

1st Session of the Executive Committee of the Independent  
World Commission for the Oceans

United Nations University

Tokyo (Dec.12,1995)

Provisional Agenda

1. Opening of the meeting.
2. Adoption of the Agenda.
3. Progress Report by the Secretary General on the establishment of the Commission and its supporting structures, administrative and financial matters.
4. Preparation of the first Plenary Meeting of the Commission:
  - a) Composition and Organization of the Commission.
  - b) Purpose of the Commission and adoption of Terms of Reference.
  - c) Oceans and Society at the threshold of the Third Milenium.
  - d) Substantive issues to be considered by the Commission.
  - e) Consideration of the proposed Programme of Work.
5. Other matters.
6. Closing of the session.

INDEPENDENT WORLD COMMISSION ON THE OCEANS

Program of the First Executive Committee Meeting

The Meeting will take place at the headquarters of the United Nations University

53-70, Jingumae 5-chome Shibuya-ku, Tokyo

Telephone (813)3499-2811 Fax(813)3499-2828

Tuesday, December 12, 1995 (Committee Room 1, 5F)

09.30-12.30 - Morning Session

12.30 - Lunch (invitation by the Rector of UNU,  
Professor Heitor Gurgulino de Souza)

15.00-17.00 - Afternoon Session

INDEPENDENT WORLD COMMISSION ON THE OCEANS

Program of the First Plenary Meeting

The Meeting will take place at the headquarters of the United Nations University:

53-70, Jungumae 5-chome Shibuya-ku, Tokyo

Telephone (813)3499-2811 Fax (813)3499-2828

Wednesday, December 13 (UNU Conference Hall, 5F)

10.00-11.30 - Opening Session (open to the press and invited participants)

Welcome Address by the Rector of UNU

Speech by Former Prime Minister of Japan,  
H.E. Noboru Takeshita

Opening Address by the Chairman of the Commission,  
H.E. Mário Soares, President of the Republic  
of Portugal

(High officials from the government including the  
Mayor of Tokyo will be invited to attend the  
opening session)

11.40-12.30 - Press Conference (Committee Rooms 2+3)

13.00 - Lunch (Committee Rooms 2+3)

15.00-17.00 - Afternoon Session

18.30-20.00 - Reception by the Chairman of the Commission,  
H.E. President Mário Soares

Remarks by H.E. President Mário Soares and  
Former Prime Minister H.E. Noboru Takeshita



Thursday, December 14

09.30-12.30 - Morning Session

12.30 - Lunch (UNU Reception Hall, 2F)

15.00-18.00 - Afternoon Session

19.00-20.30 - Reception by Former Prime Minister of Japan  
H.E. Noboru Takeshita and Vice-Chairman of the  
Commission, Dr. Yoshio Suzuki at Westin Hotel Tokyo  
1-4-1 Mita, Meguroku, Tokyo  
phone (813) 5423-7000 fax(813) 5423-7600  
Remarks by Former Prime Minister H.E. Noboru  
Takeshita and H.E. President Mário Soares

Friday, December 15

09.30-12.30 - Morning Session

12.30-15.00 - Lunch (UNU Reception Hall, 2F)

15.00-17.00 - Afternoon Session

17.00-18.00 - Closing Session (open to the press and invited  
participants)  
Concluding Remarks by the Chairman, H.E. President  
Mário Soares

18.30-20.00 - Reception at UNU (UNU Reception Hall, 2F)



Provisional List of Members

Chairman - Mário Soares - Portugal

Vice-Chairmen - Kader Asmal - South Africa  
- Elisabeth Mann Borgese - Canada  
- Eduardo Faleiro - India  
- Guido de Marco - Malta  
- Yoshio Suzuki - Japan  
- José Israel Vargas - Brasil

Members - Syad Abdullahi - Nigeria  
- Oscar Arias - Costa Rica  
- Alícia Barcena - Mexico  
- Mohammed Bedjaoui - Algeria  
- Salvino Busuttil - Malta  
- Renée Jean Dupuy - France  
- Klaus Jurgen Hedrich - Germany  
- Sidney Holt - U.K. (General Editor)  
- Su Jilan - China  
- Stepjan Keckes - Croacia  
- J. Kendrew - U.K.  
- Tommy Koh - Singapura  
- Rüüd Lübbers - Netherlands  
- Ulfe Lie - Norway  
- Ronald Macdonald - Canada  
- Don Mills - Jamaica  
- Venâncio de Moura - Angola  
- Najeeb Al Nuaimi - Qatar  
- Mário Ruivo - Portugal (Coordinator)  
- António Ruperti - Italy  
- Juan Somavia - Chile  
- Luis F. Macedo Soares - Brasil  
- Ezekel Solofa - Fiji  
- Danielle de St. Jorre - Seychelles  
- Alexander Yankov - Bulgaria

Secretary General - Layashi Yaker - Algeria

Executive Secretary - Jean Pierre Levy - France  
(just after March 1996)

