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Tuesday, August 9, p.m. meeting of the First Committee

The meeting, chaired by the Netherlands, tried to agree on an agenda for the "work shop." I will not attempt to summarize the discussion, since it contributed nothing to the progress of the Committee's work. I will merely list the proposals made:

Peru (on behalf of the 77): It is premature to establish an "order of subjects or a detailed calendar. The mandate is very broad: <u>all</u> subjects must be negotiated. the 77 proposed two subjects to start with: 1. The Assembly and the Council: composition, decisionmaking processes, powers and functions. (2) The System of Exploitation. The 77 would have to have frequent group meetings to align their policy. He suggested that the work shop and the Group be given equal time, alternately.

U.S.A. proposed to start with a more complete list of subjects. The first point was Annex I, concentrating on details which require apecial attention. Next: Article 9 and economic implications of the exploitation from the seabed. The system of exploitation cannot be divorced from the Enterprise, and if there is one, how it should function. The U.S. would be willing to accept the general heading "System of Exploitation," but with special attention to the issues mentioned.

Second: The Assembly: composition, decision-making, powers and function The Council had already been debated during the last session and between sessions.

Third item: the finances of the Authority, and the distribution of revenues.

Finally: the system for the settlement of disputes.

Priority: the U.S. felt that the system of exploitation should be addressed first. If this is not settled, there is no way of going on.

As for sharing the work shop's time with that of the 77:one should not revert to the practice that half the meetings are the 77's. This does not enhance cross-fertilization. Not more than one-third of the time should be given to intra-group consultations.

U.K. (speaking for the EEC): supported the American proposal and suggested the following order: System of Exploitation; Council; Statute of Enterprise; financial arrangement; settlement of Disputes. August 20, Workshop of the First Committee.

In the Chair: Dr. Jagota.

<u>Germany</u> opened the discussion by addressing a number of ouestions to the "77." According to the German interpretation of the draft articles submitted by the "77," the Authority would have the discretionary power to reject an application under Article 8 bis (a), even if the applicant had already invested in the area. The conditions underwhich an applicant could be rejected are not specified. Question: Is this interpretation correct or mistaken? Second question: Why has the Group of 77 abandoned a system that it seemed to have accepted in Geneva? The Gelegation of the Federal Republic of Germany insisted on a parallel system because it offered long-term security both to the contrators and to the Authority. This is essential to encourage investments which would have to be very substantial. Security must be given for a period of 30-40 years.

China supported the proposal of the "77," which, according to the Chinese Delegate, corrected serious deficiencies in the KSNT. China supports the concept of the Common Heritage of Mankind, with all rights to exploration and exploration vested in the Authority. The superpowers made a number of unjustified charges against the 77. This, of course, was

natural. The proposals of the two superpowers differed in wording, but in substance they were identical: they would defraud the Authority and turn the common heritage of mankind over to private exploitation through States and companies. The socalled parallel gystem transforms the common heritage into the private propert y of States: this runs counter to the Declaration of Principles. This was the central issue.

<u>Chile</u> looked for common ground between the "77" and the industrialized nations. The latter want to avoid interference by the Authority: they want to avoid discrimination and arbitrariness; they want guarantees for participation. The reasoning of the "77" is the same, but from the opposite angle: they want to avoid arbitrariness on the part of applicants and contractors. Both kinds of arbitrariness have to be avoided. This provides a common ground. The "77" support two besic premises: they do not wish the Authority to be arbitrary. There are limitations to its discretionary powers. The system is to be nondiscriminaory; the Authority is to work on the basis of objective criteria. The industrialized nations should realize that pure automaticity does not insure fair conditions. They should clearly indicate: what points do they think are negotiable?

U.S. The U.S. delegate summed up the Chilean position as indicatingthat a controlled system must be established to prevent abuses of the common heritage. The United States agrees

with this position. The Chinese had misinterpreted this position. The U.S. had always been flexible about controls. They had been against what the "77 termed "effective and full" control. One thing is to give the Authority power to prevent abuses through due process and adequate supervision and control and inspection -- and the RSNT gives wide scope to that -- but power to restrict access is ouit another matter, if the true purpose is the prevention of abuse. If applicants have a history of violating the Treaty, this would be a ground for rejection. If the applicant is, prima facie, a phony, this would be another ground for rejection. The American Delegate wanted clarification on this point: What, according to the 77, should be the criteria that would justify the exclusion of an applicant? The Delegate of the U.S. had a number of other questions, mostly of a definitional charater. He suggested that questions and answers, at this stage, should be limited to Article 22.

