on how these new institutions could be developed and benefit both the developed and the developing countries.

Would you be interested in that subject?

With all goodwishes,

Yours sincerely,

Elisabeth Mann Borgese

P.S. I am enclosing another proposal I am working on presently. Would you be interested in this sort of thing?



WORLD SCIENTIFIC PUBLISHING CO PTE LTD

Office: 73 Lynton Mead, Totteridge, London N20 8DH, England

Postal & Correspondence: P O Box 379, London N12 7JS, England

Tel: (01) 446 2461 Fax: (01) 446 3356

9 November 1988

Prof E Mann Borgese
Lester Pearson Institute for International Development
Dalhousie University
1321 Edward Street
Halifax Nova Scotia B3H 3J5
Canada

Dear Prof Mann Borgese

RE: INVITATION TO WRITE LECTURE NOTES FOR WORLD SCIENTIFIC PUBLISHING

On behalf of World Scientific Publishing, we like very much to invite you to write a Textbook or Lecture Notes in the field related to your research.

Started in 1980, World Scientific Publishing is the only high level scientific publisher publishing in English outside of Europe and the United States of America. It has since established herself as one of the leading scientific publisher in Physics, Mathematics and Computer Science. We are perhaps the largest publisher in Physics in terms of the number of books and proceedings published at the present.

World Scientific is actively developing her publishing program in Mathematics, Computer Science, Chemistry, Electrical Engineering and Life Sciences. Her main objective is different from other publisher in that we hope to promote and upgrade science in the developing and underdeveloped nations. Therefore all our journals and books have a dual pricing. This is done in order to overcome the high price of books and journals published in Europe and USA.

Our books and journals are distributed throughout the world through our offices in USA and London and also through our extensive network of agents in Australia, Japan, India and other parts of the world. The offices in New Jersey, USA and London, UK are set up in order to provide marketing, sales as well as editorial services for our customers, authors and editors in Americas and Europe respectively.

We would appreciate it very much if you could kindly recommend other high quality scientist to write books in their field of interest for us. Enclosed please find one of our book review, new book leaflet and our company write up for your information.

...2/-

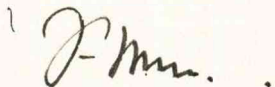
2/-

RE: INVITATION TO WRITE TEXTBOOK OR LECTURE NOTES

We thank you for your kind attention and we hope to hear favourably from you soon regarding our invitation.

With best compliments and regards.

Yours sincerely



K K Phua
Editor-in-chief

fs/-

Enc.

CAROLYN AND JAMES ROBERTSON

The Yolla Bolly Press, Main Street, Covelo, Ca 95428 Phone 707 983-6130



October 19, 1991

Dear Ms. Borgese

I am returning the text and illustrations that you and James Laughlin have sent us for Chairworm and Super-shark. We have spoken with our friends at Sierra Club Books who have told us that they are not able to take it on.

Under normal circumstances, we would be pleased to try to help you with another publisher elsewhere, but we are aware now of the perilous condition of many US publishers and how sales over the last year or so have forced them to cut back on new titles. Unfortunately, the budgets for books such as the one you propose are so small now, that there is no room for people like us who must work in the middle wherever we can find room.

I might suggest that you contact our friend John Keller, who is publisher of children's books at Little, Brown & Company. His address is 34 Beacon Street, Boston, MA 02108. You may use my name if you wish. If he cannot take it on, he may be able to advise you further.

We are interested in your organization. Do you have plans for, or ideas leading to any other books? Is this children's book part of some larger effort? We are presently at work on a series of environmental books for young people, and would be pleased to discuss ways in which we might work with you on other projects. I enclose some information about our Press.

best wishes,

James Robertson

Ms. Elisabeth Mann Borgese
Department of Political Science
Dalhousie University
Halifax, Nova Scotia
CANADA B3H 3H5

xc J Laughlin



Dalhousie University

International Ocean
Institute



I.O.I. - Malta

29 October 1991

Mr. James Robertson
The Yolla Bolly Press
Main Street
Covelo CA 95 428

Dear Mr. Robertson:

Thanks for your letter of October 19, and all the trouble you have taken.

When I read your little brochure, I was doubly sad that you could not take our book. This is just the sort of undertaking I would have been dreaming about: a publisher who works with writers and illustrators to produce books of fine quality which speak to the needs of our times.

We do have as very fine publisher in Jamaica itself, the Mills Press, and we may decide to publish it there. But we would have preferred North America, because distribution from Jamaica obviously is a problem.

Do you think, if Mills Press publishes the book, Sierra Club might be induced to buy some copies? I am sure UNESCO as well as UNICEF will buy some copies. My hope was also to have it ready in time for UNCED in Brazil.

I think the main problem with the book is that it does not fit into any of the standard categories of childrens books --e.g., age groups. I have addressed it to "children between 8 and 80." I do think children today can absorb a lot more science than we give them credit for, but the book contains some in-jokes which are understandable only to the people who are interested in the Law of the Sea.

Individual reactions to the book, including reactions from such refined and experienced critics as Jay Laughlin or Bill Koshland of Alfred Konopf, Inc, have been throughout very enthusiastic, which encourages me to believing that perhaps the public is ahead of the specialized editors.

In any case, I am following your advice and shall send the book to John Keller, at Little, Brown & Co.

As to our organization, I am enclosing as little folder. We are doing a lot of work. We just have been given a very large grant from the World Bank's Global Environment Facility enabling us to set up operations centres in Asia, Africa, and Latin America as well as in the South Pacific.

As far as publishing is concerned, we have an **Ocean Yearbook**, which is published for us by the University of Chicago Press; we have **proceedings** which are published by Pergamon; and we have **occasional papers** which we publish ourselves.

I myself get quite a few books out: Three richly illustrated books on the oceans were published by Harry Abrams in New York, and a fourth one, on oceanographic institutions in all parts of the world, is coming out next fall (it will be available for UNCED in June). I am working on a new book on the development and transfer of environmentally and socially sustainable technology, particularly in the marine sector.

I guess that covers it for today. Thanks again for your help.

With all good wishes, and please do keep me on your mailing list,

Yours sincerely,

Elisabeth Mann Borgese