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COMMISSION FOR THE OCEANS

INDEPENDENT WORLD COMMISSION ON THE OCEANS

Travel, hotel, and other arrangements

1. TRAVEL

1. Business class airfare for the round trip between the participants' home airport to Tokyo will be paid to the participants.
2. Arrangements for air transportation including payment will be the responsibility of each commission member.
3. The airfare will be reimbursed in yen in Tokyo during the session according to the amount appearing on the ticket, and the members will sign the receipts.  
Payment will include the round trip transportation cost of the bus between Narita - Tokyo - Narita.
4. For those who want to travel First class, the additional cost will be met by the participants.
5. Those who can arrange a discount ticket or who travel economy class will still be reimbursed the business class airfare. In this case, they will need the evidence of the fare from their local tourist bureau.
6. For efficient reimbursement in Tokyo, if a copy of the ticket cover could be sent by fax to Masako Otsuka of INTERCOM at +81.3.3586.4706, it will be highly appreciated.



FIRST MEETING OF THE EXECUTIVE COMMITTEE  
OF THE INDEPENDENT WORLD COMMISSION  
ON THE SEAS AND THE OCEANS

**FIRST MEETING OF THE EXECUTIVE COMMITTEE  
OF THE INDEPENDENT WORLD COMMISSION  
ON THE SEAS AND THE OCEANS**

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Adoption of Agenda

The agenda reproduced below may be adopted by the Executive Committee with such amendments as the Committee may consider necessary.

- Item 1: Adoption of Agenda
- Item 2: Finalisation of membership of the Independent World Commission on the Seas and the Oceans
- Item 3: Consideration and approval of procedures to be followed by the Commission
- Item 4: Proposed Action Calendar
- Item 5: Proposed Budget for 1994-1998
- Item 6: Consideration and approval of guidelines and background paper to be issued to the regional centres
- Item 7: Requirements of staff, consultants, researchers etc.
- Item 8: Any other matter with the approval of the President.

Dr. Krishan Saigal



**Finalisation of the Membership of the Independent  
World Commission on the Seas and Oceans**

A preliminary list of 37 suggested members is attached (Annex A). Of these 25 have signified their acceptance in case they are invited.

The Executive Committee may consider the list as well as any other names and thereafter finalise the membership of the Commission.

**Dr. Krishan Saigal**

INDEPENDENT WORLD COMMISSION FOR THE SEAS  
AND OCEANS

Preliminary list of suggested names:

1. President Mario Soares, Chairman
2. Professor Guido de Marco, Vice President, \* Europe
3. Mr. Layachi Yaker, Vice President, \* Africa
4. Dr. Elisabeth Mann Borgese, Vice President, \* North America
5. Dr. Yoshio Suzuki, Japan \*, Vice President, Asia  
Latin America
6. Alicia Barcena, \* Mexico
7. Mohammed Bedjaoui, \* Algeria
8. Cheng (AALCC)
9. Umberto Colombo, \* Italy
10. Rene Dupuy, France
11. Jens Evensen, Norway
12. Carl-August Fleischhauer, \* Germany
13. Tommy Koh, \* Singapore
14. Abdul Koroma, \* Sierra Leone
15. Gunnar Kullenberg, Denmark
16. Former Prime Minister Lubbers, \* Netherlands
17. Ronald St. John MacDonald, \* Canada
18. Mircea Malitzaspain
19. Federico Mayor, \*
20. Dr. Merkel, Minister for the Environment, Germany \*
21. (Prince) Moulay Hicham ben Abdallah of Morocco
22. Robert Muller, \* France
23. Osmal, Kader (Minister of Forests & Water, South Africa)
24. Arvid Pardo, \* Malta
25. Christopher Pinto, \* Sri Lanka
26. Mario Ruivo, \* Portugal
27. Juan Somavia, \* Chile
28. James Gustave Speth, \* USA
29. Danielle de St. Jorre, \* Seychelles
30. Su Jilan \* (Academician, Academic Sinics, China)
31. Professor Swaminathan, \* India
32. Ted Turner
33. Joseph Warioba, \* Tanzania
34. Alexander Yankov, \* Bulgaria
35. Malaysia
36. Brazil
37. one meteorologist

\* have indicated that they would accept if invited.

Consideration and approval of the procedures  
to be followed by the Commission

The Independent World Commission on the Seas and the Oceans (hereinafter the Commission) has been established for refocussing world attention on sustainable ocean development and the law of the sea. The Commission has to establish its own procedure. The following suggestions are for the Executive Committee's consideration and approval with such changes as are deemed necessary.