<u>baragraph 1</u>: What was meant by the term "exclusively"? This is a conceptual problem and a problem of semantics. He always thought that if the Authority is a partner to every contractual agreement that occurs and if theAuthority is in control, then it is the exclusive operator. The Authority could be considered to be such even under a licensing system. It was not clear, however what the word "exclusively added to the sentence. The word was superfluous. It lacked precise legal meaning: unless it was intended to have a bearing on Article 9, in which case there would be a fundamental difference between the American position and that of the 77 -- one which time could not resolve.

Paragraphs 1 and 2 were said to represent a parallel system: but what, then, was the meaning of the words "as determined by the Authority?" would this give discretion to the Authority to decide when a contract should be granted and when it should be rejected?

The words "through a form of association" would seem in practice to mean "through an eouity joint venture." The term was not used, but this is what it meant to the American delegation. This would mean that the Authority could even expropriate an applicant, bu buying him out.

In paragraph 3, the "merican Delegation ovestioned the term "draw up" in line 2; should it not rather be "draw"? Why "entered into"? Was not the term "drawn ? The "merican delegation suspected some deliberate vagueness in this terminalogy, which would open the door to some discretionary powers on the part of the Authority.

In paragraph 4, what was meant by the word "full" preceding the word "effective?" Especially in connection with the term "at all times," this terminology opened the possibilities for the most absurd and unacceptable forms of supervision. - 3 -

The notion that contracts could be "negotiated" implied that they could be rejected. This was unacceptable. The Authority could determine at any time that negotiations had failed. This opened the door for those who wanted to <u>prevent</u> production. The cuestion of access was not to be subject to negotiation. Negotiability must be limited. "hat the negotiator must bring to the bargain must be objectively determined, and subject to dispute settlement procedures. The 77 proposal would leav the Authority with the subjective right to determine that negotiations had failed. This was unacceptable.

Under the 77 proposal, conditions for a contract might include the obligation to place processing plants into developing countries. This was unacceptable. What would be the use of having gained free access to the area, if developing countries were in a position to cut off the supply by their rights over processing plants?

The U.S.S.K., replying to the whinese Delegate, defended its record in the wonference and the Seabed Committee: It always had accepted the idea of control through the Authority. It never wanted to get hold of the seabed. On the contrary, it held the concept of the non-appropriability of the seabed to be fundamental.

India wished to clarify the position of the 77: the Group had never accepted a parallel system of exploitation.

China re-iterated its attacks against the superpowers and, in particular against the social imperialism of the USSR.

The Chairman called for a more friendly tone to encourage fair negotiation.

<u>Peru</u> clarified a number of ouestions that had been raised especially by the Federal Aepublic of Germany and by the U.S. with regard to 8 bis (f), it was pointed out that the first sentence had been taken over from the RSMT, and that it was not intended to mean that the A uthority could add further requirements. Secondly, if the Authority were to reject an applicant, the 77 proposal did not imply that the Authority did not have to state its reasons for the rejection. This point really had not come up in the discussions, but it was easy to clarify the situation by proposing that the Council would have to state the reasons for any rejection.

The 77 did not retreat from the positions held in Geneva.

With regard to the objections raised by the Delegate of the U.S.: The main purpose of the word "exclusively" was to ensure that the <u>sole</u> form in which activities could be conducted, is by the Authority as specified in the following paragraphs. No independent, separate activities could be conceived. It must be within the framework of paragraph 1. · · 3

"As determined by the Authority": The meaning of the phrase becomes evident in the context of the other paragraphs.

"Through a form of association": "Form of association" is used in a general sense. It is not attempted to define any specific form. The 77 want flexibility: not necessarily equity joint ventures. If the form is not clear, the defect is already in the RSNT, from which this para. is taken over.

"ith regard to the terms "draw up" and "entered into" -- they may require polishing in the "nglish translation. There certainly was no sinister intention behind the choice of words.

Regarding paragraph 8 bis (f), the delegate of Peru would answer this question later. What precisely was required by the 77 that was to be negotiated, and could not be put into Annex I? August 23, 3-6 P.M., Committee I, WORKSHOP In the Chair: Netherlands. Discussion opened by GHANA, making the following main points:

1. An acceptable package seems to be one which establishes the over-all and effective control by the Authority over all activities in the Area while adopting a decision-making procedure which ensures that the essential interests of all, including those of the minotiry would be acequately safeguarded. The system of exploitation can therefore not be successfully negotiated unless it is done in relation with the status and functions of the Authority including the decision-making mechanisms of its organs.