The Independent World Commission on the  
Seas and the Oceans

1. The objective before the World Commission on the Seas and the Oceans (hereinafter the Commission) is:
  - . to refocus world attention on the importance of sustainable ocean development and the law of the sea;
  - . to monitor the ratification, implementation, and progressive development of the Convention, at national, regional and global levels;
  - . to examine whether States, especially developing countries, are able to fulfil their duties, enjoy their rights and generate their benefits under the Convention, to analyze the difficulties they might encounter, and to propose ways and means to overcome them;
  - . to monitor the implementation of Chapter 17 of Agenda 21, at national, regional and global levels and to observe the function of the Convention in this process (legal framework; peaceful settlement of disputes; enforcement);
  - . to follow the development of regional programmes of cooperation and development in the marine sector and examine how they adjust to the new requirements of integrated ocean management and sustainable development;
  - . to examine the role of the Law of the Sea and ocean development in the process of restructuring the United Nations system as a whole for the 21st century and elaborate proposals to strengthen this role

as well as to undertake all that which is related to the foregoing in the widest sense.

2. The Commission will consist of a President, --- Vice Presidents and not more than --- Members.

Provided that not more than 2 Members of the Commission shall be of the same nationality.



3. The President and Vice Presidents shall constitute the Executive Committee of the Commission.
4. Membership of the Commission is terminated by resignation.

Executive Committee

5. The Executive Committee shall determine policy regarding activities, organisation and financial administration of the Commission.
6. The Executive Committee shall establish and maintain a Trust Fund whose monies shall consist of:
  - grants and donations
  - acquisition through testamentary disposition, legacy or endowment
  - any other acquisition.
7. The monies in the Trust Fund shall be applied to the activities of the Commission and in accordance with the budget approved by the Executive Committee. The Committee shall appoint one of its members to act as the Treasurer.
8. The Executive Committee shall appoint the Secretary to the Commission. The Secretary shall be the chief executive officer of the Commission and be responsible to the President and the Executive Committee.
9. The Secretary shall have executive and administrative authority for the implementation of the policies and decisions established by the Executive Committee and under empowerment by the President.
10. The Secretary can offer contracts for work to be undertaken for the Commission, open and close bank accounts, sign cheques and carry out other transactions within the limits and conditions determined by the Committee.
11. Within six months of the close of the financial year, which would run from January first up to and including December thirty-first, the Secretary shall draw up a financial statement covering the preceding year and shall submit the same for approval of the Committee.
12. The Committee shall appoint an independent auditor for the purpose of supervising the book-keeping regularly and to report to the Committee with regard to the financial statement.

Meetings of the Commission and Executive Committee

13. The Commission and Executive Committee shall meet regularly once a year. Additional meetings shall be held when the President finds it appropriate.

14. The President, and in his absence the Vice President appointed by him, will preside over meetings of the Commission and Executive Committee.

15. The meeting shall be convened by the Secretary by means of airmail letters mailed to the addresses of the Commission/Committee members at least 20 working days prior to the date of the meeting along with an annotated agenda.

Provided that when the President finds it appropriate he may reduce the 20 days time period.

16. The record of discussion of Commission and Committee meetings shall be kept by the Secretary and approved by the President.

#### Dissolution of the Commission

17. The Executive Committee is authorised to take the decision to dissolve the Commission.

18. After the decision of the dissolution, the Commission will continue to exist in so far as such is necessary for the liquidation of its affairs by the Executive Committee.

19. After the debts have been paid, the Executive Committee shall determine the allocation of the remaining assets of the Commission.

**Dr. Krishan Saigal**



Proposed Action Calendar

The Commission's terms of reference are:

- . to refocus world attention on the importance of sustainable ocean development and the law of the sea;
- . to monitor the ratification, implementation, and progressive development of the Convention, at national, regional and global levels;
- . to examine whether States, especially developing countries, are able to fulfil their duties, enjoy their rights and generate their benefits under the Convention, to analyze the difficulties they might encounter, and to propose ways and means to overcome them;
- . to monitor the implementation of Chapter 17 of Agenda 21, at national, regional and global levels and to observe the function of the Convention in this process (legal framework; peaceful settlement of disputes; enforcement);
- . to follow the development of regional programmes of cooperation and development in the marine sector and examine how they adjust to the new requirements of integrated ocean management and sustainable development;
- . to examine the role of the Law of the Sea and ocean development in the process of restructuring the United Nations system as a whole for the 21st century and elaborate proposals to strengthen this role.

The main tasks before the Commission would be to:

- submit an interim report to the Fiftieth Session of the UN General Assembly;
- submit final recommendations to the Commission on Sustainable Development in 1996;
- finalise the Report of the Commission in 1997;
- release the Report in 1998 (the Year of the Oceans).

**1995.**

The time table for 1995 could be as follows:

Executive Committee meeting, Lisbon	April, 1995
First Plenary Session, Lisbon	June, 1995
Regional hearings	April-December, 1995
Second meeting of Executive Committee, Malta	August, 1995
Second Plenary Session, Tokyo	September, 1995

The IOI regional centres would organise the regional hearings in July/December, 1995, summarise the results of such hearings and submit a report to headquarters. They could also make an interim report and submit in time for the second Plenary Session to be held in Tokyo in September.



A suggested action calendar for the regional hearings could be as under:

Item	Action	Date	Responsible Party
1.1	Advertise setting up of the Commission in the region	1 April, 1995	Centre Directors, IOI
1.2	Write to various authorities inviting memoranda, views and whether submitter wants to be heard in person fixing last date as 30 June, 1995	1 April, 1995	Centre Directors, IOI
1.3	Organise regional hearings	1 July - 31 December, 1995	Concerned Vice-President and Centre Director, IOI
1.4	Summarise memoranda etc. and emerging recommendations and submit to headquarters	15 July, 1995	Concerned VP and Centre Director, IOI
1.5	Prepare draft interim report and circulate to all members of the Commission	15 August, 1995	President and Executive Director, IOI
1.6	Consider interim report	15 September, 1995	Commission
1.7	Finalise interim report and submit to UNGA	15 October, 1995	President and Executive Director, IOI

As would be seen from the above time table, the concerned Vice-Presidents would supervise, guide and finalise the reports emanating from the Regional hearings. The allocation could perhaps be as under:

Vice President	IOI Centre	Area covered
Prof. Guido de Marco	Malta	Europe
Prof. E.M. Borgese	Halifax	North America
Amb. Layachi Yaker	Senegal	Africa
Mr. Yoshio Suzuki	Japan, South Pacific, India & China	Asia and Oceania
VP from Latin America	Costa Rica	Latin America

### 1996, 1997 and 1998.

In 1996 the Commission would submit its recommendations regarding the oceans to the Commission on Sustainable Development (CSD) as the CSD would be considering the sustainable development of the oceans. There could be two plenary meetings of the Commission and four meetings of the Executive Committee in 1996. The detailed time table and work plan would be submitted in September, 1995.

In 1997 the Commission would finalise its Report which would be released in 1998 (the Year of the Ocean). There could be two plenary meetings of the Commission and four meetings of the Executive Committee in 1997 and two meetings of the Executive Committee in 1998. Detailed workplans and time tables would be submitted in 1996 and 1997.

### Approval of Committee.

The Executive Committee may approve the action calendar with such modifications as are considered necessary.

The Executive Committee may also like to fix dates for the two Plenary meetings of the Commission proposed to be held in 1995 - maybe June in Lisbon and September in Japan as well as the proposed agendas.

Dr. Krishan Saigal



**Proposed Budget for the Commission**  
**1995-1998**

The proposed budget for the Commission would be dependent on the activities approved by the Executive Committee/Commission.

A note on the Budget based on the activities as proposed in Item 4 is at Annex A.

The proposed Budget, it may be noted, is in modular form and can be adjusted by taking out any activity (plenary meetings of Commission, meetings of Executive Committee, regional hearings etc.). The note as presented would thus enable the Executive Committee to finalise the activities based on its estimates of how much money can be raised.

A word may also be said about the staff expenditure. The staff expenditure is based on the IOI System providing all the infrastructure (office space, equipment like computers and fax machines, accounting services etc.) both at headquarters and the centres. The figures given at the moment are not only minimal but guesstimates. Exact figures can only be given after the matter has been discussed with all the centres on 25-27 May, 1995 at the biannual Directors meeting. The expenditure under the heading "staff" would cover both consultancies and staff. The expenditure of over \$ 20,000 on the preparatory expenses concerned with the establishment of the Commission have already been incurred by IOI.

The broad picture of the Budget year-wise is as below:

Item	1995 (9 months)	1996	1997	1998	Total
1.1 Preparatory work	20.0				20.0
1.2 Plenary meetings	480.0	480.0	480.0	-	1440.0
1.3 Executive Committee meetings	120.0	240.0	240.0	120.0	720.0
2.1 Regional hearings	240.0	-	-	-	240.0



Item	1995 (9 months)	1996	1997	1998	Total
3.1 Travel expenses					
* President/ Vice Presidents	108.0	60.0	60.0	24.0	252.0
* HQ staff	24.0	32.0	32.0	12.0	100.0
* Regional staff	64.0	-	-	-	64.0
3.2 Staff expenses					
* President/ Vice Presidents	45.0	60.0	60.0	-	165.0
* HQ	75.0	100.0	100.0	50.0	325.0
* Regions	75.0	-	-	-	75.0
3.3 Other Administrative expenses					
* HQ	37.5	50.0	50.0	10.0	147.5
* Regions	60.0	-	-	-	60.0
4.1 Publishing costs	10.0	20.0	-	100.0	130.0
Total	1358.50	1042.0	1022.0	316.0	3738.5
Contingencies @ 10%	135.85	104.2	102.2	31.6	373.85
Grand total	1494.35	1146.2	1124.2	347.6	4112.35

To assist the Executive Committee to arrive at a decision, the budget is also presented activity-wise under certain broad heads like:

- . Travel including DSA
- . Meeting expenses
- . Staff including consultancies etc.
- . Communications and stationery
- . Regional hearings etc.