2. There is a wide gap between the conception of the U.S. of the status of the Enterprise and that of the 77.

3. It is difficult to understand the developed countries conception of the Authority as an outside body from an alien planet whose interference in our affairs should by all means be resisted. The Authority is a body constituted by the international community and entrusted with the exercise of our joint sovereignty over the Area.

4. The conditions on which the U.S. proposals accept the Enterprise leads to believe that it is only alle to accept it so long as it remains a delusion with no possibility of assuming a substantive form to enable it to conduct exploitation in the Area.

5. with regard to procedure: Chana succests a small negotiating group to be established to explore the nature of possible compromise on the issue under discussion. Then it should take up another issue, giving delecations tild to consult with each other; then it should take up this first issue again, etc.

Sri Lanka, not present during the first discussion of the proposal of the 77, gave some further explanations of terms in the text like "exclusively," "full and effective control," etc. Sri Lanka made also some interesting suggestions with regard to negotiating procedure: 1. The two chairmen should identify three or four major issues on which negotiations should begin. 2. Mr. Sondaal should then exchange views with the Group of 77 while Mr. Jagota should do the same with representatives of the industrialized States.j. Then the two chairmen should confer with each other and redraft articles in the light of the discussions held. 4. Then negotiations should begin on the basis of these new articles. Negotiations should be held by a small group, but all other celegations should be there to hear them -- according to the so-called arena method.

Canada praised the leadership of the group of 77 in the First Committee and said the document submitted by them could well serve as a basis for discussion. The Canadian Delegation stressed the point that Article 22 cannot be understood without considering the economic aspects of the exploitation of the area, e.g., pay-back on investments and adequate profits, and the relationship between exploration rights and exploitation rights. What Canada had to say on such points, however, was quite compatible with the document submitted by the 77. Canada did not at all propose that there should be unrestricted access to the Area. Limitations on access should be nonarbitrary and non-discrimonatory, but access could not be unrestricted. This would be contrary to the principle of common heritage. Canada was quite ready to accept production controls.

with regard to the parallel system, Canada and Australia were often mentioned as the originators of this concept, but the Canadian and Australian proposals really had been quite different. The Canadian approach favored a joint-venture system, but if the majority of the Committee wanted a different kind of Enterprise system, Canada would go along as well.

Canada was in agreement with the procedural suggestions made by Ghana and Sri Lanka.

Czechoslovakia

The German Democratic Republic

Poland

Mongolia elaborated on the Soviet proposal.

Guatemala criticized the woviet proposal.

Ivory Coast had some specific criticism of the Soviet proposal: The division of the area that was the common heritage of mankind was rather curious. The establishment of two different regimes in the area was bound to lead to conflict. It was not clear why the proposal introduced two different kinds of "supervision" in the area. As far as the Authority's own enterprise was concerned, this supervesion was to be "effective" and "full." with regard to States and other enteprises, the supervision was to be "fiscal and administrative." The Poviet proposal needed considerably more elaboration.

France: endorsed the procedural suggestions by whana and Sri Lanka, but warned that the workshop should not be fragmented.

<u>Algeria</u> rejected threats of unilateral action and declared that Algeria would never agree to ambiguous provisions under such threats. It would never sign provisions that betrayed the principle of the common heritage of mankind, which was a revolutionary principle that could be embodied only in a strong enterprise system. Algeria unconditionally rejected any parallel system.

Lybia also rejected the parallel system. States would exploit

the area according to their means: which means, only a few technologically advanced and rich States could exploit, whereas the international community would be left with nothing

Yugoslavia made an elocuent plea for the common heritage of mankind, which must be managed through the international Authority through its Enterprise.

Jamaica tried to establish some common ground between the three documents before the Committee. (1) Everybody reall y agreed that there should be a unitary system of exploitation under the Authority; (2) in the final analysis, the only admitted entities are the Enterprise and States (since companies have to be sponsored by States). There was some difference of opinion with regard to the degree of automaticity of access; but, on the one hand, the proposal of the 77 put some considerable restraints on the discretionary powers of the Authority; on the other hand, the U.S. proposal granted to the Authority not only fiscal and administrative control but control also for the purpose of assuring compliance with the provisions of the Convention. Po the difference, on the point of control, was not really so wide as one might assume. Also with regard to the Enterprise itself, differences had narrowed. That the Enterprise should exist was no longer a point of contention. The Enterprise was conceived by everybody to be a part of the Authority. It was to represent mankind as a whole: not just a part of it: not merely the developing nations. There was no reason, therefore, to look upon it with horror.

August 14, 1976.