Headwise the expenditure would be as under.

Item	1995 (9 months)	1996	1997	1998	Total
Travel including DSA	684.0	668.0	668.0	124.0	2144.0
Meeting expenses	112.0	144.0	144.0	32.0	432.0
Staff including consultancies	195.0	160.0	160.0	50.0	565.0
Communications & stationery	97.5	50.0	50.0	10.0	207.5
Regional hearings	240.0	-	-	-	240.0
Publishing expenses	10.0	20.0	-	100.0	130.0
Preparatory expenses	20.0	-	-	-	20.0
Total	1358.5	1042.0	1022.0	316.0	3738.5
Contingency @ 10%	135.85	104.2	102.2	31.6	373.85
Grand total	1494.35	1146.2	1124.2	347.6	4112.35

### **Funds Position.**

The position regarding funds is briefly stated.

#### Government of the Netherlands

The Government of the Netherlands has responded favourably to a request for funds (letter from Mr. Pronk, Minister for Development Cooperation at Appendix 5). Though no amount has been mentioned in the letter, it is understood that the amount may be US\$ 300,000 per year.

#### Sasakawa Peace Foundation, Japan

The Sasakawa Peace Foundation has agreed to meet all the costs of a plenary meeting to be held in Japan in September, 1995 and amounting to US\$ 300,000.

#### Government of Germany

The Government of Germany might fund a plenary meeting of the Commission if it is held in Germany costing up to US\$ 300,000.

UNDP

A proposal has been sent to UNDP for a grant of US\$ 120,000 for the four IOI Centres in Fiji, Madras, Dakar and Costa Rica for funding regional hearings. The amount of US\$ 120,000 is likely to be approved.

**Approval of Committee.**

The Executive Committee may kindly decide:

- (i) how many of the proposed activities are to be approved.
- (ii) the modifications with which the budget is to be approved.
- (iii) the strategy to be followed in raising the required funds, including funding and donor agencies to be targeted.

**Dr. Krishan Saigal**



Note on the Proposed Budget for the Commission  
1995-1998

The proposed budget for the Commission would depend on its activities.

As is clear from the proposed activities, the World Commission on the Oceans would be acting in both a centralised and decentralised manner. On the one hand all decisions vest in the Commission and the Executive Committee while on the other hand it would be, through its Vice Presidents, organising regional hearings and so acting in a fairly decentralised manner.

Its activities would be as under:

Centralised:

- . Preparatory work
- . 6 Plenary meetings of the Commission (2 each in 1995, 1996 and 1997)
- . 12 Executive Committee Meetings (2 each in 1995 and 1998, and 4 each in 1996 and 1997)
- . Preparation of:
  - \* interim report to UNGA (1995)
  - \* recommendations to Commission on Sustainable Development (1996)
  - \* final report (1997)

Decentralised:

- . holding of 8 regional hearings
- . preparation of documents region-wise for:
  - \* interim report to UNGA
  - \* recommendation to CSD
  - \* final report

The schedule of activities is at Appendix 1.

Estimates of Expenditure

**1.2 Plenary**

Each plenary (of 3 working days) is expected to cost \$ 240,000 as under:

Air fares for 30 Commissioners	\$ 150,000
DSA for 30 Commissioners (4 days)	\$ 30,000
Air fares for staff (5)	\$ 15,000
DSA for staff (5)	\$ 5,000
Meeting Expenses	\$ 10,000
Communications, telephone, fax etc.	\$ 20,000
Miscellaneous	\$ 10,000
	-----
Total	\$ 240,000

**1.3 Executive Committee**

Each executive committee meeting (3 days) is expected to cost \$ 60,000 as under:

Air fares for 6 persons	\$ 30,000
DSA for 4 days	\$ 6,000
Air fares for staff (2)	\$ 6,000
DSA for staff (2)	\$ 2,000
Meeting expenses	\$ 5,000
Communications etc.	\$ 5,000
Miscellaneous	\$ 6,000
	-----
Total	\$ 60,000

**2.1 Regional Hearings**

Each hearing is expected to cost \$ 30,000.

**3.1 Travel Expenses**

The President and the Vice-Presidents would have to have funds to cover their travel to the various regional hearings. The cost is estimated at \$ 108,000 for 1995 as under:

Three air journeys per person (18 journey @ \$ 6,000 per journey)	\$ 108,000
	-----
Total	\$ 108,000

For 1996 and 1997 the expenditure is estimated at \$ 60,000 per year.

The Executive Director and headquarters staff of IOI and the Regional Directors of IOI would also have to undertake journeys. The cost for 1995 is estimated at \$ 88,000.

Headquarters staff 6 journeys	\$ 24,000
Regional directors (8), 2 journeys each	\$ 64,000
	-----
Total	\$ 88,000

For 1996 and 1997 the expenditure is estimated at \$ 32,000 per year for headquarters staff including consultants.

**3.2 Staff expenses**

The staff both at headquarters and the regions would need to be augmented. The precise requirements would be worked

out after consultation with the Centres but a rough estimate for augmenting the administrative structure would be \$ 260,000 for 1995 and \$ 160,000 for 1996 and 1997, including providing secretarial assistance for the President and Vice Presidents (\$ 10,000 each).

Secretarial assistance to President / Vice Presidents	\$ 60,000
Headquarters	\$ 100,000
Regions (8) - for 1995 only	\$ 100,000
	-----
Total	\$ 260,000

### 3.3 Other administrative expenses

Other expenses are estimated at \$ 130,000 for 1995 and \$ 50,00 in 1996 and 1997.

Communications	
* Headquarters	\$ 30,000
* 8 centres - for 1995 only	\$ 40,000
Stationery etc.	
* Headquarters	\$ 20,000
* 8 centres - for 1995 only	\$ 40,000
	-----
Total	\$ 130,000

### 4.1 Publishing of reports

1000 copies interim report to UNGA (50 pages)	\$ 10,000
1000 copies recommendation to CSD (100 pages)	\$ 20,000
5000 copies final report (300 pages)	\$ 100,000

### Cash flow

#### 1995.

The cash flow requirements for 1995 are at Appendix 2.

The broad picture of cash flow requirements quarter-wise for 1995 (including 10% contingency) are:

Preparatory	\$ 22,000
Second Quarter	\$ 590,150
Third Quarter	\$ 605,550



Fourth Quarter	\$ 275,650
	-----
Total	\$1,494,350

In terms of heads of expenditure, the position would be as under for 1995:

Travel including DSA	\$ 684,000
Meeting expenses	\$ 112,000
Staff	\$ 195,000
Communications & stationery	\$ 97,500
Regional hearings	\$ 240,000
Publishing	\$ 10,000
Preparatory expenditure	\$ 20,000
Contingency @ 10%	\$ 135,850
	-----
Total	\$1,494,350

### 1996 and 1997.

In 1996 and 1997 there would be no regional hearings and so the staff of regions, travel of vice presidents, IOI HQ staff could be reduced. The cash flow would be as in Appendix 3.

The broad picture of cash flow requirements quarter-wise for 1996 and 1997 (including 10% contingency) are:

	1996	1997
First Quarter	\$ 413,050	\$ 413,050
Second Quarter	\$ 149,050	\$ 149,050
Third Quarter	\$ 435,050	\$ 413,050
Fourth Quarter	\$ 149,050	\$ 149,050
	-----	-----
Total	\$1,146,200	\$1,124,200

In terms of heads of expenditure, the position would be as under for 1996 and 1997:

	1996	1997
Travel including DSA	\$ 668,000	\$ 668,000
Meeting expenses	\$ 144,000	\$ 144,000
Staff	\$ 160,000	\$ 160,000
Communications & stationery	\$ 50,000	\$ 50,000
Publishing	\$ 20,000	-
Contingency	\$ 104,200	\$ 102,200
	-----	-----
Total	\$1,146,200	\$1,124,200

### 1998.

In 1998 there would be no plenary sessions of the Commission.

The cash flow would be as in Appendix 4. The total expenditure in 1998 would be \$ 347,600.

**1995-1998.**

The total budget for the Commission from 1995-1998, therefore, comes to \$4,112,350 as below:

1995	\$ 1,494,350
1996	\$ 1,146,200
1997	\$ 1,124,200
1998	\$ 347,600
	-----
Total	\$ 4,112,350

Headwise it would be as follows for 1995-98:

Travel including DSA	\$ 2,144,000
Meeting expenses	\$ 432,000
Staff	\$ 565,000
Communications & stationery	\$ 207,500
Regional hearings	\$ 240,000
Publishing expenses	\$ 130,000
Preparatory expenses	\$ 20,000
Contingency @ 10%	\$ 373,850
	-----
Total	\$ 4,112,350

**Dr. Krishan Saigal**

Activity	Yr	1995				1996				1997				1998				
		Qtr	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4
<u>Central-ised</u>																		
1.1 Preparatory work		x																
1.2 Plenary Meetings			x	x		x		x		x		x						
1.3 Execut- ive Com- mittee			x	x		x	x	x	x	x	x	x	x	x	x		x	x
1.4 Prep- aration of reports etc.					x				x					x				
<u>Regional</u>																		
2.1 Hearings			x	x	x													
2.2 Prepara- tion of docum- ents			x				x				x							



Cash Flow Budget

1995 (for 9 months)