To: Ambassador Wolf From: EMB

. 1

<u>Subject</u>: Addition of an item: <u>Baselines</u> to the list of subjects to be negotiated with the Coastal States.

I was happy to hear the delegate of Algeria re-open the issue of the delimitation of the continental shelf at 200 miles, i.e., of absorbing the concept of the continental shelf into that of the EE4. Although I do not expect that we will have much luck on this point, it is a good bargaining point and, at any rate, the assertion of a sound principle.

There is, however, another point connected with the delimitation of ocean space under national jurisdiction, and that is the questions of the <u>baselines</u> from which the territorial sea is measured.

The present Article 6 formulation, which, so to speak, slipped by the boards because of lack of interest of most nations in what seemed to be of very limited and purely technical significance, is totally inadequate, and will invite the extension of the economic zone, not over 200, but over 300 or 400 miles from the coast, enclosing vast areas of what is today territorial see, as internal waters.

The SNT accepts in general the rules on baselines contained in the 1958 Geneva Convention on the Territorial Sea, but proposes further major departures from the general principle that the normal baselines should be the low-water line along the coast and relaxes the already highly flexible rules with regard to criteria for drawing straight baselines. Thus it is now proposed to permit the drawing of straight baselines to low-tide elevations when no installations permanently above sea-level have been built on them, "in instances where the drawing of baselines to and from such elevations has received general international recognition" and to permit "where because of the presence of a delta or other natural condition the coastline is highly unstable, "the selection of appropriate points along the farthest seaward extent of the low-waterline" and the mointenance of such baselines until changed by the coastal State "notwithstanding the subsequent regression of the low-water line."

In addition the SNT proposes that an archipelagic State "may draw straight archipelagic baselines joining the outermost points of the outermost islands and drying reefs of the archipelago provided that within such baselines are included the main islands and an area in which the ratio of the area of the water to the area of the land, including atolls, is between one to one and nine to one." The length of such baselines must not exceed 80 nautical miles, "except that up to one per cent of the total number of baselines enclosing any archipelago may exceed that length, up to a maximum length of 125 nautical miles. The SNT states that for the purpose of computing the ratio. of water to land, "land areas may include waters lying within fringing reefs of islands and atolls, including that part of a steepsided oceanic plateau which is enclosed or nearly enclosed by a chain of limestone islands and drying reefs lying on the perimeter of the plateau."

There can be no clear limits to national sovereignty or jurisdiction in ocean space unless the line from which such limits are measured is precisely defined and is not, normally, subject to change, particularly unilateral change.

The criteria for drawing straight baselines contained in the 1958 Territorial Sea Convention are far from precise. First, crucial terms are not defined: it is difficult in practice to give a precise and strict interpretation to expressions such as "deeply indented," "immediate vicinity," "general direction of the coast," etc., and these expressions tend to be interpreted rather loosely in the practice of States. Pecondly, the 1958 Territorial Sea Vonvention does not state that straight baselines must join land points but only appropriate points; this ambituity permits the establishment of straight baselines by geographical coordinates joining points in the sea at considerable distances from the coast. Thirdly, there is no limit to the length of straight baselines which may be drawn by the Coastal State. This permits the enclosure of large sea areas by joining distant points. Fourthly, a coastal State at any time and with virtually unfettered freedom (within the loose criteria prescribed by the 1958 Territorial Sea Convention) may modify previously established straight baselines or draw them further out to sea subject only to the obligation not to cut off from the high seas the territorial sea of another State.

In recent years, coastal States have taken increasing advantage of the flexible provisions of the 1958 Territorial Sea Convention with regard to baselines by enclosing hundreds of thousands of square miles of previously high seas, and this process of enclosure is continuing. One or two States have even begun to draw straight baselines by geographical coordinates situated far from land.

In these circumstances it would seem desirable to define more strictly the criteria for drawing straight baselines in order to avoid continued unilateral expansion of coastal State sovereignty in ocean space.

It might be suggested that the 1976 SNT be amended to make clear that straight baselines may connect only appropriate points <u>on</u> <u>land</u>. Secondly, that straight baselines drawn by coastal States must not exceed a length equal to from twice to four times

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the breadth of the territorial sea. Thirdly, there should be a provision enabling any State and the appropriate international organization to challenge before an international Tribunal straight baselines drawn by a coastal State when these do not appear to conform to the rules set forth in the proposed Convention. Fourthly, it would appear desirable to delete the new special provision concerning deltas. Finally, if it proves necessary to retain the special rules concerning baselines drawn by archipelagic States, these rules should be considerably tightened by reducing the ratio of water to land and by setting a stricter limit to the length of the straight baselines which may be drawn.

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