Amount in '000 \$

Activity	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
1.1 Preparatory work	20.0			
1.2 Plenary Meetings		240.0	240.0	
1.3 Executive Committee		60.0	60.0	
2.1 Regional hearings (8 in all)		75.0	75.0	90.0
3.1 Travel expenses				
* President/Vice Presidents		36.0	36.0	36.0
* HQ staff		8.0	8.0	8.0
* Regional staff		20.0	24.0	20.0
3.2 Staff expenses				
* President/Vice Presidents		15.0	15.0	15.0
* HQ		25.0	25.0	25.0
* Regions		25.0	25.0	25.0
3.3 Other administrative expenses				
* HQ		12.5	12.5	12.5
* Regions		20.0	20.0	20.0
4.1 Publishing reports			10.0	
<b>TOTAL</b>	<b>20.0</b>	<b>536.5</b>	<b>550.5</b>	<b>251.5</b>

Total for 1995	\$ 1,358,500
Contingency @ 10%	\$ 135,850
	-----
Total	\$ 1,494,350

Cash Flow Budget

1996 and 1997

Amount in '000 \$

Activity	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
1.1 Preparatory work				
1.2 Plenary Meetings	240.0		240.0	
1.3 Executive Committee	60.0	60.0	60.0	60.0
2.1 Regional hearings				
3.1 Travel expenses				
* President/Vice Presidents	15.0	15.0	15.0	15.0
* HQ staff	8.0	8.0	8.0	8.0
* Regional staff				
3.2 Staff expenses				
* President/Vice Presidents	15.0	15.0	15.0	15.0
* HQ	25.0	25.0	25.0	25.0
* Regions				
3.3 Other administrative expenses				
* HQ	12.5	12.5	12.5	12.5
* Regions				
4.1 Publishing reports (only 1996)			20.0	
<b>TOTAL</b>	<b>375.5</b>	<b>135.5</b>	<b>395.5</b>	<b>135.5</b>

Total (1996) \$ 1,042,000  
Contingency \$ 104,200  
-----  
Total \$ 1,146,200

Total (1997) \$ 1,022,000  
Contingency \$ 102,000  
-----  
Total \$ 1,124,200

Cash Flow Budget

1998

Amount in '000 \$

Activity	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
1.1 Preparatory work				
1.2 Plenary Meetings				
1.3 Executive Committee	60.0	60.0		
2.1 Regional hearings				
3.1 Travel expenses				
* President/Vice Presidents	8.0	8.0	8.0	
* HQ staff	4.0	4.0	4.0	
* Regional staff				
3.2 Staff expenses				
* President/Vice Presidents				
* HQ	25.0	25.0		
* Regions				
3.3 Other administrative expenses				
* HQ	5.0	5.0		
* Regions				
4.1 Publishing reports		100.0		
<b>TOTAL</b>	<b>102.0</b>	<b>202.0</b>	<b>12.0</b>	

Total for 1998	\$ 316,000
Contingency @ 10%	\$ 31,600
	-----
Total	\$ 347,600



Ministry of Foreign Affairs

The Hague

**To:**

Ms. E. Mann Borgese  
International Ocean Institute  
Dalhousie University  
1226 Le Marchant Street  
Halifax, Nova Scotia  
CANADA B3H 3P7

**Date:**

23 januari 1995

**Reference:**

DIO/JS-2474

**Subject:**

Subsidy request World Commission  
for the Oceans

**Department:**

DIO/JS

Thank you for your letters of 25 September and 8 November 1994. I support the establishment of a Commission with sufficient authority worldwide to draw attention to the importance of sustainable ocean development and the law of the sea.

As I indicated earlier I intend to respond positively to your request for a financial contribution. I hope to be able to indicate an exact amount in the near future. The level of the Netherlands contribution, among other things, depends on the confirmed commitments of other contributors. I would also like to suggest that efforts are made to acquire commitments of a wide range of countries which are representative of the various regions of the world. This would certainly enhance the commission's stature and authority.

I look forward to receiving this information. After receiving such information, and after the establishment of the Trust Fund by the Commission, I will inform you about the Netherlands contribution.

**THE MINISTER FOR DEVELOPMENT COOPERATION,**

**J.P. Pronk**

Consideration and approval of guidelines  
and background paper to be issued  
to the regional centres

1. The Vice Presidents would be organising the hearings in consultation with the regional centres. A list of centres, along with the name, address, fax etc. of the concerned contact persons is at Annex A.
2. It is suggested that the centres:
  - be asked to contact all persons/organisations in their regions who are connected with oceans including, inter alia:
    - \* ministries of the governments of the region concerned with ocean matters like law of the sea, fisheries, maritime transport, energy, mining, tourism etc;
    - \* regional organisations concerned with ocean matters (illustrative list at Annex B);
    - \* nongovernmental organisations having an interest in ocean matters and the environment;
    - \* organisations of fishermen, sailors, shipping organisations, women, youth, indigenous people etc;
    - \* IOI alumni and resource persons;
    - \* persons having an interest in ocean and environmental matters.
  - be asked to send all such ministries / organisations / NGOs / individuals copies of the background paper on the World Commission (background paper at Annex C).
  - be asked to collate the memoranda / replies received and put up the same to the concerned Vice President.
3. The headquarters will contact the international organisations like UNESCO, FAO, UNIDO, ILO, ICSU, IMO, WHO, World Bank, IMF, UNCTAD, UNICEF, WTO, UNU, Habitat etc. (letter from DG UNESCO is at Annex D).
4. The Executive Committee may approve with such modifications as are deemed necessary:

- (i) the above procedure
- (ii) the background paper.



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IOI Regional Centres

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9. Dr. Diafara Toure  
Director  
Centre Oceanographique De Dakar -  
Thiaroye (CRODT)  
Dakar  
SENEGAL  
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Fax: +221 (-) 342 792

Illustrative list of regional organisations

Regional offices of UN and its agencies like:

- ECLAC, ECA, ESCAP, ECE, ECWA
- UNDP, FAO, WMO, IMO, ILO, UNESCO/IOC, UNEP (especially Regional Seas Programmes), ICAO, WHO, ITU, WIPO, UNIDO
- African Development Bank, Asian Development Bank, Inter-American Development Bank

Other regional organisations like Organisation of Eastern Caribbean States, South Pacific Forum, SPREP, University of South Pacific, University of West Indies etc.



UNESCO united nations, educational, scientific and cultural  
organisation  
organizacion de las naciones unides para le educacion,  
la ciencia y la cultura  
organisation des nations unies pour l'education, le  
science et la culture

7, place de Fontenoy  
75700 Paris

The Director-General

6 MAR 1995

reference: DG/95/135

Dear Elisabeth,

Many thanks for the full information you have provided concerning the establishment of an Independent World Commission for the Ocean, on the initiative of the International Ocean Institute (IOI). I welcome action that can contribute to addressing the multidimensional aspects of the oceans at a time when, the United Nations Convention on the Law of the Sea having come into effect in November 1994, all "marine eyes" are now trained on Lisbon 1998 and the International Year of the Ocean, which was proposed by UNESCO and has been approved by the UN General Assembly.

I am willing to provide a link between the Independent Commission and the UN system through communication with the relevant UN mechanisms, including the ACC Sub-Committee on Oceans and Coastal Areas, for which UNESCO provides the Secretariat through the IOC, as well as the Inter-Secretariat Committee on Scientific Programmes relating Oceanography.

UNESCO and its IOC can certainly benefit from the studies and recommendations that will emanate from the Commission and I am ready to provide a number of facilities (information, contacts with world experts and institutions, etc.) in order to co-operate both in the work of the Commission and in the follow-up to its outcome, particularly through IOI but also through other concerned UNESCO programmes.

Professor Elisabeth Mann Borgese  
Founder, Chair  
International Ocean Institute  
1226 Le Marchant Street  
Halifax, Nova Scotia  
Canada B3H 3P7

Fax: (1. 902) 8682455

I have communicated to the Secretary-General of the United Nations, Mr. Boutros Boutros-Ghali, the intention of the Board of IOI to request President Mario Soares of Portugal to chair the Independent World Commission for the Oceans. His chairmanship is indeed most welcome and augurs well for the Commission's work, as do your own commitment and unstinting efforts.

I hope that the results of the Commission's work will be finalised in good time and in a way that would enable me to transmit them to the IOC and to forward them with the letter's and my own observations to UNESCO's General Conference and as well to the Secretary-General of the United Nations.

In order to keep the IOC and myself informed, I would propose that the Commission's Secretariat bring us periodically (every 6 months?) up-to-date with the activities and preliminary results of the Independent Commission. Without in any way wishing to affect the independence of the Commission, I consider it essential to establish from the outset, close links between UNESCO and its IOC and the Commission. Not only would this enhance the Commission's relevance and efficiency. It would also correspond to your intention of having close co-operation with UNESCO and its IOC from the beginning.

The paper "Establishment of an Independent World Commission for the Oceans" may well serve as a basis for determining the Commission's programme and methods of work. It is important, however, to leave the final decision in this regard to the Commission itself so as to ensure its highly functional and independent character.

The Independent Commission would have no-ordinating function but would aim at providing, at this particular juncture and its relation to oceans, general guidelines for the intellectual and scientific contributions to the multiple facets of this highly relevant field. I would thus heighten worldwide visibility and awareness of ocean-related issues and concerns.

You may consider this letter the formal expression of UNESCO's and its IOC's readiness to support the work of the Independent Commission and to review its outcome for appropriate action in due course. Its contents should also serve to revise accordingly the draft paper you so kindly sent me on the establishment of the Commission.

With my best personal regards,

Cordially,

**FEDERICO MAYOR**



**Requirements of staff, consultants, researchers etc.**

The requirements of staff etc. depends on the work to be done and the available funds. Once the activities and budget are approved by the Executive Committee, discussions will be held with the Directors of Regional Centres who are going to have a meeting in Malta from 25-27 May, 1995 and a list of requirements would be prepared and put up to the Executive Committee for their approval.

**Dr. Krishan Saigal**